

# Computational Views of Evolution

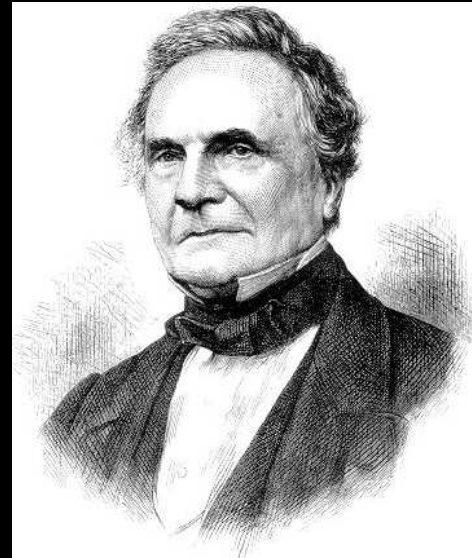
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# An early computational view of evolution

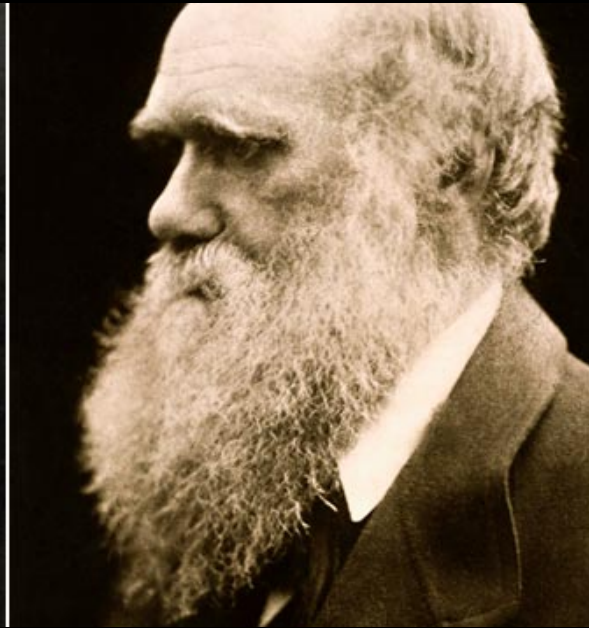
- Charles Babbage

Ninth Bridgewater treatise  
ca. 1830 (paraphrased):



*The Supreme Being created not species, but the algorithm for creating species*

Wallace-Darwin 1858:  
Exponential growth  
is incompatible with Life



# *The Origin of Species*



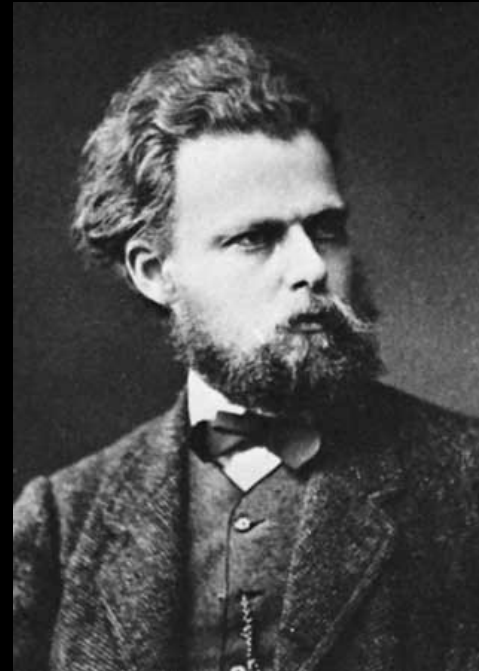
- Natural Selection
- Common Ancestry
- Possibly the world's most masterfully compelling scientific argument
- The six editions: 1859, 1860, 1861, 1866, 1869, 1872

# Cryptography against Lamarck

A. Weismann

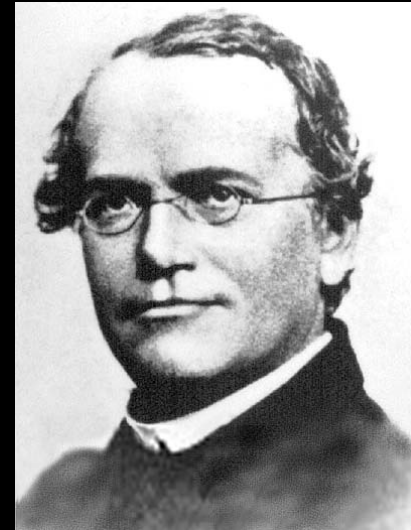
[ca. 1880, paraphrased]

*“The mapping from genotype to phenotype is one-way”*



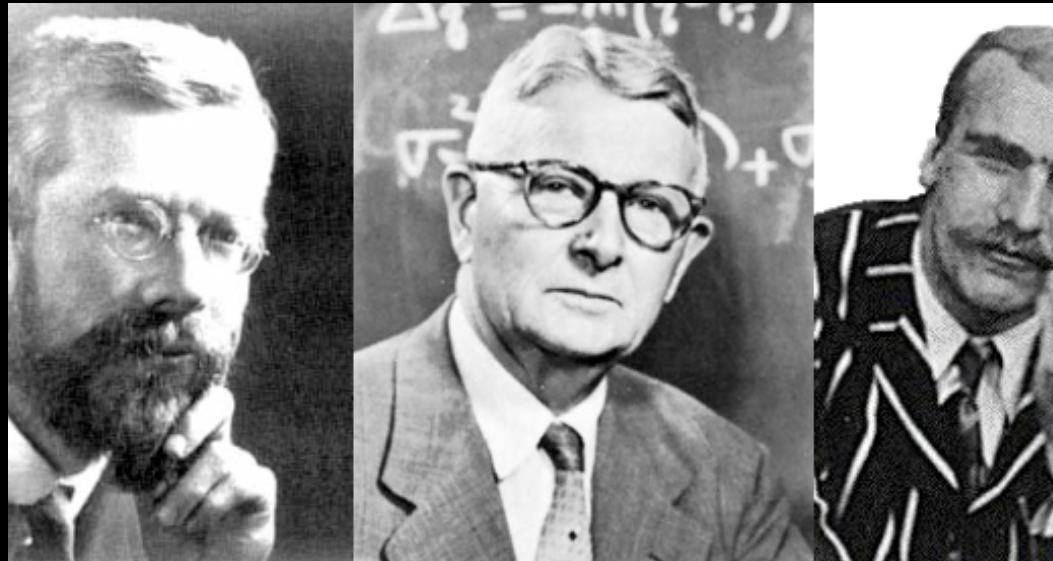
# Surprise! Inheritance is discrete

- Gregor Mendel [1866]
- Number of citations  
between 1866 and 1901:



3

# The “Modern Synthesis” 1918 - 1940



Fisher – Wright - Haldane

# Meanwhile at the farm...

(1929 – 1946)



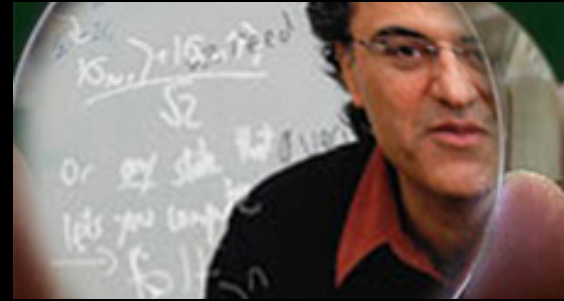
Gödel - Turing - von Neumann



# Theory of Computing (last six decades)

A mathematical framework, stance, and methodology for understanding the capabilities and limitations of the computer

# The Lens of Computation



- When the point of view of the Theory of Computing is applied to a field of science, progress often happens
- E.g.: Statistical physics, quantum mechanics, game theory and economics, social science, molecular biology and evolution

Btw: the special affinity between  
computation and biology

There is “innate explicit code” in Life

# The Theory of Computing, in a nutshell

- Life is hard
- computers can occasionally help
  - algorithms
- other times, they can't
  - complexity

# Algorithms

- Computational problem:
- An infinity of inputs, each seeking an output
- The output must be in a particular relation to the input
- Inputs and outputs are strings of bits
- Graphs, matrices, etc. can be so represented

# Algorithms (cont.)

- Algorithm A for computational problem C
- Must be correct (= eventually stop with the right output for each input of C)
- $T_{A,C}(n)$  = the number of elementary steps A takes until completion, when supplied with an input of length n, maximized over all inputs of length n (“worst-case analysis”)

# Examples of computational problems

- Linear programming
- Shortest path from  $s$  to  $t$  in a graph
- Traveling salesman problem
- Integer programming
- Sequence alignment
- Sequence centroid

# Sequence alignment (or edit distance)

- Input: two sequences ACGGTGT... and CTAGTAA... and parameter  $d$
- Output sought: An alignment with at most  $d$  skips/overwrites
- There is an algorithm  $A$  with  $T_{A,C}(n) = O(n^2)$
- (When  $d$  is small, can be solved in linear time *cf.* BLAST)



# Sequence centroid

- Given  $s$  sequences ACC..., GCC..., ACT... etc. and a parameter  $d$
- Output sought: A new sequence AGC... which has edit distance  $\leq d$  from each
- Can be found in time  $T_{A,C}(n) = O(2^n)$
- Fact: all algorithms known for this problem require exponential time

# Is exhaustive search ever necessary?

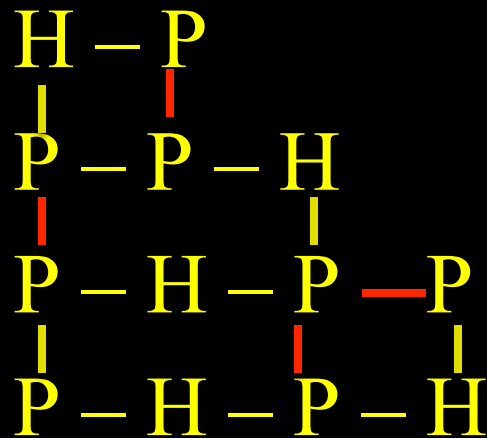
- NP: all search problems
- P: all search problems solvable in polynomial time (e.g., sequence alignment)
- Conjecture 1:  $P \neq NP$
- Conjecture 2: Sequence centroid is not in P
- Fact: These two conjectures are equivalent
- Sequence centroid is NP-complete

# Sooooo, the Theory of Computing

- A comprehensive methodology for dealing with computational problems
- Develop efficient algorithms for them
- Or establish complexity lower bounds, such as NP-completeness
- Plus more complex strategies, such as approximations and heuristics

# Life algorithms (and complexity)

- Protein folding and the Levinthal paradox
- The H-P model [Ken Dill, ca 1990]
- PHPPHPHPHPHP: fold it!



score = 4

# Trouble in Life...

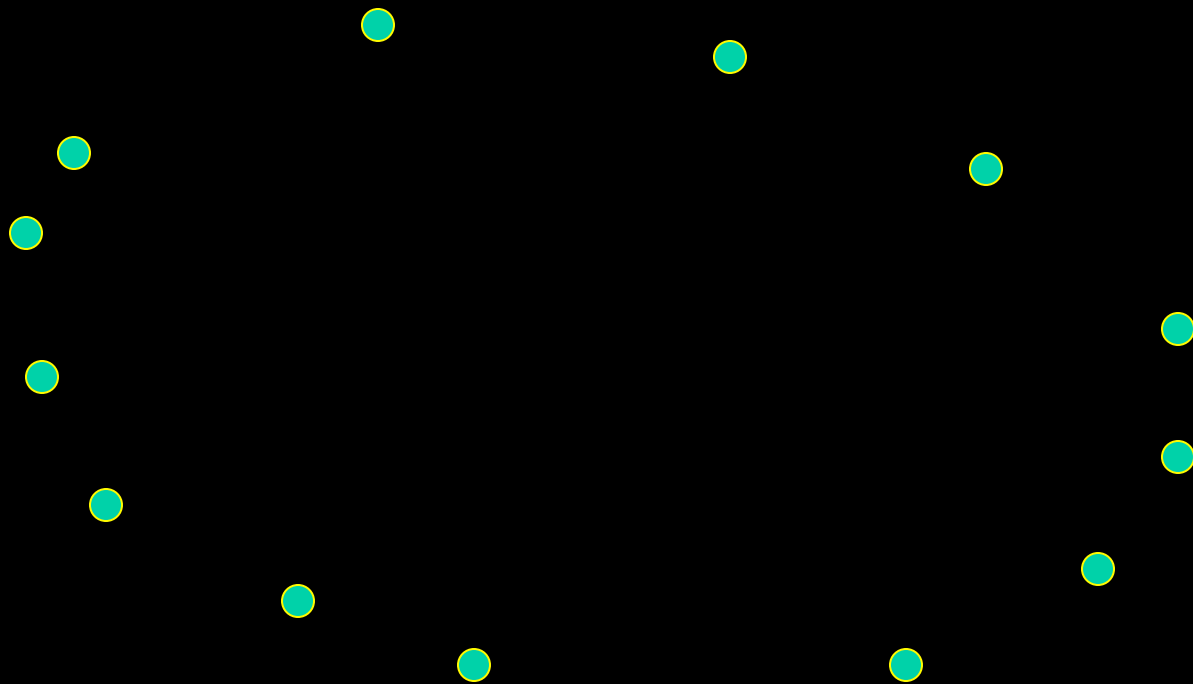
**Theorem** [CGPPY98, BL98]: The HP folding problem is NP-complete

- Levinthal's paradox sharpened
- Remember: exponentials incompatible with Life
- Is the real problem simpler than the HP cartoon? (hard to believe...)

# Or could it be that...

- ...proteins have been selected so that they fold easily?
- Remember worst case: even the hardest problems have easy inputs

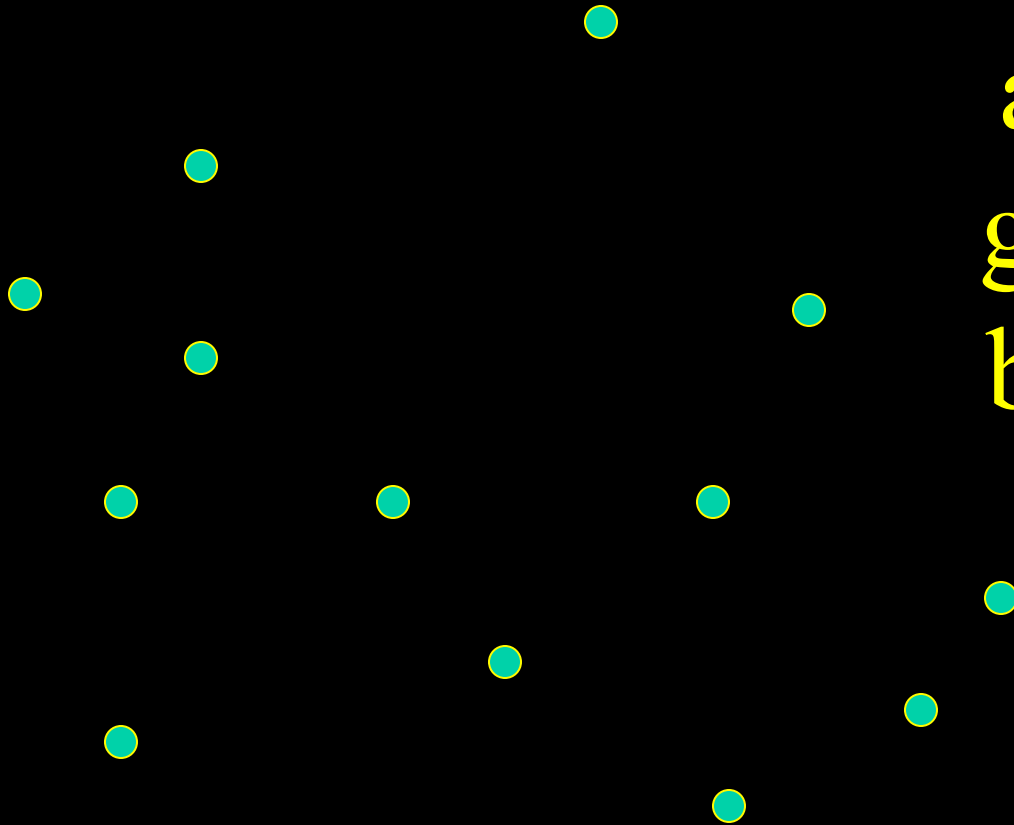
e.g., the traveling salesman  
problem



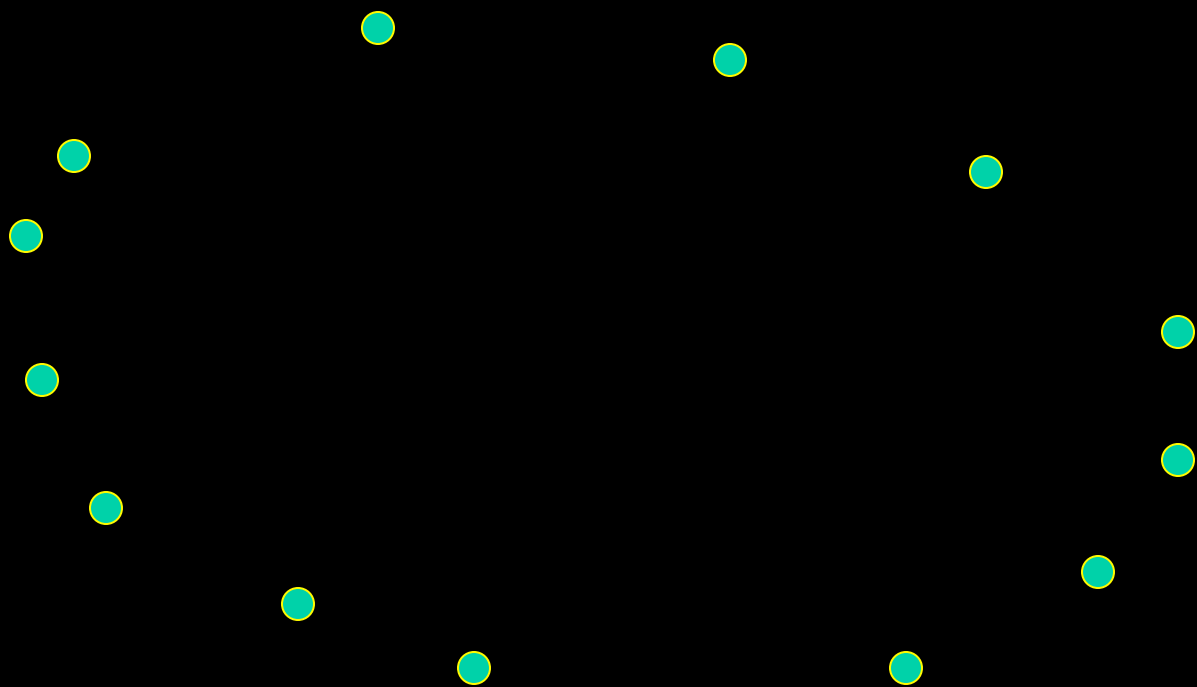
# Or could it be that...

- ...proteins in real organisms have been selected so that they fold easily?
- Remember worst case: even the hardest problems have easy inputs
- Life is hard, but natural selection can favor easy inputs...
- [CHP, Sideri 1999] experiments with the traveling salesman problem: evolve a population of TSP inputs, fitness = “ease”





after a few  
generations  
becomes...



# Online algorithms and the experts problem

- Every day you must choose one of  $n$  experts
- The advice of expert  $i$  on day  $t$  results in a gain  $G[i, t]$  in  $[-1, 1]$
- Challenge: Do as well as the best expert *in retrospect*
- Surprise: It can be done!
- Hannan 1958, T. Cover 1991, Winnow, Boosting, no-regret learning, MWUA, ...

# Multiplicative weights update

- Initially, assign all experts same weight/probability
- (Or think of the distribution on the experts as a stock portfolio)
- At each step, increase the weight of each by  $(1 + \varepsilon G[i, t])$  (and then normalize)
- **Theorem:** Does as well as the best expert

# Intuition

- Each day  $t$ ,  $p_i$  becomes
$$p_i(1+\varepsilon G[i, t]) \approx p_i \exp(\varepsilon G[i, t])$$
- After many days,  $p_i \approx \exp(\varepsilon \sum_t G[i, t])$
- The portfolio will consist almost exclusively of the best performing stock – in hindsight.
- (Unless there are near ties, in which case we do not care much...)

# There is more...

The same algorithm solves zero-sum games, linear and convex programming, network congestion,...

Computer scientists find it hard to believe that such a crude technique solves all these sophisticated problems



# Heuristics inspired by Evolution

- Local search [Croes 58, Bock 58]
- [Dunham, Fridshal, Fridshal, North 61]  
*“Design by natural selection”*
- Simulated annealing [Kirkpatrick et al. 83]
- “Go with the winners” [Aldous-Vazirani 93]
- Tabu search [Glover 84]
- ....

# Genetic algorithms

- Maintain a population of solutions
- Encoded as some kind of genotype
- Fitness = goodness as a solution
- Next generation created by mutations and (usually) recombination
- Influentially proposed by [Holland 80]



# More...

- Evolutionary strategies
- Evolutionary programming
- Genetic programming
- Differential evolution
- ...and not to mention ant colony algorithms, bee hive algorithms, cuckoo algorithms,...
- Artificial life (e.g. Avida)

# Rough classification of evolution-inspired heuristics

- **Simulated annealing:** variants of the local search algorithm, one solution or very few solutions maintained, mutation but no recombination → asexual evolution
- **Genetic algorithms:** population of solutions maintained, genetic encoding, new generation produced through mutation plus recombination → sexual evolution

# Comparison

- Genetic algorithms encoding is very hard to do right – must reflect latent modularity in the solution space
- Not many practical successes known
- In contrast, simulated annealing heuristics are often the best known algorithms for certain applications

# Back to Evolution: it is full of fascinating problems

- The role of sex
- The maintenance of variation
- The emergence of novelty
- ...among many others

( Remembering G. H. Hardy, 1908:

*“I am reluctant to intrude in a discussion concerning matters on which I have no expert knowledge” )*

# The role of sex

- Sex is ubiquitous in Life

- Despite its multifaceted costs

[Barton and Charlesworth “Why sex and recombination?”, 1998]

- Which makes the apparent advantage of simulated annealing (asexual evolution) over genetic algorithms (sexual evolution) hard to explain...

# A Radical Thought

- *What if sex is a mediocre optimizer of fitness?*

[A. Livnat, J. Doushoff, P., M. Feldman,  
*PNAS* 2008]

# Selection at two loci

- Fitness landscape of a 2-gene organism

	3	2	4	5	4
	1	0	0	7	2
	2	1	0	4	3
	1	8	1	3	2

Rows: alleles of gene A

Columns: alleles of gene B

Entries: fitness of the combination

# Asexual evolution

- Asex will select the largest numbers

3	2	4	5	4
1	0	0	7	2
2	1	0	4	3
1	8	1	3	2



# Mixability

- But sex favors the alleles that perform well with many genetic partners

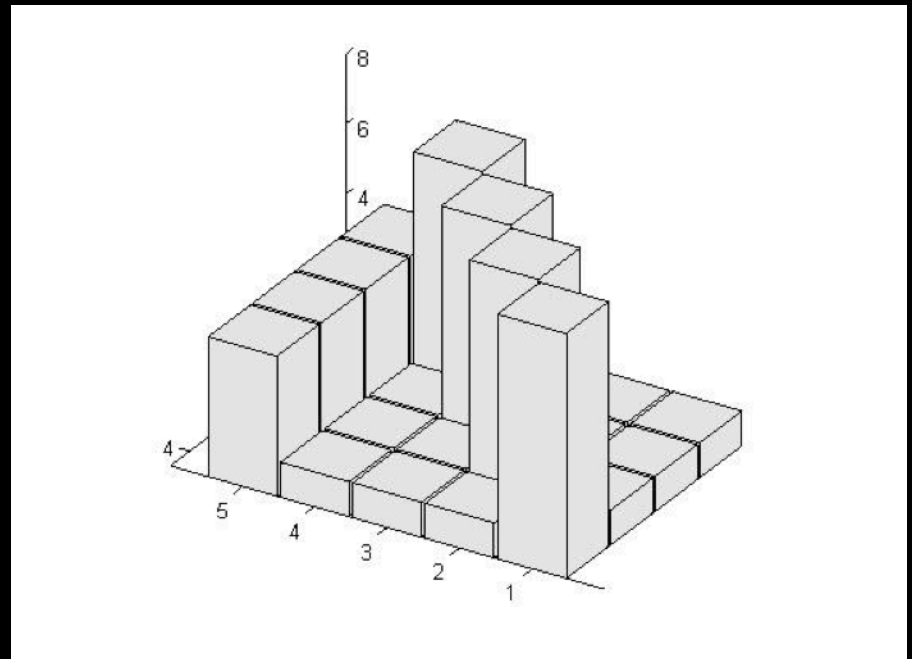
A 4x5 matrix of numbers is displayed. The first row is circled horizontally in red. The second and third columns are circled vertically in red. The numbers in the matrix are:

3	2	4	5	4
1	0	0	7	4
2	1	0	4	3
1	8	1	3	2

# In pictures

[Livnat, P., Feldman  
*J. Th. Bio* 2011]

Unless  
peaks  $> 2 \times$  plateau  
the plateau  
will prevail under sex



# Weak selection

1.03	1.02	1.04	.97	1.01
1.01	.96	1.03	1.03	1.02
1.02	1.02	1.01	1.04	1.03
.99	.98	1.04	1.03	1.02

$$w_{ij} = 1 + s \Delta_{ij}$$

with  $s \ll 1$

# Linkage equilibrium

[Nagylaki 1993]

Under weak selection,  $p_{ij} = x_i y_j + o(s^2)$   
(after  $\log n$  generations)

where  $x_i = \sum_j p_{ij}$  and  $y_j = \sum_i p_{ij}$

The Fisher-Wright equations become

$$\mathbf{x}_i^{t+1} = \mathbf{x}_i^t (1 + s \sum_j y_j^t \Delta_{ij})$$

# Remember multiplicative updates?

Under weak selection, evolution becomes a *game*

- The players = the loci
- The strategies = the alleles
- The common utility = the organism's fitness  
(*coordination game*)
- *The players play by MWUA*

[E. Chastain, A. Livnat, P., U. Vazirani, 2013]

# Reinterpret as an online optimization problem

At each generation, each locus maximizes

the cumulative expected fitness of the organism  
over all previous generations

+

(1/s) times the *entropy* of the alleles' distribution

# Changing the subject: Pointer Dogs



# Pointer Dogs



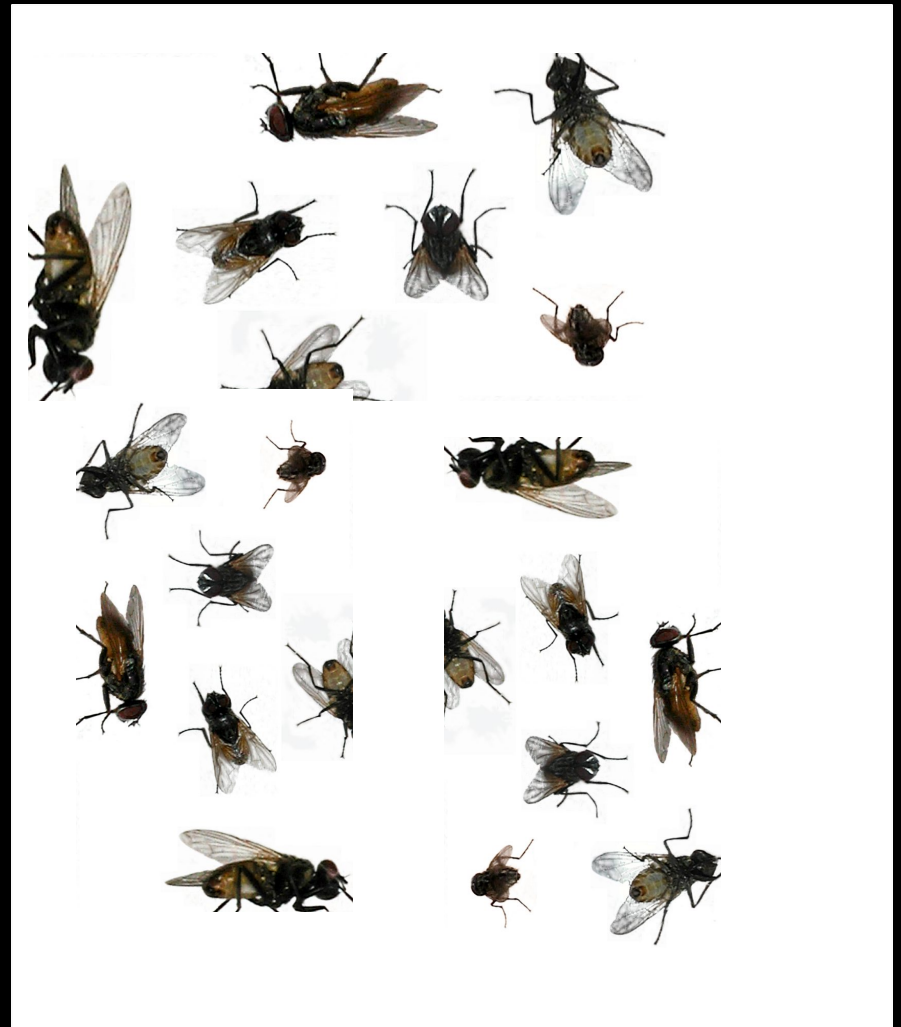
C. H. Waddington



# Waddington's Experiment (1952)

Generation 1

Temp: 20° C



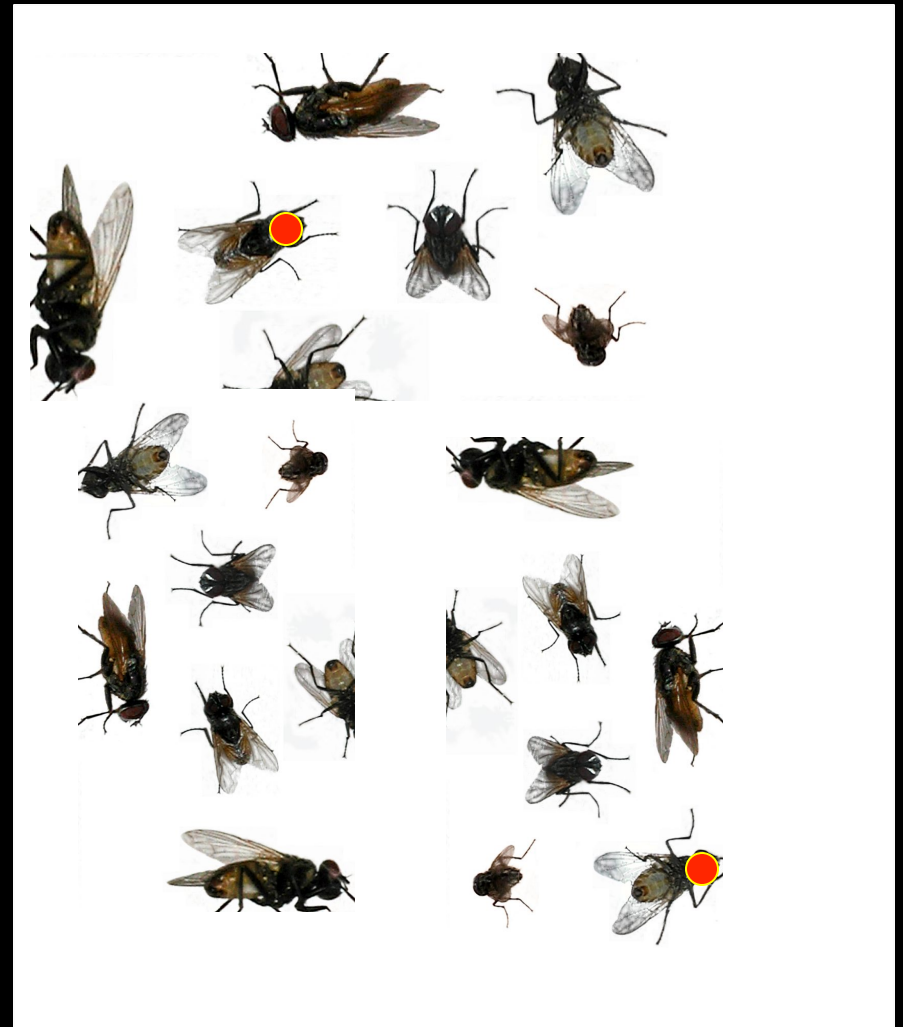
# Waddington's Experiment (1952)

Generation 2-4

Temp: 40° C

~15% changed

Select and breed those



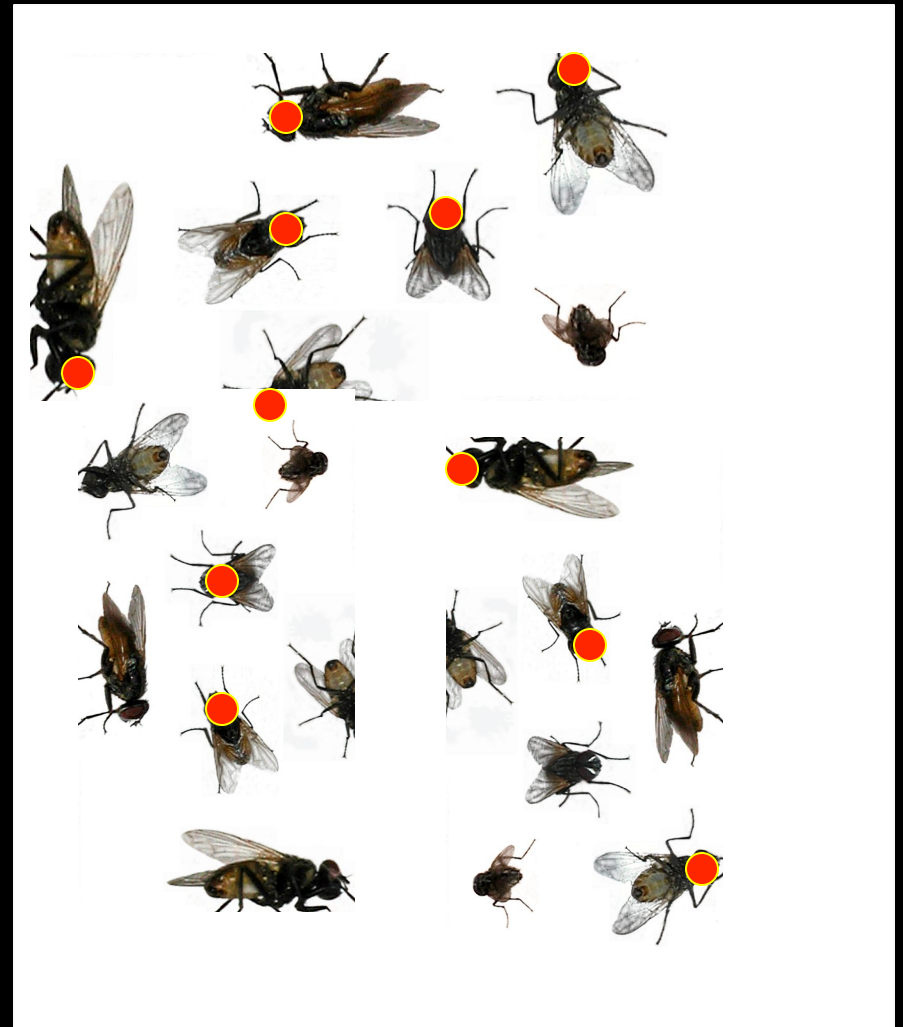
# Waddington's Experiment (1952)

Generation 5

Temp: 40° C

~60% changed

Select and breed those



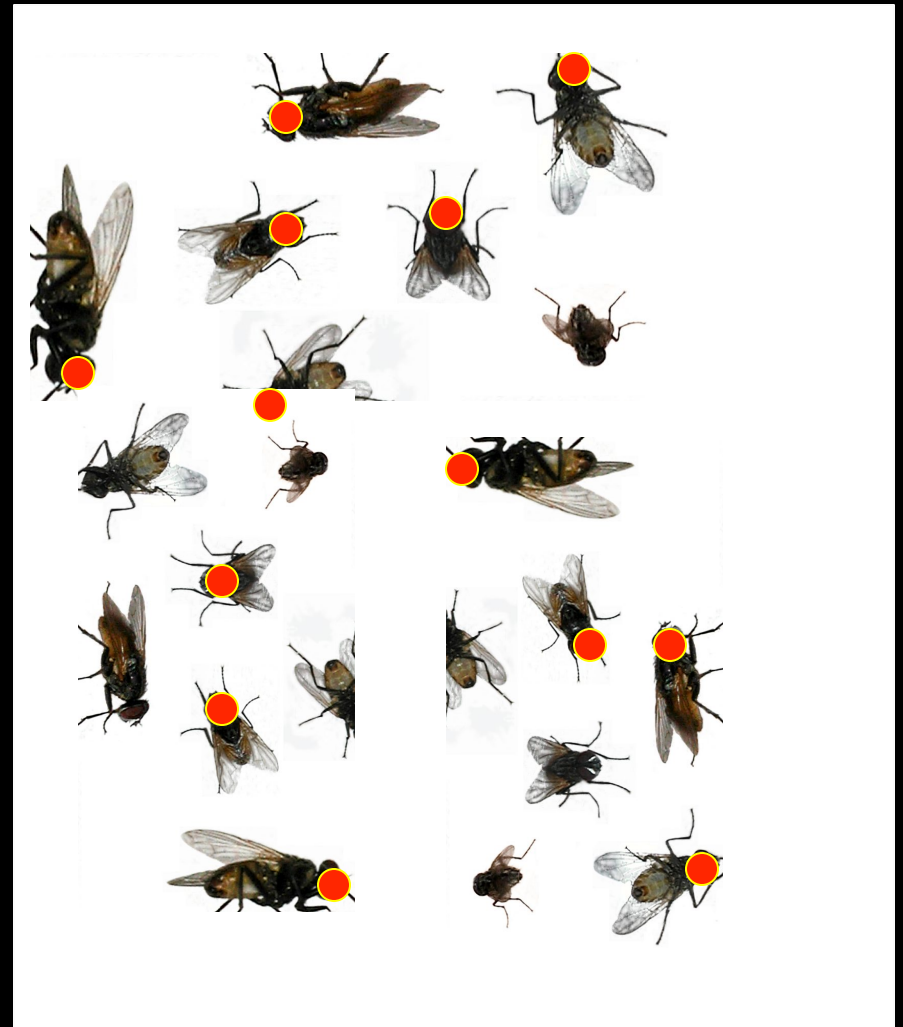
# Waddington's Experiment (1952)

Generation 6

Temp: 40° C

~63% changed

Select and breed those



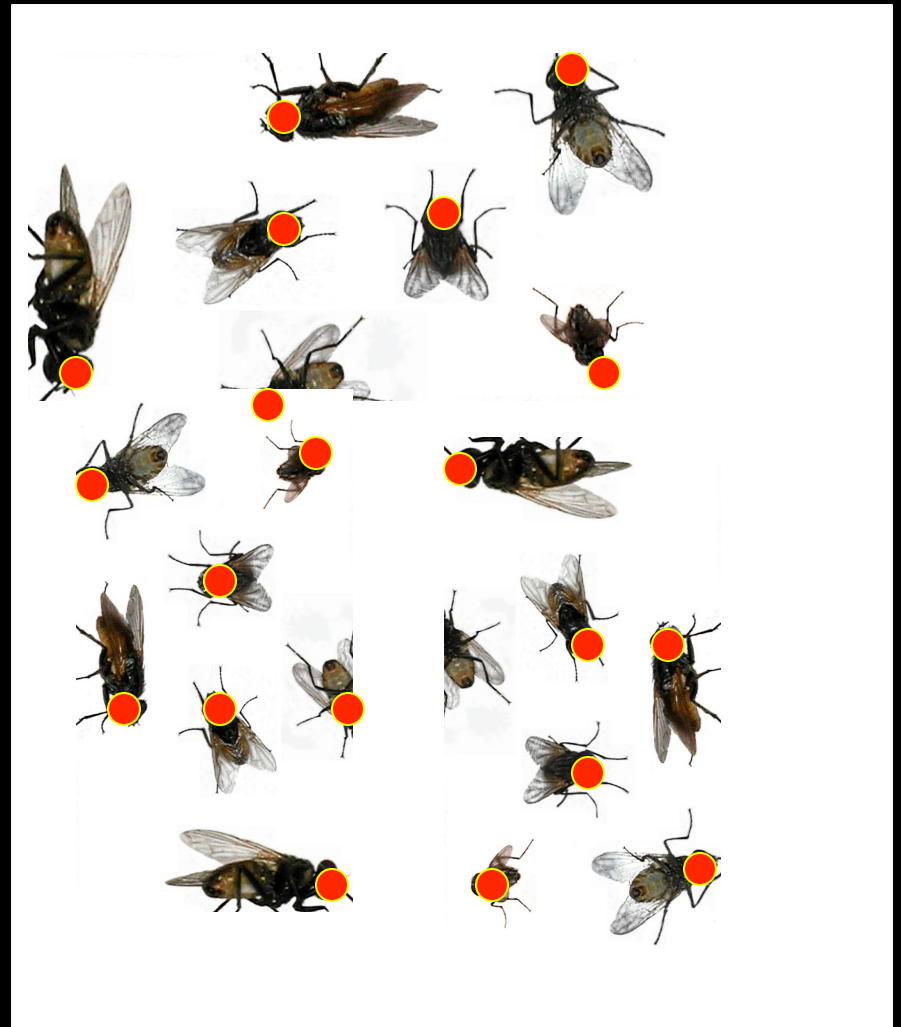
# Waddington's Experiment (1952)

(...)

Generation 20

Temp: 40° C

~99% changed

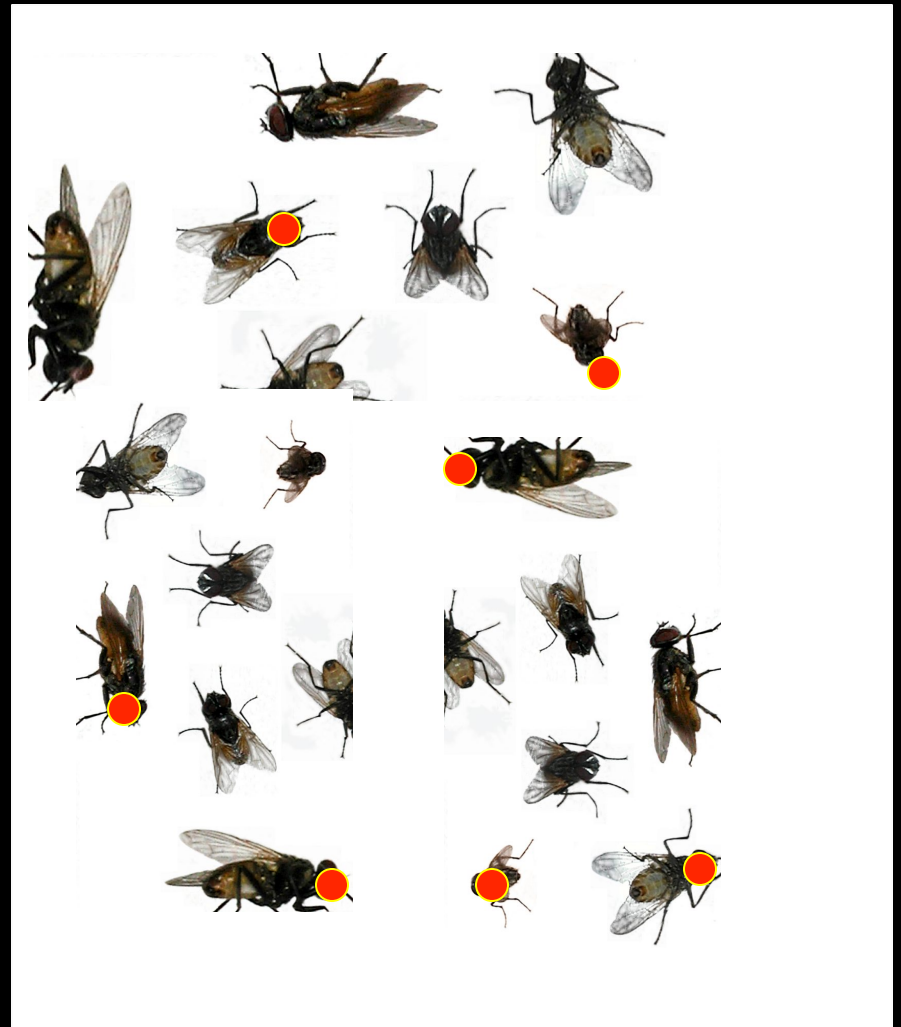


# Surprise!

Generation 20

Temp: 20° C

~25% stay changed!!



- Adaptation  
genetics

ome



# Is There a Genetic Explanation?

Function  $f(x, h)$  with these properties:

- Initially,  $\text{Prob}_{x \sim p[0]} [f(x, h = 0)] \approx 0\%$
- Then  $\text{Prob}_{p[0]} [f(x, 1)] \approx 15\%$
- After breeding  $\text{Prob}_{p[1]} [f(x, 1)] \approx 60\%$
- Successive breedings,  $\text{Prob}_{p[20]} [f(x, 1)] \approx 99\%$
- Finally,  $\text{Prob}_{p[20]} [f(x, 0)] \approx 25\%$



# A Genetic Explanation

- Suppose that “red head” is this Boolean function of 10 genes and “high temperature”

$$\text{“red head”} = \text{“}x_1 + x_2 + \dots + x_{10} + 3h \geq 10\text{”}$$

- Suppose also that the genes are independent random variables, with  $p_i$  initially half, say
- All properties of the Waddington experiment satisfied
- [Stern *AN* 1958]

# Arbitrary Boolean Functions

- What if we have an arbitrary Boolean function of genes (no environmental variable  $h$ )
- Suppose the satisfying genotypes have a fitness advantage ( $1 + \varepsilon$  vs. 1, say)
- Will this trait be fixed eventually?

# Arbitrary Functions: *Yes!*

**Theorem:** Any Boolean function of genes which confers an  $1 + \varepsilon$  selection advantage will be fixed (with high probability within poly generations and with poly population).

[2014; with Adi Livnat, Aviad Rubinstein, Greg Valiant, Andrew Won]

*“Look, Ma, no mutations!”*

Emergence of a trait in the whole population,  
without Fisherian propagation,  
through the manipulation by selection of the  
allelic frequencies

# Soooooo...

- Fascinating field, exquisite problems
- Computational insights appear to be reasonably productive
- Analytical proof of the mixability principle?
- Is implicit entropy maximization a more general phenomenon in evolution?



*Thank You!*

