

Basis Collapse in Holographic Algorithms Over All Domain Sizes

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Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

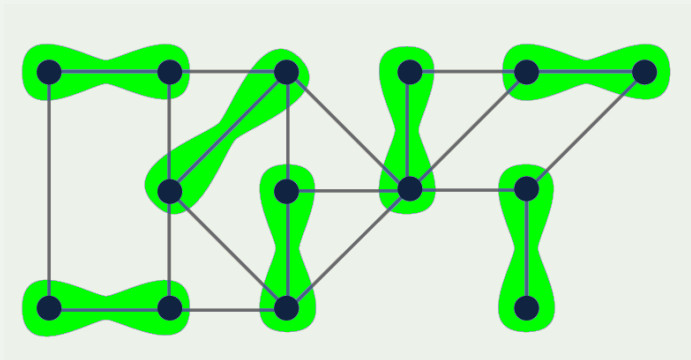
Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K?$
Next Steps
Acknowledgments

Holographic algorithms reduce counting problems into the problem of *counting perfect matchings* in a graph $G = (V, E)$.

- Perfect matching: $M \subset E$ for which every $v \in V$ belongs to exactly one edge $e \in M$
- [Valiant '79]: Counting perfect matchings in arbitrary graphs is #P-complete.
- [Fisher-Temperley 1961, Kasteleyn 1961]: Counting perfect matchings in planar graphs is in P.



More generally, if every edge e of G has some weight $w(e)$, define

$$\text{PerfMatch}(G) = \sum_{\text{perfect matchings } M} \left(\prod_{e \in M} w(e) \right).$$

Theorem (FKT algorithm)

If G is a planar weighted graph, $\text{PerfMatch}(G)$ can be computed in polynomial time.

Idea.

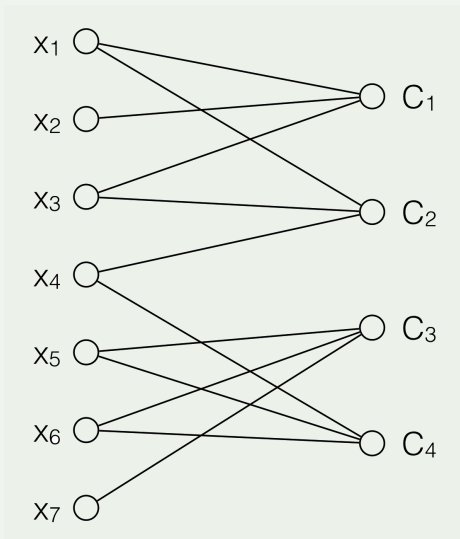
For an arbitrary graph G with adjacency matrix A , the *Pfaffian*

$$\text{Pf}(A) = \sum_{\text{perfect matchings } M} \text{sgn}(M) \left(\prod_{e \in M} w(e) \right)$$

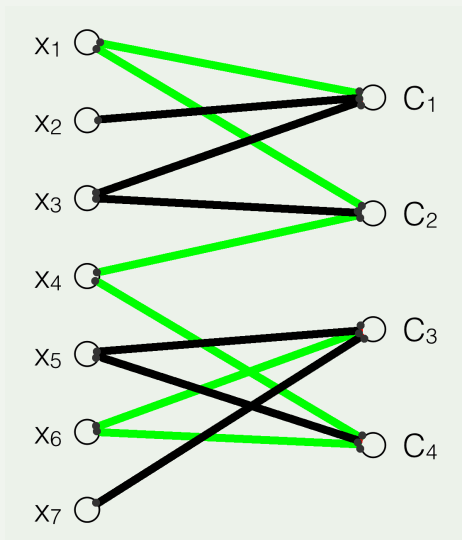
satisfies $\text{Pf}(A)^2 = \det(A)$. For planar graphs, can flip the signs of some entries of A to make Pf and PerfMatch agree. \square

$$(x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_3 \vee x_4) \wedge (x_5 \vee x_6 \vee x_7) \wedge (x_4 \vee x_5 \vee x_6)$$

$$(x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_3 \vee x_4) \wedge (x_5 \vee x_6 \vee x_7) \wedge (x_4 \vee x_5 \vee x_6)$$



Imagine: each vertex v on the left propagates signals along its outgoing edges indicating whether v is assigned 1 (green) or 0 (black).



Each satisfying assignment corresponds to a collection of signals satisfying two constraints:

Consistency: If x_i is a vertex on the left, the two signals x_i generates must be the same.

Satisfaction: If C_j is a vertex on the right, at least one of the three signals it receives must be 1.

00		1
01		0
10		0
11		1

000		0
001		1
010		1
011		1
100		1
101		1
110		1
111		1

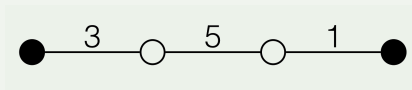
Goal: encode these bit vectors using the matching properties of graphs

Definition

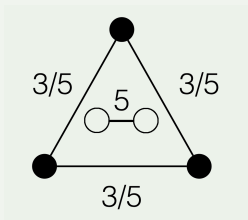
A *matchgate* is a weighted graph G with designated subsets of its vertices called *external nodes* X . We say that it is of *arity* $|X|$.

Definition

The *standard signature* \underline{G} of matchgate G of arity n is a vector of dimension 2^n with entries indexed by bitstrings of length n . For $Z \subset X$ corresponding to bitstring α , $\underline{G}^\alpha = \text{PerfMatch}(\Gamma \setminus Z)$.



00		3
01		0
10		0
11		5



000		0
001		3
010		3
011		0
100		3
101		0
110		0
111		5

We want *planar* matchgates G and R whose standard signatures respectively match the vectors encoding the consistency and satisfaction constraints:

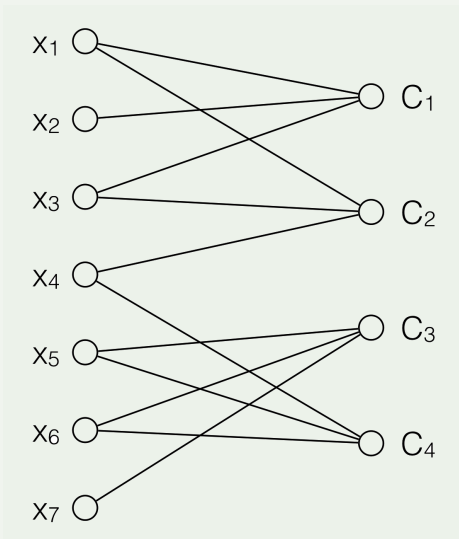
Consistency: If x_i is a vertex on the left, the two signals x_i generates must be the same.

00		1
01		0
10		0
11		1

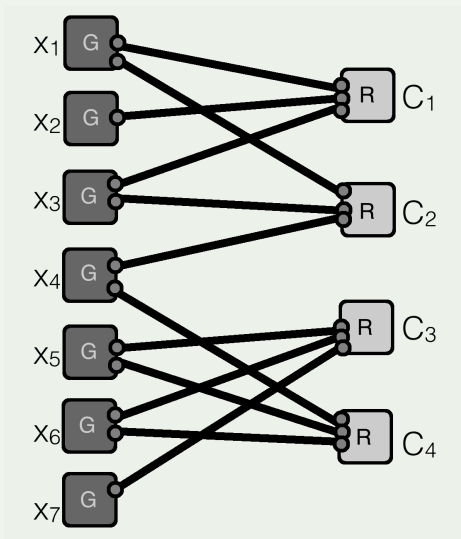
Satisfaction: If C_j is a vertex on the right, at least one of the three signals it receives must be 1.

000		0
001		1
010		1
011		1
100		1
101		1
110		1
111		1

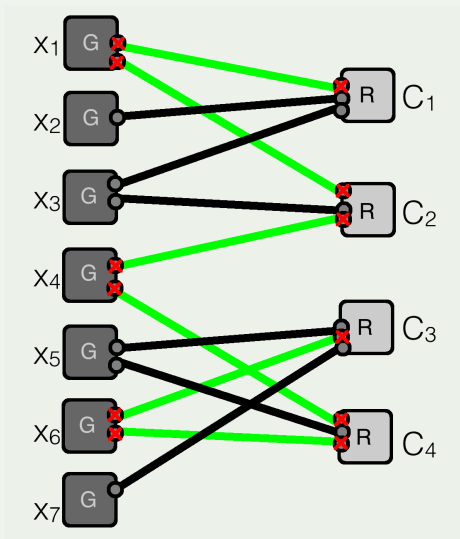
$$(x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_3 \vee x_4) \wedge (x_5 \vee x_6 \vee x_7) \wedge (x_4 \vee x_5 \vee x_6)$$



$$(x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_3 \vee x_4) \wedge (x_5 \vee x_6 \vee x_7) \wedge (x_4 \vee x_5 \vee x_6)$$



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Unfortunately, no recognizer has standard signature $(0, 1, 1, 1, 1, 1, 1, 1)$:

Observation (Parity Condition)

Because a graph with an odd number of vertices has no perfect matchings, given any matchgate G , the indices of the nonzero entries in its standard signature must have the same parity.

- The saving grace: rewrite number of perfect matchings of matchgrid Ω as an inner product and apply a change of basis.
- Suppose there are w wires in Ω , generators G_1, \dots, G_g , and R_1, \dots, R_r recognizers, then

$$\text{PerfMatch}(\Omega) = \sum_{\substack{z \in \{0,1\}^w, \\ z = x_1 \circ \dots \circ x_r \circ \\ y_1 \circ \dots \circ y_g}} \left(\prod_{i=1}^g \underline{G}_i^{y_i} \prod_{j=1}^r \underline{R}_j^{x_j} \right) = \langle \mathbf{G}, \mathbf{R} \rangle,$$

where $\mathbf{G} = \otimes_i \underline{G}_i$ and $\mathbf{R} = \otimes_i \underline{R}_i$ with the order of tensoring specified by the wires.

- Regard \mathbf{G} as an element in $X = \mathbb{C}^{2^w}$ and \mathbf{R} as an element in X^* : $\text{PerfMatch}(\Omega)$ is the result of applying dual vector \mathbf{R} to \mathbf{G} , which is *independent of the choice of basis for X* .

Definition

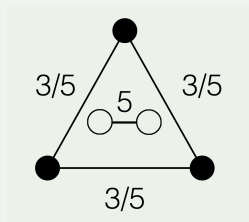
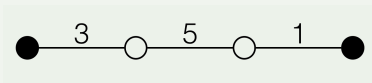
Given a 2×2 *basis matrix* M , the *signature with respect to* M of a *generator* G of arity n is the vector G satisfying

$$\underline{G} = M^{\otimes n} G.$$

The *signature with respect to* M of a *recognizer* R of arity n is the vector R satisfying

$$R = \underline{R} M^{\otimes n}.$$

- Suffices to find a basis M of matchgates G and R whose signatures with respect to M match the vectors encoding the consistency and satisfaction constraints.
- Over \mathbb{C} and \mathbb{F}_2 , this still cannot be done.
- [Valiant '06, Cai-Lu '07]: Over \mathbb{F}_7 , take $M = \begin{pmatrix} 1 & 3 \\ 6 & 5 \end{pmatrix}$,
 $\underline{G} = (3, 0, 0, 5)$, and $\underline{R} = (0, 3, 3, 0, 3, 0, 0, 5)$.



00		3
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Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

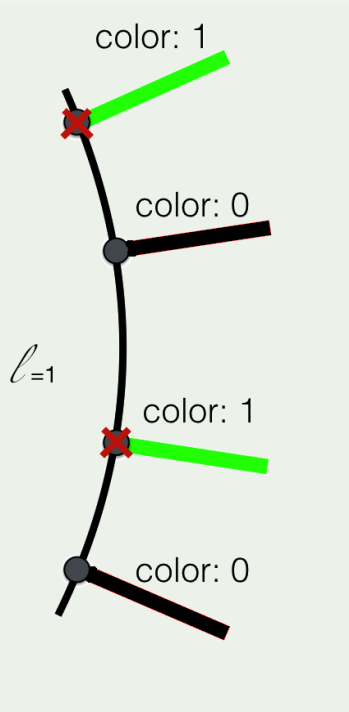
$k \neq 2^K$?
Next Steps
Acknowledgments

- The number of different values that objects in a counting problem can take on is called the domain size.
- Domain size 2:
 - ▶ Boolean satisfying assignments
 - ▶ Vertex covers
 - ▶ Perfect matchings
 - ▶ Ice problems
- Domain size k
 - ▶ k -colorings

Over domain size k :

- Arity- n signatures are now vectors of dimension k^n .
- M now has width k because

$$\underline{G} = M^{\otimes n} G \quad R = \underline{R} M^{\otimes n}.$$



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$l=3$

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- Domain size 2: encode TRUE/FALSE by presence/absence of one external node
- Domain size k : encode colors $\{1, \dots, k\}$ by removal of some subset of a group of ℓ external nodes
 - ▶ Arities are now multiples of ℓ
 - ▶ External nodes grouped into blocks of ℓ , with wires connecting matchgates blockwise.
 - ▶ If Γ has n blocks, $\underline{\Gamma}$ has $2^{\ell n}$ entries.
 - ▶ M has height 2^ℓ because

$$\underline{G} = M^{\otimes n} G \quad R = \underline{R} M^{\otimes n}.$$

- ▶ We call ℓ the basis size.

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

We will regard standard signatures as matrices:

Definition

For standard signature \underline{G} of generator G , the t -th matrix form $\underline{G}(t)$ ($1 \leq t \leq n$) is the $2^\ell \times 2^{(n-1)\ell}$ matrix of entries of \underline{G} where the rows are indexed by $\alpha_t \in \{0, 1\}^\ell$ and the columns are indexed by $\alpha_1 \cdots \alpha_{t-1} \alpha_{t+1} \cdots \alpha_n \in \{0, 1\}^{(n-1)\ell}$.

We will also regard signatures as matrices:

Definition

For signature G of generator G , the t -th matrix form $G(t)$ ($1 \leq t \leq n$) is the $k \times k^{n-1}$ matrix of entries of G where the rows are indexed by $\alpha_t \in [k]$ and the columns are indexed by $\alpha_1 \cdots \alpha_{t-1} \alpha_{t+1} \cdots \alpha_n \in [k]^{n-1}$.

Note: we will denote row indices by superscripts and column indices by subscripts.

Definition

A generator G is *full rank* if there exists t for which $\text{rank}(G(t)) = k$.

It turns out we may assume that $\text{rank}(M) = k$. But we know

$$\underline{G}(t) = MG(t)(M^T)^{\otimes(n-1)}.$$

So if G is of full rank,

$$\text{rank}(\underline{G}(t)) = k.$$

Key to understanding the ultimate capabilities of holographic algorithms for solving counting problems over a given domain size:

Question

Given k , what is the smallest ℓ for which any holographic algorithm over domain size k with a full-rank matchgate can be simulated by one with basis size ℓ ?

	domain size	basis size
Cai-Lu '08	2	1

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Cai-Lu '08	2	1
Cai-Fu '14	3	1
	4	2

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	domain size	basis size
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	4	2
C '15, Xia '15	k	$\lceil \log_2 k \rceil$

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

Definition

$Z \subset \{0, 1\}^n$ is a *cluster* if there exists $s \in \{0, 1\}^n$ and positions $p_1, \dots, p_m \in [n]$ such that each member of Z is of the form $s \oplus \left(\bigoplus_{j \in J} e_{p_j} \right)$ for some $J \subset \{p_1, \dots, p_m\}$, where e_{p_j} is the bitstring consisting of zeroes everywhere except position p_j .

We write Z as $s + \{e_{p_1}, \dots, e_{p_m}\}$ (s only unique up to the bits outside of positions p_1, \dots, p_m).

e.g. $\{000, 001, 100, 101\}$ is a cluster denoted $000 + \{e_1, e_3\}$.

For now, assume $k = 2^K$. Steps of proof:

1. **Cluster existence:** Any standard signature of rank at least 2^K contains a cluster of 2^K linearly independent rows
2. **Group property:** Inverses of standard signatures are also standard signatures
3. **Simulation:** Use 1 and 2 to simulate with a basis of size K

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Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

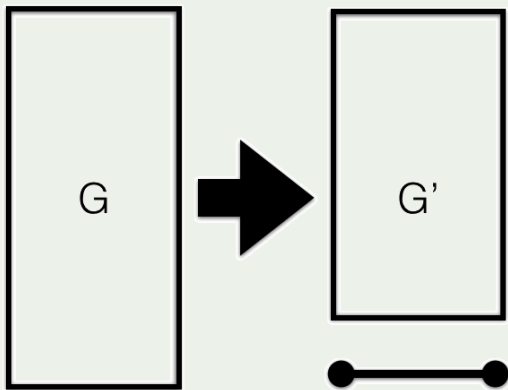
Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

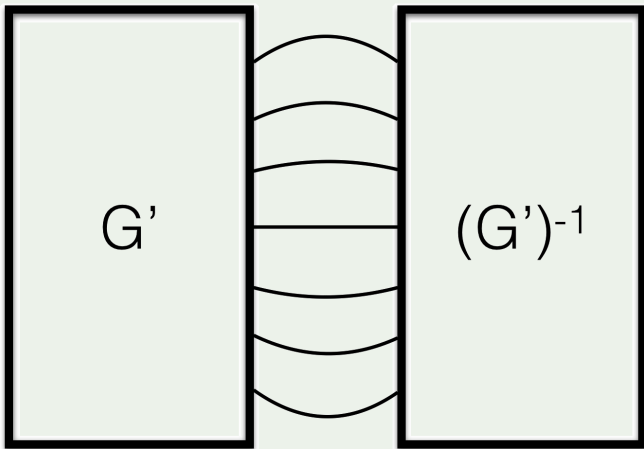
Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

Lemma (Group Property)

Full-rank $2^K \times 2^{(n-1)K}$ standard signatures $\underline{G}(t)$ have right inverses (under matrix multiplication) that are also standard signatures.





Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property

Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

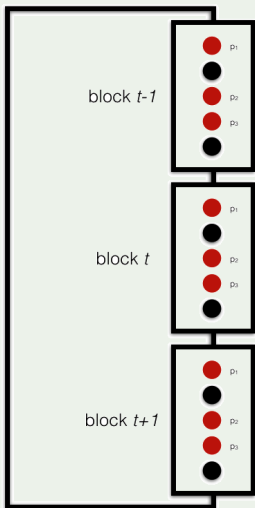
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Next Steps

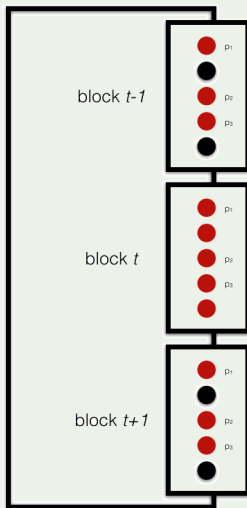
Acknowledgments

We use the approach introduced by Cai-Fu for simulation given cluster existence and group property have been proven. Take any holographic algorithm over domain size $k = 2^K$.

1. By cluster existence, can pick out a generator G with full-rank signature and find a cluster $Z = s + \{e_{p_1}, \dots, e_{p_K}\}$ of 2^K linearly independent rows. Suppose WLOG $s = 0^\ell$.
2. Let M^Z denote the submatrix of M with rows indexed by Z . This will be the basis of size $\log k = K$ we use for the simulation.



$$\underline{G}^{*\leftarrow Z} = (M^Z)^{\otimes n} G$$



$$\underline{G}^{t^c \leftarrow Z} = (M^Z)^{\otimes (t-1)} \otimes M \otimes (M^Z)^{\otimes (n-t)} G$$

- Modifying generators is easy:

$$\underline{G}_i^{*\leftarrow Z} = (M^Z)^{\otimes n_i} G_i$$

has signature G_i with respect to new basis M^Z

- Modifying recognizers is more subtle. Can write

$$R_j = \left(\underline{R}_j(M/M^Z)^{\otimes m_i} \right) (M^Z)^{\otimes m_j}.$$

Is $\underline{R}_j(M/M^Z)^{\otimes m_i}$ a valid recognizer standard signature?

3. Define $T = M(M^Z)^{-1}$.
4. By construction,

$$\underline{G}^{t^c \leftarrow Z}(t) = T \underline{G}^{* \leftarrow Z}(t).$$

5. By group property, $\underline{G}^{* \leftarrow Z}(t)$ has a right-inverse, so right-multiply by this on both sides to conclude that T is a standard signature.

Over the new basis M^Z :

6. Replace each recognizer \underline{R}_i with $\underline{R}_i T^{\otimes m_i}$
7. Replace each generator \underline{G}_j with $\underline{G}_j^{*\leftarrow Z}$.
8. These new matchgates have the same signatures as the originals, but over a basis of size K , so we're done.

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

The key ingredient:

Theorem (Rank Rigidity)

The rank of any standard signature Γ (in matrix form) is always a power of two.

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

Our methods are primarily algebraic and rely on the characterization of the set of all standard signatures as the variety cut out by a certain collection of quadratic relations:

Theorem (Matchgate Identities)

A $2^\ell \times 2^{(n-1)\ell}$ matrix Γ is the t -th matrix form of the standard signature of some generator matchgate iff for all $\zeta, \eta \in \{0, 1\}^{(n-1)\ell}$ and $\sigma, \tau \in \{0, 1\}^\ell$, the following matchgate identity (MGI) holds. Let $\zeta \oplus \eta = e_{q_1} \oplus \cdots \oplus e_{q_{d'}}$, and $\sigma \oplus \tau = e_{p_1} \oplus \cdots \oplus e_{p_d}$, where $q_1 < \cdots < q_{d'}$ and $p_1 < \cdots < p_d$. Then if d is even,

$$\sum_{i=1}^d (-1)^{i+1} \Gamma_{\zeta}^{(\sigma \oplus e_{p_1} \oplus e_{p_i})} \Gamma_{\eta}^{(\tau \oplus e_{p_1} \oplus e_{p_i})} = \pm \sum_{j=1}^{d'} (-1)^{j+1} \Gamma_{(\zeta \oplus e_{q_j})}^{(\sigma \oplus e_{p_1})} \Gamma_{(\eta \oplus e_{q_j})}^{(\tau \oplus e_{p_1})}.$$

$$\sum_{i=1}^d (-1)^{i+1} \Gamma_{\zeta}^{(\sigma \oplus e_{p_1} \oplus e_{p_i})} \Gamma_{\eta}^{(\tau \oplus e_{p_1} \oplus e_{p_i})} = \pm \sum_{j=1}^{d'} (-1)^{j+1} \Gamma_{(\zeta \oplus e_{q_j})}^{(\sigma \oplus e_{p_1})} \Gamma_{(\eta \oplus e_{q_j})}^{(\tau \oplus e_{p_1})}.$$

Definition

A $2^\ell \times 2^m$ matrix M is a *pseudo-signature* if for all σ, τ for which $\text{wt}(\sigma \oplus \tau)$ is even, its entries satisfy the corresponding MGI up to a factor of ± 1 on the right-hand side.

E.g. (matrix-form) standard signatures, clusters of rows, and their transposes are all pseudo-signatures.

The matchgate identities allow us to deduce key linear algebraic relationships between the rows of any pseudo-signature Γ .

Example

Suppose that $d = 2$, $\sigma = 0000$, $\tau = 0011$, $\zeta = 1100$, $\eta = 1111$.
Then the MGIs become

$$\Gamma_{1100}^{0000} \Gamma_{1111}^{0011} - \Gamma_{1100}^{0011} \Gamma_{1111}^{0000} = \pm (\Gamma_{1101}^{0001} \Gamma_{1110}^{0010} - \Gamma_{1110}^{0001} \Gamma_{1101}^{0010}).$$

	1100	1101	1110	1111
0000		0	0	
0001	0			0
0010	0			0
0011		0	0	

Example (Cont'd)

- Rows Γ^{1100} and Γ^{1111} are linearly dependent if Γ^{1101} and Γ^{1110} are linearly dependent.
- Similarly, rows Γ^{0000} and Γ^{1111} are linearly dependent if
 - ▶ Γ^{0001} and Γ^{1110}
 - ▶ Γ^{0010} and Γ^{1101}
 - ▶ Γ^{0100} and Γ^{1011}
 - ▶ Γ^{1000} and Γ^{0111}

are linearly dependent

Lemma

Let $\sigma, \tau \in \{0, 1\}^\ell$ be such that $\sigma \oplus \tau = \bigoplus_{j=1}^{2d} e_{p_j}$. If row $\Gamma^{(\sigma \oplus e_{p_i})}$ is linearly dependent with row $\Gamma^{(\tau \oplus e_{p_i})}$ for all $1 \leq i \leq 2d$, then row Γ^σ is linearly dependent with row Γ^τ .

Coordinate-free interpretation: linear relations among wedges of rows of even parity yield linear relations among wedges of rows of odd parity.

Definition

For V a vector space with basis $\{e_j\}$, the *second exterior power of V* , denoted $\Lambda^2 V$, is the vector space given by quotienting $V \otimes V$ by the relation $v \otimes w \sim -w \otimes v$ for all $v, w \in V$. We denote the image of $v \otimes w$ under this quotient map by $v \wedge w$. $\Lambda^2 V$ has basis $\{e_i \wedge e_j\}_{i < j}$.

Explicitly, if $v = \sum v_i e_i$ and $w = \sum w_i e_i$, then

$$v \wedge w = \sum_{i < j} (v_i w_j - v_j w_i) e_i \wedge e_j = \sum_{i < j} \begin{vmatrix} v_i & v_j \\ w_i & w_j \end{vmatrix} e_i \wedge e_j.$$

In particular, v and w are linearly dependent iff $v \wedge w = 0$.

By the MGIs, linear relations among wedges of rows of even parity yield linear relations among wedges of rows of odd parity.

Example

$$\Gamma^{0000} \wedge \Gamma^{1111} = 0$$

implies that

$$\Gamma^{0001} \wedge \Gamma^{1110} - \Gamma^{0010} \wedge \Gamma^{1101} + \Gamma^{0100} \wedge \Gamma^{1011} - \Gamma^{1000} \wedge \Gamma^{0111} = 0$$

By the MGIs, linear relations among wedges of rows of even parity yield linear relations among wedges of rows of odd parity.

Example

$$\mu \cdot (\Gamma^{0000} \wedge \Gamma^{1111}) + \nu \cdot (\Gamma^{0011} \wedge \Gamma^{1100}) = 0$$

implies that

$$\begin{aligned} &\mu \cdot (\Gamma^{0001} \wedge \Gamma^{1110} - \Gamma^{0010} \wedge \Gamma^{1101} + \Gamma^{0100} \wedge \Gamma^{1011} - \Gamma^{1000} \wedge \Gamma^{0111}) \pm \\ &\nu \cdot (\Gamma^{0010} \wedge \Gamma^{1101} - \Gamma^{0001} \wedge \Gamma^{1110} + \Gamma^{0111} \wedge \Gamma^{1000} - \Gamma^{1011} \wedge \Gamma^{0100}) = 0 \end{aligned}$$

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

Claim

Rank rigidity implies cluster existence.

Proof.

Suppose Γ is a $2^\ell \times 2^m$ pseudo-signature of rank 2^K .

Can assume Γ has no proper clusters of rows with the same rank as Γ . Otherwise, if there were such a cluster $Z = \sigma + \{e_{q_1}, \dots, e_{q_{\ell'}}\}$, replace Γ by Γ^Z and ℓ by ℓ' , and ignore bits in positions outside of $q_1, \dots, q_{\ell'}$.

$$\begin{array}{c|c}
\ell-K+1 & K-1 \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111
\end{array}$$

$$\begin{array}{c|c}
 \ell-K+1=1 & K-1 \\
 \hline
 \underbrace{0} & \underbrace{0000} \\
 0 & 0001 \\
 \vdots & \vdots \\
 0 & 1111
 \end{array}$$

$$\begin{array}{c|c}
\ell-K+1 & K-1 \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111
\end{array}$$

$$\begin{array}{c|c}
\ell-K+1 & K-1 \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111 \\
1110 & 0101
\end{array}$$

$$\begin{array}{c|c}
 \ell-K+1 & K-1 \\
 \hline
 \overbrace{0000} & \overbrace{0000} \\
 0000 & 0001 \\
 \vdots & \vdots \\
 0000 & 1111 \\
 1110 & 0101
 \end{array}$$

$$\begin{array}{c|c}
\ell-K+1 & K-1 \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111 \\
1111 & 0000 \\
1111 & 0001 \\
\vdots & \vdots \\
1111 & 1111
\end{array}$$

$$\begin{array}{c|c}
\ell-K+1 & K-1 \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111 \\
1111 & 0000 \\
1111 & 0001 \\
\vdots & \vdots \\
1111 & 1111 \\
1110 & 1010
\end{array}$$

$\ell - K + 1$	$K - 1$
$\overbrace{0000}$	$\overbrace{0000}$
0000	0001
\vdots	\vdots
0000	1111
1111	0000
1111	0001
\vdots	\vdots
1111	1111
1110	1010

$\ell - K + 1$	$K - 1$
$\overbrace{0000}$	$\overbrace{0000}$
0000	0001
\vdots	\vdots
0000	1111
11 11	0000
11 11	0001
\vdots	\vdots
11 11	1111
11 10	1010

$$\begin{array}{c|c}
\ell-K+1 & K-1 \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111 \\
1111 & 0000 \\
1111 & 0001 \\
\vdots & \vdots \\
1111 & 1111
\end{array}$$

All other rows must be zero. By the MGIs,

$$\Gamma^{0^z \circ 0^{K-1}} \wedge \Gamma^{1^z \circ 0^{K-1}} = 0,$$

a contradiction.

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

Theorem

If Γ is a $2^{K+1} \times 2^m$ pseudo-signature with rank at least $2^K + 1$, then $\text{rank}(\Gamma) = 2^{K+1}$.

Sketch.

Inductively, we know that Γ contains a cluster Z of 2^K linearly independent rows, say $0^{K+1} \oplus \{e_2, \dots, e_{K+1}\}$. Because $\text{rank}(\Gamma) \geq 2^K + 1$, there exists a row outside the linear span of Z .

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1001} lay in the span of the red rows, so

$$\Gamma^{1001} \wedge \Gamma^{0000} = \sum_{\sigma \text{ red, even}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0000}).$$

LHS: $\Gamma^{1000} \wedge \Gamma^{0001}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1010} lay in the span of the red rows, so

$$\Gamma^{1010} \wedge \Gamma^{0000} = \sum_{\sigma \text{ red, even}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0000}).$$

LHS: $\Gamma^{1000} \wedge \Gamma^{0010}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}, \Gamma^{1000} \wedge \Gamma^{0001}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1100} lay in the span of the red rows, so

$$\Gamma^{1100} \wedge \Gamma^{0000} = \sum_{\sigma \text{ red, even}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0000}).$$

LHS: $\Gamma^{1000} \wedge \Gamma^{0100}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}, \Gamma^{1000} \wedge \Gamma^{0001}, \Gamma^{1000} \wedge \Gamma^{0010}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1011} lay in the span of the red rows, so

$$\Gamma^{1011} \wedge \Gamma^{0001} = \sum_{\sigma \text{ red, odd}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0001}).$$

LHS: $\Gamma^{1001} \wedge \Gamma^{0011}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}, \Gamma^{0000} \wedge \Gamma^{1001}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1101} lay in the span of the red rows, so

$$\Gamma^{1011} \wedge \Gamma^{0001} = \sum_{\sigma \text{ red, odd}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0001}).$$

LHS: $\Gamma^{1001} \wedge \Gamma^{0101}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}, \Gamma^{0000} \wedge \Gamma^{1001}, \Gamma^{1001} \wedge \Gamma^{0011}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1110} lay in the span of the red rows, so

$$\Gamma^{1110} \wedge \Gamma^{0001} = \sum_{\sigma \text{ red, odd}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0001}).$$

LHS: $\Gamma^{1111} \wedge \Gamma^{0000}, \Gamma^{1100} \wedge \Gamma^{0011}, \Gamma^{1010} \wedge \Gamma^{0101}, \Gamma^{0110} \wedge \Gamma^{1001}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}, \Gamma^{0000} \wedge \Gamma^{1001}, \Gamma^{1001} \wedge \Gamma^{0011}, \Gamma^{1001} \wedge \Gamma^{0101}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Suppose Γ^{1111} lay in the span of the red rows, so

$$\Gamma^{1111} \wedge \Gamma^{0000} = \sum_{\sigma \text{ red, even}} a_{\sigma} \cdot (\Gamma^{\sigma} \wedge \Gamma^{0000}).$$

LHS: $\Gamma^{1110} \wedge \Gamma^{0001}, \Gamma^{1101} \wedge \Gamma^{0010}, \Gamma^{1011} \wedge \Gamma^{0100}, \Gamma^{0111} \wedge \Gamma^{1000}$

RHS: $\Gamma^{0***} \wedge \Gamma^{0***}, \Gamma^{1000} \wedge \Gamma^{0001}, \Gamma^{1000} \wedge \Gamma^{0010}, \Gamma^{1000} \wedge \Gamma^{0100}$

Even columns:

0000

0011

0101

0110

1001

1010

1100

1111

Odd columns:

0001

0010

0100

0111

1000

1011

1101

1110

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K$?
Next Steps
Acknowledgments

Theorem

Suppose $\ell > K + 1$. If Γ is a $2^\ell \times 2^m$ pseudo-signature of rank $\geq 2^K + 1$, then there exists a cluster $Z \subsetneq \{0, 1\}^\ell$ for which Γ^Z is also of rank $\geq 2^K + 1$.

Suppose to the contrary. Inductively we know Γ has a cluster Z of 2^K linearly independent rows, say $0^\ell + \{e_{p_1}, \dots, e_{p_K}\}$.

$$\begin{array}{c|c} \ell-K & K \\ \hline \overbrace{0000} & \overbrace{0000} \\ 0000 & 0001 \\ \vdots & \vdots \\ 0000 & 1111 \end{array}$$

$$\begin{array}{c|c}
\ell-K & K \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111 \\
1110 & 0101
\end{array}$$

$$\begin{array}{c|c}
 \overbrace{}^{\ell-K} & \overbrace{}^K \\
 0000 & 0000 \\
 0000 & 0001 \\
 \vdots & \vdots \\
 0000 & 1111 \\
 1110 & 0101
 \end{array}$$

$$\begin{array}{c|c}
\ell-K & K \\
\hline
\overbrace{0000} & \overbrace{0000} \\
0000 & 0001 \\
\vdots & \vdots \\
0000 & 1111 \\
1111 & 0000
\end{array}$$

$$\begin{array}{c|c} \overbrace{\ell-K} & \overbrace{K} \\ \hline \mathbf{0000} & \mathbf{0000} \\ 0000 & 0001 \\ \vdots & \vdots \\ 0000 & 1111 \\ \mathbf{1111} & \mathbf{0000} \end{array}$$

To show Γ^{0^ℓ} and $\Gamma^{1^{\ell-K} \circ 0^\ell}$ are linearly dependent, by MGIs it's enough to show:

Lemma

$\Gamma^{0^\ell \oplus e_j} = 0$ for all $j \neq p_1, \dots, p_K$.

Proof.

For $i \in \{p_1, \dots, p_K\}$ and $j \notin \{p_1, \dots, p_K\}$, define:

- T_i : all rows u for which $u_i = 0$
- T_i^j : all rows u for which $u_i = u_j = 0$
- Z_i : $Z \cap T_i$

Note that

$$Z_i \subset T_i^j \subset T_i.$$

So inductively, proper cluster T_i^j has rank a power of two, either 2^{K-1} or 2^K . □

Proof (Cont'd).

- If $\text{rank}(T_i^j) = 2^{K-1}$, then $\text{span}(T_i^j) = \text{span}(Z_i)$. This is true for all $i \in \{p_1, \dots, p_K\}$, so

$$\Gamma^{0^\ell \oplus e_j} \in \bigcap_{i=1}^K \text{span}(Z_i) = \text{span}(\{\Gamma^{0^\ell}\}).$$

- If $\text{rank}(T_i^j) = 2^K$, then $\text{span}(T_i) = \text{span}(T_i^j) \subset \text{span}(Z)$, a contradiction.



Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K?$

Next Steps
Acknowledgments

Theorem (Fu/Yang '13)

Suppose a basis collapse theorem holds on domain size 2. Then if a holographic algorithm uses a $2^\ell \times k$ basis of rank 2, then the same collapse theorem holds for this holographic algorithm.

Theorem

Suppose a basis collapse theorem holds on domain size r . Then if a holographic algorithm uses a $2^\ell \times k$ basis of rank r , then the same collapse theorem holds for this holographic algorithm.

By rank rigidity, $\underline{G}(t)$ must have rank a power of two. If G is a full-rank signature, by

$$\underline{G}(t) = MG(t)(M^T)^{\otimes(n-1)},$$

we know M must have rank a power of two. So if $k \neq 2^K$, we're done inductively by the collapse theorem for domain size 2^K , where $K = \lfloor \log_2 k \rfloor$.

Introduction

Matchgates/Holographic Algorithms: A Crash Course
Basis Size and Domain Size
Collapse Theorems

Setup

Overview of Proof
Group Property
Simulation

Rank Rigidity

Matchgate Identities
Implies Cluster Existence
Base Case
Inductive Step

Epilogue

$k \neq 2^K?$

Next Steps

Acknowledgments

- Work out the case where no full-rank matchgate exists
- Use the collapse theorem to initiate a study of holographic algorithms over higher domains

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