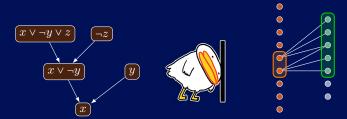
A Lower Bound for k-DNF Resolution on Random CNF Formulas via Expansion



Dmitry Sokolov joint work with Anastasia Sofronova

Simons Institute



St Petersburg University

PDMI RAS

Definition[Cook, Reckhow 79]

Proof system for $L \Leftrightarrow \text{poly-time algorithm } \Pi \colon \{0,1\}^* \times \{0,1\}^* \to \{0,1\} \colon$

- (completeness) $x \in L \Rightarrow \exists w \Pi(x, w) = 1$;
- (soundness) $\exists w \Pi(x, w) = 1 \Rightarrow x \in L$.

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- $\begin{array}{ll}
 & \frac{A \lor x}{A \lor B} \xrightarrow{B \lor \bar{x}}, \\
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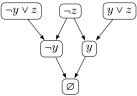
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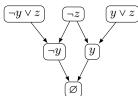
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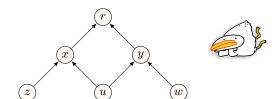
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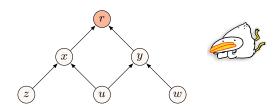
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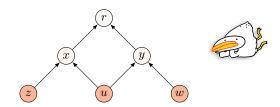
Cutting Planes: proof is a sequence of inequalities over \mathbb{Z} $(p_1 \ge 0, p_2 \ge 0, p_3 \ge 0, \dots, p_\ell \ge 0)$:

- p_i is an encoding of $C \in \varphi$, $x_k \ge 0$ or $-x_k + 1 \ge 0$;
- $\stackrel{p_i \quad p_j}{p_k}, (p_i \ge 0) \land (p_j \ge 0) \text{ imply } (p_k \ge 0) \text{ over } \mathbb{Z}^n;$
- ▶ $p_{\ell} = 1$.

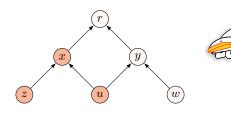




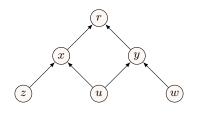




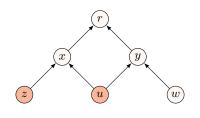
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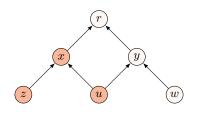


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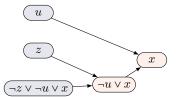


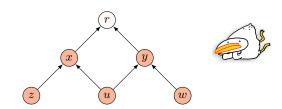
 \overline{z}

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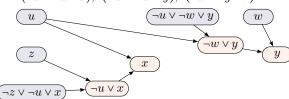


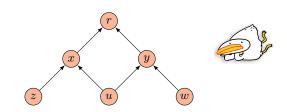
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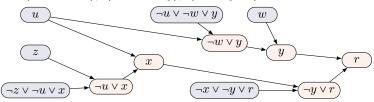


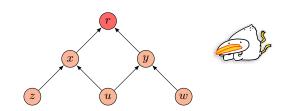
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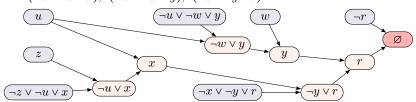


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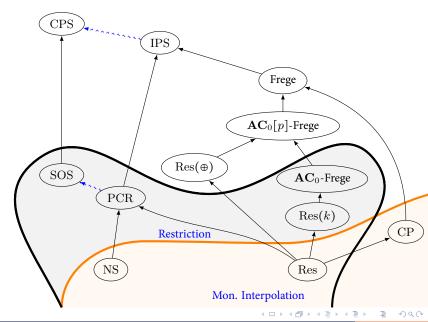




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Lower bounds in proof complexity



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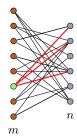
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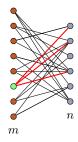
- ▶ Random ∆-CNF formulas
- ▶ Clique formulas
- Pseudorandom generator formulas

Random Δ -CNF



- ▶ m clauses;
- ▶ n variables;
- Δ neighbours: $\binom{n}{\Delta}$ possibilities;
- negations (uniformly at random);
- $\mathfrak{D} \coloneqq \frac{m}{n}$ clause density.

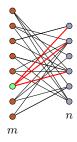
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- $\mathfrak{D} > c_{\Delta} 2^{\Delta} \Rightarrow$ formula is unsat whp;
- Fiege's conjecture: D = O(1) ⇒ no poly-time algorithm may "prove" unsatisfiability of random O(1)-CNF.
 - Non-approximability of many problems.

k-DNF Resolution

ightharpoonup Resolution with extension variables for conjunctions of k literals.

k-DNF Resolution

▶ Resolution with extension variables for conjunctions of *k* literals.

Resolution with extension v

$$\begin{array}{ccc}
& F \\
& F \lor \ell; \\
& F \lor \ell_1, \dots, F \lor \ell_w \\
& F \lor (\bigwedge_{i=0}^{W} \ell_i) \\
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& F \lor (\bigwedge_{i=0}^{W} \ell_i) & G \lor (\bigvee_{i=0}^{W} \neg \ell_i) \\
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lacktriangle Top-down (informal): decision "tree" with conjunctions of k literals.

 $\textbf{Proof system} \qquad \textbf{Upper bound (poly)} \qquad \qquad \textbf{Lower bound } (2^{n^{\varepsilon}})$

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Resolution	$\mathfrak{D} > \frac{n^{\Delta - 2}}{\log^{\Delta - 2} n}$	$\mathfrak{D} \le n^{(\Delta-2)/4}, \Delta \ge 3$

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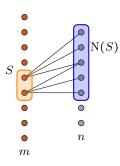
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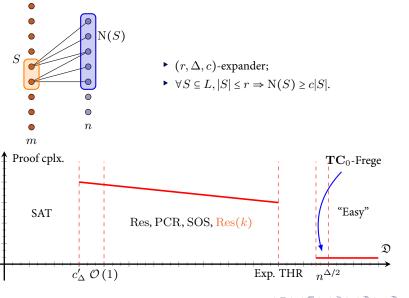
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Expansion



- (r, Δ, c) -expander;
- $\quad \blacktriangleright \ \forall S \subseteq L, |S| \le r \Rightarrow \mathrm{N}(S) \ge c|S|.$

Expansion



Technical tools

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- ▶ Induction on *k*.
- ▶ Restriction technique.
- ▶ "Independence" criteria.

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Theorem

 G_{φ} is an $(r, \Delta, 0.98\Delta)$ -expander $\Rightarrow \forall \delta > 0$ if:

$$n^{\delta} \left(\frac{n}{0.4r}\right)^{20k^2} = o(r/k)$$

then any $\operatorname{Res}(k)$ proof of φ has size at least $2^{n^{\delta}}$.

▶ Larger k?

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- Other hard examples.