

Fiat-Shamir via List-Recoverable Codes

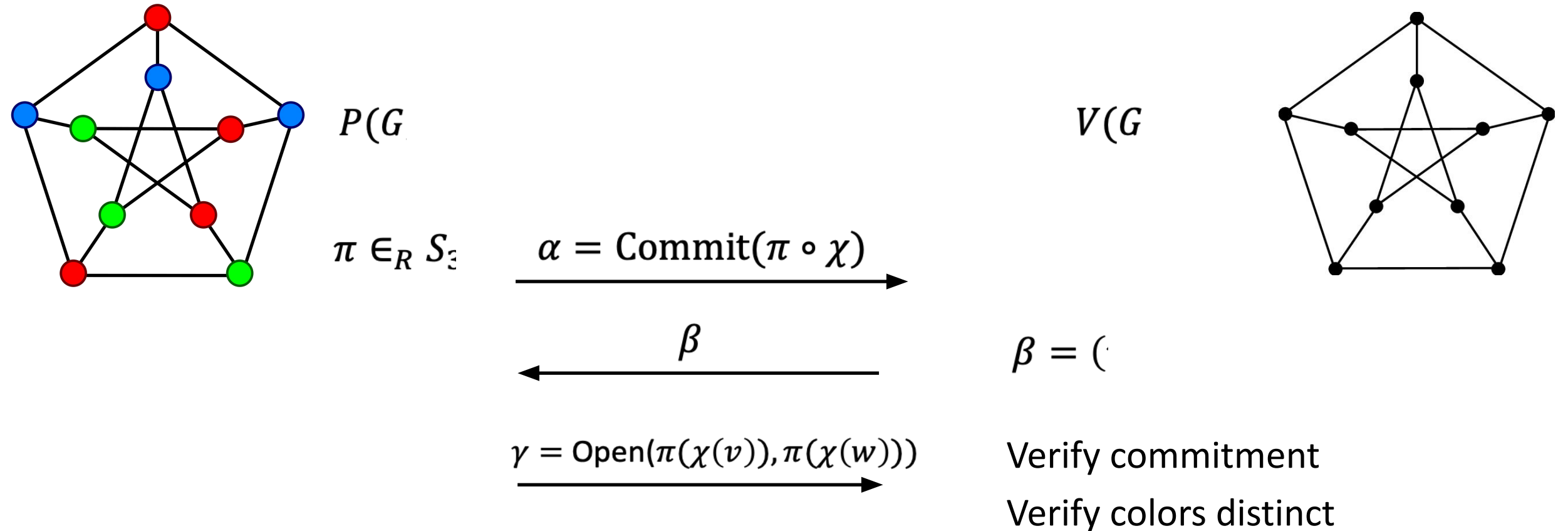
Alex Lombardi
MIT

Joint work with Justin Holmgren (NTT Research) and Ron Rothblum (Technion)

Abstract

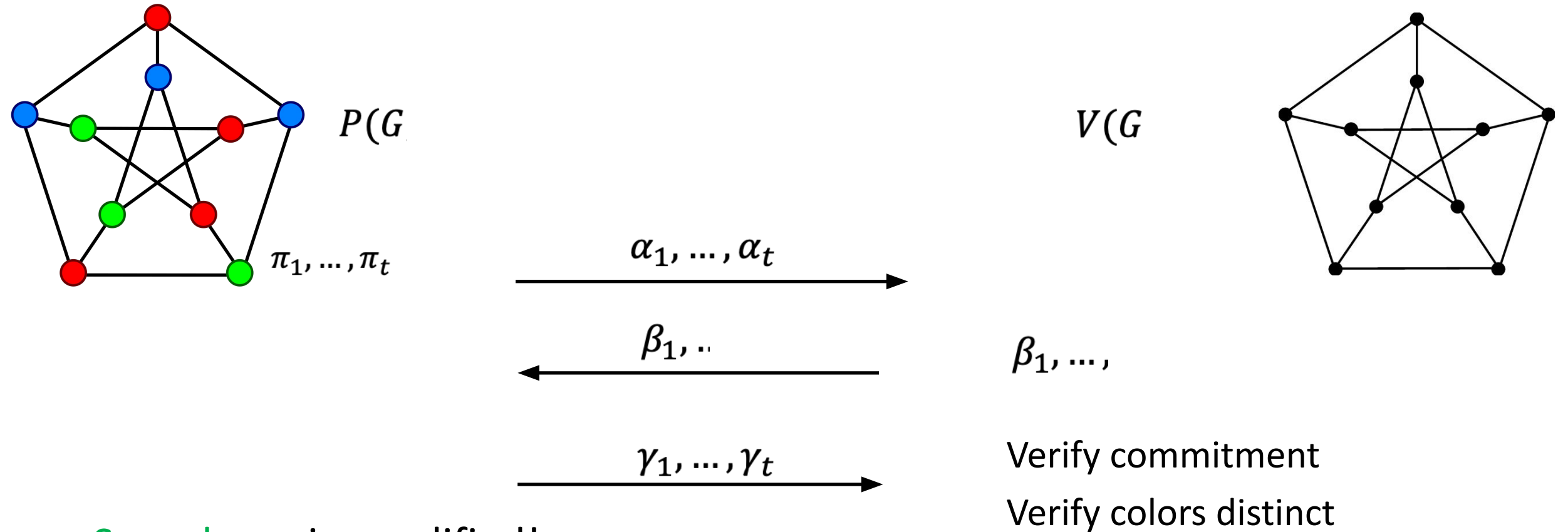
- We soundly instantiate the Fiat-Shamir heuristic for a broad class of protocols
 - E.g. parallel repetitions of all “commit-and-open” protocols
- Leverage a new connection to list-recoverable codes.
 - New kind of derandomized parallel repetition

Zero Knowledge for NP [GMW86]



- **Soundness Error** $1 - \frac{1}{|E|}$
- Improve soundness error (to negligible) via **sequential repetition**, preserving ZK

How about Parallel Repetition?

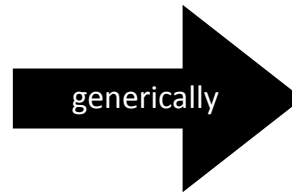


- Soundness is amplified!
- Open problem: is this ZK?

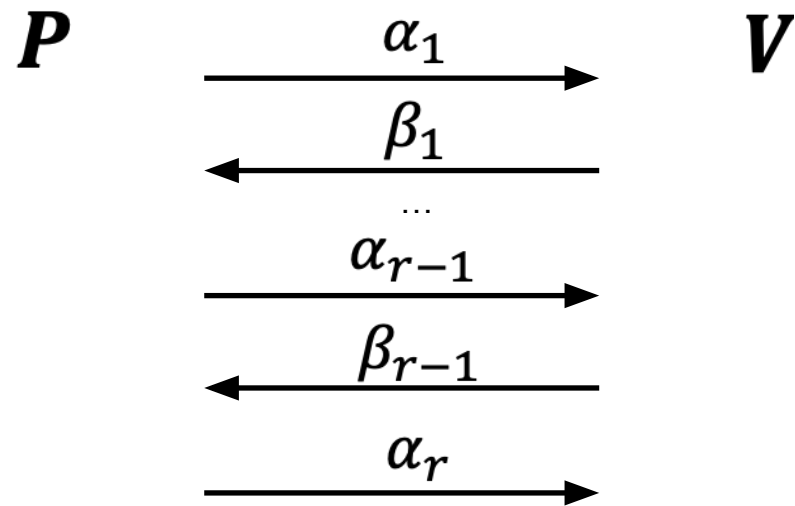
This Work: No (for a natural Com in the CRS model), assuming LWE

The Fiat-Shamir Transform [FS86]

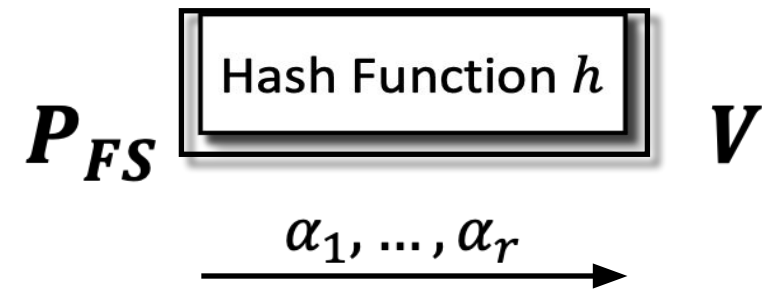
Public-Coin
Interactive Protocol



Non-Interactive
Argument



(Each β_i uniformly random)



$$\beta_1 = h(x, \alpha_1)$$

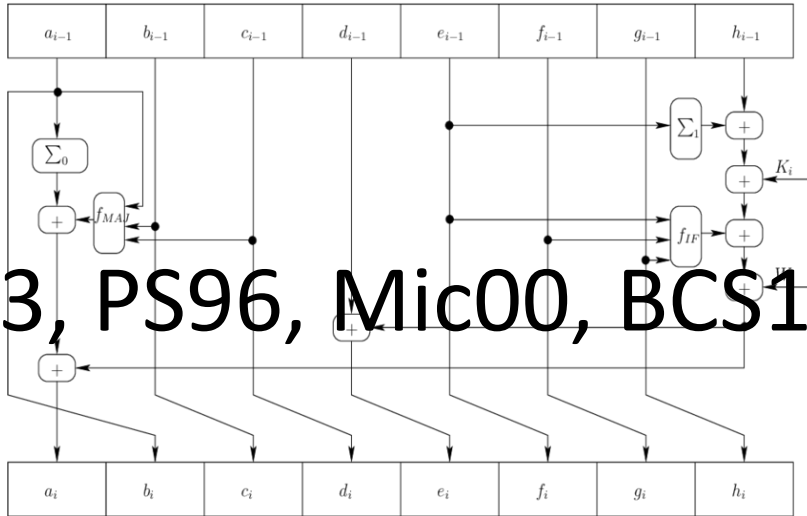
$$\beta_2 = h(x, \alpha_1, \alpha_2)$$

...

$$\beta_i = h(x, \alpha_1, \dots, \alpha_i)$$

Heuristically (and in practice), soundness is preserved.

Is Fiat-Shamir secure?



[BR93, PS96, Mic00, BCS16]: **Yes, in the random oracle model.**

[Bar01, GK03, BBHMR19]: **Not necessarily.**

Some interactive arguments **cannot** be compiled in the standard model.

<p>Public input: $x \in \{0, 1\}^n$ (statement to be proved is "$x \in L$")</p> <p>Prover's auxiliary input: w (a witness that $x \in L$)</p>	$\begin{array}{c} w \quad x \\ \downarrow \quad \downarrow \\ \boxed{P} \quad \boxed{V} \end{array}$
<p><i>Steps P, V1.x: generation protocol</i></p> <p>Step V1.1 (Choose hash-function): Verifier chooses a random hash function $h \leftarrow_{\mathcal{R}} \mathcal{H}_n$ and sends h to prover.</p> <p>Step P1.2 (Commitment to hash of "junk"): Prover computes $z \leftarrow_{\mathcal{R}} \text{Com}(h(0^n))$ and sends z to verifier. (<i>Short message.</i>)</p> <p>Step V1.3 (Send long random string): The verifier selects a string $r \leftarrow_{\mathcal{R}} \{0, 1\}^{n^4}$ and sends it.</p> <p>The transcript of this stage is $\tau = (h, z, r)$.</p>	$\begin{array}{c} \leftarrow h \leftarrow_{\mathcal{R}} \mathcal{H}_n \\ \hline z = \text{Com}(h(0^n)) \rightarrow \\ \hline \leftarrow r \leftarrow_{\mathcal{R}} \{0, 1\}^{n^4} \end{array}$
<p>Steps P, V2.1.x (WI universal argument): Prover proves to verifier using a WI universal argument that either $x \in L$ or $\tau \in \Lambda$. All prover's messages here are short.</p>	$\begin{array}{c} w \quad x, \tau \\ \downarrow \quad \downarrow \\ \boxed{\text{WI-}U\text{ARG}} \\ x \in L \\ \text{or } \tau \in \Lambda \\ \downarrow \\ 0/1 \end{array}$

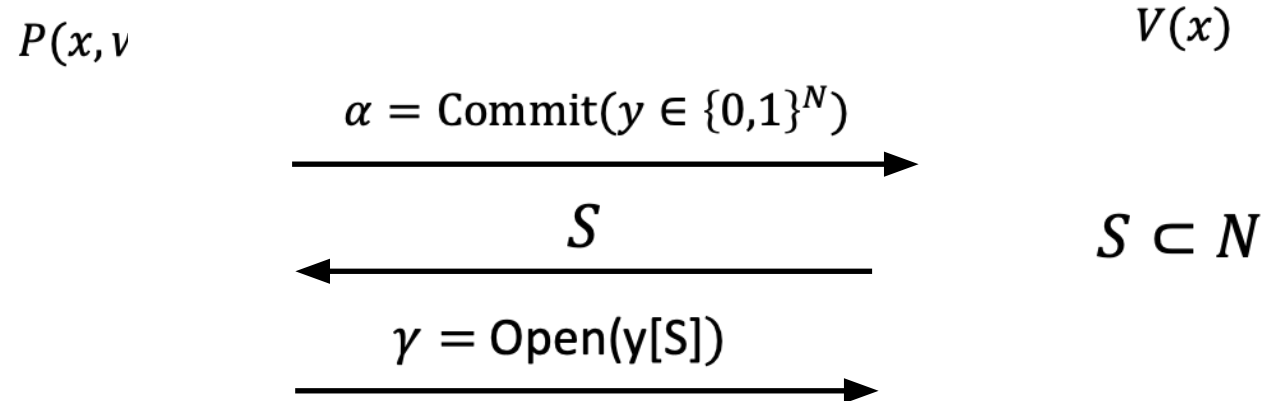
Is Fiat-Shamir secure?

Our Goal: Establish a stronger theoretical basis for this transformation

[KRR16, CCRR18, HL18, CCHLRRW19, PS19, LVW19, GJJM19, BFJKS19, LNPT19, LV20a, BKM20, JKKZ20, CLMQ20, LNPY20, LV20b, HLR21, ...]

Our Results

- 1) Under the **LWE** assumption, Fiat-Shamir can be instantiated for (the parallel repetition of) **any commit-and-open** protocol (e.g. GMW 3-coloring)



- Every such protocol has a **NIZK variant!** (e.g. non-interactive MPC-in-the-head)
- Every such protocol is **not ZK** [DNRS99]

2) (Informal) FS for any protocol with “efficiently recognizable bad challenges.” Prior work needed “efficiently enumerable bad challenges,” which is much more restrictive.

Main Takeaways

- 1) Much more widely applicable FS instantiation.
- 2) Resolve 35 year old intro crypto problem.
- 3) Cool new connection to coding theory/derandomization!

Correlation Intractability

[CGH04]

A hash family H is **correlation intractable** for a (sparse) relation R if:

\forall PPT A ,

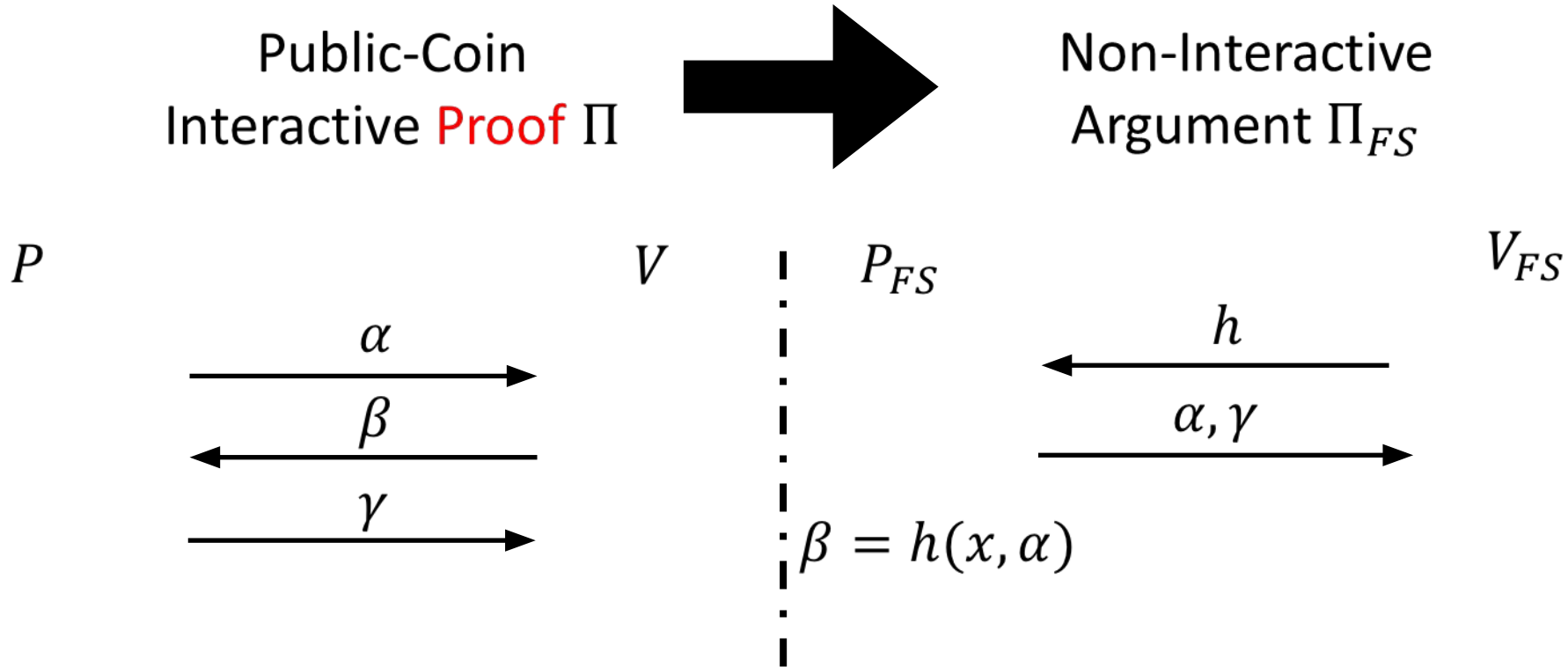
$$\Pr_{\substack{h \leftarrow H \\ x \leftarrow A(h)}} [(x, h(x)) \in R] = \text{negl}$$

Theorem [CCHLRRW19, PS19]: under standard assumptions, there exists a hash family H that is CI for all **functions** computable in time T .

- $h \in H$ can be evaluated in time $T \cdot \text{poly}(\lambda)$

The Bad-Challenge Function Paradigm

[CCHLRRW19]



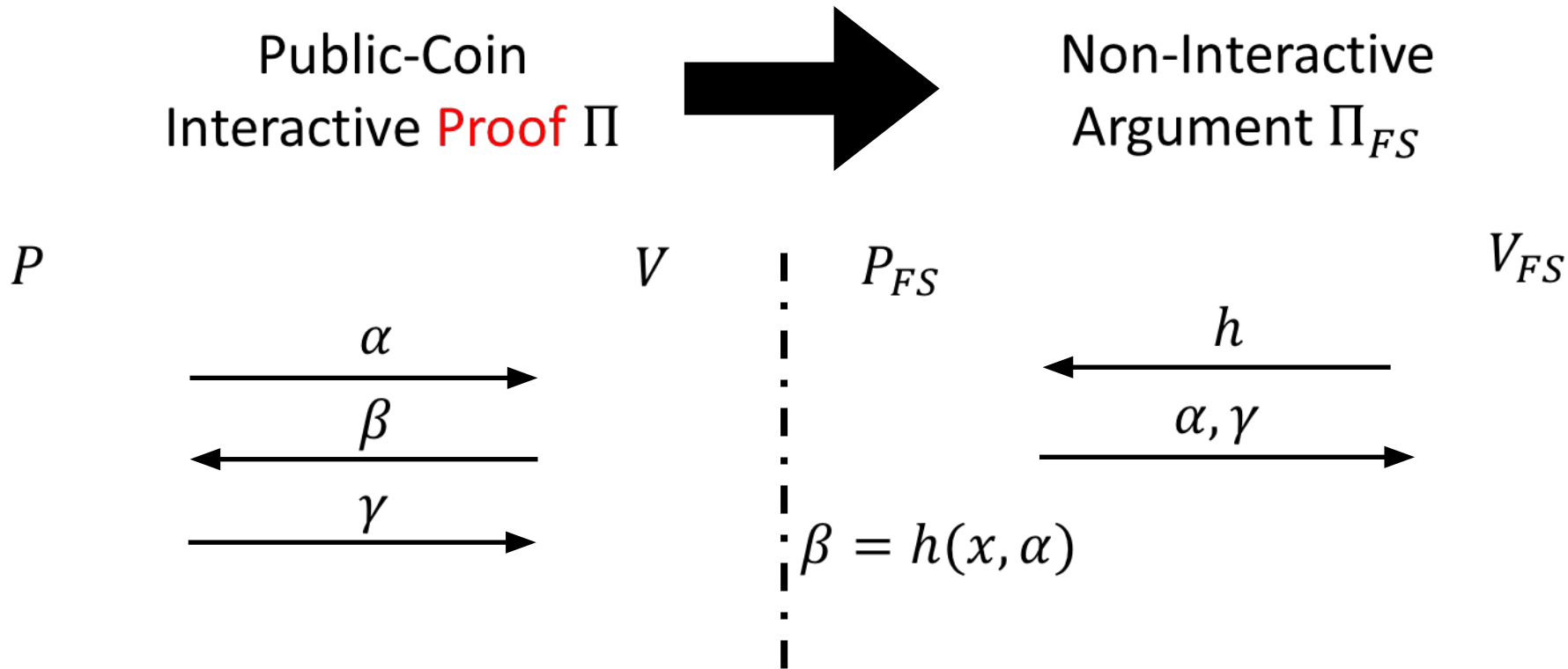
Suppose that for all $x \notin L$ and all α , \exists **at most one** β s. t. V accepts $(x, \alpha, \beta, \gamma)$

Let $f(x, \alpha) = \beta^*$ be the **bad-challenge function** for Π

If \mathcal{H} is CI for f , then Π_{FS} is sound!

If f is efficiently computable, \exists such \mathcal{H} !

What if there are many bad challenges?



Suppose that for all $x \notin L$ and all α , \exists **at most B bad choices of β**

Let $f_i(x, \alpha) = \beta_i^*$ be the i th **bad-challenge function** for Π

If \mathcal{H} is CI for a random f_i , then Π_{FS} is sound! **Security loss:** $\frac{1}{B}$

The Problem

Can we handle protocols that have **many bad challenges?**

Can we construct hash functions that are CI for **relations** that are not functions?

The Solution

Can we handle protocols that have **many bad challenges?**

Can we construct hash functions that are CI for **relations** that are not functions?

Yes!

(when the relations have nice structure)

Product Relations

$$R = \{(x, (y_1, \dots, y_t))\} \subset \{0,1\}^n \times (\{0,1\}^m)^t$$

Definition: R is a **product relation** if for all inputs x ,

$$R_x = S_1 \times S_2 \times \dots \times S_t$$

for some sets $S_1, \dots, S_t \subset \{0,1\}^m$

Product relations may have **many bad points**, but they have **combinatorial structure**.

Product Relations

Definition: R is a **product relation** if for all inputs x ,

$$R_x = S_1 \times S_2 \times \cdots \times S_t$$

for some sets $S_1, \dots, S_t \subset \{0,1\}^m$

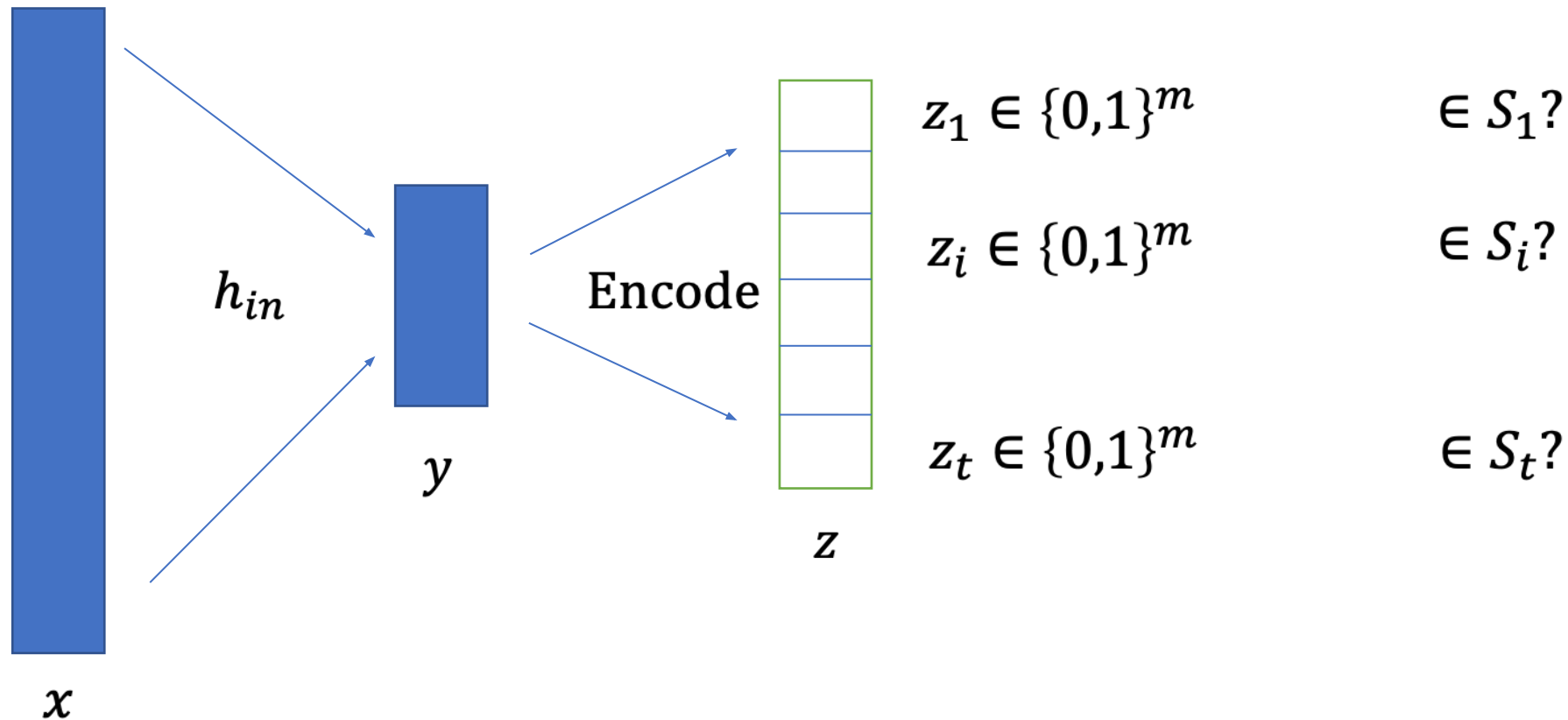
Main Theorem: Under LWE, there exist CI hash functions for product relations*

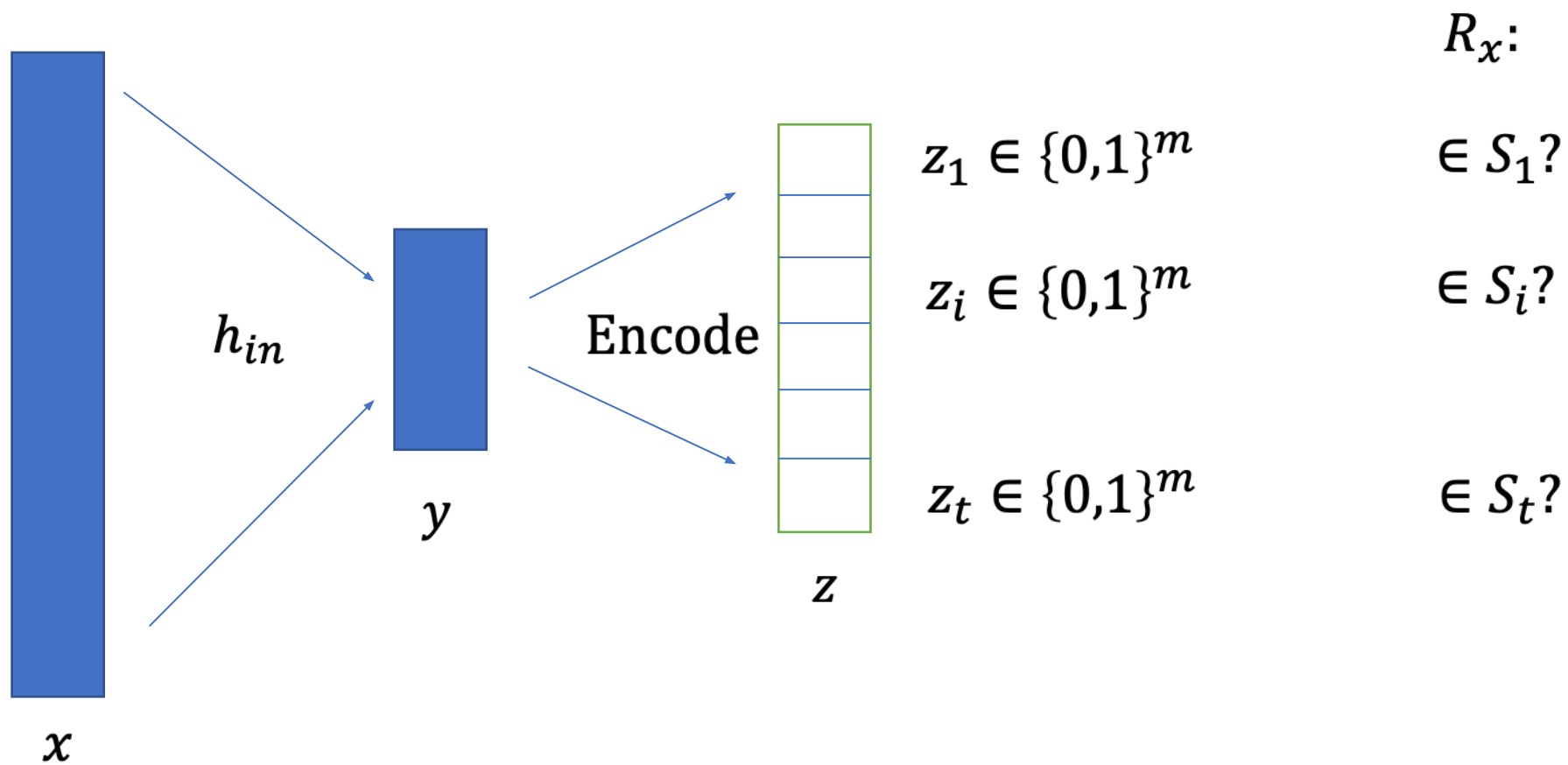
- *The “repetition parameter” t needs to be large enough, depending on the density of the S_i
- *We need membership in S_i to be efficiently decidable

CI for Product Relations

Main Theorem: Under LWE, there exist CI hash functions for product relations*

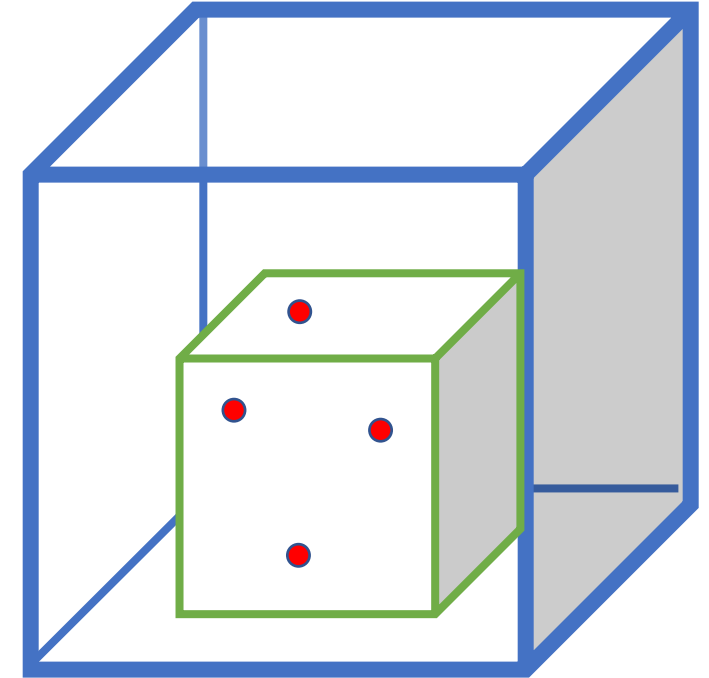
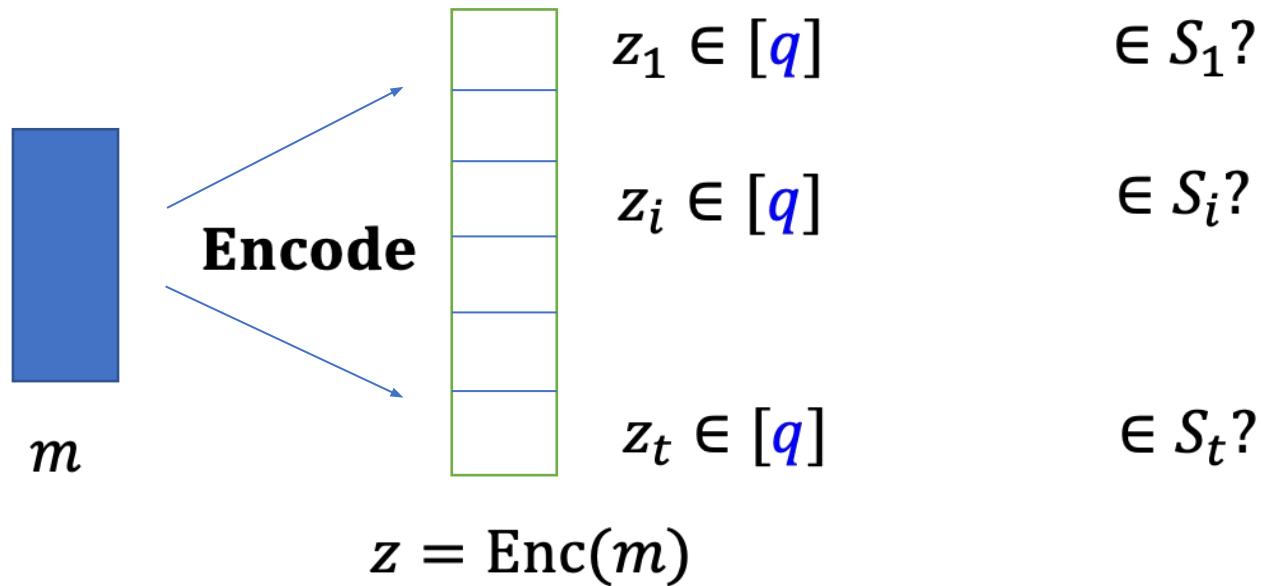
Idea: Hash, then Encode





- Reduce the **number of bad points**
 - For every x , there may be **many bad z** , but hopefully **few bad y** (and so few bad z in the image of the hash function).
 - Use the **[PS19] hash function** for h_{in}

Codes to the Rescue



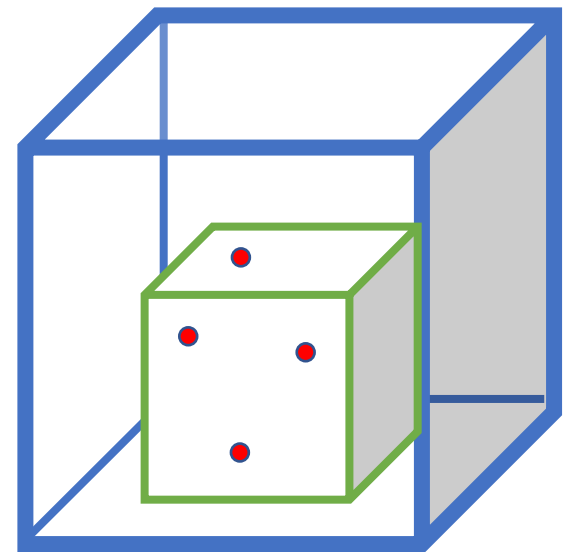
Definition:

- Enc describes a **list-recoverable code** if there are only **polynomially** many codewords in each **product set** $S_1 \times S_2 \times \dots \times S_t$.
- The code is “**algorithmic**” if given S_1, S_2, \dots, S_t , the corresponding messages can be efficiently found.

List-Recoverable Codes

$$\text{Encode: } \{0,1\}^n \rightarrow [q]^t$$

Alternatively: derandomized parallel repetition [BGG90] preserving polynomial number of (efficiently computable) bad challenges

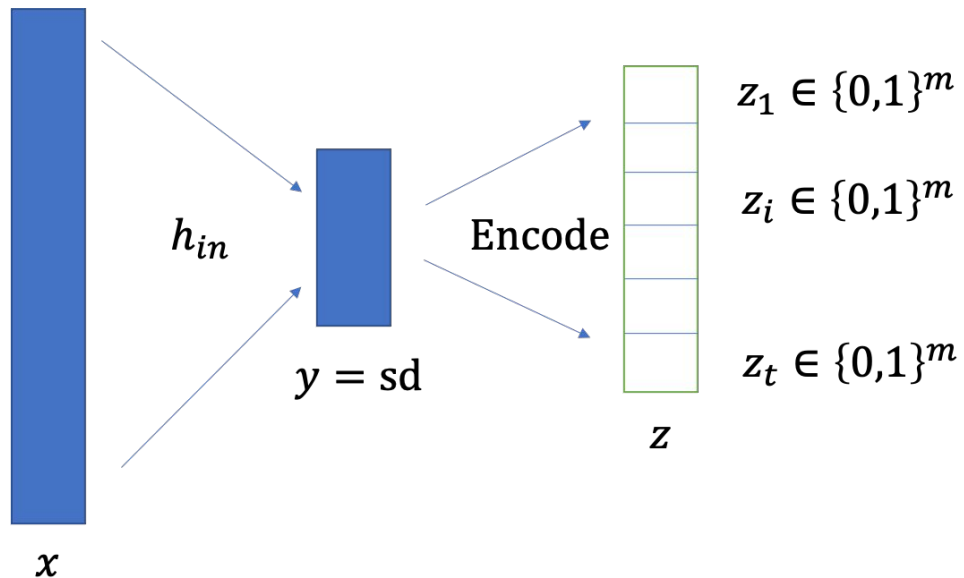


	block-length	number of repetitions (dimension)
	alphabet size	challenge space size for base protocol
		# of bad challenges for base protocol
	“output list” size	# of bad challenge codewords

(t, ℓ, q, L) list-recoverable code

Theorem: Under the **LWE** assumption, there exist CI hash functions for product relations (\rightarrow FS for commit-and-open protocols).

Proof Sketch:



Encode is a $(\lambda q, q - 1, q)$
list-recoverable code (key lemma)

h_{in} is a [PS19] hash function

Key Lemma: Concatenation of a carefully chosen Parvaresh-Vardy code [PV05] with a poly-size random code has the desired properties.

Extension to Multi-Round Protocols

Theorem: Under the **LWE*** assumption, Fiat-Shamir can be instantiated for any (sufficiently parallel repeated) protocol with:

- Round-by-round soundness [CCHLRRW19], and
- “efficiently* recognizable bad challenges”

Corollary: FS for parallel repeated Sumcheck or GKR over *small fields* (polynomial or polylogarithmic). [JKKZ20] use exponentially large fields (and don't need parallel repetition).

Open Problems

- FS for protocols **without efficiently verifiable bad challenges**
 - Graph Isomorphism
 - Commit-and-Open protocols that use Naor/Blum commitments
- Better results for **multi-round protocols**
 - Avoid subexponential assumptions (as in [LV20, JKKZ20, HLR21])
 - Adaptive soundness without leveraging
- Fiat-Shamir for **arguments**? [CJJ21a, **CJJ21b**, LVZ21]
 - **Ingredient:** PCPs with polynomial amount of bad randomness (follows from our codes)

Thank you!

