

# Structural Properties of Erasure Codes for Streaming Communication

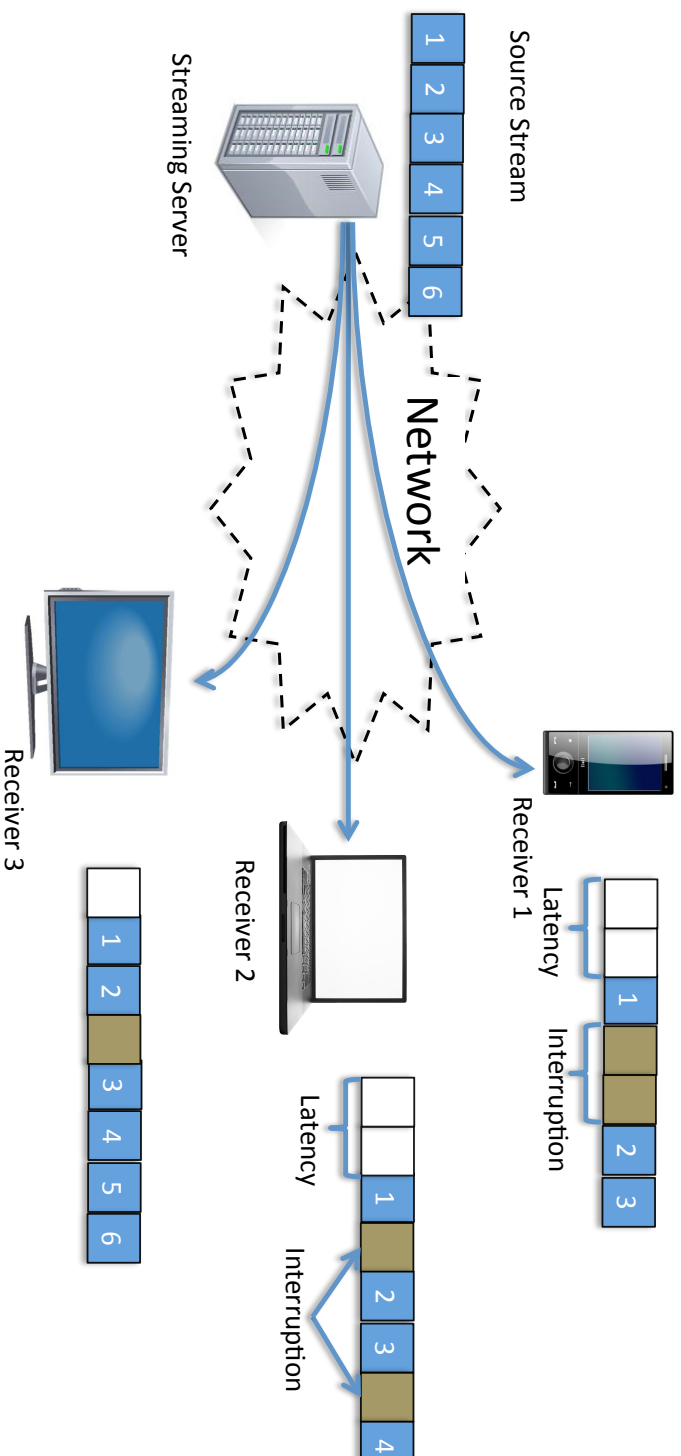
Ashish Khisti

Department of Electrical and Computer Engineering  
University of Toronto

Joint work with:

Ahmed Badr (Toronto), Wai-Tian Tan (Cisco), John Apostolopoulos (Cisco)

# Multimedia Streaming

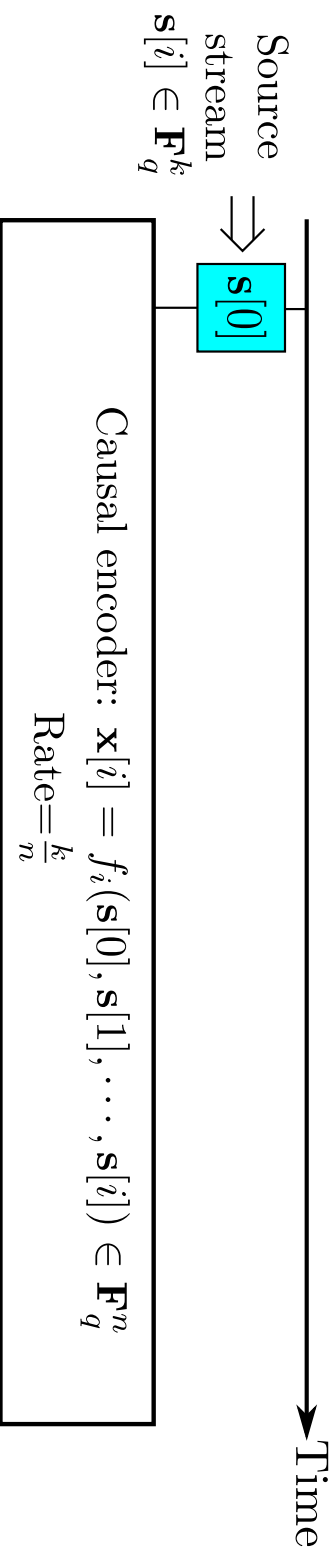


## Applications

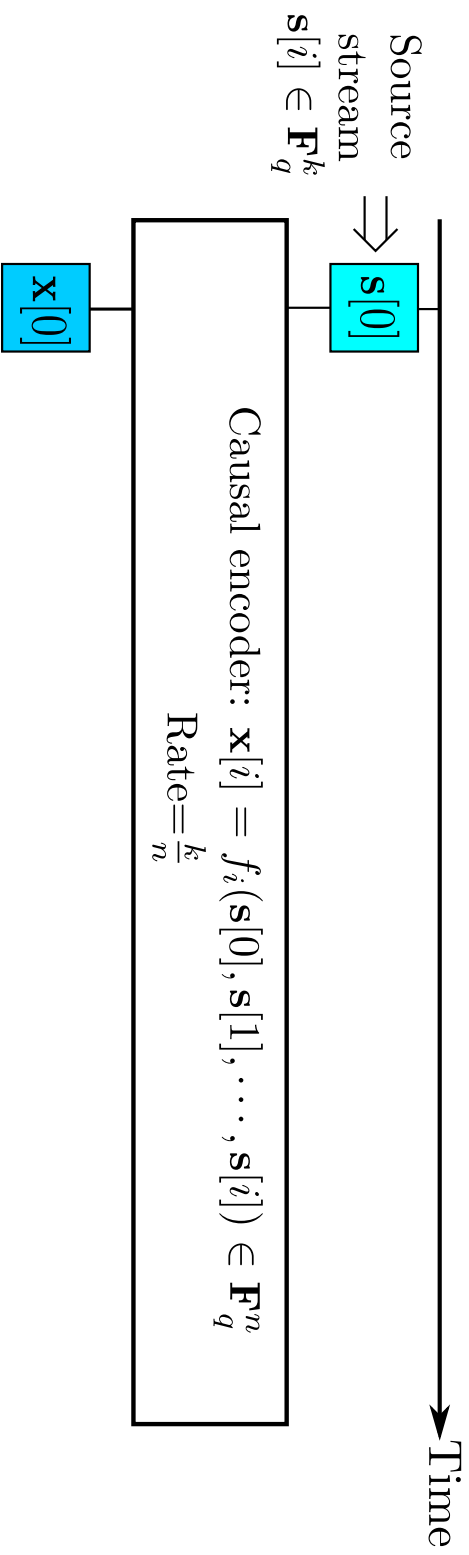
Application	Bit-Rate (Mbps)	MSDU (B)	Delay (ms)	PLR
Video Conf.	2 Mbps	1500	<b>100 ms</b>	$10^{-4}$
Interactive Gaming	1 Mbps	512	<b>50 ms</b>	$10^{-4}$
Video Streaming	4 Mbps	1500	<b>500 ms</b>	$10^{-6}$

# Real-Time Communication System

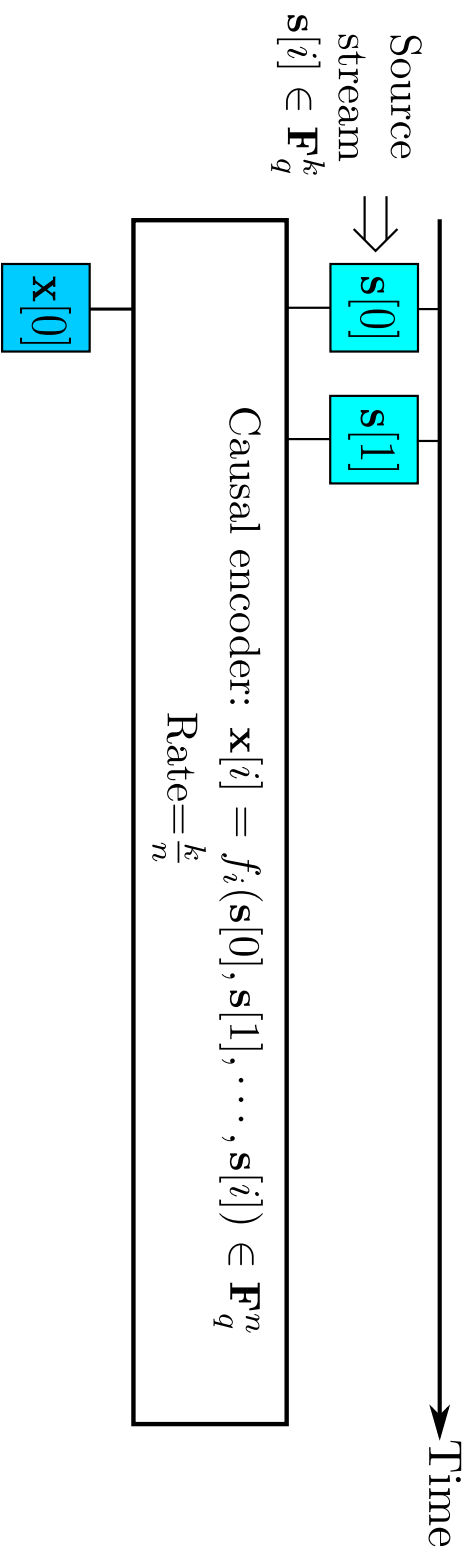
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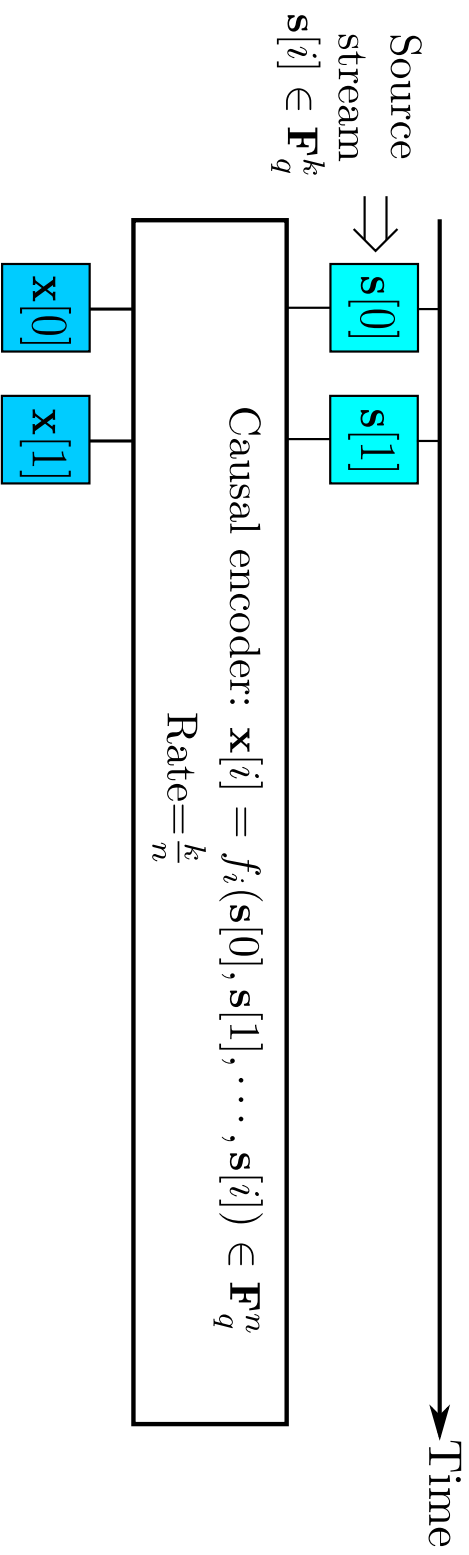
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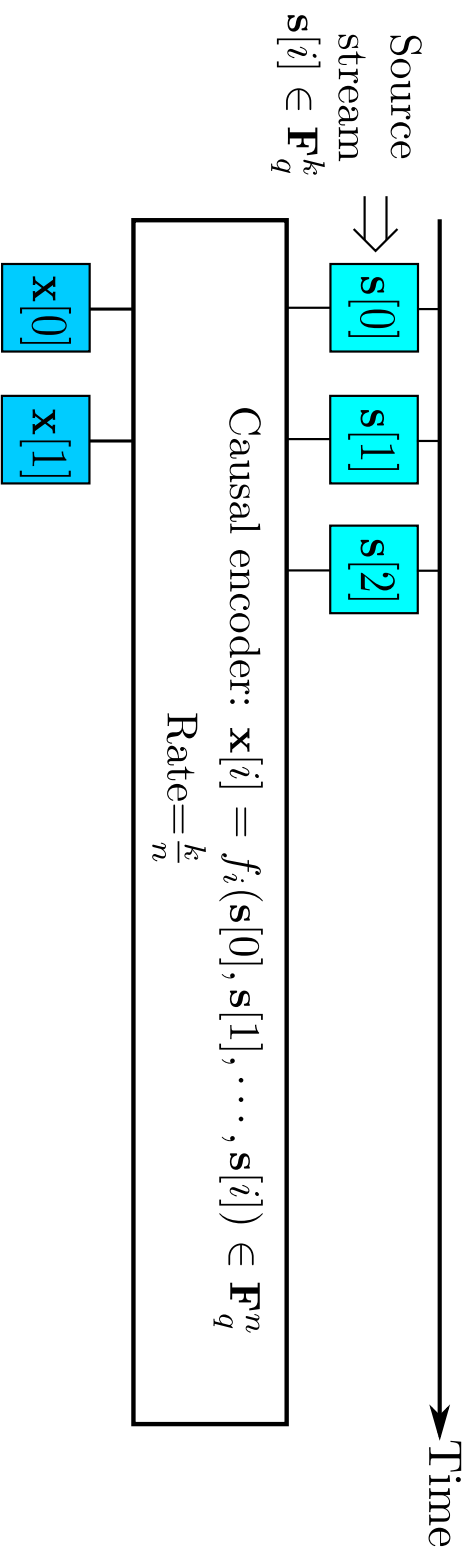
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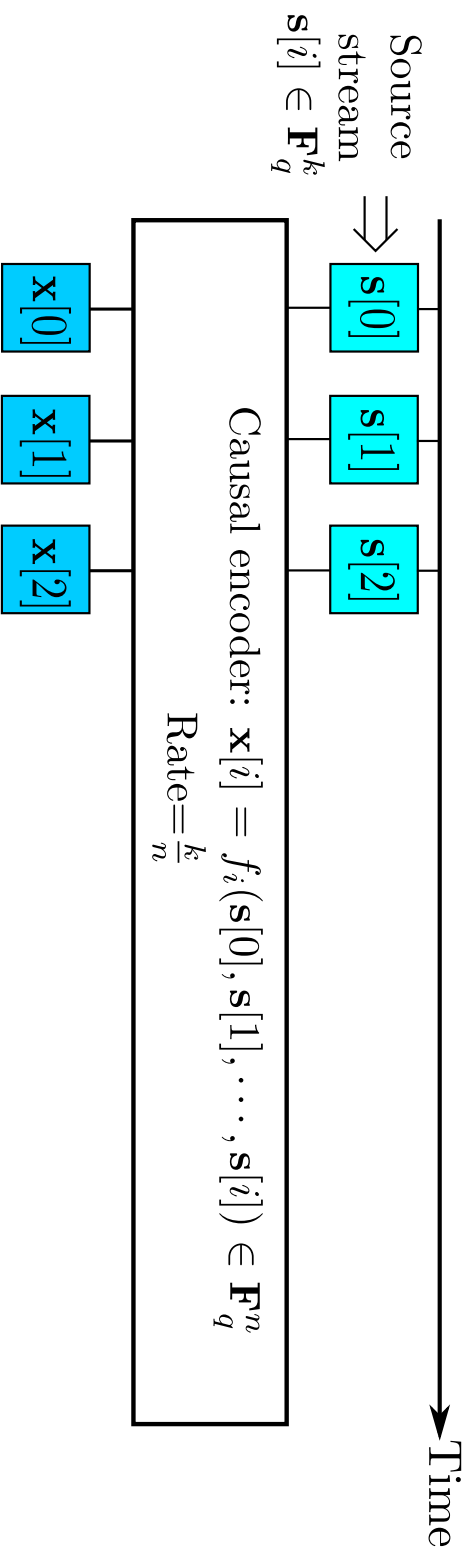


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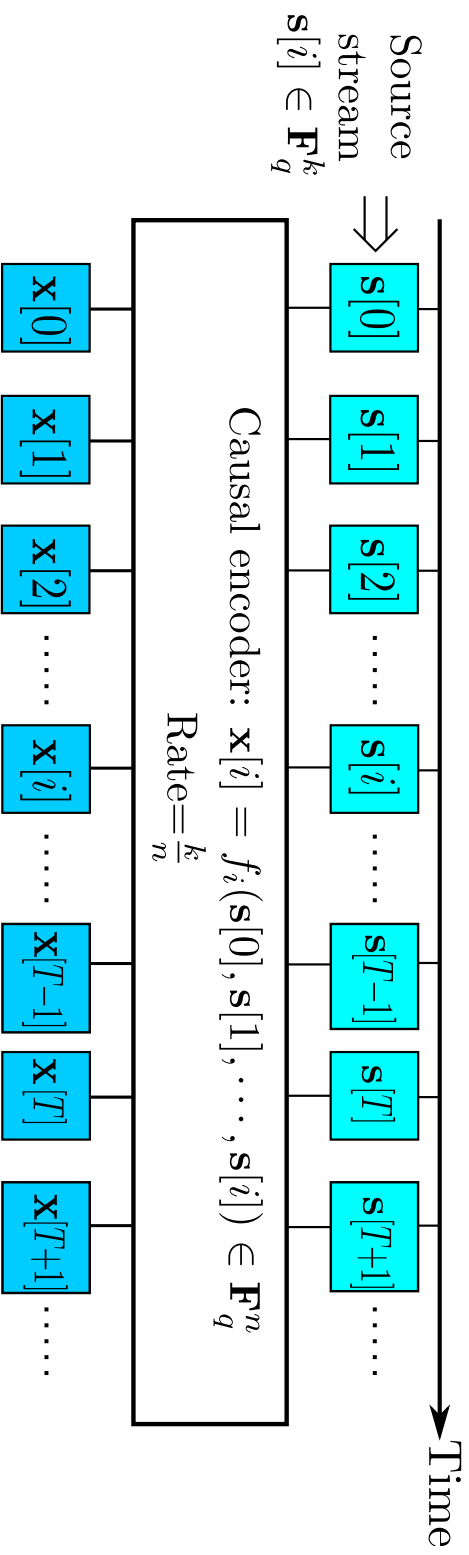




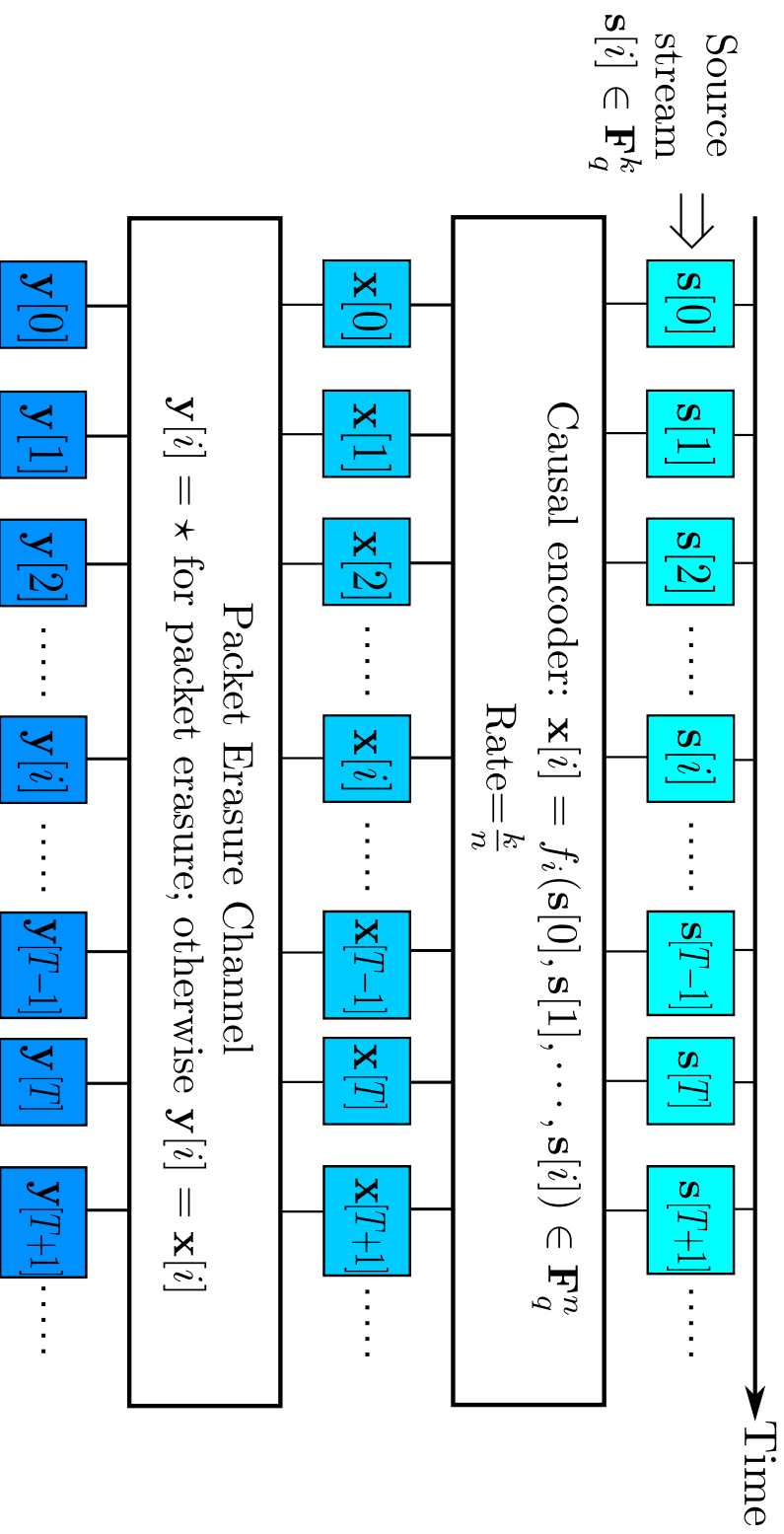
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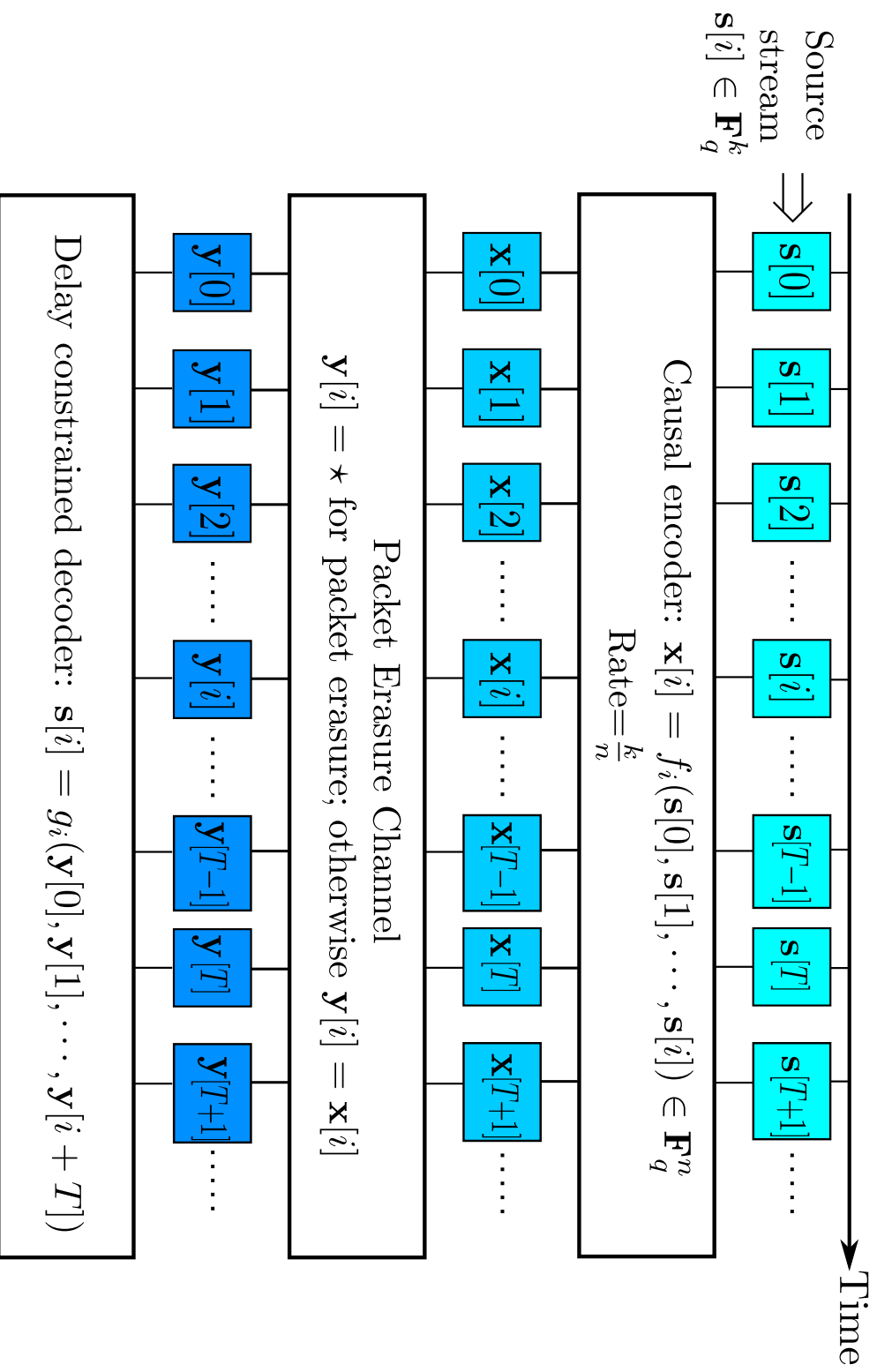
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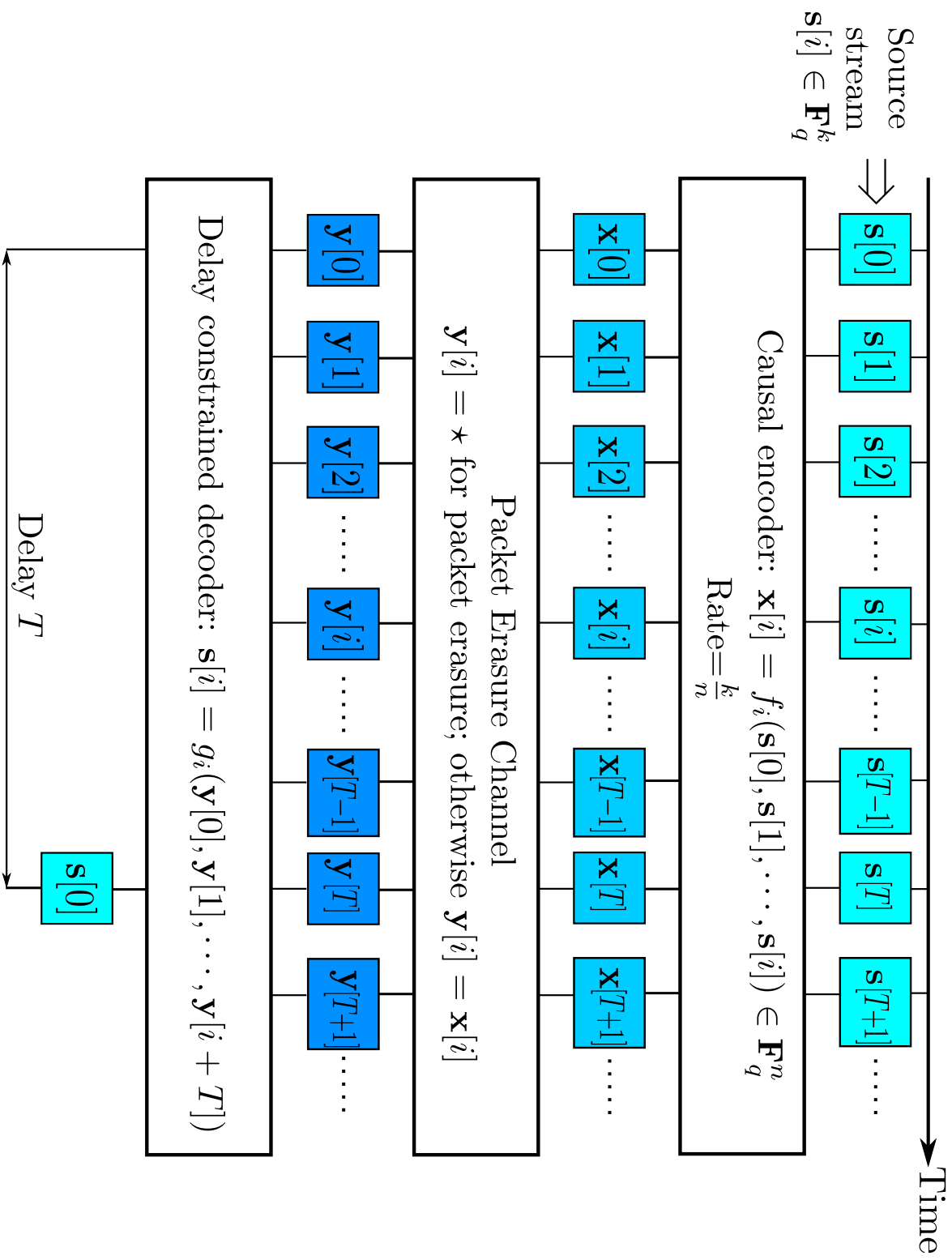
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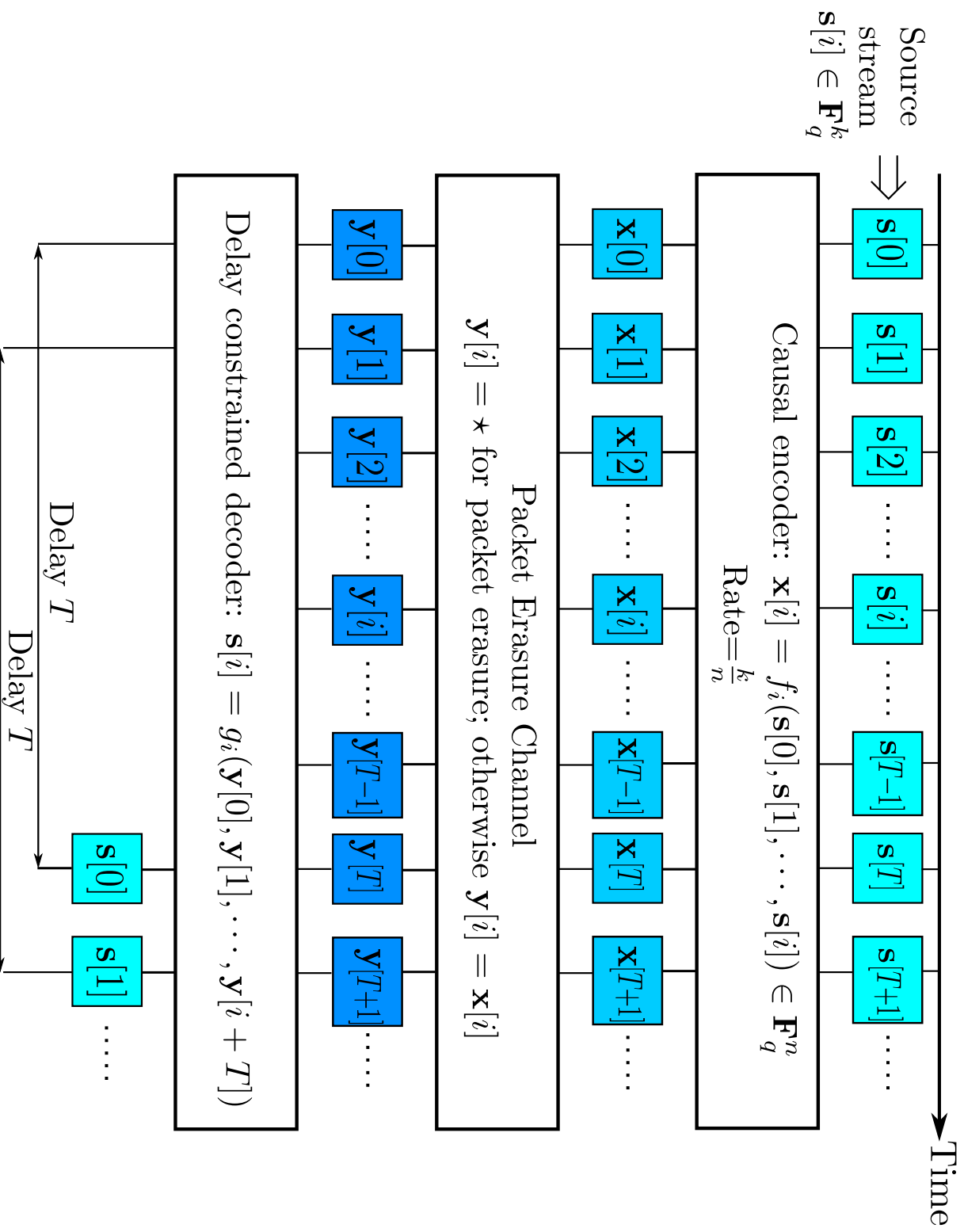
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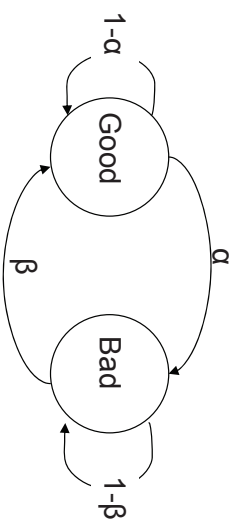


# Real-Time Communication System



Streaming Code: Causal Encoder + Delay Constrained Decoder

# Proposed Channel Model

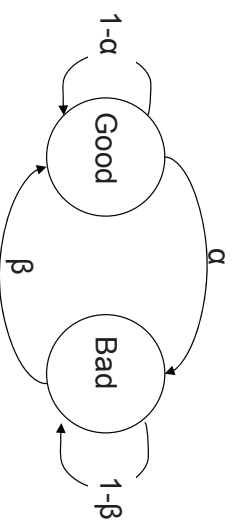


Gilbert-Elliott Model



Loss Pattern

# Proposed Channel Model



Gilbert-Elliott Model



Loss Pattern

## Sliding Window Erasure Channel: $\mathcal{C}(N, B, W)$

In any sliding window of length  $W$ , the channel can introduce only one of the following:

- An erasure burst of maximum length  $B$
- Upto  $N$  erasures in arbitrary positions



## Problem Setup - Sliding Window Erasure Channel Model

- Source Model : i.i.d. sequence  $s[t] \sim p_s(\cdot) = \text{Unif}\{(\mathbb{F}_q)^k\}$
- Streaming Encoder:  $x[t] = f_t(s[0], \dots, s[t]), x[t] \in (\mathbb{F}_q)^n$
- Erasure Channel: (**Sliding Window Model**)
- Delay-Constrained Decoder:  $\hat{s}[t] = g_t(y[0], \dots, y[t + T])$
- Rate  $R = \frac{k}{n}$

### Streaming Capacity

A rate  $R$  is achievable over the  $\mathcal{C}(N, B, W)$  channel, if there is a sequence of encoding and decoding functions,  $f_t(\cdot)$  and  $g_t(\cdot)$  respectively over a sufficiently large field  $\mathbb{F}_q$ , with delay  $T$  and rate  $R = \frac{k}{n}$ . The supremum of achievable rates is the streaming capacity.

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- Worst Case Definition
- Arbitrarily large field size

# Main Result

Badr-Patil-Khristi-Tan-Apostolopoulos, IEEE Trans. on Inform. Theory (To Appear 2015)

## Theorem

Consider the  $\mathcal{C}(N, B, W)$  channel, with  $W \geq B + 1$ , and let the delay be  $T$ .

**Upper-Bound** For any rate  $R$  code, we have:

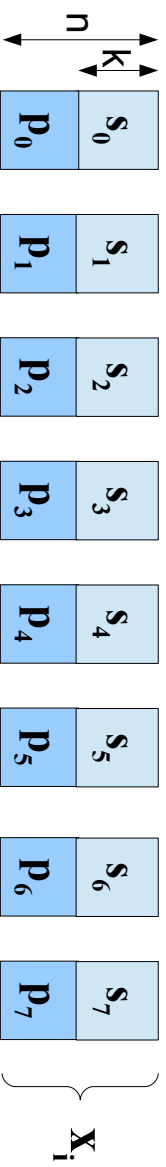
$$\left( \frac{R}{1-R} \right) B + N \leq \min(W, T + 1)$$

**Lower-Bound:** There exists a rate  $R$  code that satisfies:

$$\left( \frac{R}{1-R} \right) B + N \geq \min(W, T + 1) - 1.$$

*The gap between the upper and lower bound is 1 unit of delay.*

# Baseline Codes - Full Rank Condition

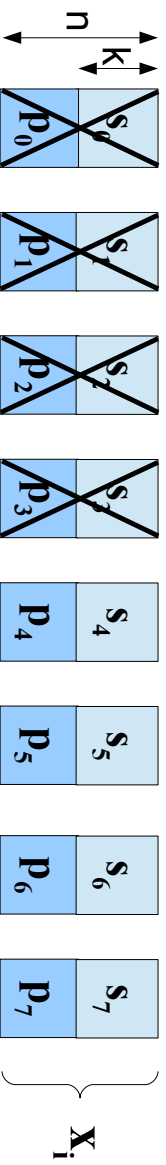


$$p_i = s_i \cdot \mathbf{H}_0 + s_{i-1} \cdot \mathbf{H}_1 + \dots + s_{i-M} \cdot \mathbf{H}_M, \quad \mathbf{H}_i \in \mathbb{F}_q^{k \times n-k}$$

Erasure Codes:

- Random Linear Codes
- Strongly-MDS Codes (Gabidulin'88, Gluesing-Luerssen'06 )

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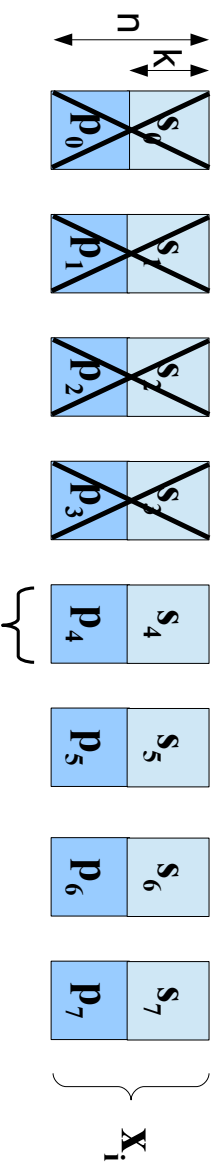


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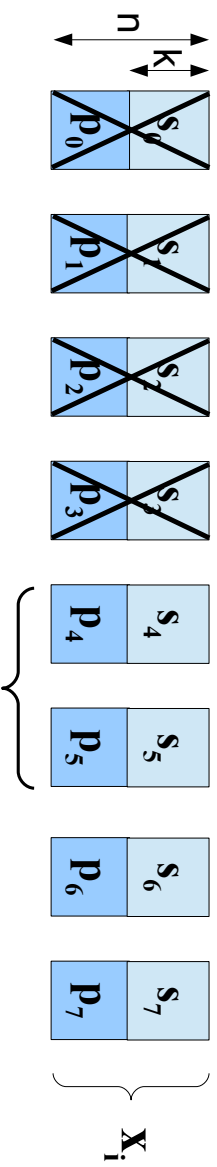


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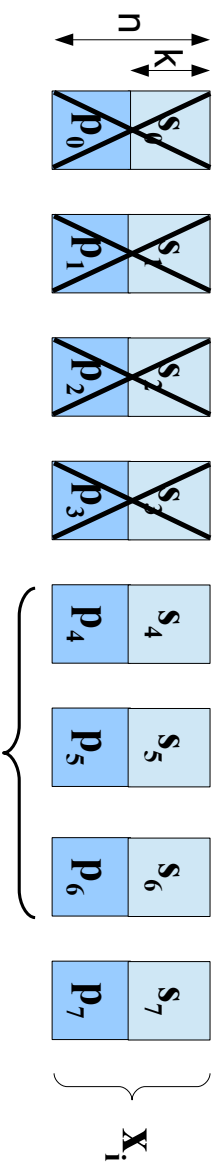


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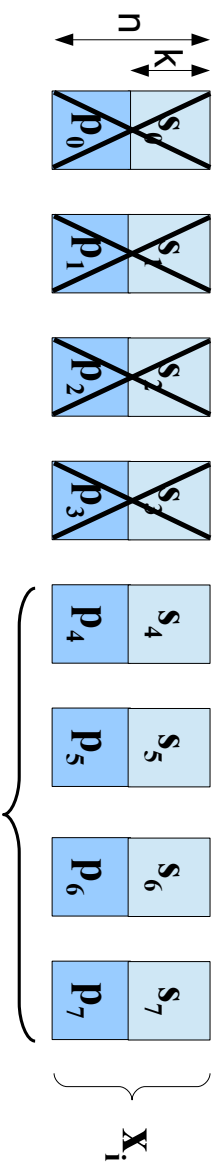
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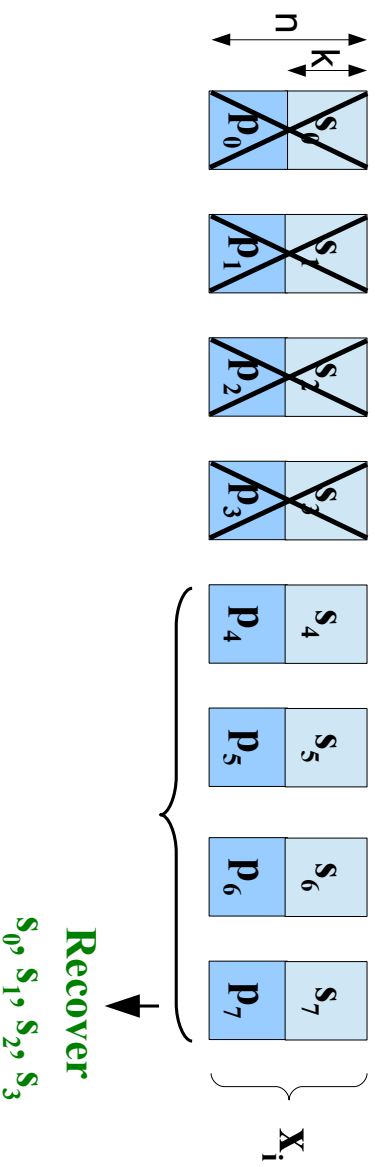


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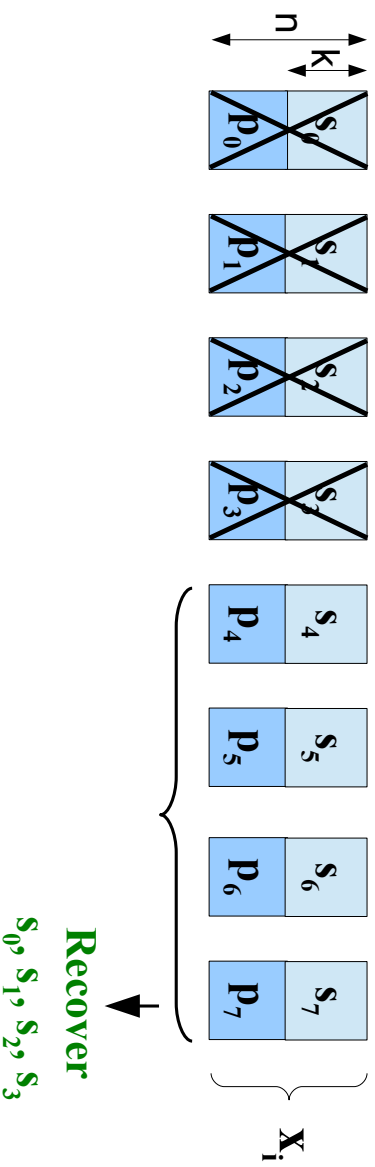


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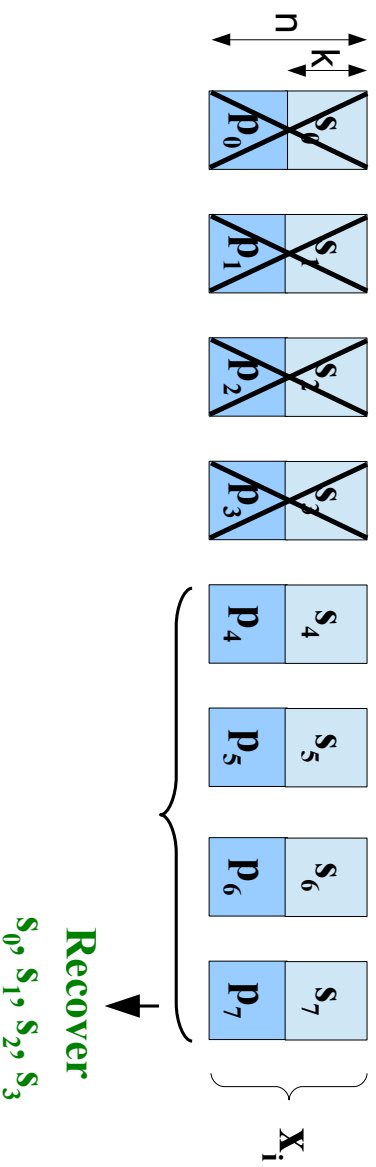


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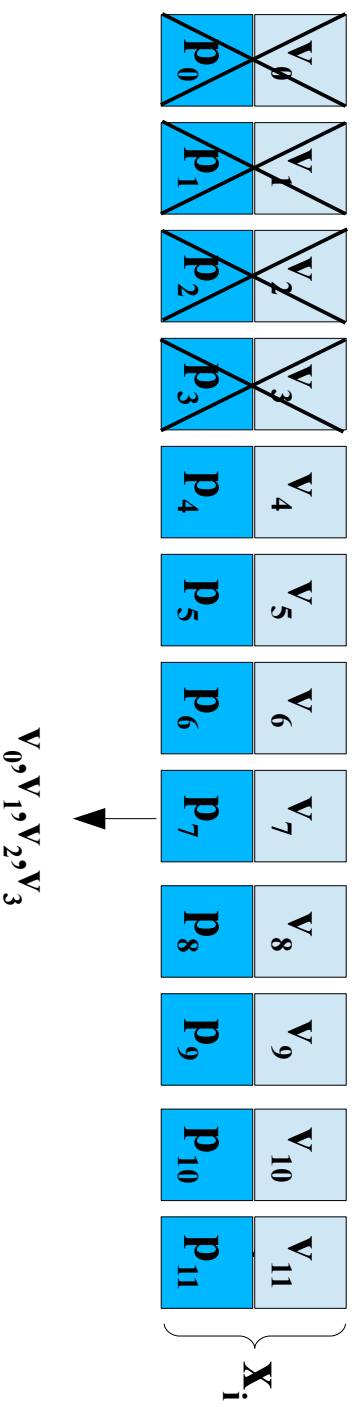
- Random Linear Codes
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$$\begin{bmatrix} p_4 \\ p_5 \\ p_6 \\ p_7 \end{bmatrix} = \underbrace{\begin{bmatrix} H_4 & H_3 & H_2 & H_1 \\ H_5 & H_4 & H_3 & H_2 \\ 0 & H_5 & H_4 & H_3 \\ 0 & 0 & H_5 & H_4 \end{bmatrix}}_{\text{full rank}} \begin{bmatrix} s_0 \\ s_1 \\ s_2 \\ s_3 \end{bmatrix}$$

# Streaming Code - Burst Erasure Channel

$$B = 4, T = 8, R = \frac{T}{T+B} = \frac{2}{3}$$

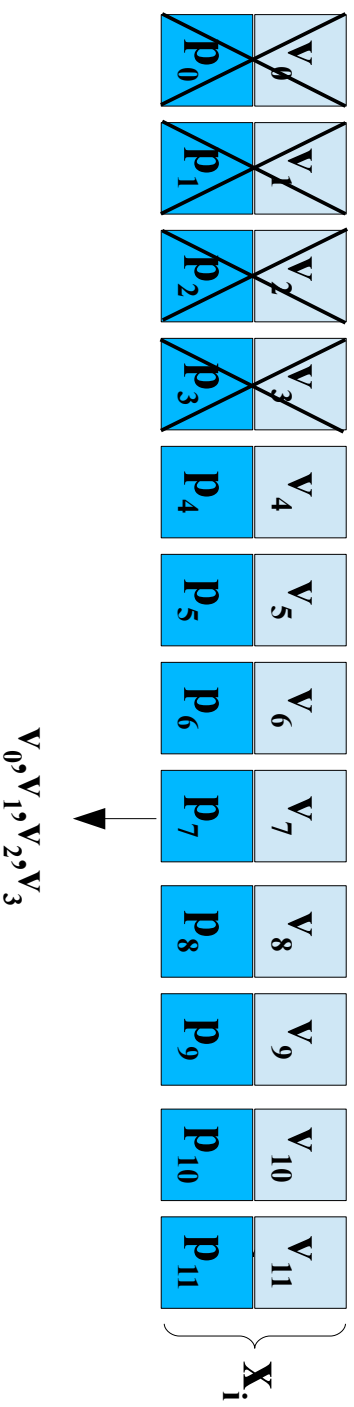
Rate 1/2 Baseline Erasure Codes,  $T = 7$



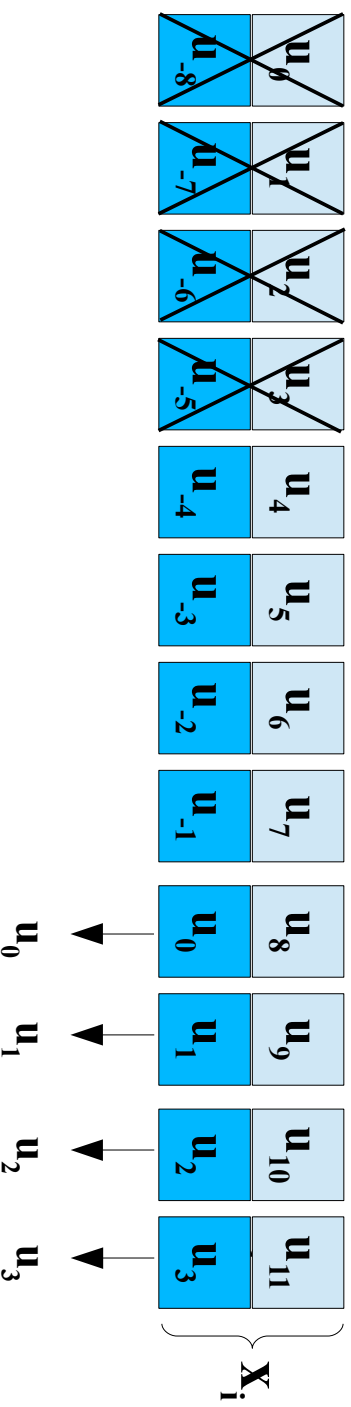
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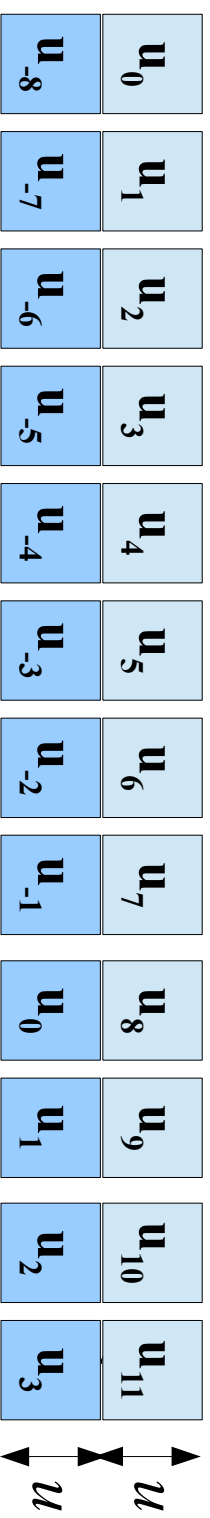
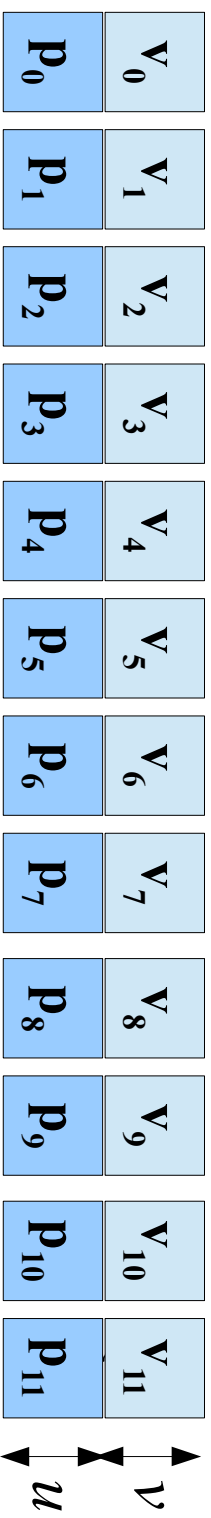


Rate 1/2 Repetition Code,  $T = 8$



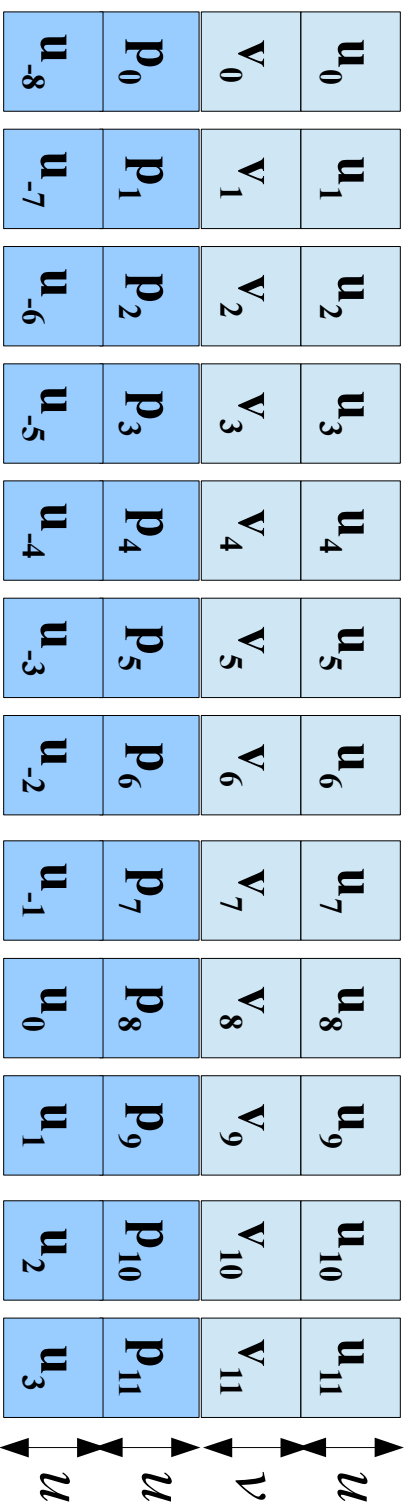
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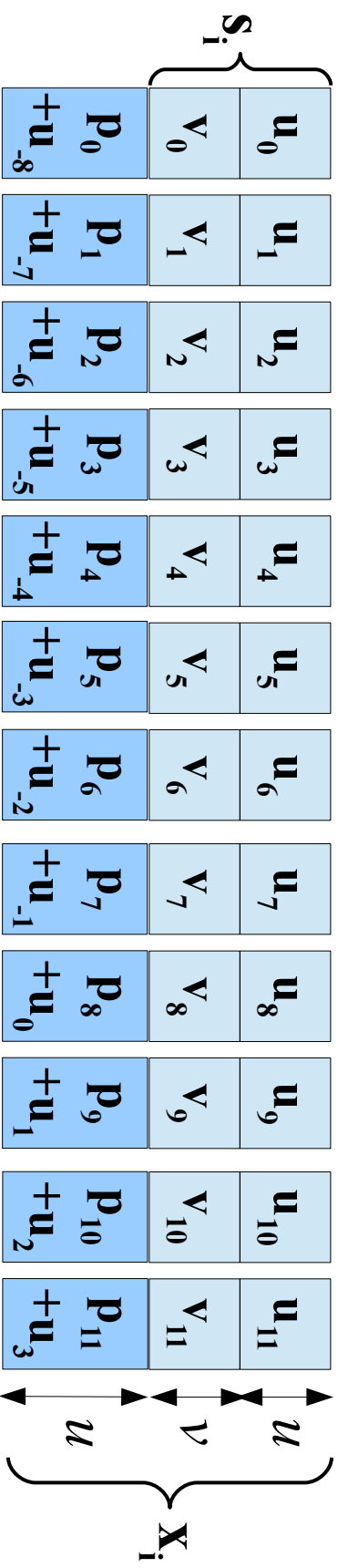


$$R = \frac{u+v}{3u+v} = \frac{1}{2}$$



# Streaming Code - Burst Erasure Channel

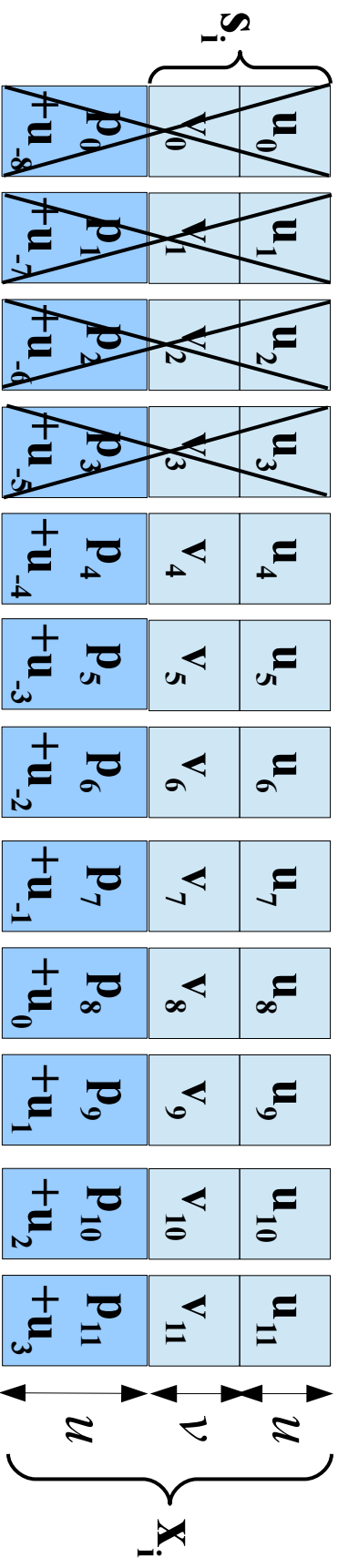
$$B = 4, T = 8, R = \frac{T}{T+B} = \frac{2}{3}$$



$$R = \frac{u+v}{2u+v} = \frac{2}{3}$$

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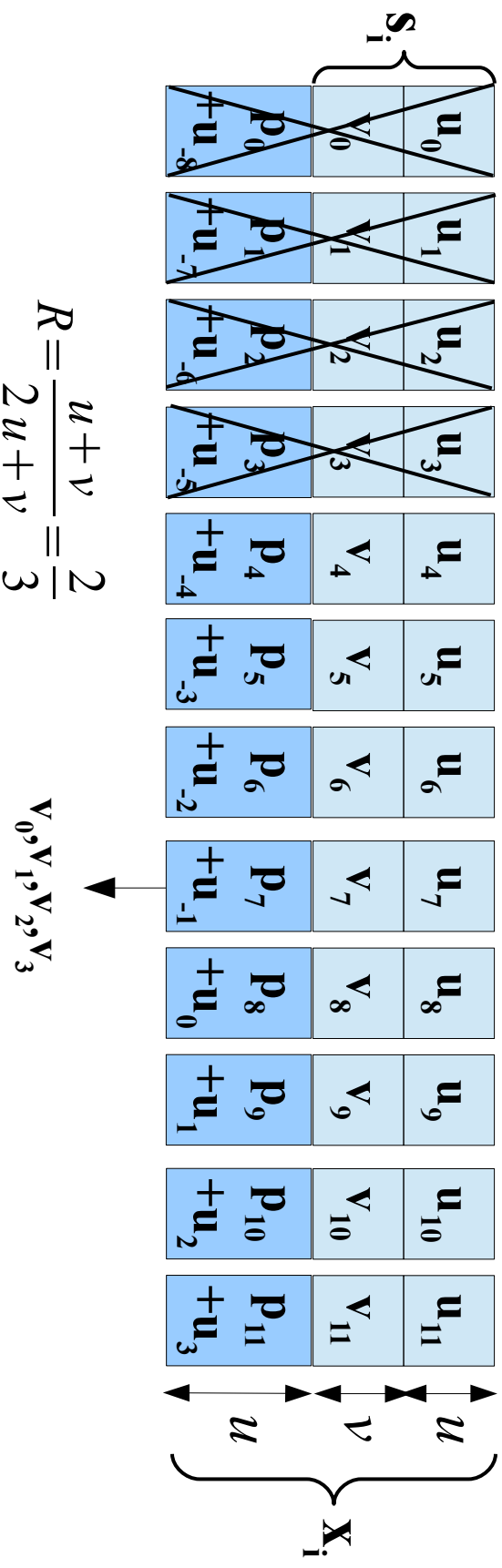
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Encoding:

- 1 **Source Splitting:**  $s_i = (\mathbf{u}_i, \mathbf{v}_i)$ ,  $\mathbf{u}_i \in \mathbb{F}_q^B$ ,  $\mathbf{v}_i \in \mathbb{F}_q^{T-B}$
- 2 **Erasure Code on  $\mathbf{v}_i$ :** Generate  $\mathbf{v}_i \rightarrow (\mathbf{v}_i, \mathbf{p}_i)$  where  $\mathbf{p}_i \in \mathbb{F}_q^B$  is obtained from a Strongly-MDS code.
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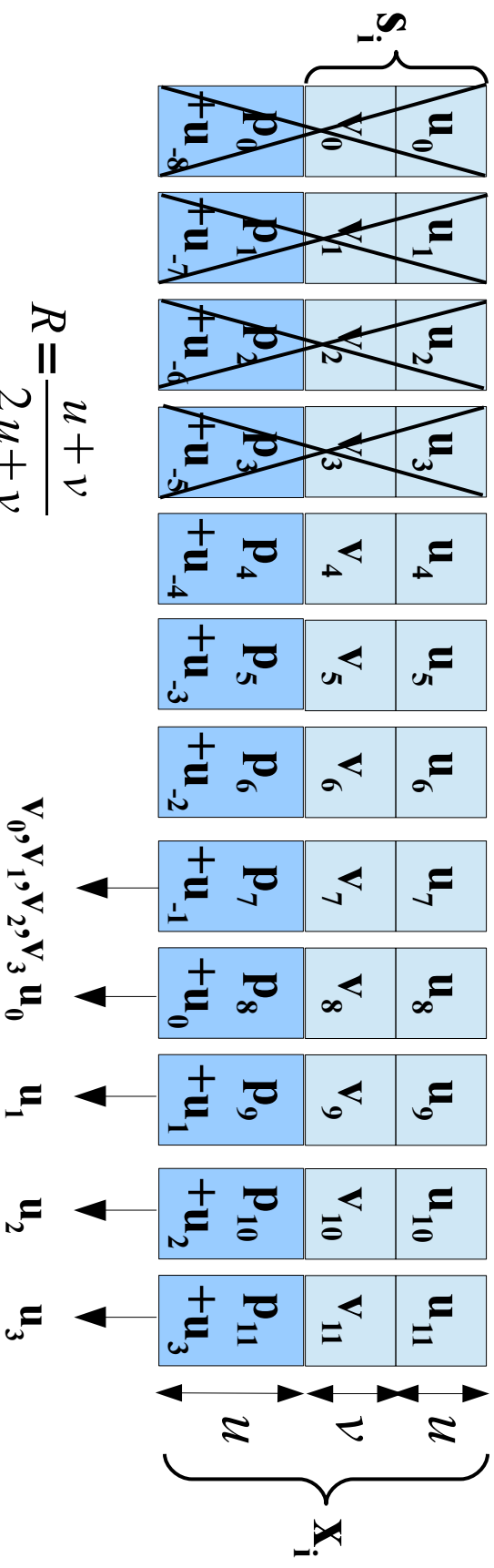


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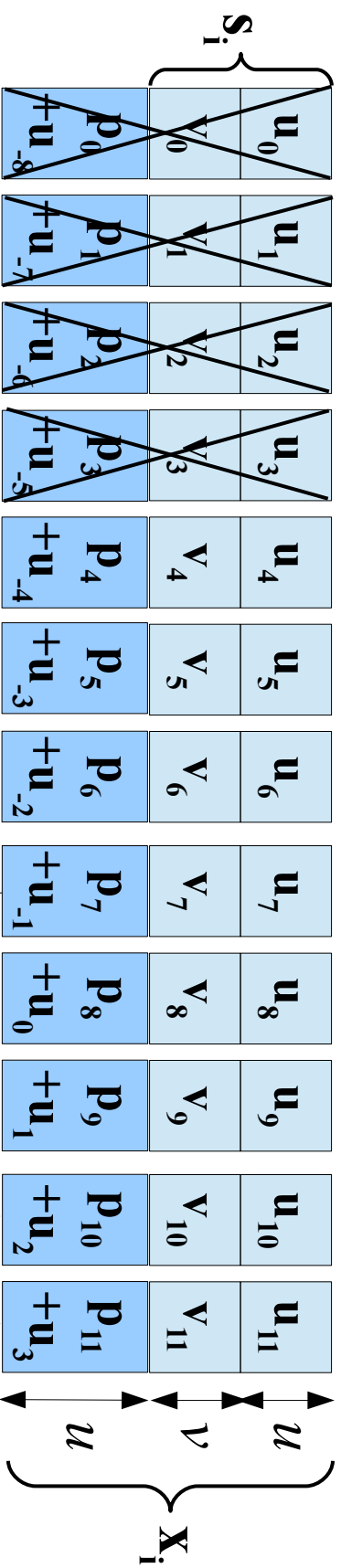
$$v_0, v_1, v_2, v_3, u_0, u_1, u_2, u_3$$

Encoding:

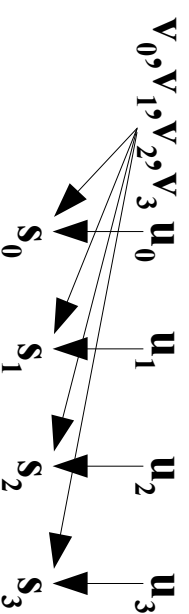
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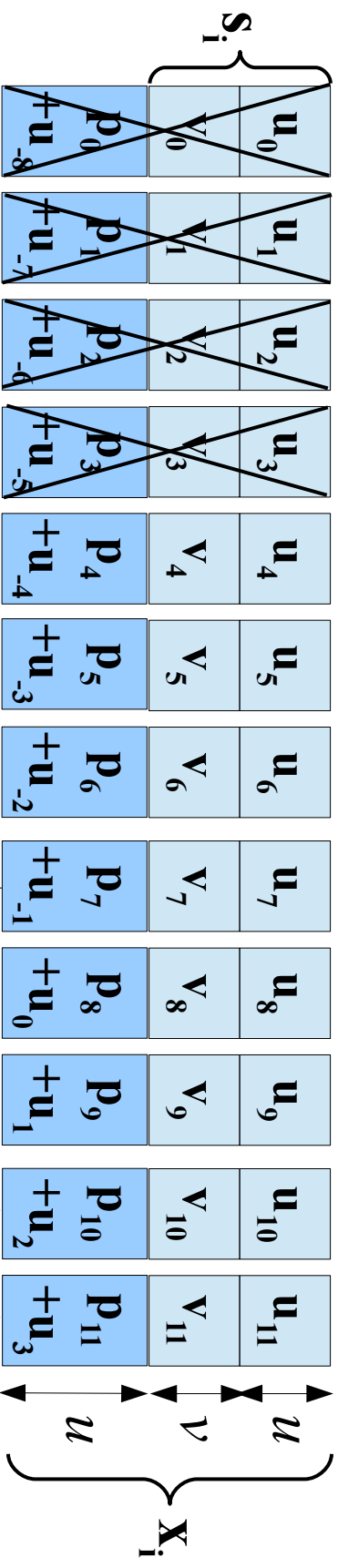


Encoding:

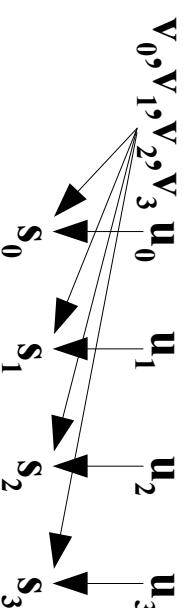
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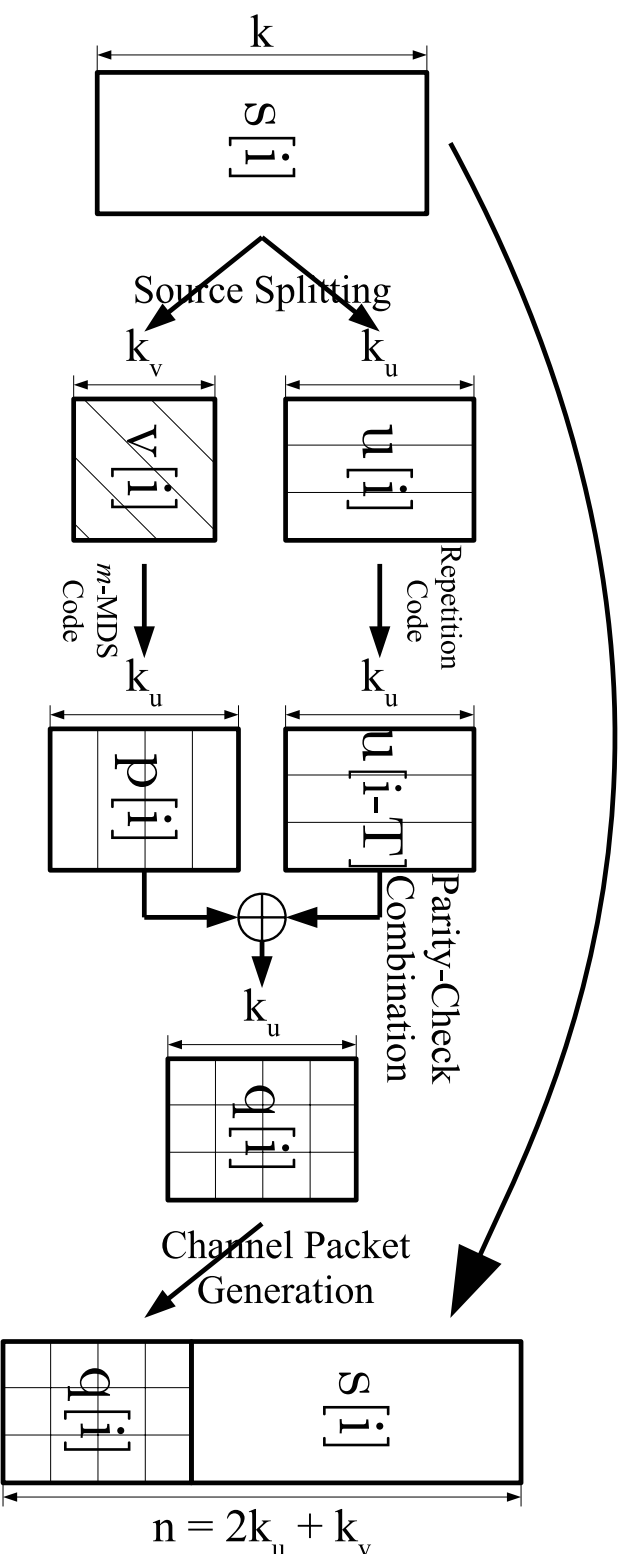


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# Streaming Code

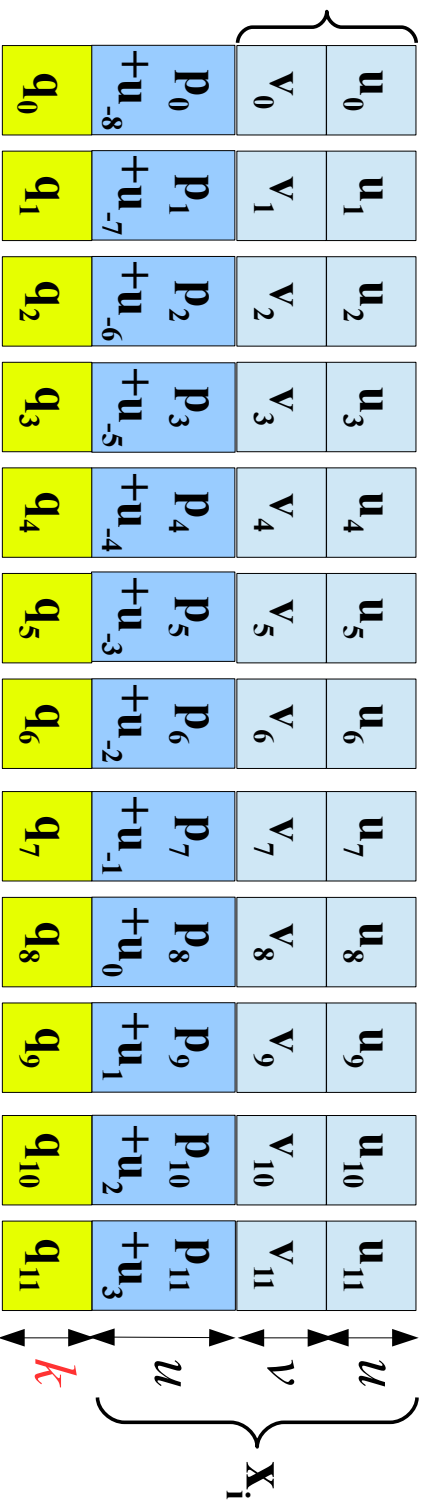
Burst Erasure Channel,  $R = \frac{T}{T+B}$



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# Robust Extension: $\mathcal{C}(N, B, W)$ Channel

## Layered Code Design



- **Burst-Erasure Streaming Code:**  $(\mathbf{u}_i, \mathbf{v}_i, \mathbf{p}_i + \mathbf{u}_{i-T})$
- **Erasure Code:**  $\mathbf{q}_i = \sum_{t=1}^M \mathbf{u}_{i-t} \cdot \mathbf{H}_t^u$ ,  $\mathbf{q}_i \in \mathbb{F}_q^k$
- **Concatenation:**  $(\mathbf{u}_i, \mathbf{v}_i, \mathbf{p}_i + \mathbf{u}_{i-T}, \mathbf{q}_i)$

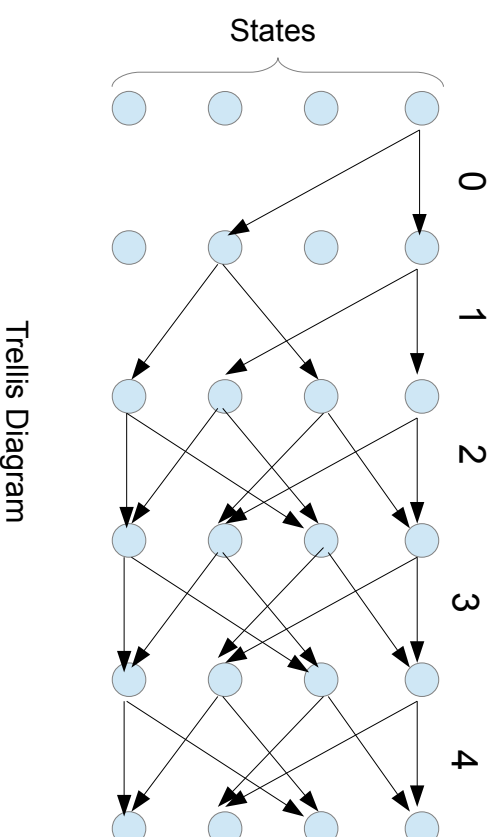
$$R = \frac{T}{T + B + k}$$

- Attains the lower bound



# Distance and Span Properties

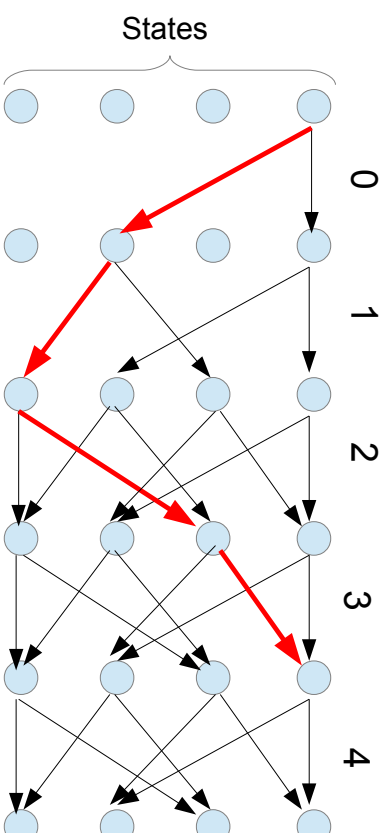
Consider  $(n, k, m)$  Convolutional code:  $\mathbf{x}_i = \sum_{j=0}^m \mathbf{s}_{i-j} \mathbf{G}_j$



Trellis Diagram

# Distance and Span Properties

Consider  $(n, k, m)$  Convolutional code:  $\mathbf{x}_i = \sum_{j=0}^m \mathbf{s}_{i-j} \mathbf{G}_j$



Trellis Diagram – Free Distance



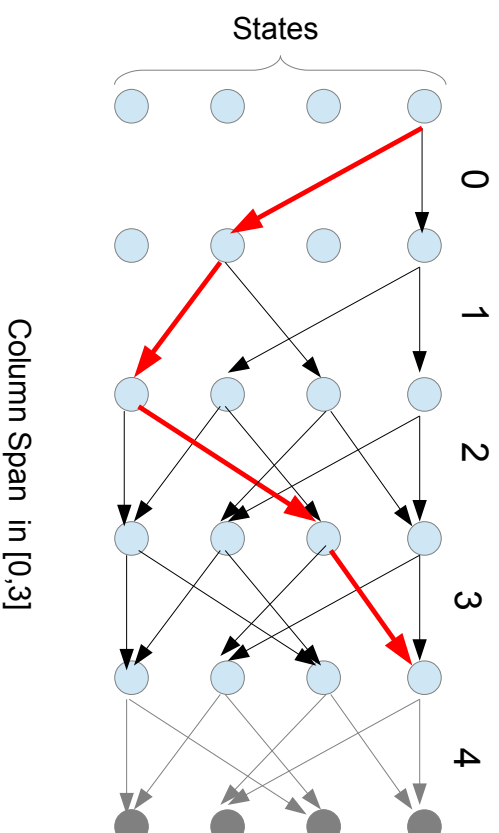


# Distance and Span Properties

Consider  $(n, k, m)$  Convolutional code:  $\mathbf{x}_i = \sum_{j=0}^m \mathbf{s}_{i-j} \mathbf{G}_j$

**Column Distance:  $d_T$**

$$d_T = \min_{\substack{[\mathbf{s}_0, \dots, \mathbf{s}_T] \\ \mathbf{s}_0 \neq 0}} \text{wt} \begin{pmatrix} \mathbf{G}_0 & \mathbf{G}_1 & \dots & \mathbf{G}_T \\ 0 & \mathbf{G}_0 & \dots & \mathbf{G}_{T-1} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{G}_0 \end{pmatrix}$$



# Distance and Span Properties

Consider  $(n, k, m)$  Convolutional code:  $\mathbf{x}_i = \sum_{j=0}^m \mathbf{s}_{i-j} \mathbf{G}_j$

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Column Span:  $c_T$

$$c_T = \min_{\substack{[\mathbf{s}_0, \dots, \mathbf{s}_T] \\ \mathbf{s}_0 \neq 0}} \text{span} \begin{pmatrix} [\mathbf{s}_0 \ \dots \ \mathbf{s}_T] \begin{bmatrix} \mathbf{G}_0 & \mathbf{G}_1 & \dots & \mathbf{G}_T \\ 0 & \mathbf{G}_0 & \dots & \mathbf{G}_{T-1} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & \dots & \mathbf{G}_0 \end{bmatrix} \end{pmatrix}$$

# Column-Distance & Column Span Tradeoff

Badr-Patil-Khristi-Tan-Apostolopoulos (To Appear T-IT 2015)

## Theorem

*Consider a  $\mathcal{C}(N, B, W)$  channel with delay  $T$  and  $W \geq T + 1$ . A streaming code is feasible over this channel if and only if it satisfies:  $d_T \geq N + 1$  and  $c_T \geq B + 1$*

# Column-Distance & Column Span Tradeoff

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## Theorem

For any rate  $R$  convolutional code and any  $T \geq 0$  the Column-Distance  $d_T$  and Column-Span  $c_T$  satisfy the following:

$$\left( \frac{R}{1-R} \right) c_T + d_T \leq T + 1 + \frac{1}{1-R}$$

There exists a rate  $R$  code (MIDAS Code) over a sufficiently large field that satisfies:

$$\left( \frac{R}{1-R} \right) c_T + d_T \geq T + \frac{1}{1-R}$$

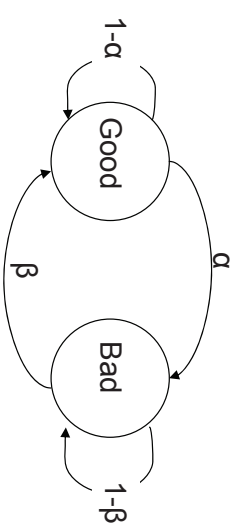


# Simulation Result

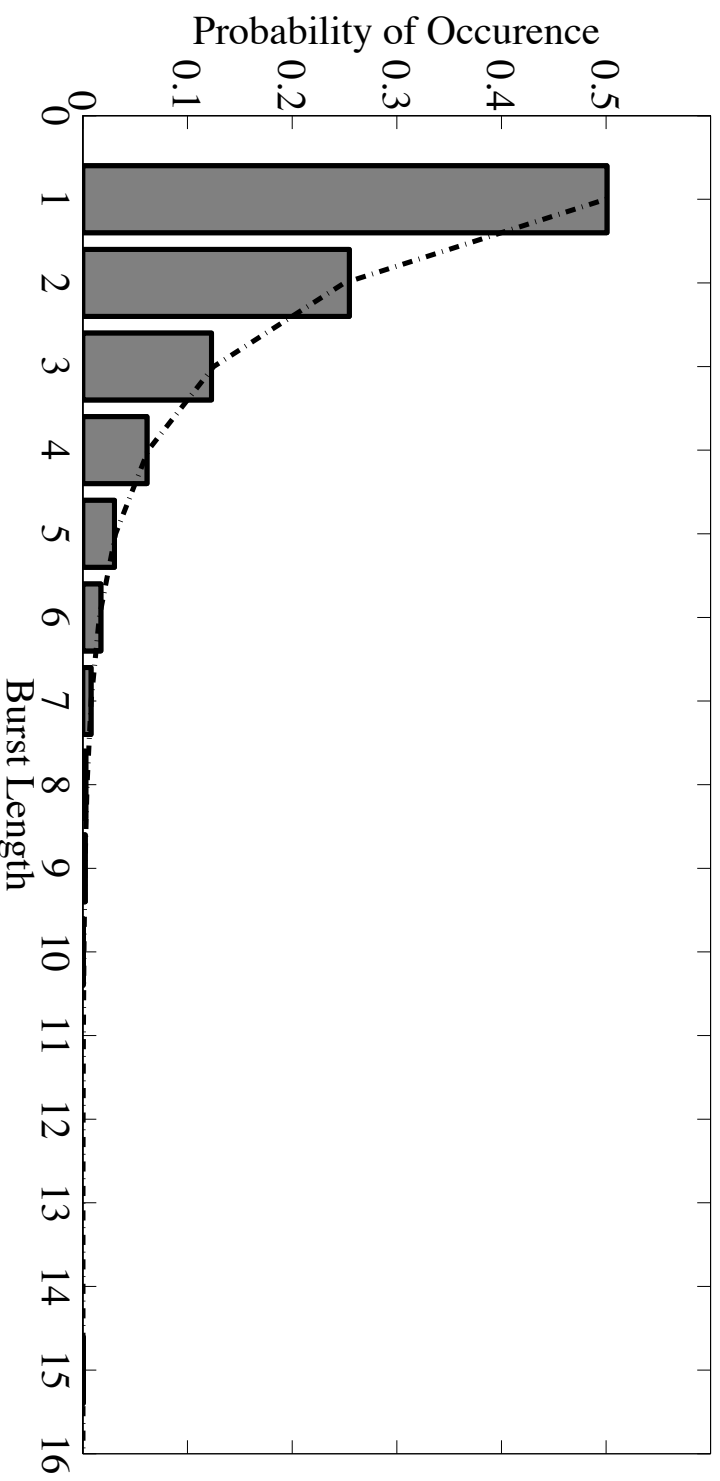
Gilbert-Elliott Channel ( $\alpha, \beta$ ) = ( $5 \times 10^{-4}$ , 0.5),  $T = 12$  and  $R \approx 0.5$

## Gilbert Elliott Channel

- Good State:  $\Pr(\text{loss}) = \epsilon$
- Bad State:  $\Pr(\text{loss}) = 1$



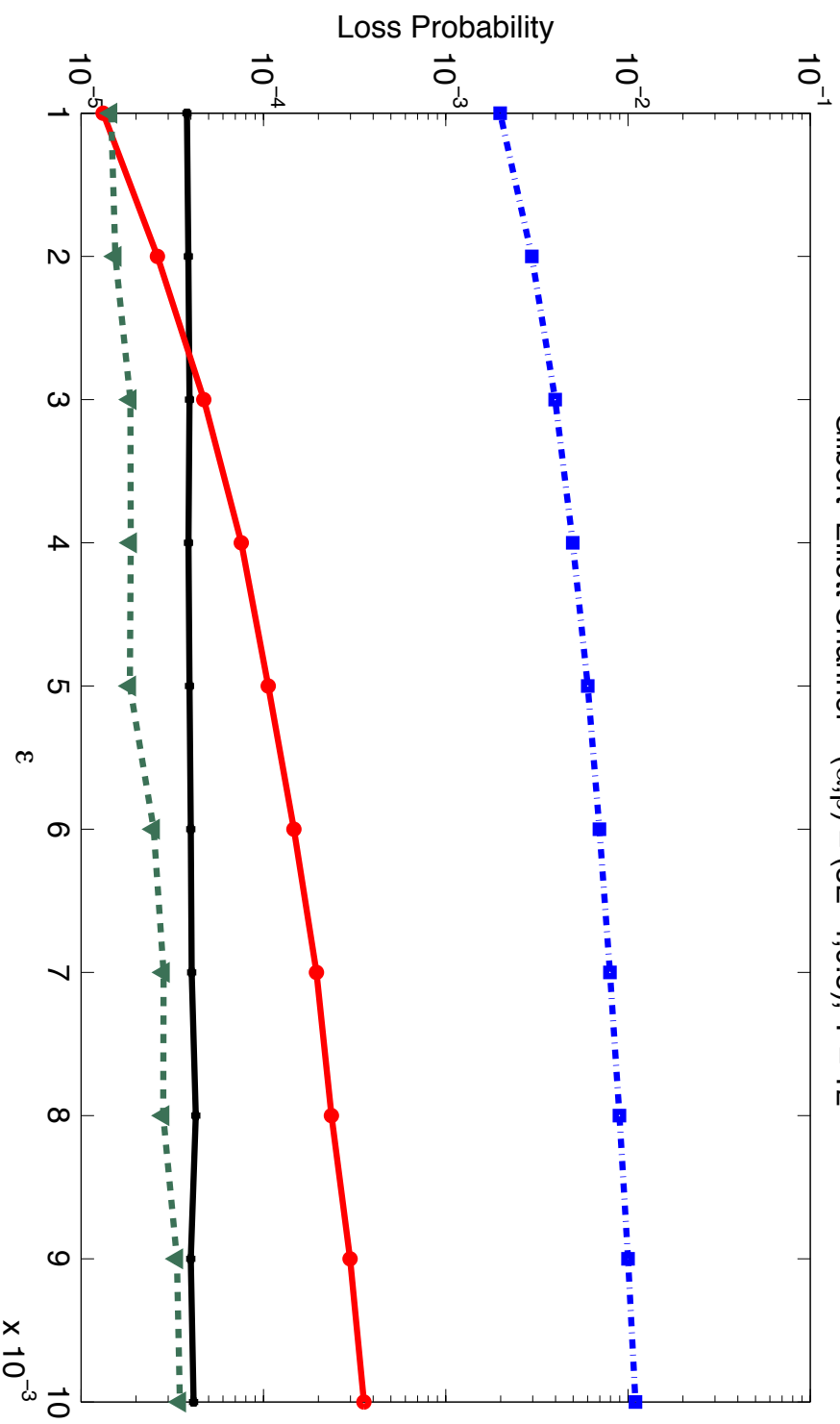
Gilbert Channel – ( $\alpha, \beta$ ) = ( $5 \times 10^{-4}$ , 0.5) – Simulation Length =  $10^7$



# Simulation Results

Gilbert-Elliott Channel  $(\alpha, \beta) = (5 \times 10^{-4}, 0.5)$ ,  $T = 12$  and  $R \approx 0.5$

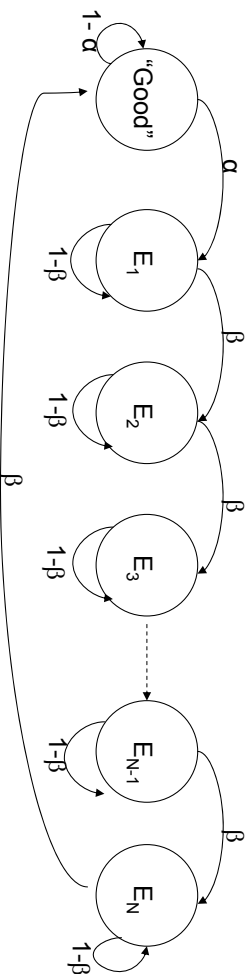
Gilbert-Elliott Channel –  $(\alpha, \beta) = (5E-4, 0.5)$ ,  $T = 12$



Code	N	B	Code	N	B
Strongly MDS	6	6	MIDAS	2	9
Burst-Erasure	1	11			

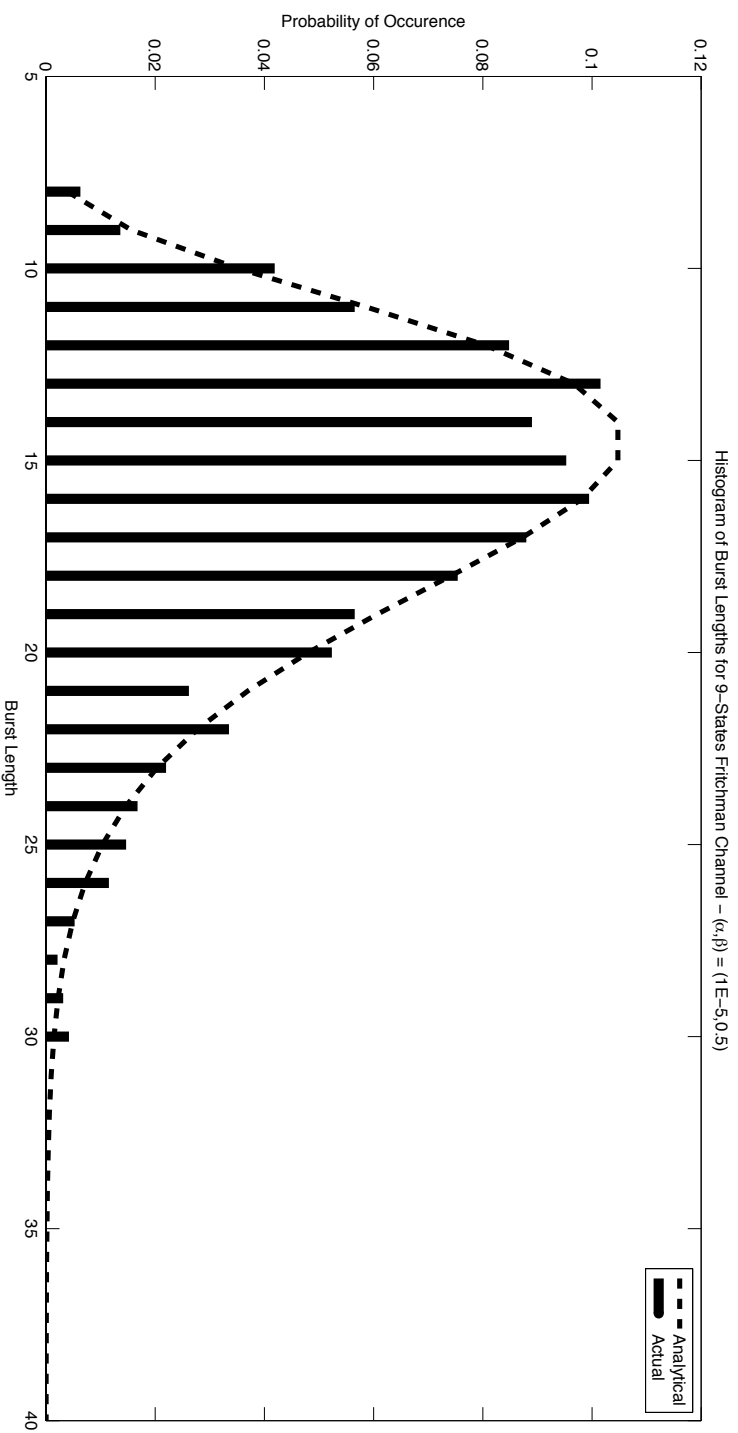
# Simulation Results-II

Fritchman Channel  $(\alpha, \beta) = (1e-5, 0.5)$  and  $T = 40$  and  $R = 40/79$ , 9 states



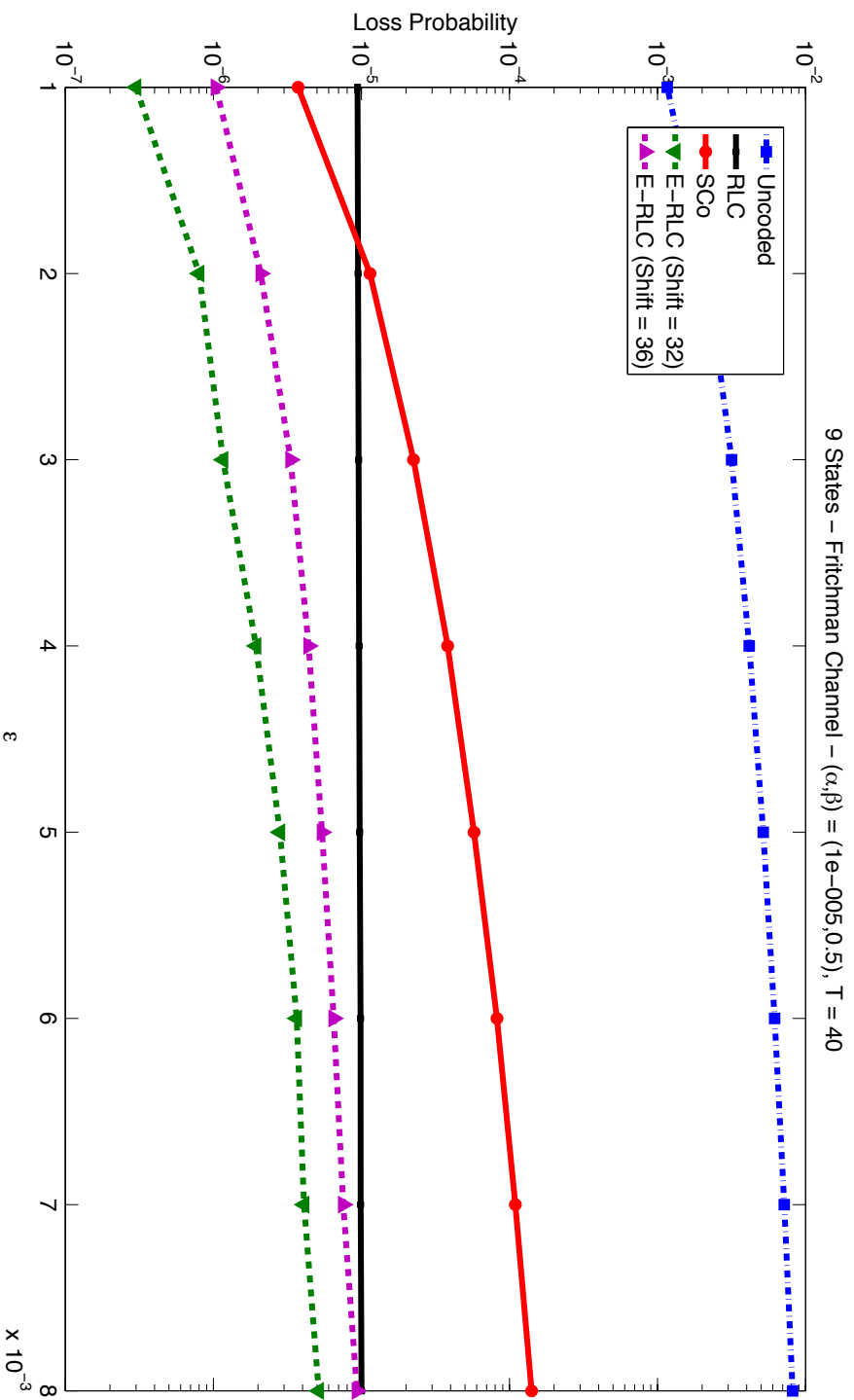
●  $\alpha = 1e-5$

●  $\beta = 0.5$



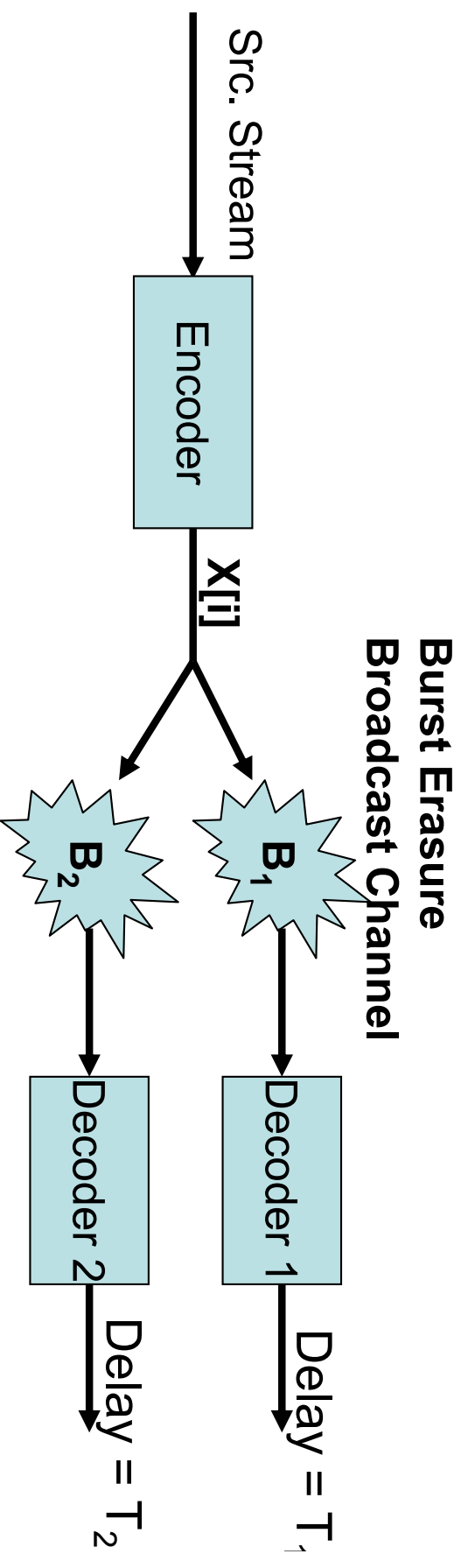
# Simulation Results-II

Fritchman Channel ( $\alpha, \beta$ ) =  $(1e-5, 0.5)$  and  $T = 40$  and  $R = 40/79$ , 9 states



Code	N	B	Code	N	B
Strongly MDS	20	20	MiDAS-I	8	31
Burst Erasure	1	39	MiDAS-II	4	35

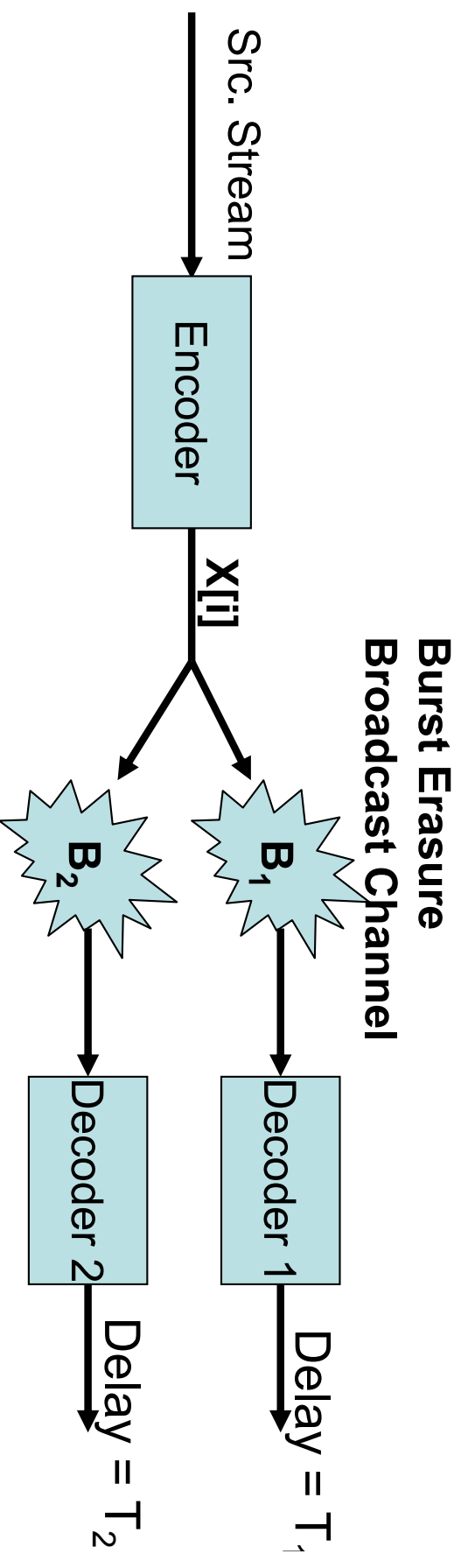
# Multicast Streaming Codes



## Motivation

- $B_1 < B_2$
- Receiver 1 : Good Channel State
- Receiver 2: Weaker Channel State
- Delay adapts to Channel State

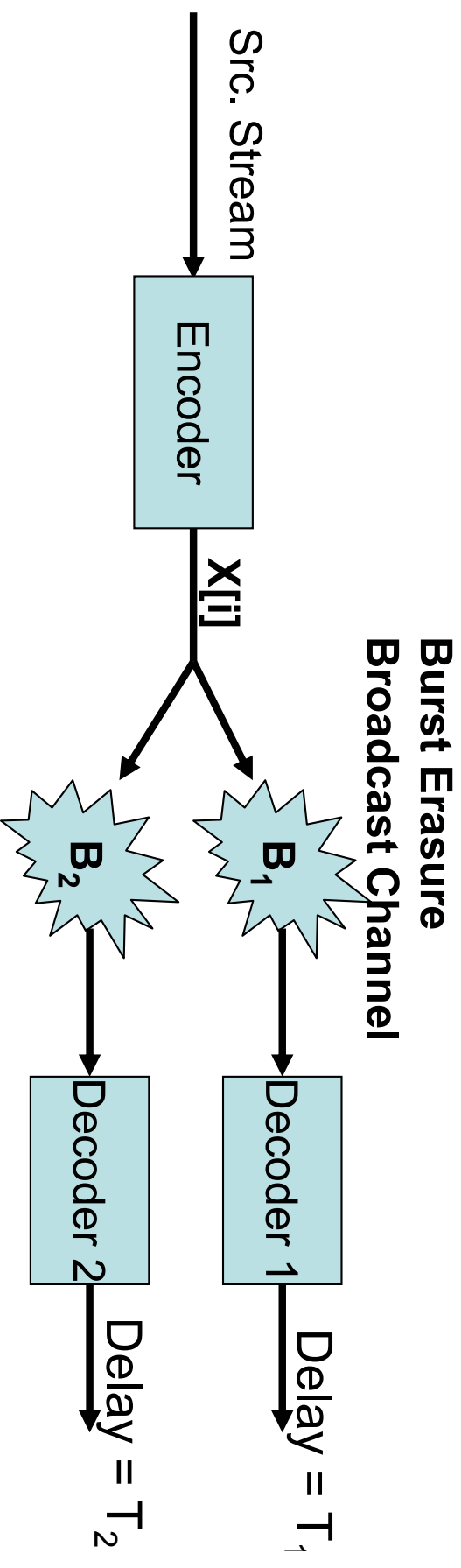
# Multicast Streaming Codes



## Capacity Function

- Capacity function  $C(T_1, T_2, B_1, B_2)$
- Single User Upper Bound:  $C \leq \min \left( \frac{T_1}{T_1 + B_1}, \frac{T_2}{T_2 + B_2} \right)$
- Concatenation Lower Bound:  $C \geq \frac{1}{1 + \frac{B_1}{T_1} + \frac{B_2}{T_2}}$

# Multicast Streaming Setup



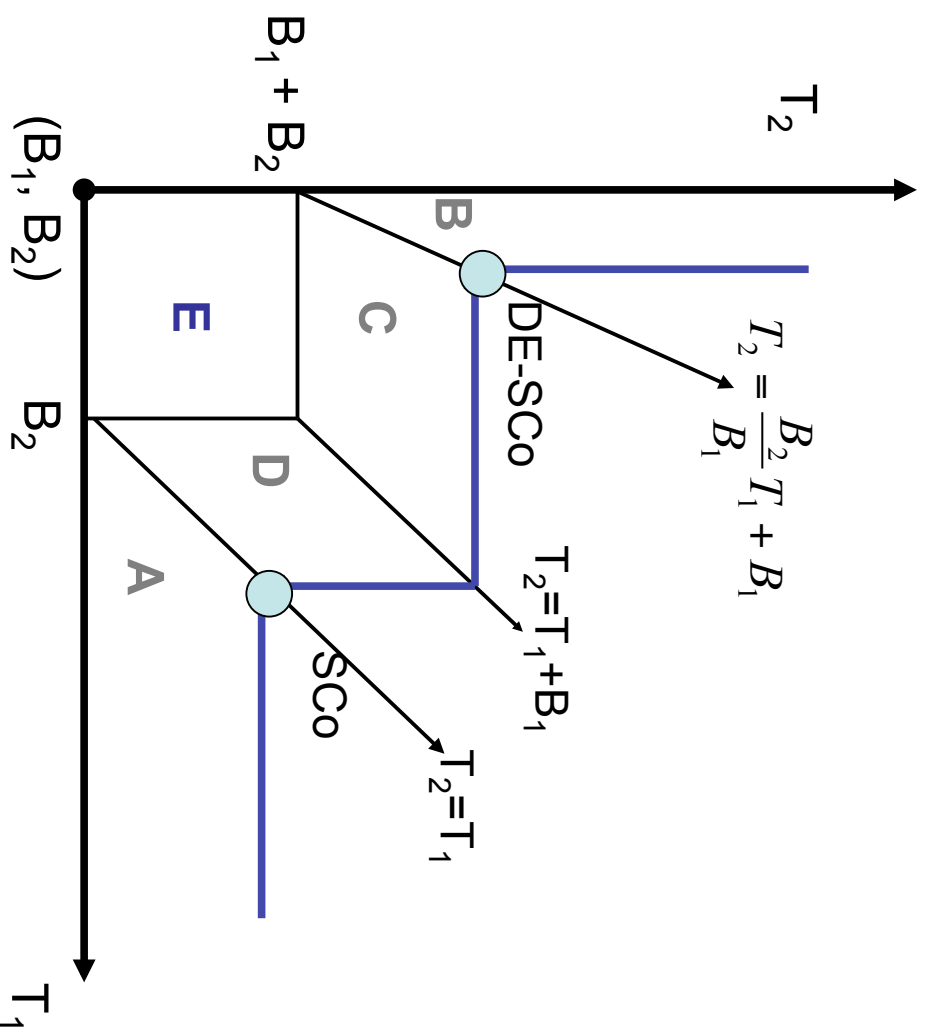
## Capacity Function

- Capacity function  $C(T_1, T_2, B_1, B_2)$
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- Concatenation Lower Bound:  $C \geq \frac{1}{1 + \frac{B_1}{T_1} + \frac{B_2}{T_2}}$

# Multicast Streaming Capacity

Badr-Khristi-Lui (IT Trans. To Appear 2015)

Assume w.l.o.g.  $B_2 \geq B_1$



Region	Capacity
A	$\frac{T_2}{T_2 + B_2}$
B	$\frac{T_1}{T_1 + B_1}$
C	$\frac{T_2 - B_1}{T_2 - B_1 + B_2}$
D	$\frac{T_1}{T_1 + B_2}$
E	Partial Characterization



## Other Extensions

- **Mismatched Streaming Codes** (Patil-Badr-Khristi-Tan Asilomar 2013)
- **Partial Recovery Streaming Codes** (Badr-Khristi-Tan-Apostolopoulos JSTSP 2014)
- **Multiple Erasure Bursts** (Li-Khristi-Girod Asilomar 2011) - Interleaved Low-Delay Codes
- **Multiple Links** (Lui-Badr-Khristi CWIT 2011) - Layered coding for burst erasure channels
- **Multiple Source Streams with Different Decoding Delays** (Lui (Unpublished) 2011) - Embedded Codes

### Other Results

- **Burst Erasure Channels:** Martinian and Sundberg (IT-2004)
- **Other Recent Results:** Leong-Ho (ISIT 2012), Leong-Qureshi-Ho (ISIT 2013)

# Conclusions

Real-Time Communication over Channels with Burst and Isolated

Erasures

- Sliding Window Erasure Channel Model
- MiDAS Codes: Near Optimal Distance/Span Tradeoff
- Layering Approach
- Distance and Span Metrics

Future Work

- Improved constructions for short-inter burst gaps
- Systems Theoretic Approach (e.g. Dual Codes for MiDAS Codes)
- Analysis of probabilistic channels

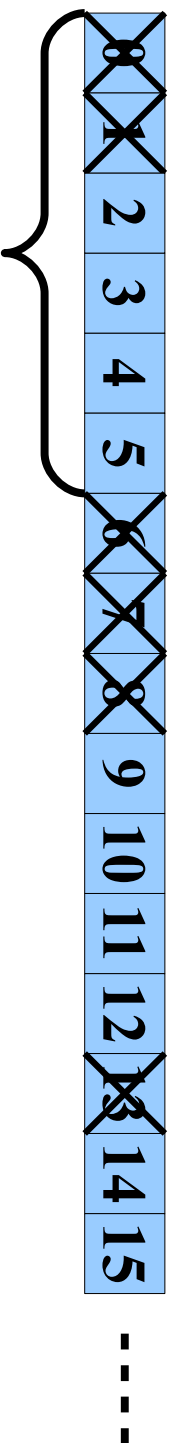
# References

- A. Badr, P. Patil, A. Khisti, W. Tan and J. Apostolopoulos “Layered Construction for Low-Delay Streaming Codes” To Appear, *IEEE Trans. Inf. Theory*, 2015
- A. Badr, A. Khisti, W. Tan and J. Apostolopoulos, “Streaming Codes with Partial Recovery over Channels with Burst and Isolated Erasures,” *IEEE Journal on Selected Topics in Signal Processing (JSTSP)*, Special Issue on Interactive Media Processing for Immersive Communication, To Appear, Mar. 2015
- A. Badr, D. Lui and A. Khisti, “Low Delay Streaming Codes for Multicast over Burst Erasure Channels,” To Appear, *IEEE Trans. Inf. Theory*, 2015
- A. Badr, A. Khisti and E. Martinian, “Diversity Embedded Streaming Erasure Codes (DE-SCO): Constructions and Optimality,” *JSAC Special Issue on Trading Rate for Delay at the transport and application layers*, Mar. 2011

Banff Workshop: Mathematical Coding Theory in Multimedia Streaming (Oct. 2015).  
Organized by E. Soljanin, A. Khisti, H. Gluesing-Luerssen and J. Rosenthal

# Sliding Window Erasure Channel: Remarks

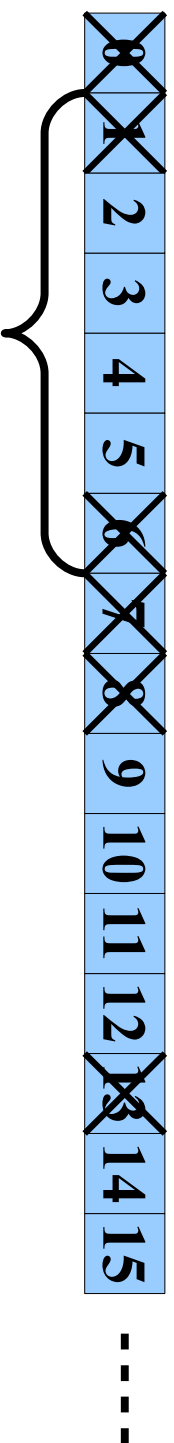
$$(N, B, W) = (2, 3, 6)$$



$$W = 6$$
$$N = 2$$

# Sliding Window Erasure Channel: Remarks

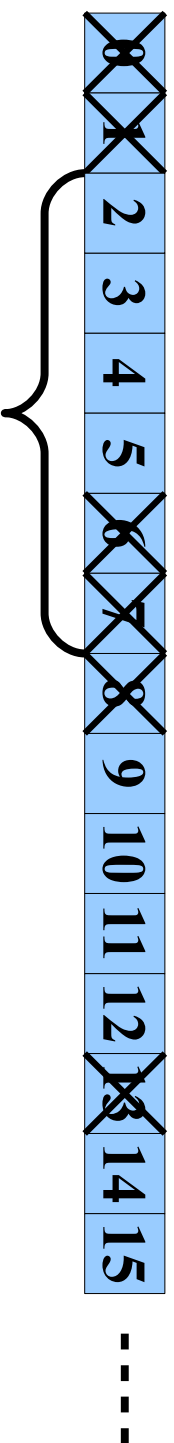
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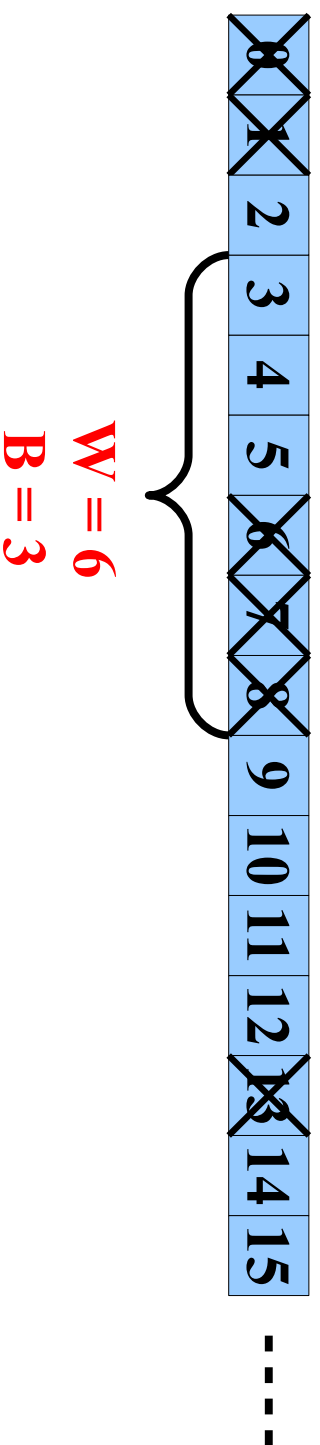


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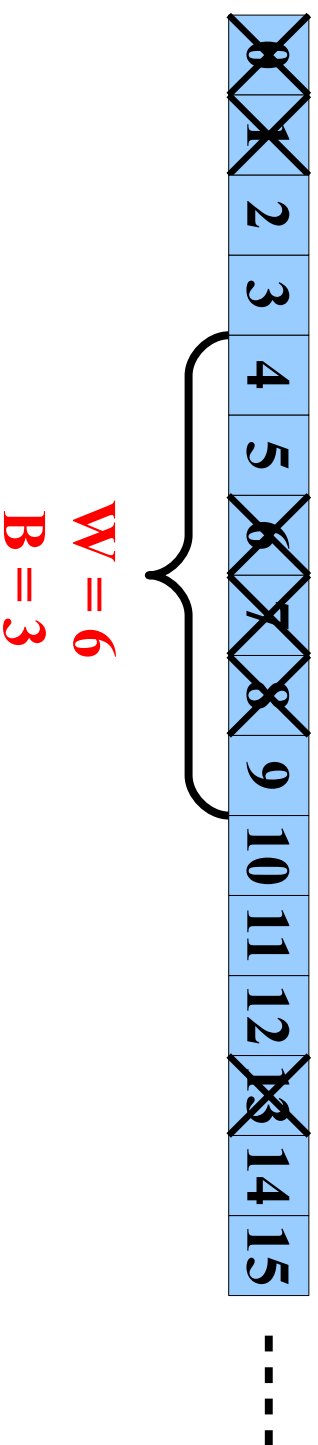
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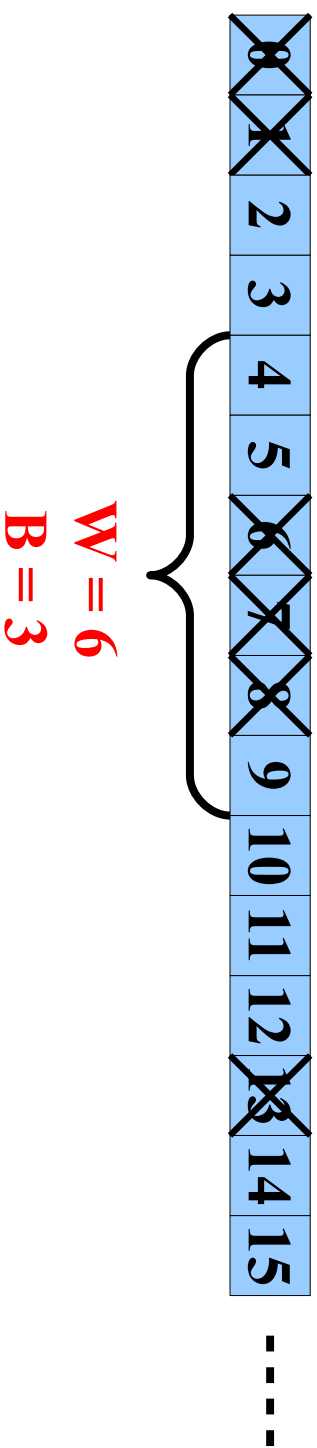


- $\mathcal{C}(N = 1, B, W)$ : Burst-Erasure Channel

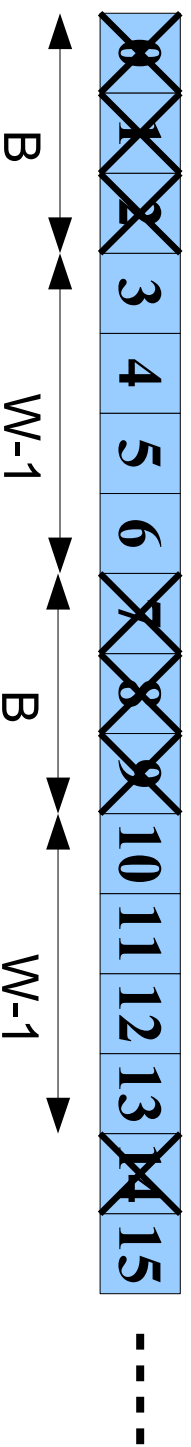


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$$(N, B, W) = (2, 3, 6)$$

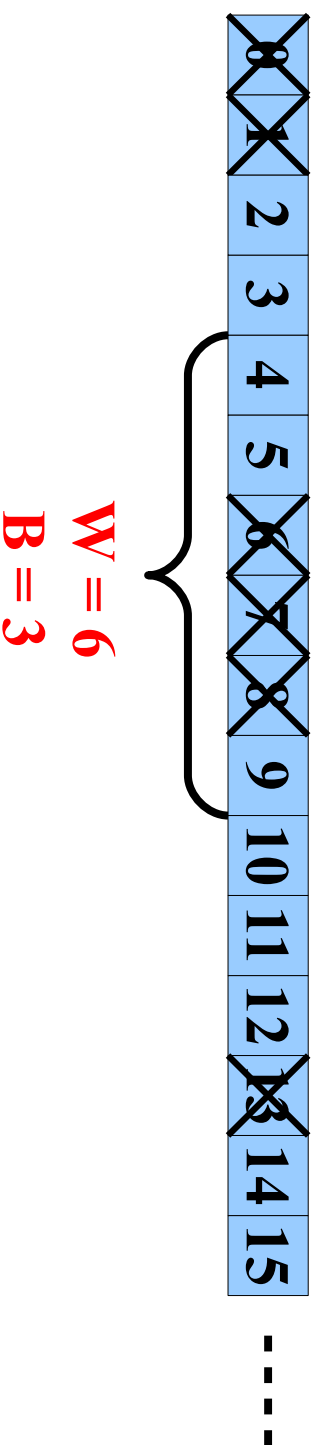


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$$(N, B, W) = (2, 3, 6)$$



- $\mathcal{C}(N = 1, B, W)$ : Burst-Erasure Channel
- $\mathcal{C}(N, B, W)$ :

