

# SYNTHESIS WITH GUARANTEES

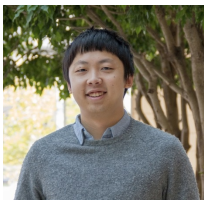
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**madPL**



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Loris D'Antoni



John Cyphert



Thomas Reps

# Syntax Guided Synthesis

[Alur et al. 13]

Specification

$$\Phi(P) : \forall x, y. P \geq x \wedge P \geq y \\ \wedge (P = x \vee P = y)$$

Search space

Start := Start+Start  
| ITE(BExpr,Start,Start)  
| x | y | 0 | 1  
BExpr := NOT(BExpr)  
| Start > Start  
| Start AND Start

SyGuS  
Synthesizer

Program

ITE( x>y, x, y)

ITE( x>y, ITE(x>0, x, x), y )

# Applications of SyGuS

**Automatic Program Inversion using Symbolic Transducers**

Univer

**Quantified Invariants via  
Syntax-Guided Synthesis**

**Synthesis of Fault-Attack Countermeasures  
for Cryptographic Circuits**

<sup>1</sup> Princ  
<sup>2</sup> TC

**Accelerating Syntax-Guided Invariant Synthesis**

Grigory Fedyukovich<sup>1</sup> and Rastislav Bodik<sup>2</sup>

<sup>1</sup> Princeton University, USA, [grigoryf@cs.princeton.edu](mailto:grigoryf@cs.princeton.edu)

<sup>2</sup> University of Washington, USA, [bodik@cs.washington.edu](mailto:bodik@cs.washington.edu)

DOES IT REALLY WORK

---

```

1 // Auxiliary functions
2 fun E (x: x <= #x40) :=
3   (ite (x <= #x19) (x + #x41)
4     (ite (x <= #x33) (x + #x47)
5       (ite (x <= #x3d) (x - #x04)
6         (ite (x == #x3e) #x2b #x2f))))
7 fun B h l x := (x << (7 - h)) >> (7 - h + 1)
8 // List transformations
9 trans B64E (l: (BitVec 8) list) : (BitVec 8) :=
10  match l with
11  | x::y::z::tail when true ->
12    E (B 7 2 x) ::
13    E (((B 1 0 x) << #x04) | (B 7 4 y)) ::
14    E (((B 4 0 y) << 2) | (B 7 6 z)) ::
15    E (B 5 0 z) :: B64E(tail)
16  | x::y::[] when true ->
17    E (B 7 2 x) ::
18    E (((B 1 0 x) << 4) | (B 7 4 y)) ::
19    E ((B 4 0 y) << 2) :: #x30 :: []
20  | x::[] when true ->
21    E (B 7 2 x) ::
22    E ((B 1 0 x) << 4) :: #x30 :: []
23  | [] when true -> []

```

Red box highlighting the first match case of the B64E function definition.

ESolver

CVC4

(define-fun ((x (BitVec 8)) (y (BitVec 8))) (bvand (bvlshl (DD x) #x02) (bv

```

(define-fun wD ((x (BitVec 8)) (y (BitVec
x60 #x01) x)) (not (bvule x (bvadd #x70
nd (not (= y (bvadd #x40 #x01))) (and (n
)) (bvadd #x60 #x03) (ite (and (= (bvadd
0a) x) (= y (bvadd #x70 #x07)))) (bvadd #
add #x40 #x07) (ite (and (= (bvadd #x50
(= y (bvadd #x70 #x07))) (bvadd #x10 #x
(= (bvadd #x50 #x04) x) (= y (bvadd
(bvadd #x70 #x07))) (bvadd #x10 #x03) (i
3) (ite (and (= (bvadd #x40 #x06) x) (=
) (= y (bvadd #x70 #x07))) #x03 (ite (an
e (and (= (bvadd #x40 #x09) x) (= y (bva
(bvadd #x60 #x07))) (bvadd #x10 #x02) (
0e) (ite (and (= (bvadd #x40 #x04) x) (=
d #x60 #x07))) (bvadd #x10 #x0e) (ite (a
vadd #x50 #x02) x) (= y (bvadd #x60 #x07
#x07))) (bvadd #x0 #x0a) (ite (and (=
d (= x (bvadd #x30 #x03)) (= y (bvadd #x
dd #x50 #x01))) (bvadd #xf0 #x05) (ite (
bvadd #x30 #x08) x) (= y (bvadd #x50 #x0
0 #x01))) (bvadd #xd0 #x09) (ite (and (=
nd (= x (bvadd #x30 #x03)) (= y (bvadd #
add #x60 #x07))) (bvadd #x60 #x06) (ite
x08) x) (= y (bvadd #x40 #x01))) #xf0 (i
(= (bvadd #x30 #x06) x) (= y (bvadd #x40
#x40 #x01))) (bvadd #xd0 #x0c) (ite (an
add #x40 #x0b) x) (= y (bvadd #x70 #x07)
#x07))) (bvadd #x50 #x0b) (ite (and (=
(= (bvadd #x40 #x08) x) (= y (bvadd #x4
d #x50 #x01))) (bvadd #x10 #x09) (ite (a
vadd #x50 #x03) x) (= y (bvadd #x50 #x01
(ite (and (= (bvadd #x50 #x09) x) (= y
x30 (ite (and (= (bvadd #x40 #x05) x) (=
) (bvadd #x40 #x0c) (ite (and (= (bvadd
f) x) (= y (bvadd #x50 #x01))) (bvadd #x
(ite (and (= (bvadd #x50 #x02) x) (= y
(= y (bvadd #x40 #x01))) (bvadd #x20 #x0
(and (= (bvadd #x40 #x06) x) (= y (bvadd
bvadd #x40 #x01))) (bvadd #x30 #x08) (it
) (ite (and (= (bvadd #x40 #x0b) x) (= y
#x40 #x01))) (bvadd #x40 #x08) (ite (and
(= y (bvadd #x50 #x01))) (bvadd #x20 #x0
x7))) (bvadd #x10 #x06) (ite (and (= (b
(= (bvadd #x50 #x07) x) (= y (bvadd #x60
#x60 #x07))) (bvadd #x20 #x0a) (ite (an
bvadd #x40 #x01) (= y (bvadd #x60 #x07)
d #x60 #x01) (ite (and (= (bvadd #x40 #x
40 #x05) x) (= y (bvadd #x50 #x01))) (bv
) (bvadd #x40 #x0d) (ite (and (= (bvadd
8) x) (= y (bvadd #x50 #x01))) (bvadd #x
(ite (and (= (bvadd #x50 #x02) x) (= y
(= y (bvadd #x50 #x01))) (bvadd #x20 #x0
(and (= (bvadd #x40 #x06) x) (= y (bvadd
bvadd #x60 #x07))) (bvadd #xb0 #x0e) (it
) (ite (and (= (bvadd #x60 #x0d) x) (= y

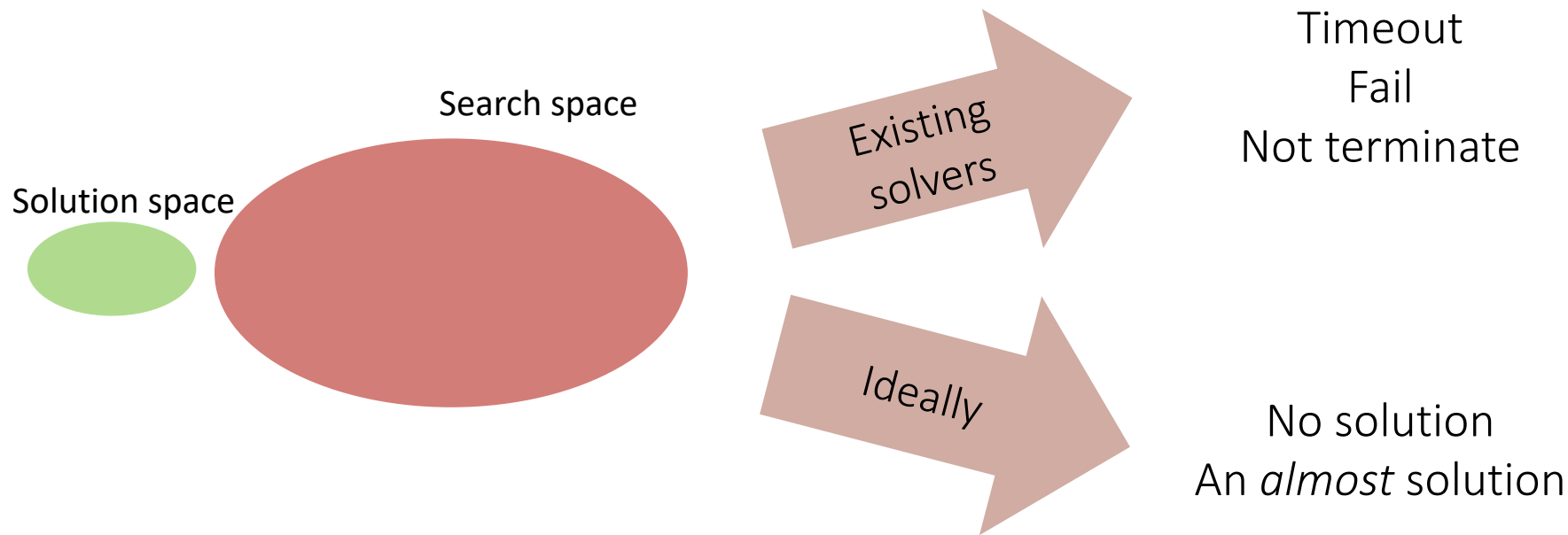
```



“Synthesis is like a box of chocolate,  
You never know what you’re gonna get”



# Program synthesis is unpredictable – part 2





# PROGRAM SYNTHESIS WITH GUARANTEES

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# Program synthesis with guarantees

Specification



Program

Search space



Synthesizer

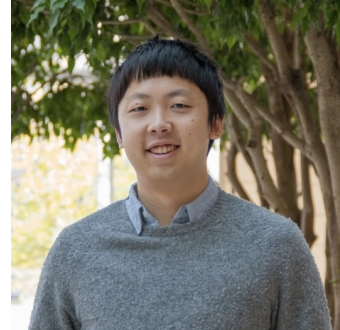


Proof that no program meets the specification

Ability to prefer a solution when there are multiple ones



Program that satisfies a probabilistic version of the specification



Qinheping Hu

# SYNTAX-GUIDED SYNTHESIS WITH QUANTITATIVE SYNTACTIC OBJECTIVES

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[CAV18]

# Adding quantitative objectives

Specification

$$\Phi(P) : \forall x, y. P \geq x \wedge P \geq y \\ \wedge (P = x \vee P = y)$$

Search space

Start := Start+Start  
| ITE(BExpr,Start,Start)  
| x | y | 0 | 1  
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| Start AND Start

SyGuS  
Synthesizer

Program

ITE( x>y, x, y)

ITE( x>y, ITE(x>0, x, x), y )

Need a way to  
prefer the first  
solution

# Adding quantitative objectives

Specification

$$\Phi(P) : \forall x, y. P \geq x \wedge P \geq y \\ \wedge (P = x \vee P = y)$$

Search space

Start := Start+Start

| ITE(BExpr, Start, Start)

| x | y | 0 | 1

BExpr := NOT(BExpr)

| Start > Start

| Start AND Start

**1**  
↑  
weight

SyGuS  
Synthesizer

Program

ITE( x>y, x, y)

ITE( x>y, ITE(x>0, x, x), y )

↑  
Need a way to  
prefer the first  
solution

# What is the weight of a program?

$\text{ITE}(x > y, \text{ITE}(x > 0, x, x), y)$

weight=2

Start := Start+Start

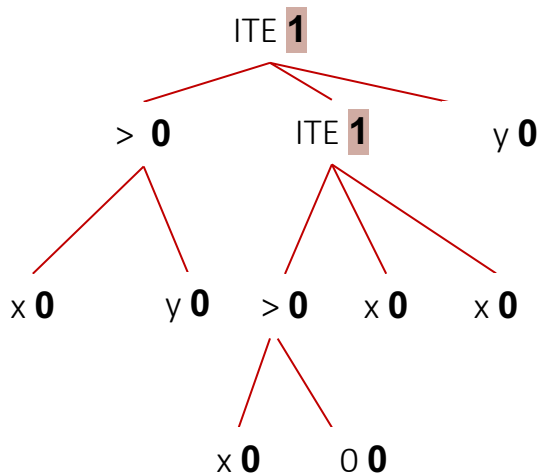
| ITE(BExpr, Start, Start) **1**

| x | y | 0 | 1

BExpr := NOT(BExpr)

| Start > Start

| Start AND Start



# Adding quantitative objectives

Specification

$$\Phi(P) : \forall x, y. P \geq x \wedge P \geq y \\ \wedge (P = x \vee P = y)$$

Search space

Start := Start+Start

| ITE(BExpr, Start, Start)

| x | y | 0 | 1

BExpr := NOT(BExpr)

| Start > Start

| Start AND Start

SyGuS  
Synthesizer

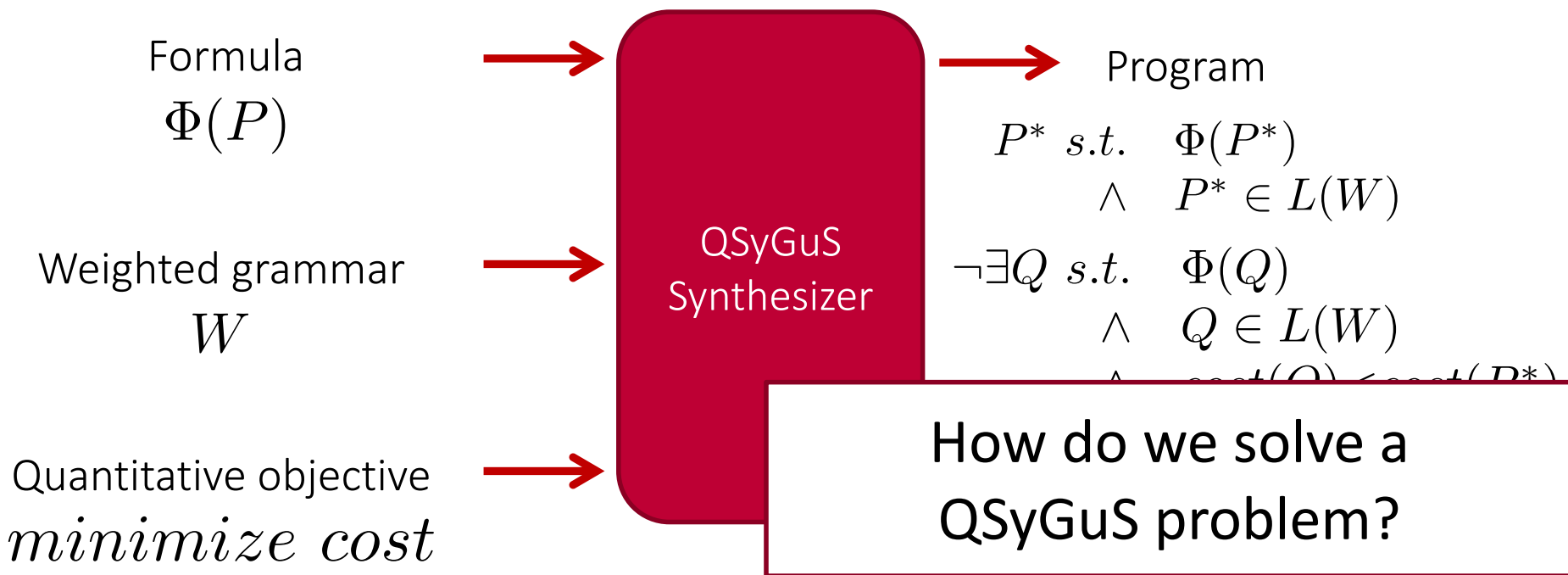
Program

ITE( x>y, x, y) **1**

ITE( x>y, ITE(x>0, x, x), y) **2**

Programs now have  
weights/costs

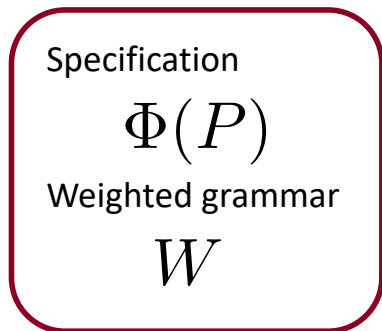
# Syntax Guided Synthesis with Quantitative Objectives





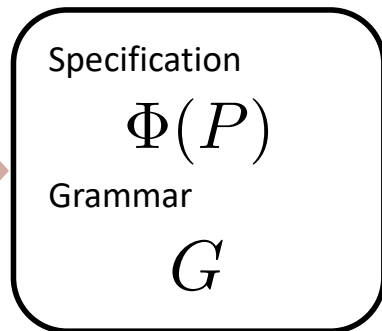
# Solving QSyGuS problems

QSyGuS



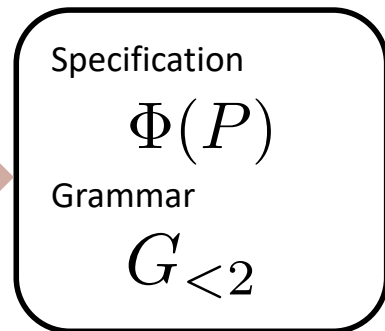
Ignore weights

SyGuS



Restrict grammar

SyGuS



Start := Start+Start  
| ITE(BExpr,Start,Start)  
| x | y | 0 | 1

**1**

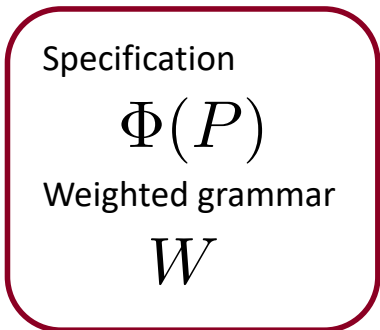
Start := Start+Start  
| ITE(BExpr,Start,Start)  
| x | y | 0 | 1

...

ITE( x>y, ITE(x>0, x, x), y ) **2**

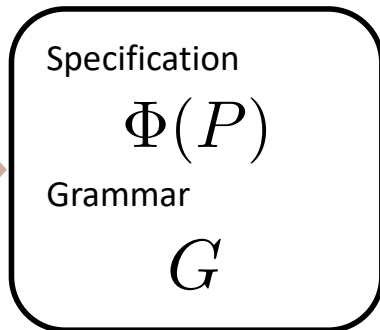
# Solving QSyGuS problems

QSyGuS



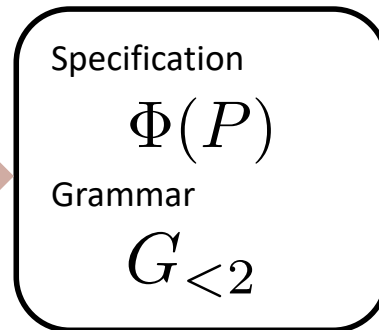
Ignore weights

SyGuS



Restrict grammar

SyGuS



Restrict grammar

Start := Start+Start  
| ITE(BExpr,Start,Start)  
| x | y | 0 | 1

**1**

ITE(  $x > y$ , ITE( $x > 0$ , x, x), y ) **2**

Start := Start<sub>0</sub> | Start<sub>1</sub>

Start<sub>0</sub> := Start<sub>0</sub>+Start<sub>0</sub>

| x | y | 0 | 1

Start<sub>1</sub> := ITE(BExpr, Start<sub>0</sub>, Start<sub>0</sub>)

| Start<sub>0</sub>+Start<sub>1</sub> | Start<sub>1</sub>+Start<sub>0</sub>

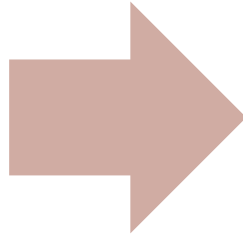
ITE(  $x > y$ , x, y ) **1**

...

# Soundness of grammar restriction

Weighted grammar  
does not contain  
negative weights

$W$



Reduced grammar accepts  
all and only the terms  
of weight  $< c$

$G_{<c}$

Results also generalize to multiplicative weights and bounded negative weights

# Beyond minimization constraints

*minimize cost*

Linear search

$$cost > 3$$

$$complement(G_{<4})$$

$$2 < cost < 5$$

$$G_{>2} \cap G_{<5}$$

$$3 < cost_1 \wedge cost_2 < 0.5$$

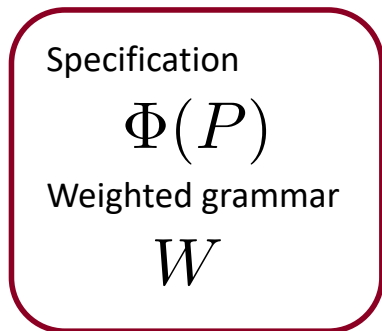
$$G_{cost_1 > 3} \cap G_{cost_2 < 0.5}$$

DOES IT WORK?

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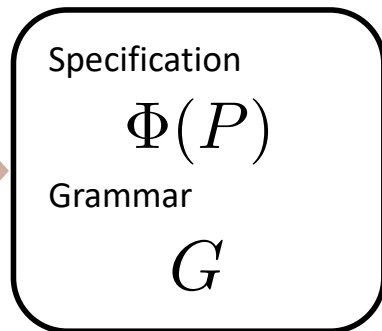
# Implementation

QSyGuS



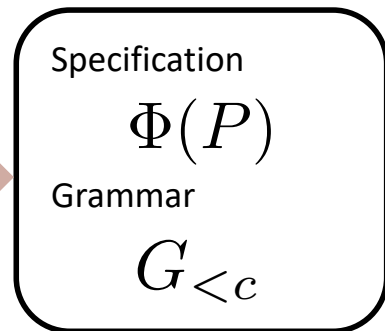
Ignore weights

SyGuS



Restrict grammar

SyGuS



Restrict grammar

ESolver, CVC4

# Benchmarks

26 SyGuS benchmarks

$(\mathbb{R}, +)$ : minimize number of specified operator  
minimize solution size

$([0,1],*)$ : maximize solution probability

$(\mathbb{R}, +) \times (\mathbb{R}, +)$ : find sorted optimal for (# of specified operators, size)  
find Pareto optimal for (# of specified operators, size)

	<u>Problem</u>
	max_ite(2,3)
	max_ite(2,15)
	max_ite(3,15)
	max_ite(10,15)
	parity_not
	max3_ite
Trop	array_search_3
	array_search_5
	hackers_5
	hackers_7
	hackers_17
	hackers_19
	icfp_7
	LinExpr_eq1ex
Prob	hackers_2_prob
	hackers_5_prob
	hackers_7_prob
	hackers_17_prob
	<u>Problem</u>
Trop $\times$ Trop	array_search_sorted
	hackers_5_sorted
	hackers_7_sorted
	hackers_17_sorted
	array_search_pareto
	hackers_5_pareto
	hackers_7_pareto
hackers_17_pareto	

# Summary of results

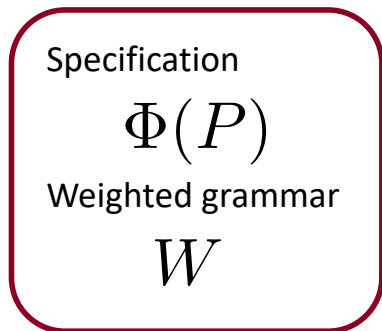
Solution with **better cost** than one without QSyGuS for **16/26** benchmarks

Found **optimal** solution for **14/26** benchmarks



# Why couldn't we prove optimality?

QSyGuS



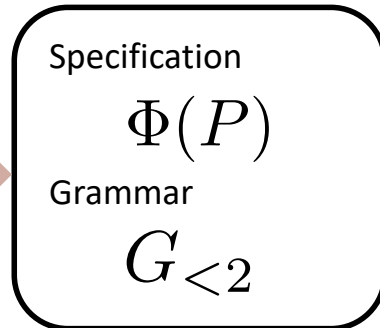
Start := Start+Start  
| ITE(BExpr,Start,Start) **1**  
| x | y | 0 | 1

...

...

Restrict  
grammar

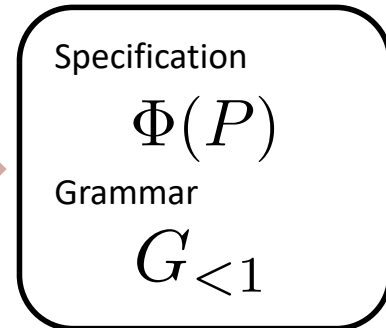
SyGuS



ITE( x>y, x, y) **1**

Restrict  
grammar

SyGuS



Start := Start**0**  
Start**0** := Start**0**+Start**0**  
| x | y | 0 | 1

**No solution!**

# Program synthesis with guarantees

Specification



Program

Search space



Synthesizer

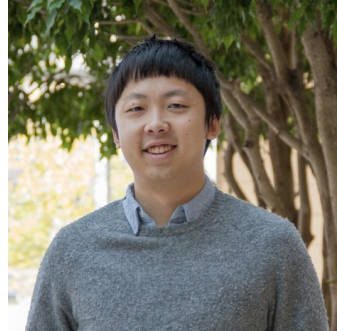


Proof that no program meets the specification

Ability to prefer a solution when there are multiple ones



Program that satisfies a probabilistic version of the specification



# PROVING UNREALIZABILITY IN SYNTAX-GUIDED SYNTHESIS

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*Q. HU, J. BRECK, J. CYPHERT, L. D'ANTONI, T. REPS [CAV19]*

# Why is this hard?

Specification

$$\Phi(P) : \forall x, y. P \geq x \wedge P \geq y \\ \wedge (P = x \vee P = y)$$

Search space

Start := Start+Start

| x | y | 0 | 1



SyGuS  
solver



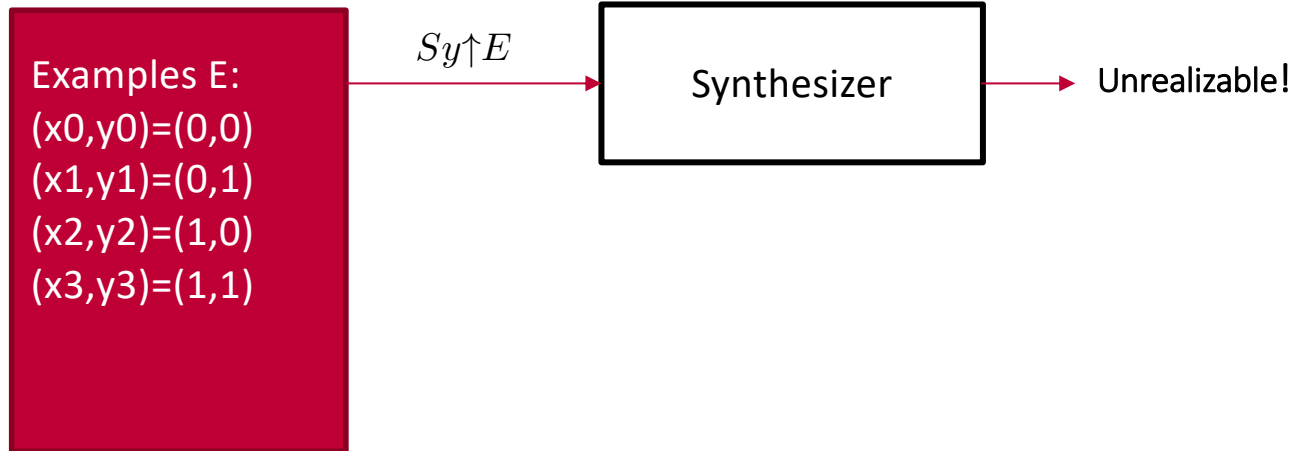
Unrealizable

Proof that

$$\neg \exists Q \text{ s.t. } \Phi(Q) \\ \wedge Q \in L(G)$$

Infinite grammar makes  
the problem undecidable

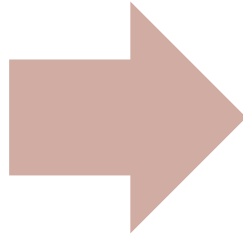
$$Sy \uparrow E = \bigwedge_{(x,y) \in E} \Phi(f, x, y)$$



# Soundness of CEGIS for unrealizability

$Sy \uparrow E$  unrealizable

No solution over  $E$



$Sy$  unrealizable

No solution

# Outline of the algorithm

$Sy \uparrow E := (\Phi, G, E)$

construct



```
int[4] Start(x_0,y_0,x_1,y_1,x_2,y_2,x_3,y_3){
  if(??){return (0,0,0,0);}           // Start -> 0
  if(??){return (1,1,1,1);}           // Start -> 1
  if(??){return (x_0,x_1,x_2,x_3);}   // Start -> x
  if(??){return (y_0,y_1,y_2,y_3);}   // Start -> y
  else{                                // Start -> Start + Start
    int[4] L = Start(x_0,y_0,x_1,y_1);
    int[4] R = Start(x_0,y_0,x_1,y_1);
    return (L[0]+R[0],L[1]+R[1],L[2]+R[2],L[3]+R[3]);}
}
int[4] P = Start(0,0,0,1,1,0,2,0);
assert (P[0]!=0 || P[1]!=1 || P[2]!=1 || P[3]!=2);
```

$Sy \uparrow E$  unrealizable


**assert** always holds



# Reachability Problem

```
void main(){  
    int x = 0;  
    while(nd()){  
        x++;  
    }  
    assert(x<0)  
}
```

Nondeterministic  
choice



Reachability solvers:

CPA-checker

Uautomizer

Seahorn

Goal: can the **assert** be falsified?



$Sy^E$  to  $Re^E$

Set input to  $E$

$$\vec{x} \leftarrow E$$

$f_G$  is non-deterministically drawn from  $L(G)$

$$\vec{o} \leftarrow f_G(\vec{x})$$

Check if  $\vec{o}$  doesn't satisfy  $\varphi$   $\longleftrightarrow$   $f_G(\vec{x})$  does not satisfy  $\varphi$  on  $E$

**assert**(  $\neg\varphi(o, x)$  ,  $x_i$  )

$Sy \uparrow E$  unrealizable

$Sy^E$  to  $Re^E$

Set input to  $E$

$$\vec{x} \leftarrow E$$

$f_G$  is non-deterministically drawn from  $L(G)$

$$\vec{o} \leftarrow f_G(\vec{x})$$

Check if  $\vec{o}$  doesn't satisfy  $\varphi$

**assert**(  $\neg\varphi(o, x)$  ,  $x_i$ ))



Check if  $\vec{o}$  doesn't satisfy  $\varphi$

```
assert( $\neg \wedge x_i \in E. \varphi(o_i, x_i)$ )
```

```
void main(){  
    ...  
    assert(!(spec(x0,y0,o0)&&spec(x1,y1,o1)));  
}  
bool spec(x,y,o){  
    return (o>=x)&&(o>=y)&&(o==x || o==y);  
}
```

$Sy^E$  to  $Re^E$

Set input to  $E$

$$\vec{x} \leftarrow E$$

$f_G$  is non-deterministically drawn from  $L(G)$

$$\vec{o} \leftarrow f_G(\vec{x})$$



Check if  $\vec{o}$  doesn't satisfy  $\varphi$

`assert(  $\neg\varphi(o, x)$  ,  $x_i$  )`

$f_G$  is non-deterministically drawn from  $L(G)$

$$\vec{o} \leftarrow f_G(\vec{x})$$

```
o0 = fStart(x0,y0);
```

```
int fStart(x0,y0){           \\ Start -> 0
  if(nd()){ return 0;}      \\ Start -> 1
  if(nd()){ return 1;}      \\ Start -> x
  if(nd()){ return x0;}     \\ Start -> y
  if(nd()){ return y0;}     \\ Start -> +(Start,Start)
  if(nd()){
    left = fStart(x0,y0);
    right = fStart(x0,y0);
    return left + right;}
}
```

Example 0

$o_0 = f_{\text{Start}}(x_0, y_0);$

$o_0$  is  $f_G(x_0, y_0)$  for some  $f_G$  in  $L(G)$

Example 1

$o_1 = f_{\text{Start}}(x_1, y_1);$

$o_1$  is  $f_G(x_1, y_1)$  for some  $f_G$  in  $L(G)$



The two  $f_G$  can be different!

$f_G$  is non-deterministically drawn from  $L(G)$

$$\vec{o} \leftarrow f_G(\vec{x})$$

```
(o0,o1) = fStart(x0,y0,x1,y1);
```

```
<int,int> fStart(x0,y0,x1,y1){  
  if(nd()){ return (0,0);}    \\ Start -> 0  
  if(nd()){ return (1,1);}    \\ Start -> 1  
  if(nd()){ return (x0,x1);}  \\ Start -> x  
  if(nd()){ return (y0,y1);}  \\ Start -> y  
  if(nd()){                    \\ Start -> +(Start,Start)  
    (a0,a1) = fStart(x0,y0,x1,y1);  
    (b0,b1) = fStart(x0,y0,x1,y1);  
    return (a0+b0,a1+b1);}  
}
```

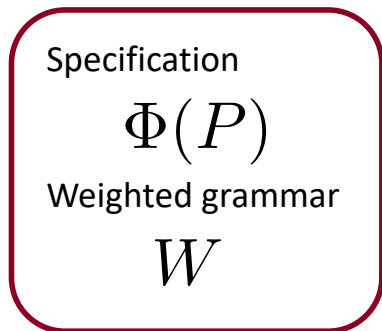
DOES IT WORK?

---

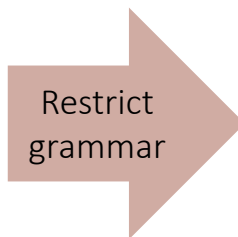


# Benchmarks

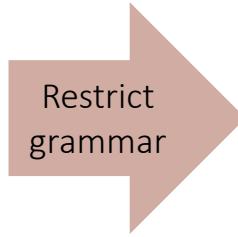
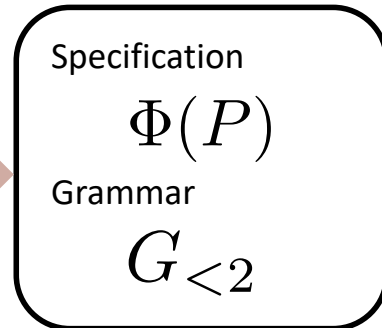
QSyGuS



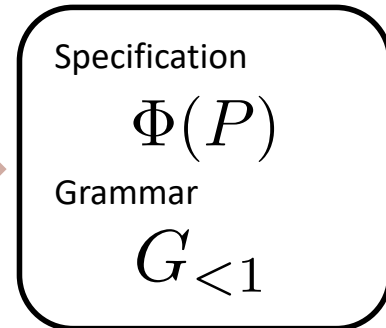
...



SyGuS

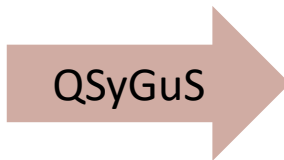


SyGuS



**No solution!**

60 SyGuS benchmarks  
over LIA



132 benchmarks that  
should be unrealizable

# The tool Nope

132 variants of benchmarks taken from SyGuS      Solved

1. bounded number of if-operators      13/57

2. bounded number of plus-operators      1/30

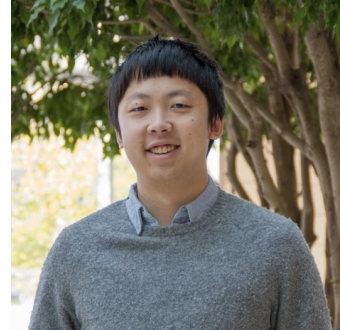
3. restricted range of constants      45/45

59/132

# Limitation NOPE: size of grammars

	number of nonterminals	number of productions	number of examples	total SEAHORN time (s)	total SEAHORN time (s)
mpg_example1	59	815	1	X	X
mpg_example2	21	178	1	X	X
mpg_example3	143	4186	1	X	X
mpg_example4	443	36745	1	X	X
...	...	...	..	..	..

Large sized reachability problem



Qinheping Hu

# A SPECIALIZED UNREALIZABILITY TECHNIQUE

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EXACT AND APPROXIMATE METHODS FOR PROVING UNREALIZABILITY OF SYNTAX-  
GUIDED SYNTHESIS PROBLEMS [PLDI20]

# Example of an Unrealizable Problem

$$f(1) = 5$$

$$f(2) = 6$$

Start  $\rightarrow$  Expr<sub>1</sub> | Expr<sub>2</sub>

Solution  $\in$  ? Expr<sub>1</sub>  $\rightarrow$   $x + x +$  Expr<sub>1</sub> | 1

Solution  $\in$  ? Expr<sub>2</sub>  $\rightarrow$   $x + x + x +$  Expr<sub>2</sub> | 0

# Example of an Unrealizable Problem

$$f(1) = 5$$

$$f(2) = 6$$

$$\exists \lambda. 2\lambda 1 + 1 = 5$$

$$\wedge \underbrace{2\lambda 2 + 1}_{\text{odd}} = \underbrace{6}_{\text{even}}$$

Start  $\rightarrow$  Expr<sub>1</sub> | Expr<sub>2</sub>

Solution  $\in$  ? Expr<sub>1</sub>  $\rightarrow$   $x + x + \text{Expr}_1$  | 1

$2\lambda x + 1$ : 1,  $2x + 1$ ,  $4x + 1$ , ...

Solution  $\in$  ? Expr<sub>2</sub>  $\rightarrow$   $x + x + x + \text{Expr}_2$  | 0

# Example of an Unrealizable Problem

$$f(1) = 5$$

$$f(2) = 6$$

Start  $\rightarrow$  Expr<sub>1</sub> | Expr<sub>2</sub>

$2\lambda x + 1$  or  $3\lambda x$

Solution ~~✗~~ Expr<sub>1</sub>  $\rightarrow$   $x + x + \text{Expr}_1$  | 1

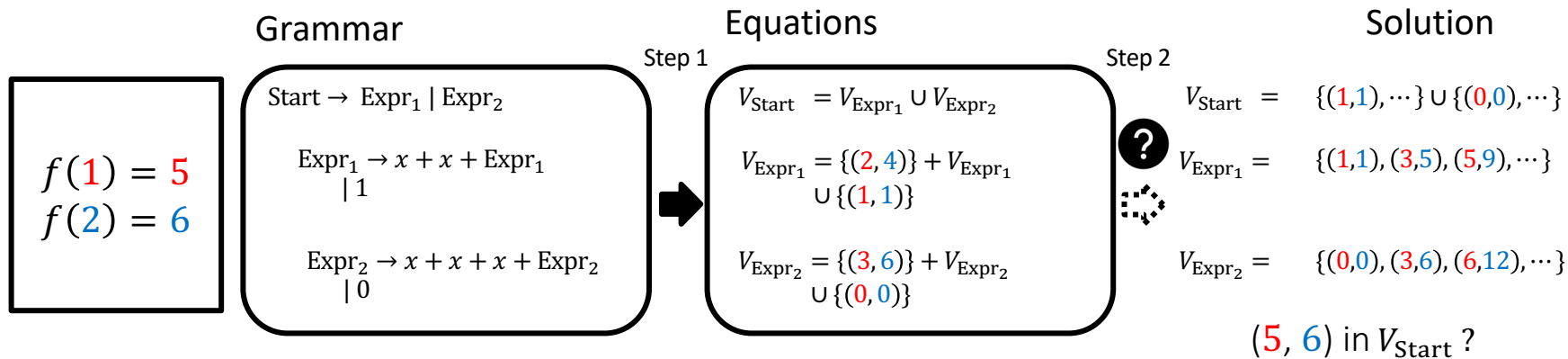
$2\lambda x + 1$

Solution ~~✗~~ Expr<sub>2</sub>  $\rightarrow$   $x + x + x + \text{Expr}_2$  | 0

$3\lambda x$

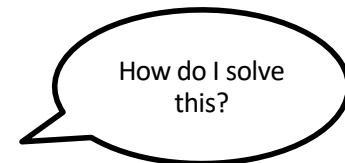
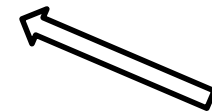


# High-level Idea



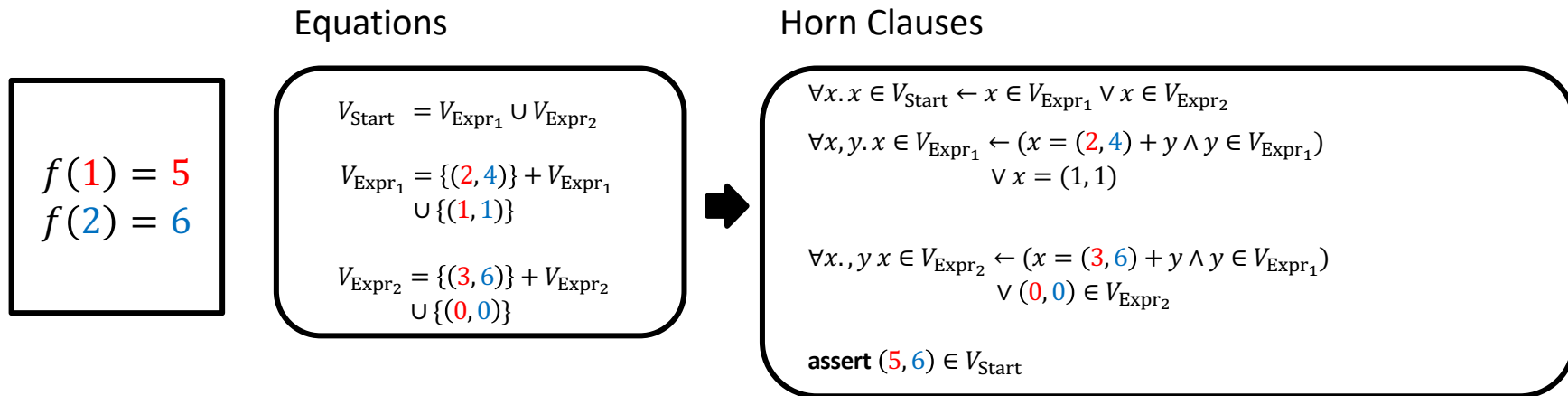
Logical approach: Constrained Horn Clauses (CHC)

Iterative approach





# Logical approach: Solving Equations with Horn Clauses



- Solvable by off-the-shelf Constrained Horn Clauses (CHC) solver
- Complete, but undecidable

# Iterative approach: Solving Equations with Semi-linear Sets

- All variables and constants are semi-linear sets
- Operators:  $\cup$ ,  $+$ , *ITE*

## Equations

$$V_{\text{Start}} = V_{\text{Expr}_1} \cup V_{\text{Expr}_2}$$

$$V_{\text{Expr}_1} = \{(2, 4)\} + V_{\text{Expr}_1} \cup \{(1, 1)\}$$

$$V_{\text{Expr}_2} = \{(3, 6)\} + V_{\text{Expr}_2} \cup \{(0, 0)\}$$



## Solution

$$V_{\text{Start}} = \{(1, 1) + \lambda(2, 4)\} \cup \{(0, 0) + \lambda(3, 6)\}$$

$$V_{\text{Expr}_1} = \{(1, 1) + \lambda(2, 4)\}$$

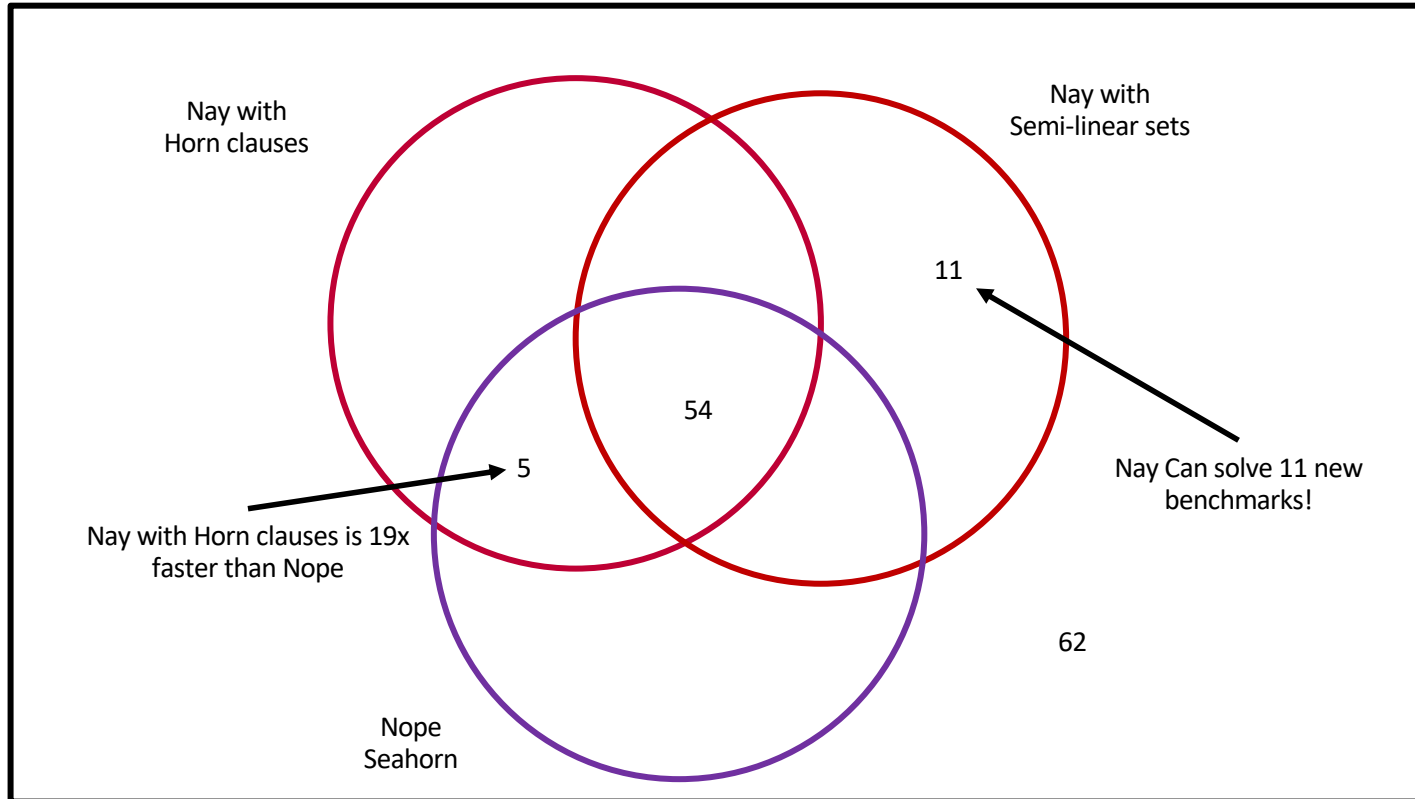
$$V_{\text{Expr}_2} = \{(0, 0) + \lambda(3, 6)\}$$

- Fact: These equations can be solved iteratively in no more than  $n$  rounds with  $n$  number of equations [Esparza et al. 2010]

## Theorem

Given a conditional linear integer arithmetic (CLIA) SyGuS problem  $sy$  and a finite set of examples  $E$ , it is decidable whether the SyGuS problem  $sy^E$  is (un)realizable

# Nay (equation-based) vs Nope (reachability-based)



# CONCLUSION

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# Program synthesis with guarantees

Specification



Program

[CAV19, PLDI20]

Search space



Synthesizer



Proof that no program  
meets the specification

Ability to prefer  
a solution when  
there are multiple ones



Program that satisfies  
a probabilistic version  
of the specification

[CAV18]

[CAV17, CAV19]

