

# Constraints, Gadgets, and Invariants

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# Relations and Functions

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Let  $A$  be a finite set

**Relation** (k-ary):  $R \subseteq A^k$ , can be viewed as a function  
 $R: A^k \rightarrow \{0,1\}$

**Function** (k-ary):  $R: A^k \rightarrow \mathbb{R}$  (for optimization)  
 $R: A^k \rightarrow \mathbb{R}^+$  (for partition functions)

# Constraint Problems

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Instance:  $(V;A;\mathcal{C})$  where

**CSP( $\Gamma$ )**

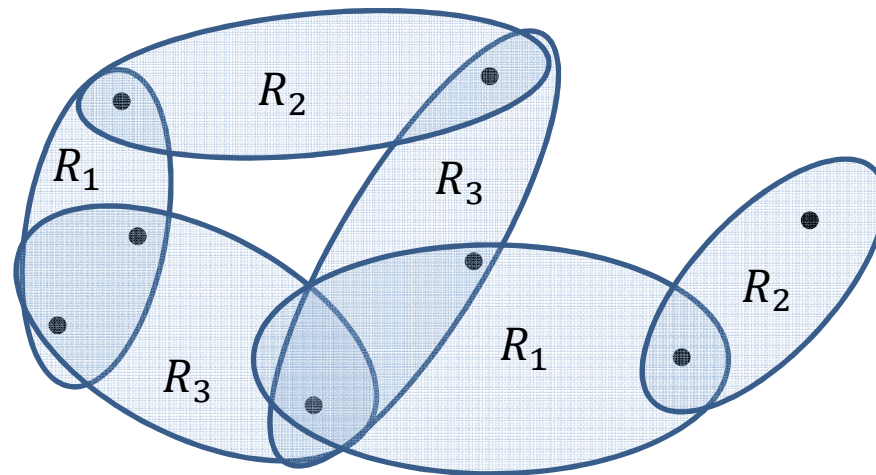
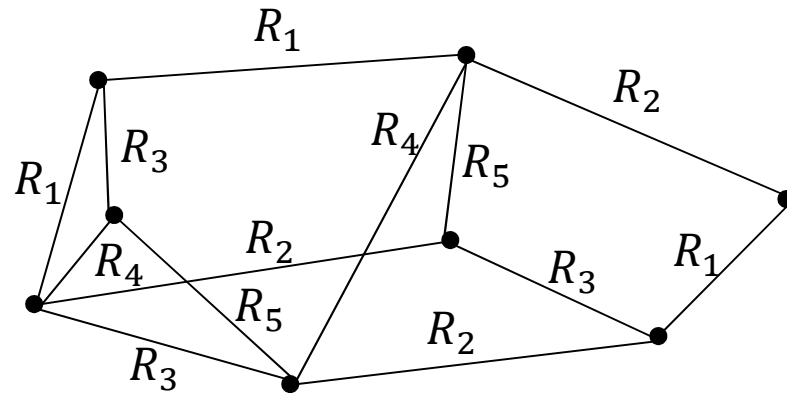
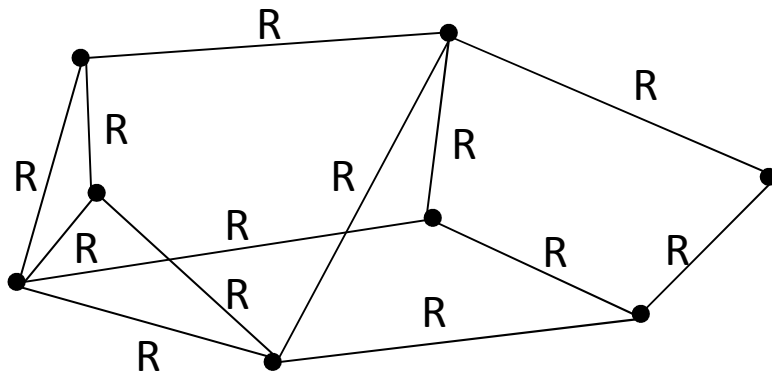
- ◆  $V$  is a finite set of variables
- ◆  $A$  is a set of values
- ◆  $\mathcal{C}$  is a set of **constraints**  $\{R_1(s_1), \dots, R_q(s_q)\}$

$R_1, \dots, R_q$  can be relations on  $A$ , or  
(nonnegative, real/complex) functions on  $A$

Often assumed to be from a fixed set  $\Gamma$

# Constraint Problems II

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# Constraint Problems III

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Instance:  $(V;A;\mathcal{C})$  where CSP( $\Gamma$ ), \$CSP( $\Gamma$ ), #CSP( $\Gamma$ )

- ◆  $V$  is a finite set of variables
- ◆  $\mathcal{C}$  is a set of constraints  $\{R_1(s_1), \dots, R_q(s_q)\}$

Objective (Decision): whether there is  $h: V \rightarrow A$  such that,  
for any  $i$ ,  $R_i(h(s_i))$  is true

Objective (Optimization): find  $h$  that maximizes  $\sum_i R_i(h(s_i))$

Objective (Counting): find the number of such solutions  $h$

Objective (Partition function): find the number  $\sum_h \prod_i R_i(h(s_i))$

# Classification

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**The Classification Problem:** Find the complexity of  $\text{CSP}(\Gamma)$ ,  $\text{PCSP}(\Gamma)$ ,  $\#\text{CSP}(\Gamma)$  for every constraint language  $\Gamma$

# Gadgets and Reductions

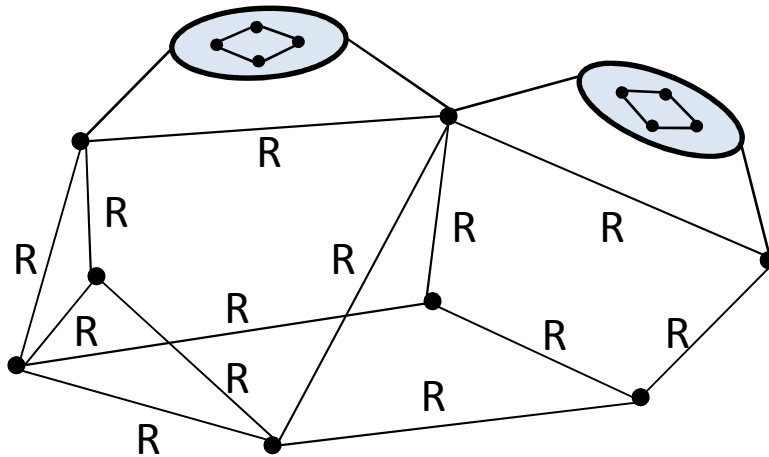
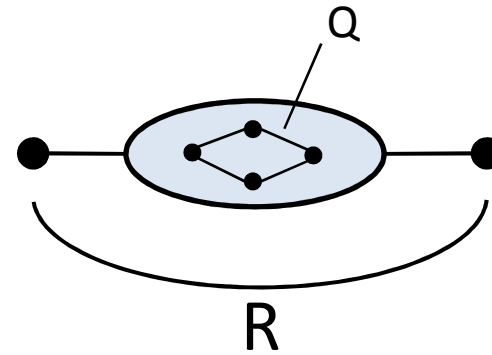
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# Gadgets and Reductions

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'express' R

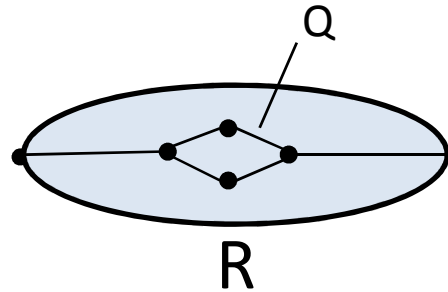


The hope is  $\text{CSP}(R) \leq \text{CSP}(Q)$

# Gadgets and Reductions II

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**No auxiliary variables**



Q is binary  
R is 6-ary

Then  $\text{CSP}(R) \leq \text{CSP}(Q)$  (in all possible meanings)

More generally, if for every  $R \in \Gamma$  there is an instance of  $\text{CSP}(\Delta)$  with relations/functions  $Q_1, \dots, Q_n \in \Delta$  such that

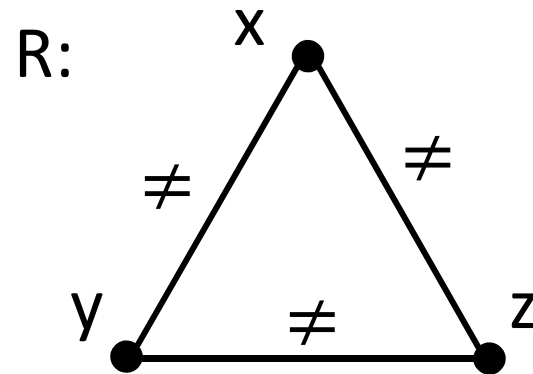
$$R(\bar{x}) = Q_1(\bar{x}_1) \wedge \dots \wedge Q_n(\bar{x}_n) \text{ then } \text{CSP}(\Gamma) \leq \text{CSP}(\Delta)$$

$$R(\bar{x}) = Q_1(\bar{x}_1) + \dots + Q_n(\bar{x}_n) \text{ then } \$\text{CSP}(\Gamma) \leq \$\text{CSP}(\Delta)$$

$$R(\bar{x}) = Q_1(\bar{x}_1) \times \dots \times Q_n(\bar{x}_n) \text{ then } \#\text{CSP}(\Gamma) \leq \#\text{CSP}(\Delta)$$

# Small Example

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Define relation  $R$  on  $A$

$R = \emptyset$  if  $|A| = 2$

$R$  is AllDifferent otherwise

# Gadgets and Reductions III

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The set of all functions/relations that can be expressed by an instance of  $\text{CSP}(\Delta)$  is called the **weak clone** generated by  $\Delta$ , and denoted  $\langle \Delta \rangle$

# Gadgets and Reductions: Decision

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## Quantification (Decision)

If for every  $R \in \Gamma$  there is an instance of  $\text{CSP}(\Delta)$  with relations  $Q_1, \dots, Q_n \in \Delta$  such that

$$R(\bar{x}) = \exists \bar{y} \ Q_1(\bar{x}_1, \bar{y}_1) \wedge \dots \wedge Q_n(\bar{x}_n, \bar{y}_n)$$

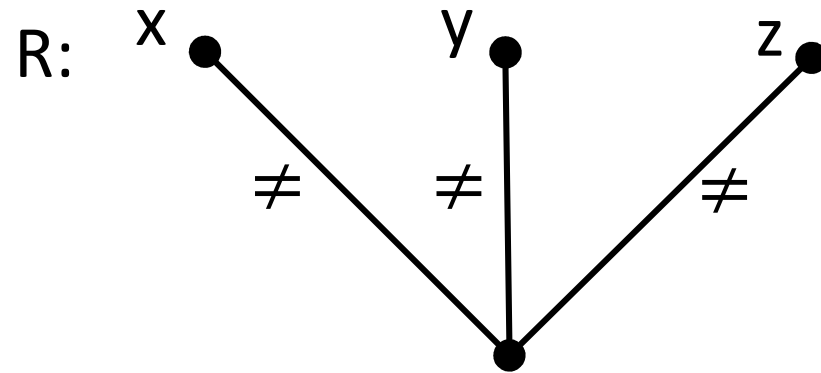
then  $\text{CSP}(\Gamma) \leq \text{CSP}(\Delta)$

(Jeavons, et al., 1997)

The set of all functions/relations that can be expressed by an instance of  $\text{CSP}(\Delta)$  + existential quantification is called the **clone** generated by  $\Delta$ , and denoted  $\langle \Delta \rangle_{\exists}$

# Small Example II

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Define relation  $R$  on  $A = \{0,1,2\}$

$R$  is NotAllDifferent

# Gadgets & Reductions: Optimization

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## Optimization (Maximization):

For a constraint language  $\Delta$  by  $\langle \Delta \rangle_{max}$  we denote the set of functions

$$R(\bar{x}) = \max_{\bar{y}} (Q_1(\bar{x}_1, \bar{y}_1) + \cdots + Q_n(\bar{x}_n, \bar{y}_n)),$$

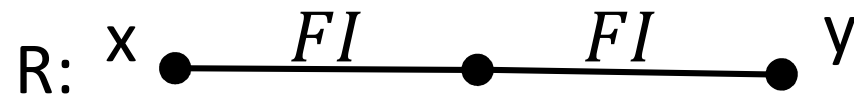
the max-clone.

If  $\Gamma \subseteq \langle \Delta \rangle_{max}$ , then  $\$CSP(\Gamma) \leq \$CSP(\Delta)$ .

# Small Example III

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		0	1	
Ferrolsing	0	$\lambda$	1	$\lambda > 1$
	1	1	$\lambda$	



- 00  $\max\{\lambda + \lambda, 1 + 1\}$
- 01  $\max\{1 + \lambda, \lambda + 1\}$

R:		0	1
	0	$2\lambda$	$1 + \lambda$
	1	$1 + \lambda$	$2\lambda$

$$1 < \frac{2\lambda}{1 + \lambda} < \lambda$$



# Gadgets & Reductions: Counting

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## Counting:

For a constraint language  $\Delta$  by  $\langle \Delta \rangle_\Sigma$  we denote the set of functions

$$R(\bar{x}) = \sum_{\bar{y}} Q_1(\bar{x}_1, \bar{y}_1) \times \cdots \times Q_n(\bar{x}_n, \bar{y}_n),$$

the  $\Sigma$ -clone

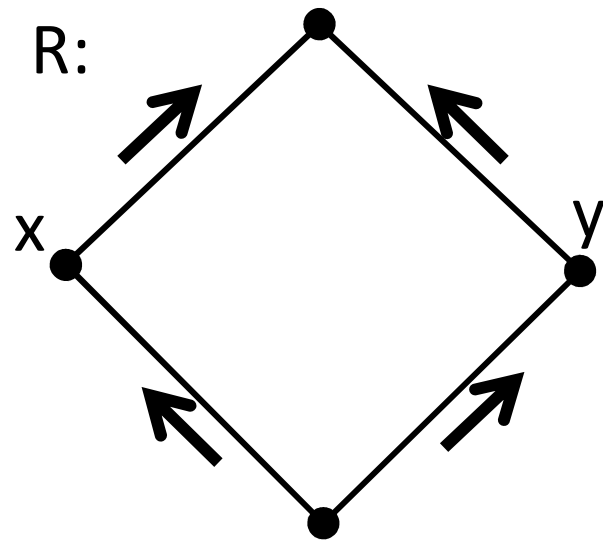
If  $\Gamma \subseteq \langle \Delta \rangle_\Sigma$ , then  $\#\text{CSP}(\Gamma) \leq \#\text{CSP}(\Delta)$

For relations: If  $\Gamma \subseteq \langle \Delta \rangle_\exists$  then  $\#\text{CSP}(\Gamma) \leq \#\text{CSP}(\Delta)$

(B., Dalmau, 2003)

# Small Example IV

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is implication

	0	1
0	1	1
1	0	1

Define relation  $R$  on  $A = \{0,1\}$

$R$  is Ferrolsing

R:

	0	1
0	2	1
1	1	2

# Polymorphisms

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# Polymorphisms

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Operation  $f(x_1, \dots, x_n)$  is a **polymorphism** of relation  $R$  if for any  $\bar{a}_1, \dots, \bar{a}_n \in R$ , it holds  $f(\bar{a}_1, \dots, \bar{a}_n) \in R$   
 $\text{Pol}(R)$ ,  $\text{Pol}(\Gamma)$  is the set of all polymorphisms of  $R$ ,  $\Gamma$

$R \in \langle \Gamma \rangle_{\exists}$  if and only if  $\text{Pol}(\Gamma) \subseteq \text{Pol}(R)$

If  $\text{Pol}(\Gamma) \subseteq \text{Pol}(\Delta)$  then  $\text{CSP}(\Delta) \leq \text{CSP}(\Gamma)$

$$\#\text{CSP}(\Delta) \leq \#\text{CSP}(\Gamma)$$

# Polymorphisms: Examples

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Let  $R = \{(0,1),(1,2),(2,0)\}$  on  $A = \{0,1,2\}$  and  $f(x,y,z) = x - y + z$ .  
 $f$  is a polymorphisms of  $R$

$$f \begin{pmatrix} 0 & 2 & 1 \\ 1 & 0 & 2 \end{pmatrix} = \begin{pmatrix} 2 \\ 0 \end{pmatrix}, \quad f \begin{pmatrix} 0 & 2 & 0 \\ 1 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \dots$$

$f(x,y,z)$  is a **majority** operation, if  $f(x,x,y) = f(x,y,x) = f(y,x,x) = x$ .  
If relation  $R$  has a majority polymorphism, then  $\bar{a} \in R$  if and only if every its binary projection belongs to the corresponding binary projection of  $R$

# Polymorphisms: Results

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**Dichotomy Conjecture** for decision CSPs ( $\sim$ ):  $\text{CSP}(\Gamma)$  is poly time if and only if  $\Gamma$  has a nontrivial polymorphism. Otherwise it is NP-complete

**Exact counting:** More complicated, but can be described through polymorphisms

# Optimization: Multimorphisms

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A multimorphism is a collection of operations  $m_1, \dots, m_n$  on  $A$ .  
 $m_1, \dots, m_n$  is a **multimorphism** of function  $R$  on  $A$  if for any  $\bar{a}_1, \dots, \bar{a}_n$

$$\begin{aligned} R(\bar{a}_1) + \dots + R(\bar{a}_n) \\ \geq R(m_1(\bar{a}_1, \dots, \bar{a}_n)) + R(m_n(\bar{a}_1, \dots, \bar{a}_n)) \end{aligned}$$

**Submodularity:**  $m_1 = \wedge, m_2 = \vee$

$$R(\bar{a}) + R(\bar{b}) \geq R(\bar{a} \wedge \bar{b}) + R(\bar{a} \vee \bar{b})$$

# Optimization: Fractional Polymorphisms

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Fix a set  $A$  and let  $O^k$  denote the set of all  $k$ -ary operations  $m: A^n \rightarrow A$ . A probability distribution  $\mu$  on  $O^k$ ,  $\mu: O^k \rightarrow [0,1]$  is called a **fractional polymorphism** of function  $R: A^k \rightarrow \mathbb{R}$  if for any  $\bar{x}_1, \dots, \bar{x}_n \in A^k$

$$E_{m \sim \mu} [R(m(\bar{x}_1, \dots, \bar{x}_n))] \leq \text{avg}(R(\bar{x}_1), \dots, R(\bar{x}_n))$$

Submodularity:

$k = 2$ ,  $\mu(\wedge) = \mu(\vee) = \frac{1}{2}$ , that is,

$$\frac{1}{2} (R(\bar{x}_1 \wedge \bar{x}_2) + R(\bar{x}_1 \vee \bar{x}_2)) \leq \frac{1}{2} (R(\bar{x}_1) + R(\bar{x}_2))$$



# Optimization: Results

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$\text{FPol}(R)$ ,  $\text{FPol}(\Gamma)$  denote the set of all fractional polymorphisms of function  $R$  or constraint language  $\Gamma$

$R \in \langle \Gamma \rangle_{max}$  iff  $\text{FPol}(\Gamma) \subseteq \text{FPol}(R)$  (Zivny et al. 2009)

$\text{CSP}(\Gamma)$  is polynomial time iff  $\Gamma$  has a 'nontrivial' fractional polymorphism. Otherwise it is NP-hard.

(Thapper, Zivny, 2013, Kolmogorov et al. 2015)

# Approximation: Approximation Polymorphisms

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Fix a set  $A$  and let  $O^k$  denote the set of all  $k$ -ary operations  $m: A^k \rightarrow A$ .

A probability distribution  $\mu$  on  $O^k$ ,  $\mu: O^k \rightarrow [0,1]$  is called an  **$\alpha$ -approximation polymorphism** of function  $R: A^k \rightarrow \mathbb{R}$  if for any  $\bar{x}_1, \dots, \bar{x}_k \in A^k$

$$\alpha \cdot E_{m \sim \mu} [R(m(\bar{x}_1, \dots, \bar{x}_k))] \geq \text{avg}(R(\bar{x}_1), \dots, R(\bar{x}_k))$$

Let  $\alpha_\Gamma$  be the greatest constant such that there is a 'nontrivial'  $\alpha_\Gamma$ -approximation polymorphism of  $\Gamma$ . Then (assuming the Unique Games Conjecture)  $\alpha_\Gamma$  is the approximation threshold for  $\$CSP(\Gamma)$ . (Raghavendra, 2008)

# Approximate Counting

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# Approximate Counting: Clones

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Clones for approximate counting are  $\langle \Gamma \rangle_\Sigma + \text{limits} = \langle \Gamma \rangle_\omega$ ,  
that is,  $R \in \langle \Gamma \rangle_\omega$  iff there are  $R_1, R_2, \dots \in \langle \Gamma \rangle_\Sigma$  such that  
 $\lim R_k = R$

If  $\Gamma \subseteq \langle \Delta \rangle_\omega$  then  $\#\text{CSP}(\Gamma) \leq_{AP} \#\text{CSP}(\Delta)$

Any 'morphisms' for approximate counting?

# Morphisms for Approximate Counting

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**Observation:** For any constraint language  $\Gamma$  of rational-valued functions there is a constraint language  $\Delta$  of relations (possibly on a different set) such that  $\#\text{CSP}(\Gamma) \approx \#\text{CSP}(\Delta)$

**Partial operation**  $f(x_1, \dots, x_n)$  is a **partial polymorphism** of relation  $R$  if for any  $\bar{a}_1, \dots, \bar{a}_n \in R$ , it holds  $f(\bar{a}_1, \dots, \bar{a}_n)$  belongs to  $R$  or does not exist

$\text{PPol}(R)$ ,  $\text{PPol}(\Gamma)$  is the set of all partial polymorphisms of  $R$ ,  $\Gamma$

$R \in \langle \Gamma \rangle$  if and only if  $\text{PPol}(\Gamma) \subseteq \text{PPol}(R)$

# Can We Do Better?

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We need to find some sort of `morphisms' for  $\langle \Gamma \rangle_{\Sigma}$  or/and  $\langle \Gamma \rangle_{\omega}$

Nothing known yet, but there are options ...

# CWDB? I

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**Option 1.** Does one of the existing types of `morphisms' work?

Function  $f: \{0,1\}^k \rightarrow \mathbb{R}^+$  is **Log-Super-Modular (LSM)** if for any  $\bar{x}_1, \bar{x}_2 \in \{0,1\}^k$

$$f(\bar{x}_1)f(\bar{x}_2) \leq f(\bar{x}_1 \wedge \bar{x}_2)f(\bar{x}_1 \vee \bar{x}_2)$$

Ferrolsing  $\in$  LSM, AntiFerrolsing  $\notin$  LSM

LSM is closed under  $\langle \cdot \rangle_\Sigma$  and  $\langle \Gamma \rangle_\omega$

Not clear if it is true for other multimorphisms

# Conservative Case

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Set of operations (constraint language)  $\Gamma$  on  $A$  is **conservative** if it contains all the unary operations on  $A$

Almost complete complexity classification of conservative constraint languages

(many people in different combinations, 2014, 2015)



# CWDB? II

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## Option 2. Properties of Fourier coefficients?

Let  $f : \{0,1\}^n \rightarrow \mathbb{R}^+$  be a function and  $S = \{i_1, \dots, i_k\} \subseteq \{1, \dots, n\}$   
Fourier coefficient  $\hat{f}(S)$  is given by

$$\hat{f}(S) = \frac{1}{2^n} \sum_{x_1, \dots, x_n \in \{0,1\}^n} f(x_1, \dots, x_n) (-1)^{x_{i_1} + \dots + x_{i_k}}$$

Let PF denote the set of functions  $f$  such that  $\hat{f}(S) \geq 0$  for all  $S$ . PF is closed under  $\langle \cdot \rangle_\Sigma$  and  $\langle \cdot \rangle_\omega$

Some interesting constraint languages from PF and LSM

# CWDB? III

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**Option 3.** Looking for 'morphisms' w.r.t.  $\langle \cdot \rangle_\omega$  is wrong.

We may want to relax the closure operator

A probability distribution  $\mu$  on  $O^k$ ,  $\mu: O^k \rightarrow [0,1]$  is called a **log-approximation polymorphism** of function  $R: A^k \rightarrow \mathbb{R}^+$  if it is a 1-approximation polymorphism of  $\log R$ , that is,

$$\begin{aligned} E_{m \sim \mu} [\log R(m(x_1, \dots, x_k))] \\ \geq \text{avg}(\log R(x_1), \dots, \log R(x_k)) \end{aligned}$$

# Log-Approximation Polymorphisms

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If  $\mu$  is a approximation polymorphism of  $\Gamma$ , it is a log-approximation polymorphism of any  $R \in \langle \Gamma \rangle$

For any  $\Gamma$   $\langle \Gamma \rangle \subseteq \langle \Gamma \rangle_\omega$

Thus  $\#CSP(\Gamma) \leq_{AP} \#CSP(\Delta)$  whenever  $\langle \Gamma \rangle \subseteq \langle \Delta \rangle$

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Thank You!