

# Graphon limit and large independent sets in uniform random cographs

Valentin Féray

joint work with F. Bassino, M. Bouvel,  
M. Drmota, L. Gerin, M. Maazoun and A. Pierrot

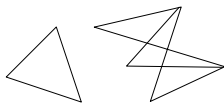
CNRS, Institut Élie Cartan de Lorraine (IECL)

Workshop Graph Limits, Non-Parametric Models, and Estimation  
Berkeley, September 2022

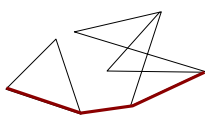


## Definition

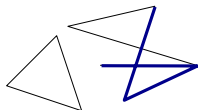
A cograph is a  $P_4$ -free graph, i.e. not containing  $P_4$  as an induced subgraph.



A (disconnected) cograph



These are not cographs



**Questions:** asymptotic behaviour of a uniform random cograph

- What is its graphon limit?
- Existence of independent sets/cliques of linear size?

**Motivations:**

- When studying  $H$ -free graphs, the case  $H = P_4$  is special with an interesting limit object;
- Probabilistic work around Erdős-Hajnal conjecture.

# First part

## Asymptotic enumeration of $H$ -free graphs

## Background: enumerating $H$ -free graphs

Fix a graph  $H$ , and consider its **chromatic number**  $k = \chi(H)$ .

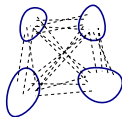
Observation: if  $\chi(G) \leq k - 1$ , then  $G$  is  $H$  free.

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**Easy:** There are  $\geq 2^{\left(1 - \frac{1}{k-1} + o(1)\right) \binom{n}{2}}$  graphs  $G$   
with  $n$  vertices and  $\chi(G) \leq k - 1$

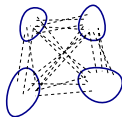


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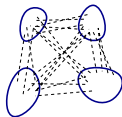
$$\left| \left\{ \begin{array}{l} H\text{-free graphs} \\ \text{with } n \text{ vertices} \end{array} \right\} \right| \geq 2^{\left(1 - \frac{1}{k-1} + o(1)\right) \binom{n}{2}}.$$

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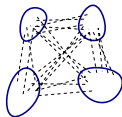
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$$\left| \left\{ \begin{array}{l} H\text{-free graphs} \\ \text{with } n \text{ vertices} \end{array} \right\} \right| \geq 2^{\left(1 - \frac{1}{k-1} + o(1)\right) \binom{n}{2}}.$$

→ works also if  $\chi(\overline{H}) = k$  (putting cliques in the blue clusters),  
or if  $V_H$  cannot be partitioned in  $s$  cliques and  $t$  independent sets for some given  $s + t = k - 1$ .



Background: enumerating  $H$ -free graphs

Theorem (Prömel–Steger, '92)

$$\left| \left\{ \begin{array}{l} H\text{-free graphs} \\ \text{with } n \text{ vertices} \end{array} \right\} \right| = 2^{\left(1 - \frac{1}{r} + o(1)\right) \binom{n}{2}},$$

where  $r$  is maximal such that  $V_H$  cannot be partitioned into  $s$  cliques and  $t$  independent sets, for some  $(s, t)$  with  $s + t = r$ .

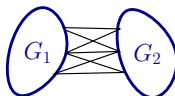
$r + 1$ : coloring number of  $H$ .

$H = P_4$  is one of the few cases with  $r = 1$ . Hence

$$c_n := \left| \left\{ \begin{array}{l} \text{cographs} \\ \text{with } n \text{ vertices} \end{array} \right\} \right| = 2^{o(n^2)}.$$

## Background: enumerating cographs

Operations on graphs:

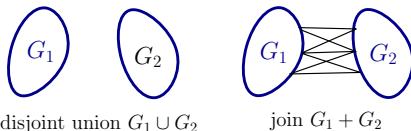
disjoint union  $G_1 \cup G_2$ join  $G_1 + G_2$ 

Proposition (Corneil–Lerchs–Stewart Burlingham '81)

*The class of cographs is the smallest set of graphs containing the one-vertex graph, and **stable by disjoint unions and joins** (cographs are sometimes called complement-reducible graphs).*

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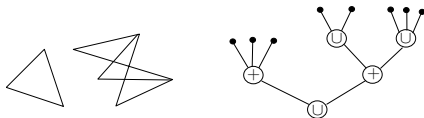
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Consequence: cographs can be encoded by decorated trees



A cograph and the associated decorated tree

Easy to enumerate:  $c_n \sim C n! \kappa^n n^{-3/2}$ , with  $\kappa = (2 \log(2) - 1)^{-1}$  and some explicit constant  $C$  (labeled case).

# Second part

## Graphon limit of uniform random cographs

## A general result for $H$ -free graphs

Fix  $H$  and let  $\mathbf{G}_n$  be a uniform  $H$ -free graph on  $n$  vertices.

**Question:** what is the graphon limit of  $\mathbf{G}_n$  (if it exists)?

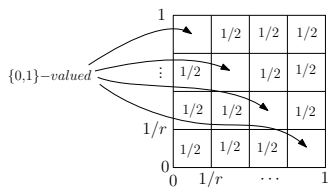
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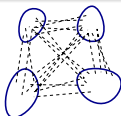
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**Theorem (Hatami, Janson, Szegedy, '18)**

Let  $H$  be a graph and take  $r$  as before. Then any subsequential limit of  $\mathbf{G}_n$  is supported on the set of graphons of the following form:



Reminiscent of the picture



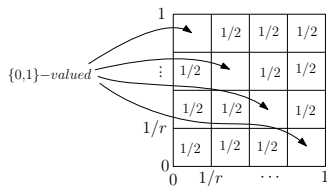
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It does not say much for  $H = P_4$  (where  $r = 1$ ). In fact, all  $P_4$ -free graphons are  $\{0, 1\}$ -valued.

## Limit of uniform random cograph

Theorem (Bouvel–Bassino–F.–Gerin–Maazoun–Pierrot '22, Stufler '22)

Let  $\mathbf{G}_n$  be a uniform random (either labeled or unlabeled) cograph with  $n$  vertices. Then  $W_{\mathbf{G}_n}$  converges in distribution to a *random graphon*  $W^{Br}$ , which we call *Brownian cographon*.



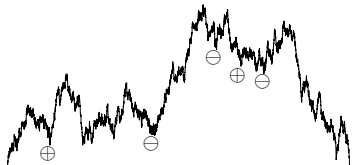
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Construction of  $\mathbf{W}^{Br}$ : start from a Brownian excursion  $\epsilon$  with i.i.d. balanced signs  $(S(m))$  on local minima  $m$  of  $\epsilon$  and set

$$W^{Br}(x, y) = \begin{cases} 1 & \text{if } S(\operatorname{argmin}_{[x, y]} \epsilon) = \oplus; \\ 0 & \text{if } S(\operatorname{argmin}_{[x, y]} \epsilon) = \ominus. \end{cases}$$



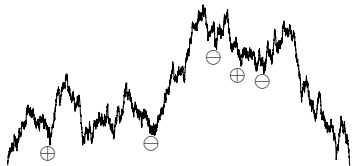
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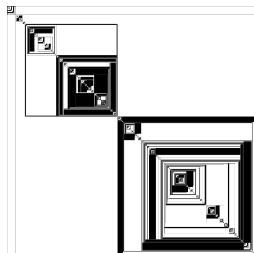
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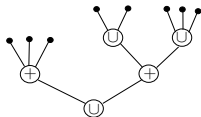


Adjacency matrix of a large uniform cograph (with a well-chosen order of vertices)



## Heuristic for the theorem

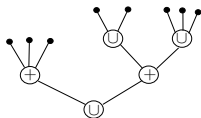
- A cograph  $G$  is encoded by a decorated tree  $T$ ;



- Vertices in  $G$  correspond to leaves in  $T$ ;
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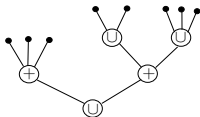
- The limit of  $T$  is Aldous' Continuum Random Tree  $T_\infty$ , coded by a Brownian excursion  $\epsilon$ ;



- Leaves of  $T_\infty$  form a measure 1 subset of  $[0, 1]$ ;
- Youngest common ancestor between  $x$  and  $y$  correspond to  $\operatorname{argmin}_{[x,y]} \epsilon$ . Thus  $x, y$  are linked in  $\mathcal{W}^{Br}$  if  $S(\operatorname{argmin}_{[x,y]} \epsilon) = \oplus$ .

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**Note:** in the discrete, decorations alternate; in the continuous, they are independent.

Sampling from  $\mathbf{W}^{Br}$ 

$G(n, \mathbf{W}^{Br})$  has vertex set  $[n]$  and  $i \sim j$  if and only if  $\mathbf{W}^{Br}(U_i, U_j) = 1$  ( $U_1, \dots, U_n$  i.i.d. unif. in  $[0, 1]$ ).

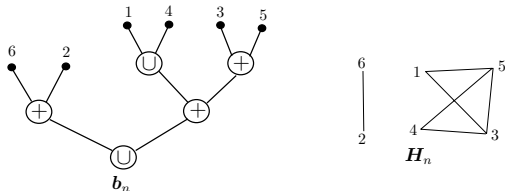
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## Proposition

Let  $T_n$  be a uniform random binary tree with  $n$  leaves and choose independent  $\{U, +\}$  decoration for its internal node. Let  $H_n$  be the associated cograph. Then

$$G(n, W^{Br}) \stackrel{d}{=} H_n.$$



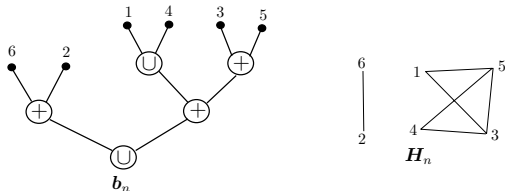
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## Expected degree distribution of $\mathbf{W}^{Br}$

Consider  $\mathbf{G}(n, \mathbf{W}^{Br})$  the random graph with  $n$  vertices sampled from  $\mathbf{W}^{Br}$ .

Proposition (Bouvel–Bassino–F.–Gerin–Maazoun–Pierrot '22)

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### Corollary 1

The expected degree distribution of  $\mathbf{W}^{Br}$

$$\text{Law} \left( \mathbb{E} \left[ \int_0^1 \mathbf{W}^{Br}(U, y) dy \mid U \right] \right)$$

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### Corollary 2

The normalized degree  $\frac{d_v}{n}$  of a uniform random vertex  $\mathbf{v}$  in a uniform random cograph on  $n$  vertices is asymptotically uniform in  $[0, 1]$ .

# Third part

## Independent sets in uniform random cographs

# Erdős-Hajnal conjecture and the probabilistic version

## Erdős-Hajnal conjecture ('89)

Fix a graph  $H$ . There exists  $\varepsilon = \varepsilon(H)$  such that every  $H$ -free graph contains a homogeneous set of size  $n^\varepsilon$ .

homogeneous set = clique or independent set

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## Theorem (Loebl-Reed-Scott-Thomason-Thomassé, '14)

Fix a graph  $H$ . There exists  $\varepsilon = \varepsilon(H)$  such that a uniform random  $H$ -free graph contains a homogeneous set of size  $n^\varepsilon$  (with high probability).

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## Question (KMRS, '14)

Does this hold for  $H = P_4$ ?

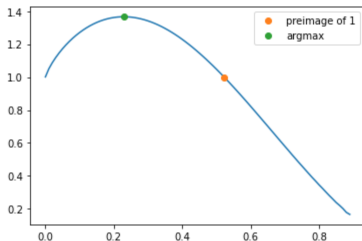


# Large independent sets in uniform random cographs

Theorem (Bouvel–Bassino–Drmota–F.–Gerin–Maazoun–Pierrot '22)

Let  $\mathbf{G}_n$  be a uniform random cograph of size  $n$ .

- There exists  $\beta_0 > 0$  s.t. for any  $\beta < \beta_0$ , the *expected number*  $\mathbb{E}(X_{n, \lfloor \beta n \rfloor})$  of independent sets of size  $\lfloor \beta n \rfloor$  in  $\mathbf{G}_n$  *grows exponentially fast*.



Exponential growth rate of  $\mathbb{E}(X_{n, \lfloor \beta n \rfloor})$  as a function of  $\beta$

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From ②, for any  $\beta > 0$ , we have  $X_{n, \lfloor \beta n \rfloor} = 0$  with high probability (the expectation is misleading).

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- ② answers KMRS's question from previous slide by the negative;
- Proof of 1 uses analytic combinatorics (and the tree encoding);
- Proof of 2 uses the graphon limit (next few slides).

# Independence number of a graphon

## Definition (Hladký and Rocha, '20)

An **independent set**  $I$  of a graphon  $W$  is a subset  $I \subseteq [0, 1]$  such that  $W(x, y) = 0$  for almost all  $(x, y)$  in  $I \times I$ .

The **independence number** of  $W$ , denoted  $\alpha(W)$ , is the maximum measure of an independent set of  $W$ .

Clearly, if  $G$  is a graph with  $n$  vertices, then  $n\alpha(W_G)$  is the maximum size of an independent set of  $G$ .

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Proposition (Hladký and Rocha, '20)

$\alpha$  is a **lower semi-continuous function**, i.e. if  $W_n$  converges to  $W$ , then  $\limsup \alpha(W_n) \leq \alpha(W)$ .

# Independence number of the Brownian cographon

We only need to prove:

## Proposition

$$\alpha(\mathbf{W}^{Br}) = 0 \text{ a.s.}$$

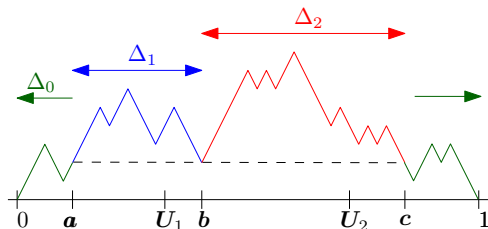
Indeed, let  $\mathbf{G}_n$  be a uniform random cograph on  $n$  vertices. Since  $W_{\mathbf{G}_n}$  tends to  $\mathbf{W}^{Br}$  and  $\alpha$  is lower semi-continuous, it would imply  $W_{\mathbf{G}_n} \rightarrow 0$ .

# Independence number of the Brownian cographon

## Proposition

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Sketch of proof: we use Aldous' **self-similarity** property of the Brownian excursion



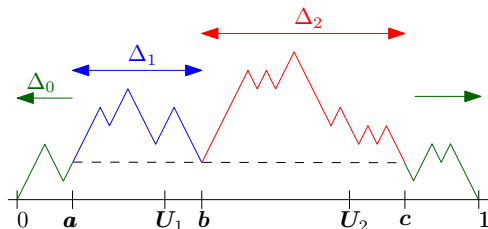
Starting from  $\epsilon$ ,  $U_1$ ,  $U_2$ , we get **three independent excursions**  $(e_0, e_1, e_2)$  scaled by random lengths  $(\Delta_0, \Delta_1, \Delta_2)$ .

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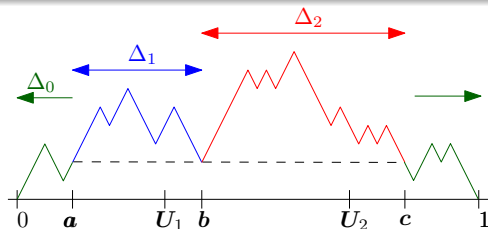
Starting from  $W^{Br}$ , we get **three independent copies**  $W_0^{Br}$ ,  $W_1^{Br}$  and  $W_2^{Br}$  of the Brownian cographon.



# Independence number of the Brownian cographon

## Proposition

$$\alpha(\mathbf{W}^{Br}) = 0 \text{ a.s.}$$



An independent set of  $W^{Br}$  consists of

- an independent set of  $W_0^{Br}$  (scaled by  $\Delta_0$ );
- if  $S(b) = \ominus$ , an independent set of  $W_1^{Br}$  (scaled by  $\Delta_1$ ) and an independent set of  $W_2^{Br}$  (scaled by  $\Delta_2$ );
- if  $S(b) = \oplus$ , an independent set of  $W_1^{Br}$  (scaled by  $\Delta_1$ ) or an independent set of  $W_2^{Br}$  (scaled by  $\Delta_2$ );

# Independence number of the Brownian cographon

## Proposition

$$\alpha(\mathbf{W}^{Br}) = 0 \text{ a.s.}$$

Therefore, we have:

$$\alpha(W^{Br}) \leq \Delta_0 \alpha(W_0^{Br}) + \begin{cases} \Delta_1 \alpha(W_1^{Br}) + \Delta_2 \alpha(W_2^{Br}) & \text{if } S(b) = \ominus; \\ \max(\Delta_1 \alpha(W_1^{Br}), \Delta_2 \alpha(W_2^{Br})) & \text{if } S(b) = \oplus. \end{cases}$$

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We show by a fixed point + monotonicity argument that  $\delta_0$  is the only distribution satisfying this inequality. Thus  $\alpha(\mathbf{W}^{Br}) = 0$  a.s. □

☹️ We do not control the speed of convergence of  $\alpha(W_{G_n})$  to 0.

## Summary

	enumeration	graphon limit	independent set
$H$ -free ( $r > 1$ )	$e^{\Theta(n^2)}$		$\Theta_P(n)$ (for most $H$ )
cographs	$e^{n \log(n) + \Theta(n)}$ (labeled)	$W^{Br}$	$o_P(n)$

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Thank you for your attention