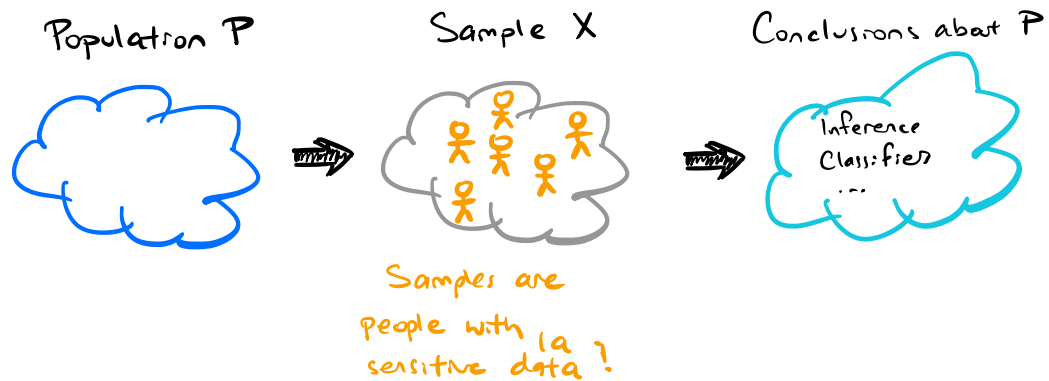


# Privacy and the Complexity of Simple Queries

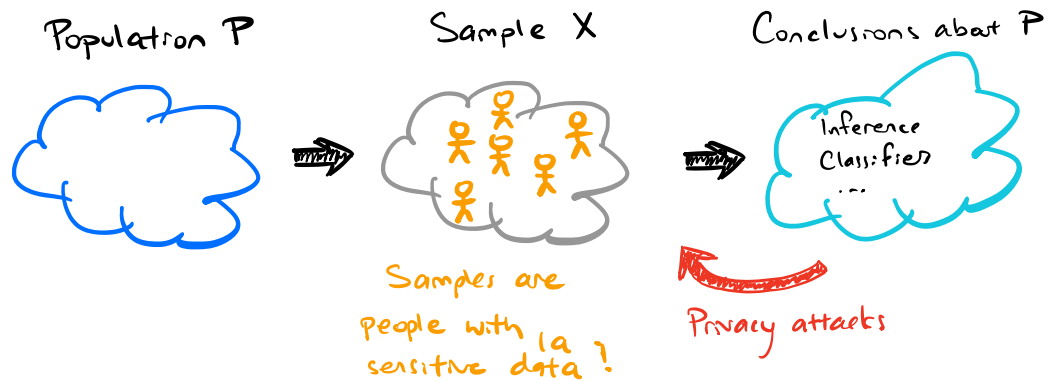
Jonathan Ullman  
Northeastern University

# Privacy in ML and Statistics



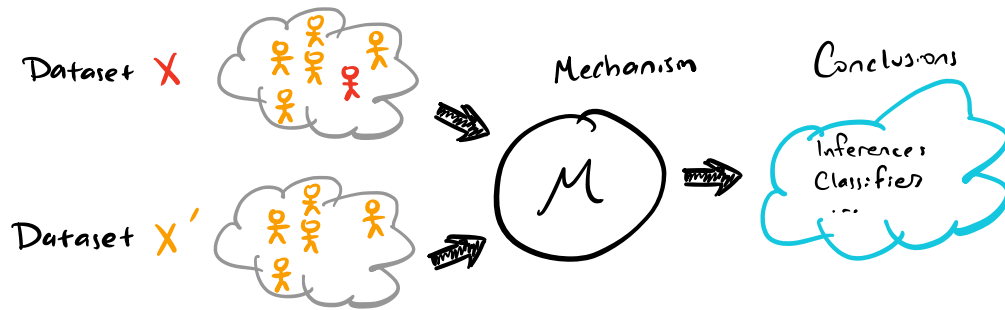
- Goal is to learn about the population while respecting the privacy of the sample

# Privacy in ML and Statistics



- Many natural statistical methods reveal a lot of information about the individuals in the sample
  - Reconstruction attacks (DNO3)
  - Membership-inference (Homert+08, DSSUV15, SSS16)
  - Extracting memorized data (CLEKS19)

# Differential Privacy (DMNS 06)



No attacker can infer much about you because you were sampled

"Distribution Stability"  
⇓

$(\epsilon, \delta)$ -Differential Privacy: For every  $X \sim X'$   
and every  $T \subseteq \text{Range}(M)$

$$\mathbb{P}(M(X) \in T) \leq e^{\epsilon} \mathbb{P}(M(X') \in T) + \delta$$

$\epsilon \approx \frac{1}{10}$        $\delta \approx 2^{-60}$

# Private Statistical Queries

- $\approx$  SQ Model (k q's)
- distribution  $P$  over universe  $\mathcal{U} = \{\pm 1\}^d$
  - queries  $q_1, \dots, q_k: \{\pm 1\}^d \rightarrow \{\pm 1\}$   
 $q_j(P) = \mathbb{E}_{x \sim P}(q_j(x))$      $Q(P) = (q_1(P), \dots, q_k(P))$
  - dataset  $X = (X_1, \dots, X_n)$  drawn iid from  $P$

Goal: Given queries  $q_1, \dots, q_k$  design an  $(\epsilon, \delta)$ -dp estimator  $M$  such that for all distributions  $P$  over  $\mathcal{U}$

$$\mathbb{E}_{X \sim P^n} (\|Q(P) - M(X)\|_{\infty}) \leq \frac{\epsilon}{100}$$

# Private Statistical Queries: Algorithms

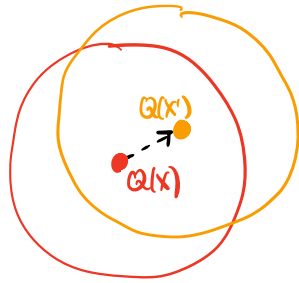
Non-private baseline:

$$M(x) = Q(x) \quad \text{"Empirical Mean"}$$

$n = O(1)$  samples suffice

Private baseline (Gaussian Mechanism): (DNO3, DNO4, BDMN05, DMNS06, DKMM06)

$$M(x) = Q(x) + \mathcal{N}(0, \sigma^2 \mathbb{I}_{k \times k}) \quad \text{"Noisy empirical mean"}$$



$n = \tilde{O}(k^{1/2})$  samples suffice

Sensitivity:

$$\Delta \approx \max_{x \sim x'} \|Q(x) - Q(x')\|_2 = \frac{k^{1/2}}{n}$$

# Private Statistical Queries: Algorithms

## Wave 1:

Thm: (DN03, DN04, BDMN05, DMNS06, DKMMN06)

For any set of queries  $q_1, \dots, q_k: \mathbb{S} \pm \mathbb{B}^d \rightarrow \mathbb{S} \pm \mathbb{B}$ , there is a differentially private algorithm with

sample complexity  $n = \tilde{O}(k^{1/2})$

running time  $\text{poly}(k)$   
(\*)

## Wave 2:

Thm: (BLR08, DNRRV09, DRV10, RR10, HR10, GAL12, HLM12, NT13)

For any set of queries  $q_1, \dots, q_k: \mathbb{S} \pm \mathbb{B}^d \rightarrow \mathbb{S} \pm \mathbb{B}$ , there is a differentially private algorithm with

sample complexity  $n = \tilde{O}(d^{1/2})$

running time  $\text{poly}(k, 2^d)$   
(\*)

# Private Statistical Queries

| Worst-Case SQs        |                      | $k \geq d$                              |
|-----------------------|----------------------|---|
| Time (*)              | SC Upper Bound       | Lower Bound                             |
| $\text{poly}(k)$      | $\tilde{O}(k^{1/2})$ | $\tilde{\Omega}(k^{1/7})$ (*)<br>KMUZ16 |
| $\text{poly}(k, 2^d)$ | $\tilde{O}(d^{1/2})$ | $\Omega(d^{1/2})$<br>BLV14              |

(\*) Assuming program obfuscation

Research Thrust 1: When can we improve the **sample complexity** for simple families of queries?

Research Thrust 2: When can we improve the **computational complexity** for simple families of queries?



## Private Statistical Queries: Marginals

m-way marginals (aka moments/parities)

$$\{q_s\}_{\substack{s \subseteq [d] \\ |s| \leq m}}$$

$$q_s(P) = \mathbb{E}_{x \sim P} \left( \prod_{i \in s} x_i \right) \approx d^m \text{ queries}$$

Very common in applications (e.g. Decentral Census)

Gaussian Mechanism:  $\tilde{O}(d^{m/2})$  samples  $\approx d^m$  time

Advanced Mechanisms:  $\tilde{O}(d^{1/2})$  samples  $\approx 2^d$  time

# Private Statistical Queries

m-way marginals constant  $m \geq 2$

| Time (*)              | SC Upper Bound       | Lower Bound                            |
|-----------------------|----------------------|--|
| $\text{poly}(k)$      | $\tilde{O}(d^{m/2})$ | —                                      |
| $\text{poly}(k, 2^d)$ | $\tilde{O}(d^{1/2})$ | $\Omega(d^{1/2})$ <small>BLV14</small> |

Research Thrust 3: Find computationally efficient algorithms for privately estimating marginals or give evidence of computational hardness

↩ I will take you out for AYCE sushi!

# Learning-Based Mechanisms (GHRU11, HRS12, TUV12, ...)

$$f_p: \mathcal{Q} \rightarrow [-1, 1]$$

$$f_p(q) = q(p)$$

Try to learn  $f$  from examples

1: Choose random  $\mathcal{Q}' \subseteq \mathcal{Q}$

error proportional to  $|\mathcal{Q}'|$

2: Use Gaussian mechanism to obtain  $\approx f_p(q)$  for  $q \in \mathcal{Q}'$

3: Fit a function  $\hat{f} \in \mathcal{H}$  so that  $\hat{f}(q) \approx f_p(q)$  for  $q \in \mathcal{Q}$

sample and computational complexity depends on  $\mathcal{H}$

Non-trivial algorithms: e.g.  $n = d^{O(m^{1/2})}$  samples (TUV12)

## Learning-Based Mechanisms (GHRU11, HRS12, TUV12, ...)

For  $m$ -way marginals, the learning problem is noisy tensor completion

$$P_{\mathcal{P}}(i_1, \dots, i_m) = \mathbb{E}_{x \sim \mathcal{P}} \left( \prod_{l=1}^m x_{i_l} \right)$$

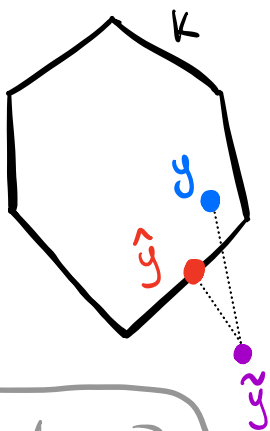
convex combination  
of rank-1  $m$ -tensors

Thm:  $\tilde{O}(d)$  entries are enough for tensor completion

Thm (BM15): Assuming hardness of refuting 3-XOR, any efficient algorithm for 3-tensor completion requires  $\Omega(d^{3/2})$  entries.

$\Rightarrow$  Efficient private algs in this framework need  $\Omega(d^{3/4})$  samples

# The Projection Mechanism (NTZ13)



$$K = \left\{ a \in \mathbb{R}^k : a = Q(P) \text{ for some } P \text{ over } \{\pm 1\}^{2^d} \right\}$$

polytope w/  $2^d$  vertices

1:  $y = Q(x)$  is the sample mean

2:  $\tilde{y} = Q(x) + \mathcal{N}(0, \sigma^2 \mathbb{I}_{k \times k})$

3:  $\hat{y} = \operatorname{argmin}_{P \in K} \|P - \tilde{y}\|_2$

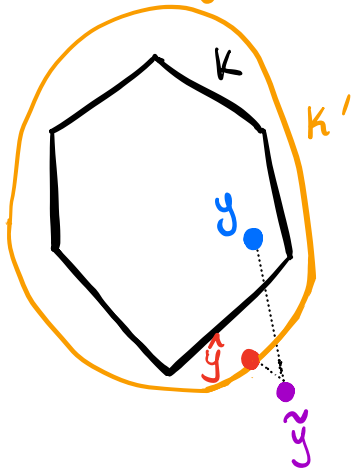
requires  $2^d$  time

Thm (NTZ13):

$\|\hat{y} - y\|_2 \propto$  "Gaussian width of  $K$ "

$$\omega(K) = \mathbb{E}_{g \sim \mathcal{N}(0, \mathbb{I})} \left( \max_{z \in K} |\langle g, z \rangle| \right)$$

# The Projection Mechanism (NTZ13)



$$K = \left\{ a \in \mathbb{R}^k : a = Q(P) \text{ for some } P \text{ over } \{\pm 1\}^d \right\}$$

Approach: Convex Relaxations

$K'$  is a "nice" convex set

- ①  $K \subseteq K'$
- ②  $K'$  admits efficient projection
- ③  $K'$  has small Gaussian width

Thm (DNT13):

There is a poly-time SDP algorithm for  $m$ -way marginals using  $\tilde{O}(d^{\lceil m/2 \rceil})$  samples (optimal for  $m=2$ )

# Private Marginals

m-way marginals

constant  $m \geq 2$

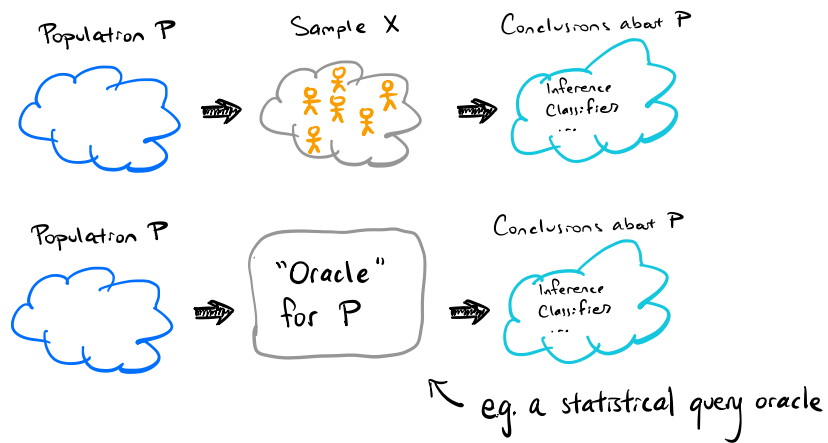
| Time (*)              | SC Upper Bound   | Lower Bound                             |
|-----------------------|--|---|
| $\text{poly}(k)$      | $\tilde{O}(d^{cm^{1/2}}) / \tilde{O}(d^{\lceil m/2 \rceil / 2})$ | —                                       |
| $\text{poly}(k, 2^d)$ | $\tilde{O}(d^{1/2})$   | $\Omega(d^{1/2})$ <small>BLLV14</small> |

Research Thrust 3: Find computationally efficient algorithms for privately estimating marginals or give evidence of computational hardness

# Why Are We Stuck?

Answer 1: We used up all the cryptography

Answer 2: We don't really understand how privacy restricts algorithm design



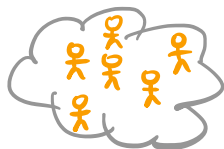


## What I Haven't Talked About

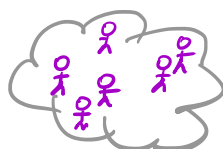
### Synthetic Data:

Output a new sample  $\hat{X}$  of "fake" data such that  $\|Q(X) - Q(\hat{X})\|_{\infty} \leq \frac{1}{100}$

Sample  $X$



Synthetic Data  $\hat{X}$



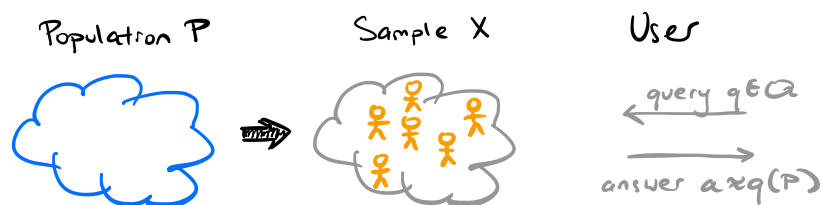
Thm (DUPRVO9, UV11):

No polynomial time algorithm with polynomial samples can output private synthetic data even for 2-way marginals!

# What I Haven't Talked About

## Interactive Algorithms:

What if we have the user tell us which queries they need answered?



### Thm (U13):

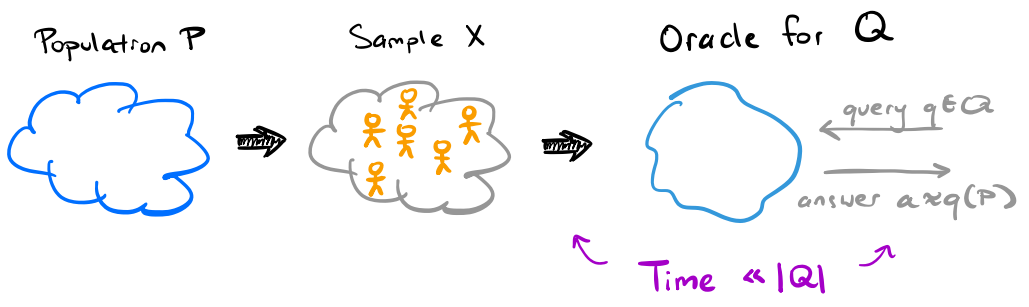
Any polynomial time private algorithm that answers  $k$  arbitrary queries needs  $\tilde{\Omega}(k^{1/2})$  samples

# What I Haven't Talked About

## Sublinear-Time Algorithms:

Can we generate private summaries in time  $\text{poly}(|X|)$ ?

(e.g. all  $m$ -way marginals in  $\text{poly}(d, m)$  time)



## What I Haven't Talked About

(DLOG) et seq.

Connections to Robust Statistics:

$(\epsilon, \delta)$ -Differential Privacy: For every  $X \sim X'$   
and every  $T \subseteq \text{Range}(M)$

$$\mathbb{P}(M(X) \in T) \leq e^\epsilon \mathbb{P}(M(X') \in T) + \delta$$

Differential Privacy  $\Rightarrow$  "Strong Robustness"

But we're often in a regime where  
"standard robustness" is tractable

Thanks !!!