

Communication complexity of  
**sparse set disjointness**  
and **exists-equal**

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and

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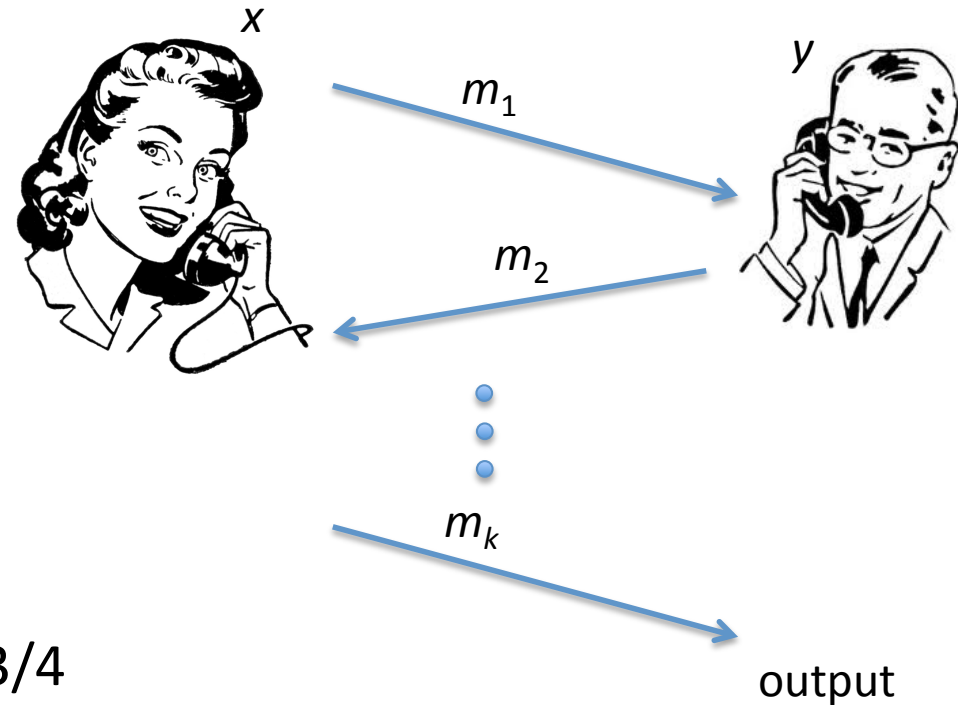
# Model considered

- 2-party

Alice and Bob  
computing  $f(x,y)$

- randomized  
with **joint random source**

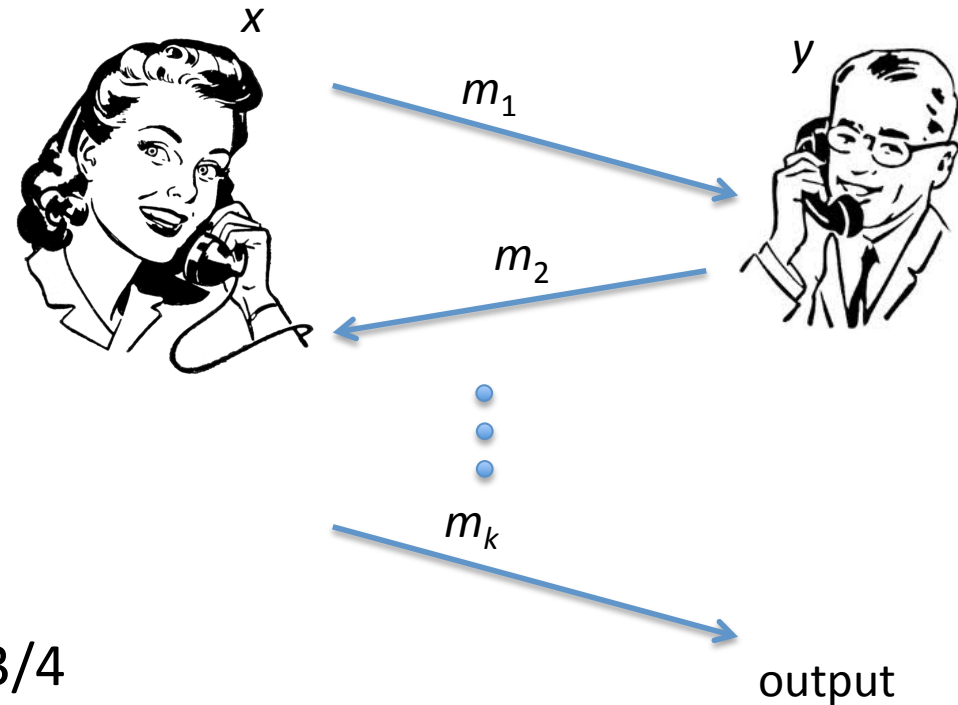
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## Goals

1. Minimize total communication:  $|m_1| + |m_2| + \dots + |m_k|$
2. Minimize # of rounds:  $k$

tradeoff?

# main examples

- Set disjointness  $D_n: x, y \subseteq H; \quad x \cap y = \emptyset? \quad |H|=n$



Can we meet next  
week?



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- Equality  $E_n: x, y \in \{0,1\}^n; \quad x = y?$



Are our copies of  
Harry Potter and the  
Sorcerer's Stone  
identical?



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Well understood:

- $D_n$  requires  $\Omega(n)$  bits of communication (Kalyanasundaram-Schnitger)
- 2 bits / 1 round enough for  $E_n$

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We consider variants of these problems

# set disjointness

$$D_n : x, y \subseteq H; \quad x \cap y = \emptyset ? \quad |H| = n$$



# sparse set disjointness

$SD_{k,n}: x, y \subseteq H; \quad x \cap y = \emptyset? \quad |H|=n; \quad |x|, |y| \leq k; \quad k \ll n$

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containments:

$$D_k \leq SD_{k,n} \leq D_n$$

Complexity of  $SD_{k,n}$  is  $\Omega(k)$ ,  
 $O(n)$ ,  $O(k \log n)$ ,  $O(k \log k)$ .

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Thm [Håstad-Wigderson (2007)]: It is  $\Theta(k)$ .

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$r$  times iterated log

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- $\log^* k$  rounds
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- outputs the actual intersection of the input sets**
- +  $r$ -round  $O(k \log^{(r)} k)$  bit protocol for  $r < \log^* k$
- + **optimality proof for all  $r$**

# Håstad-Wigderson protocol

$x \subseteq H$

A. picks random  $S_0$  with  $x \subseteq S_0 \subseteq H$



Alice sends  $S_0$

$x \cap y \subseteq S_0$



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Bob sends  $S_1$

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e.g.  $x \cap \bigcap S_i$

Stop and output “disjoint” if **current set** is empty,

otherwise output “intersect” when  
the  $O(\log k)$  rounds or  $O(k)$  bits are used up.

# Analysis of the Håstad-Wigderson protocol

- How to send a random set containing  $x$ ?

$w_1, w_2, \dots$  random sets from joint random source

Send index  $\min\{j \mid w_j \ni x\}$ .

$$E[\# \text{ of bits sent}] \approx |x|$$



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- 1-sided error (no error for intersecting sets).
- If  $x$  and  $y$  are disjoint, then size of current set halves in expectation in every round.  
Current set will be empty in  $O(\log k)$  expected rounds.  
Expected total # of bits sent:  $O(k)$ .

# protocol with fewer rounds

- **biased** random sets: contains each element independently with probability  $p \ll 1/2$
- small  $p$ : **quicker** decrease in set size:  $k \rightarrow pk$   
**longer** message for a random  
set containing  $x$ :  $|x| \log(1/p)$

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- optimal tradeoff:

$$1/p_{i+1} = 2^{1/p_i}$$

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**Problem:** “current set”  $x_i$  contains the true intersection  $x \cap y$  — remains large throughout protocol  
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**Solution:** no need for Bob to give full message  $S_{i+1}$ ,  
enough to send a few bits — Alice chooses  $S_{i+1}$  to  
minimize  $|x_j - S_{i+1}|$



# Equality problem

$x$



Is  $x = y$ ?

$y$



$O(1)$  bits enough in single round  
(with joint random source)

# Exists-equal problem

$x_1, x_2, \dots, x_k$



$y_1, y_2, \dots, y_k$



Is  $x_1 = y_1$  or  
 $x_2 = y_2$  or  
...  
 $x_k = y_k$  ?

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Special case of Sparse Set Disjointness:

$$\{(1, x_1), (2, x_2), \dots, (k, x_k)\} \cap \{(1, y_1), (2, y_2), \dots, (k, y_k)\} \neq \emptyset ?$$

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- $O(k)$  bits in  $\log^* k$  rounds suffice.
- Or  $O(k \log^{(r)} k)$  bits in  $r$  rounds.

This is optimal for any  $r$ .

## Single equality

10 bits in single round  
solves with <0.1% error

## OR of $k$ equalities

solve with 45% error  
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best is to solve each  
equality separately with  $O(1/k)$  error

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**compact**  $\approx$  small  $E_x[\log |B_x \cap H|]$

expectation  
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Hamming ball  
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Obtained through “**shifting**”

expectation  
for random  $x$

Hamming ball  
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Thank  
you

The image features the words "Thank you" written in a highly decorative, black calligraphic script. The letters are thick and fluid, with the "T" and "Y" having large, sweeping loops that extend far to the left and bottom. The "u" is also highly stylized, with a long, sweeping tail that loops back towards the right. The overall composition is elegant and artistic, set against a plain white background.