

# Post-Quantum Proof of Knowledge from QLWE

Prabhanjan Ananth  
UC Santa Barbara

Joint work with:  
Kai-Min Chung (Academia Sinica, Taiwan),  
Rolando L. La Placa (MIT → \$\$\$)

(to appear in CRYPTO'21)

*Any classical prover who convinces a verifier to accept  $x$   
must know a valid witness for  $x$ .*

YOU HAVE BEEN  
SELECTED

WSJ wants to hear from you. Take part in this short survey to help shape The Journal. [Click Here To Take The Survey](#)

SHARE



CIO JOURNAL

## Google Aims for Commercial-Grade Quantum Computer by 2029

Tech giant is one of many companies racing to build a business around the nascent technology



Google's new Quantum AI campus in Santa Barbara County, Calif. includes a quantum-data center, research labs and chip-fabrication facilities spanning several buildings.

PHOTO: ALPHABET

### UPCOMING EVENTS

- Jun 17 2021 12:00 PM - 1:45 PM EDT  
WSJ Women In: Intelli Investing
- Jun 24 2021 11:00 AM - 5:00 PM EDT  
Global Food Forum
- Jun 30 2021 1:00 PM - 1:45 PM EDT  
WSJ Pro Cybersecurity Webinar: Aligning IT at Cybersecurity

[ADD TO CALENDAR](#)

### MOST POPULAR NEWS

1. National Parks Are Overcrowded and Closing Their Gates



# Post-Quantum Proof of Knowledge (PQPoK) for NP [Unruh'12]

*Any **quantum** prover who convinces a verifier to accept  $x$   
must know a valid witness for  $x$ .*

# Difference between Classical Prover and Quantum Prover

- **Classical Prover:** intermediate states are binary strings.
- **Quantum Prover:** intermediate states are quantum states.

# Difference between Classical Prover and Quantum Prover

- **Classical Prover:** intermediate states are binary strings.
- **Quantum Prover:** intermediate states are quantum states.

**Rewinding a quantum prover is difficult!**

## Informal Definition of PQPoK for $L$

$\forall$  quantum prover  $P^*$ ,  $\exists$  black-box extractor  $\mathcal{E}$ ,

$\forall$  quantum prover  $P^*$ ,  $\exists$  black-box extractor  $\mathcal{E}$ ,

- **Correctness of Extraction:**

$$\Pr [P^* \text{ convinces verifier to accept } x] = \varepsilon$$

$$\Rightarrow \Pr \left[ (\rho_{\mathcal{E}}, w) \leftarrow \mathcal{E}^{P^*}(x) \wedge (x, w) \in \mathcal{R}(L) \right] = \varepsilon'$$



# Informal Definition of PQPoK for $L$

$\forall$  quantum prover  $P^*$ ,  $\exists$  black-box extractor  $\mathcal{E}$ ,

- **Correctness of Extraction:**

$$\Pr [P^* \text{ convinces verifier to accept } x] = \varepsilon$$

$$\Rightarrow \Pr \left[ (\rho_{\mathcal{E}}, w) \leftarrow \mathcal{E}^{P^*}(x) \wedge (x, w) \in \mathcal{R}(L) \right] = \varepsilon'$$

$P^*$  can be computationally unbounded.

# Informal Definition of PQPoK for $L$

$\forall$  quantum prover  $P^*$ ,  $\exists$  black-box extractor  $\mathcal{E}$ ,

- **Correctness of Extraction:**

$$\Pr [P^* \text{ convinces verifier to accept } x] = \varepsilon$$

$$\Rightarrow \Pr \left[ (\rho_{\mathcal{E}}, w) \leftarrow \mathcal{E}^{P^*}(x) \wedge (x, w) \in \mathcal{R}(L) \right] = \varepsilon'$$

Ideally:  $|\varepsilon' - \varepsilon| = \text{negl}$

Another useful property:

- **Indistinguishability of Extraction:**

$$TD(\rho_V, \rho_{\mathcal{E}}) = \delta$$

(TD = Trace distance)

- $\rho_V$ : output state of  $P^*$  after interacting with  $V$ .
- $\rho_{\mathcal{E}}$ : output of  $\mathcal{E}^{P^*}$ .

Another useful property:

- **Indistinguishability of Extraction:**

$$TD(\rho_V, \rho_{\mathcal{E}}) = \delta$$

(TD = Trace distance)

- $\rho_V$ : output state of  $P^*$  after interacting with  $V$ .
- $\rho_{\mathcal{E}}$ : output of  $\mathcal{E}^{P^*}$ .

Ideally:  $\delta = \text{negl}$



Simulator uses the extractor to extract adversary's inputs

# Application: Proof of Quantum Knowledge for QMA

[Coladangelo-Vidick-Zhang'20]

# Application: Proof of Quantum Knowledge for QMA [Coladangelo-Vidick-Zhang'20]

**Prover**( $x, |\Psi\rangle$ )

**Verifier**( $x$ )

$X^a Z^b |\Psi\rangle$

→

Post-Quantum PoK of  $(a, b)$

←



# Application: Proof of Quantum Knowledge for QMA [Coladangelo-Vidick-Zhang'20]

**Prover**( $x, |\Psi\rangle$ )

**Verifier**( $x$ )

$X^a Z^b |\Psi\rangle$



Post-Quantum PoK of  $(a, b)$



Extractor can extract  $(a, b)$  and then recover  $|\Psi\rangle$ .

We use the fact here that  $(a, b)$  is classical.

First work on Post-Quantum PoK: [Unruh Eurocrypt'12]

*Followups rely upon Unruh's technique.*

Unruh's PQPoK does not satisfy  
indistinguishability of extraction property.

Unruh's PQPoK does not satisfy  
indistinguishability of extraction property.

Prover's state after extraction  
 $\neq$   
Prover's state after verifier's interaction.

*Q: is it necessary for the extractor to disturb the prover's state in order to learn the witness?*

*Q: is it necessary for the extractor to disturb the prover's state in order to learn the witness?*

At first glance, could seem inherent:

*Q: is it necessary for the extractor to disturb the prover's state in order to learn the witness?*

At first glance, could seem inherent:

Example: Prover could start with superposition of all the witnesses. If extractor learns  $w$  then prover's state should have collapsed to  $w$ .

## Theorem

*Assuming LWE is hard against quantum polynomial-time algorithms,*

*There exists PQPoK for NP.*



Techniques

Main Idea: Extraction via Oblivious Transfer

Main Idea: Extraction via Oblivious Transfer

Warmup: extraction of first bit of witness.

# Extraction of first bit of witness

- Prover and Verifier run OT.  
Prover: role of sender  
Verifier: role of receiver.

# Extraction of first bit of witness

- Prover and Verifier run OT.  
Prover: role of sender  
Verifier: role of receiver.
- Prover embeds  $w_1$  (1st bit of witness) in one of the two locations at random.

# Extraction of first bit of witness

- Prover and Verifier run OT.  
Prover: role of sender  
Verifier: role of receiver.
- Prover embeds  $w_1$  (1st bit of witness) in one of the two locations at random.
- Verifier randomly guesses the location.

# Extraction of first bit of witness

- Prover and Verifier run OT.  
Prover: role of sender  
Verifier: role of receiver.
- Prover embeds  $w_1$  (1st bit of witness) in one of the two locations at random.
- Verifier randomly guesses the location.
- If the guessed location is correct, verifier gets  $w_1$ , o/w gets  $\perp$ .





**Prover**( $x, w$ )

**Verifier**( $x$ )

..... OT phase .....

$b \xleftarrow{\$} \{0, 1\}$

if  $b = 0$ ,  $(m_0, m_1) = (w_1, \perp)$

if  $b = 1$ ,  $(m_0, m_1) = (\perp, w_1)$

$b' \xleftarrow{\$} \{0, 1\}$

Sender's input:  $(m_0, m_1)$

OT

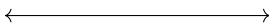
Receiver's input :  $b'$



..... Zero-Knowledge Phase .....

Prove  $(x, w) \in L$

ZK



and behaved honestly in OT

Requirement:  
Post-Quantum ZK with soundness against  
unbounded quantum provers.

# Extraction Process

Rewinding strategy:

- Run the prover  $P$  in superposition.

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If recovered message is a bit, store 0 in register  $X$ . Otherwise store 1.

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If recovered message is a bit, store 0 in register  $X$ . Otherwise store 1.
- Measure  $X$ .

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If recovered message is a bit, store 0 in register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS,

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If recovered message is a bit, store 0 in register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare **SUCCESS**, otherwise **TBD**.



**TBD step:** *Can we perform Watrous rewinding?*

**TBD step:** *Can we perform Watrous rewinding?*

Watrous rewinding only works  
IF the measurement outcome doesn't disturb the prover's state.

Input auxiliary state of  $P^*$  is  $|\Psi\rangle = \frac{1}{\sqrt{2}}|\psi_0\rangle|0\rangle_A + \frac{1}{\sqrt{2}}|\psi_1\rangle|1\rangle_A$ .

Input auxiliary state of  $P^*$  is  $|\Psi\rangle = \frac{1}{\sqrt{2}}|\psi_0\rangle|0\rangle_A + \frac{1}{\sqrt{2}}|\psi_1\rangle|1\rangle_A$ .

- If the value in register A is 0 then use  $(\perp, \perp)$  in OT.
- If the value in register A is 1 then use  $(w_1, w_1)$  in OT.

Input auxiliary state of  $P^*$  is  $|\Psi\rangle = \frac{1}{\sqrt{2}}|\psi_0\rangle|0\rangle_A + \frac{1}{\sqrt{2}}|\psi_1\rangle|1\rangle_A$ .

- If the value in register A is 0 then use  $(\perp, \perp)$  in OT.
- If the value in register A is 1 then use  $(w_1, w_1)$  in OT.

Input auxiliary state of  $P^*$  is  $|\Psi\rangle = \frac{1}{\sqrt{2}}|\Psi_0\rangle|0\rangle_A + \frac{1}{\sqrt{2}}|\Psi_1\rangle|1\rangle_A$ .

- If the value in register A is 0 then use  $(\perp, \perp)$  in OT.
- If the value in register A is 1 then use  $(w_1, w_1)$  in OT.

- After rewinding, prover's state is  $\approx |\Psi_1\rangle\langle\Psi_1|$ .
- In the real world, prover's state is  $\approx \frac{1}{2}|\Psi_0\rangle\langle\Psi_0| + \frac{1}{2}|\Psi_1\rangle\langle\Psi_1|$ .

Input auxiliary state of  $P^*$  is  $|\Psi\rangle = \frac{1}{\sqrt{2}}|\Psi_0\rangle|0\rangle_A + \frac{1}{\sqrt{2}}|\Psi_1\rangle|1\rangle_A$ .

- If the value in register A is 0 then use  $(\perp, \perp)$  in OT.
- If the value in register A is 1 then use  $(w_1, w_1)$  in OT.

- After rewinding, prover's state is  $\approx |\Psi_1\rangle\langle\Psi_1|$ .
- In the real world, prover's state is  $\approx \frac{1}{2}|\Psi_0\rangle\langle\Psi_0| + \frac{1}{2}|\Psi_1\rangle\langle\Psi_1|$ .

$TD(|\Psi_1\rangle\langle\Psi_1|, \frac{1}{2}|\Psi_0\rangle\langle\Psi_0| + \frac{1}{2}|\Psi_1\rangle\langle\Psi_1|)$  is not small.

# Extraction Process



Rewinding strategy:

- Run the prover  $P$  in superposition.
- If recovered message is a bit, store 0 in register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS, otherwise **TBD**.

**FIX:** modify the scheme to ensure that the measurement outcome does not affect the state.

**Prover**( $x, w$ )

**Verifier**( $x$ )

..... OT phase .....

$b \stackrel{\$}{\leftarrow} \{0, 1\}$

if  $b = 0$ ,  $(m_0, m_1) = (w_1, 0)$

if  $b = 1$ ,  $(m_0, m_1) = (0, w_1)$

Sender's input:  $(m_0, m_1)$

OT



$b' \stackrel{\$}{\leftarrow} \{0, 1\}$

Receiver's input :  $b'$

..... Reveal Phase .....

Reveal  $b$



# Extraction Process

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If  $b = b'$ , store 0 in the register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS, otherwise **TBD**.

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If  $b = b'$ , store 0 in the register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS, otherwise **TBD**.

$$\Pr[b = b'] \approx \frac{1}{2} \text{ from OT security.}$$

# Extraction Process

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If  $b = b'$ , store 0 in the register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS, otherwise **TBD**.

$$\Pr[b = b'] \approx \frac{1}{2} \text{ from OT security.}$$

Since distribution of measurement outcomes is independent of aux  
state

# Extraction Process

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If  $b = b'$ , store 0 in the register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS, otherwise **TBD**.

$$\Pr[b = b'] \approx \frac{1}{2} \text{ from OT security.}$$

Since distribution of measurement outcomes is independent of aux  
state



Measurement does not disturb the state.

Extractor rewinds ONLY IF the guessed location is different from  $b$ .

Rewinding strategy:

- Run the prover  $P$  in superposition.
- If  $b = b'$ , store 0 in the register  $X$ . Otherwise store 1.
- Measure  $X$ .
- If the outcome is 0 then declare SUCCESS, otherwise **perform Watrous Rewinding**.



Why does checking  $b = b'$  suffice?

Why does checking  $b = b'$  suffice?

- **Case 1. Prover does not cheat:**  
Extractor extracts the first bit of the witness.

Why does checking  $b = b'$  suffice?

- **Case 1. Prover does not cheat:**  
Extractor extracts the first bit of the witness.
- **Case 2. Prover cheats:**  
Extractor might have extracted garbage...

Why does checking  $b = b'$  suffice?

- **Case 1. Prover does not cheat:**  
Extractor extracts the first bit of the witness.
- **Case 2. Prover cheats:**  
Extractor might have extracted garbage...  
...but the prover will get caught in the ZK phase.

# Protocol for extraction of 1st bit of witness

**Prover**( $x, w$ )

**Verifier**( $x$ )

..... OT phase .....

$b \xleftarrow{\$} \{0, 1\}$

if  $b = 0$ ,  $(m_0, m_1) = (w_1, 0)$

if  $b = 1$ ,  $(m_0, m_1) = (0, w_1)$

$b' \xleftarrow{\$} \{0, 1\}$

Sender's input:  $(m_0, m_1)$

OT

Receiver's input :  $b'$



..... Reveal Phase .....

Reveal  $b$



..... Zero-Knowledge Phase .....

...

- Extractor extracts the first bit of witness

- Extractor extracts the first bit of witness
- ISSUE: Verifier can also recover the first bit of the witness with probability  $\frac{1}{2}$

Error reduction



# Error reduction

- Prover additively secret shares  $w_1$  into  $sh_1, \dots, sh_\ell$ .

- Prover additively secret shares  $w_1$  into  $sh_1, \dots, sh_\ell$ .
- Prover invokes  $\ell$  instantiations of OT.

- Prover additively secret shares  $w_1$  into  $sh_1, \dots, sh_\ell$ .
- Prover invokes  $\ell$  instantiations of OT.
- It embeds  $sh_i$  into the  $i^{th}$  instantiation of OT.

**Prover**( $x, w = w_1 \cdots w_\ell$ )      **Verifier**( $x$ )

..... **Amplified OT for  $w_1$**  .....

$$\forall i, sh_i \stackrel{\$}{\leftarrow} \{0, 1\} : \bigoplus_{i=1}^{\ell} sh_i = w_1$$

**Prover**( $x, w = w_1 \cdots w_\ell$ )

**Verifier**( $x$ )

..... **Amplified OT for  $w_1$**  .....

$$\forall i < \ell, sh_i \stackrel{\$}{\leftarrow} \{0, 1\} : \bigoplus_{i=1}^{\ell} sh_i = w_1$$


$$b_1 \stackrel{\$}{\leftarrow} \{0, 1\}$$

$$b'_1 \stackrel{\$}{\leftarrow} \{0, 1\}$$


Sender:  $((1 - b_1) \cdot sh_1, b_1 \cdot sh_1)$

Receiver :  $b'_1$

OT



Reveal  $b_1$



**Prover**( $x, w = w_1 \cdots w_\ell$ )

**Verifier**( $x$ )

..... **Amplified OT for  $w_1$**  .....

$$\forall i < \ell, sh_i \stackrel{\$}{\leftarrow} \{0, 1\} : \bigoplus_{i=1}^{\ell} sh_i = w_1$$

$$b_1 \stackrel{\$}{\leftarrow} \{0, 1\}$$

$$b'_1 \stackrel{\$}{\leftarrow} \{0, 1\}$$

Sender:  $((1 - b_1) \cdot sh_1, b_1 \cdot sh_1)$

OT

Receiver :  $b'_1$

Reveal  $b_1$

...

$$b_\ell \stackrel{\$}{\leftarrow} \{0, 1\}$$

$$b'_\ell \stackrel{\$}{\leftarrow} \{0, 1\}$$

Sender:  $((1 - b_\ell) \cdot sh_\ell, b_\ell \cdot sh_\ell)$

OT

Receiver :  $b_\ell$

Reveal  $b_\ell$

So far: extraction of 1 bit of witness.



So far: extraction of 1 bit of witness.

Repeat this process for all the bits of the witness!





OT needs to have security against unbounded senders

OT needs to have security against unbounded senders

Security against unbounded senders

$\Rightarrow$

security against unbounded  $P$

OT needs to have security against unbounded senders

Security against unbounded senders

$\Rightarrow$

security against unbounded  $P$

**Current known instantiations don't satisfy security against quantum poly-time receivers.**

**Goal:** OT satisfying the following:

- Security against unbounded senders.
- Post-quantum security against receivers.

**Goal:** OT satisfying the following:

- Security against unbounded senders.
- Post-quantum security against receivers.

Start with OT satisfying:

- Security against unbounded receivers.
- Post-quantum security against senders.



# Construction of Post-Quantum OT

**Goal:** OT satisfying the following:

- Security against unbounded senders.
- Post-quantum security against receivers.

Start with OT satisfying:

- Security against unbounded receivers.
- Post-quantum security against senders.

Construction from quantum hardness of LWE: [Brakerski-Döttling'18]

Start with OT satisfying:

- Security against unbounded receivers.
- Post-quantum security against senders.

Steps:

- OT reversal [WW'06,KKS'18,GJJM'20]

Start with OT satisfying:

- Security against unbounded receivers.
- Post-quantum security against senders.

Steps:

- OT reversal [WW'06,KKS'18,GJJM'20]
- Use a post-quantum statistical ZK: to prove correctness of OT.

Start with OT satisfying:

- Security against unbounded receivers.
- Post-quantum security against senders.

Steps:

- OT reversal [WW'06,KKS'18,GJJM'20]
- Use a post-quantum statistical ZK: to prove correctness of OT.

This protocol can be extended (painfully)  
to the setting of bounded concurrent quantum ZK.

New construction of PQ PoK

Improves upon [Unruh'12]'s PQ PoK.

New construction of PQ PoK

Improves upon [Unruh'12]'s PQ PoK.

**Thanks!**