## Learning Exploration Strategies via Meta Reinforcement Learning

Chelsea Finn



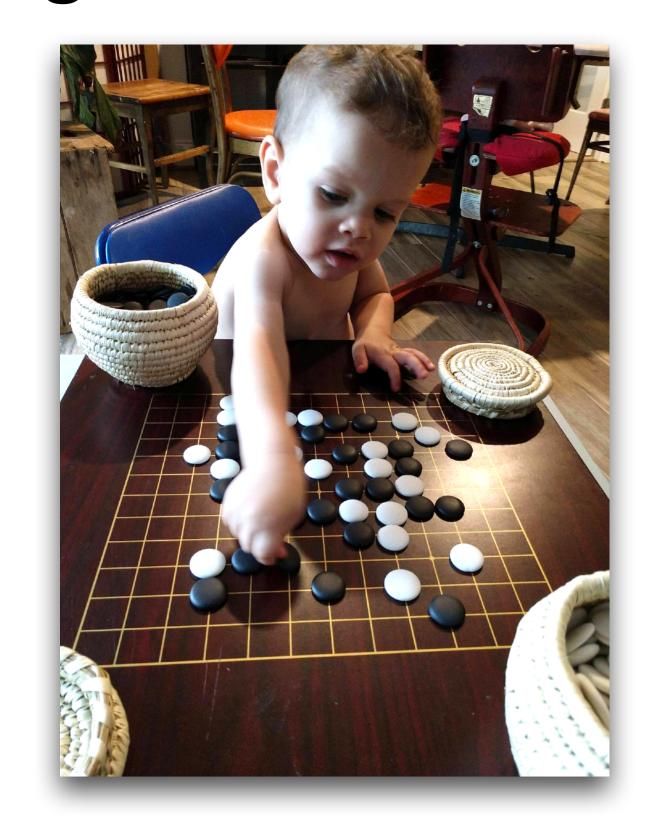
#### Why are humans good at RL?



People have previous experience.

They have developed representations that facilitate exploration & learning.

#### Our RL agents start tabula rasa.



Can we allow RL agents to leverage prior experience?

# Should we be using the same exploration algorithm for:

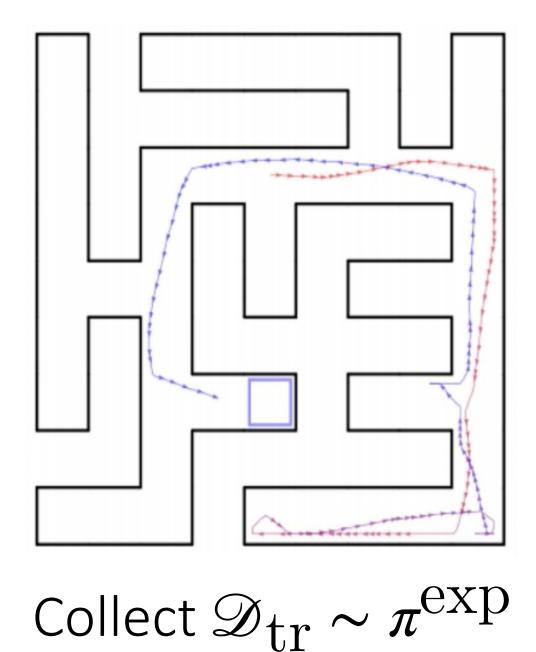
- Learning to navigate an environment
- Learning to make recommendations to users
- Learning a policy for computer system caching
- Learning to physically operate a new tool or machine

This is how we currently approach exploration.

Can we *learn exploration strategies* based on experience from other tasks in that domain?

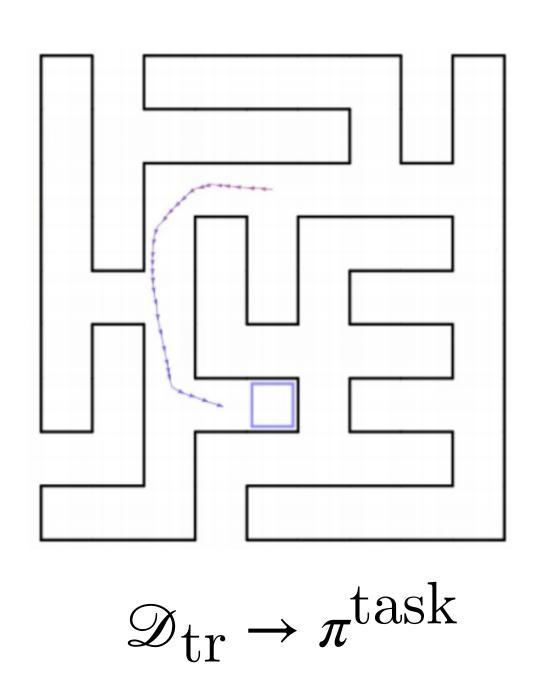
## A brief primer on meta-reinforcement learning

Collect small amount of experience in new MDP



Goal:

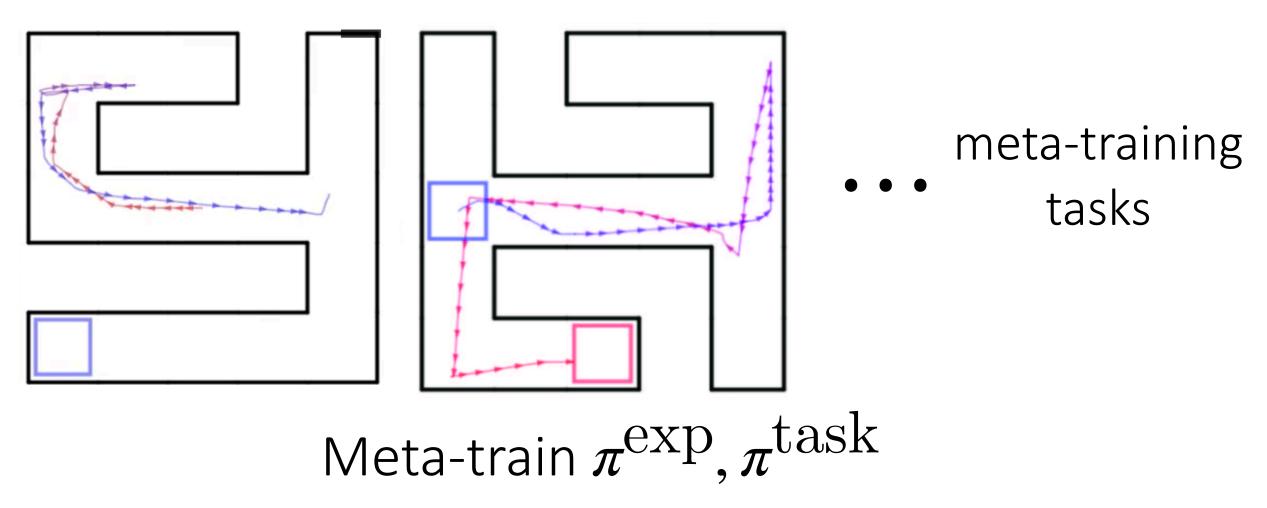
Learn policy that solves that MDP



## A brief primer on meta-reinforcement learning

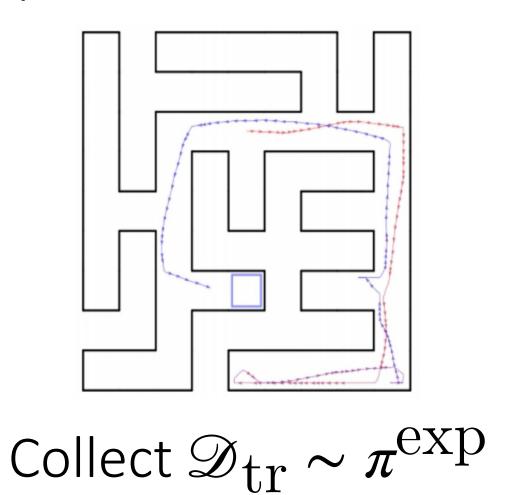
#### **Meta-Train Time:**

Learn how to efficiently explore & solve many MDPs:

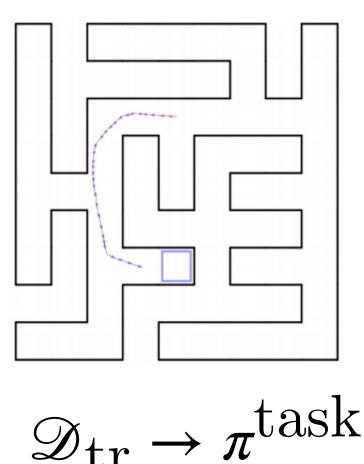


#### **Meta-Test Time:**

Collect small amount of experience in new MDP



Learn policy that solves that MDP

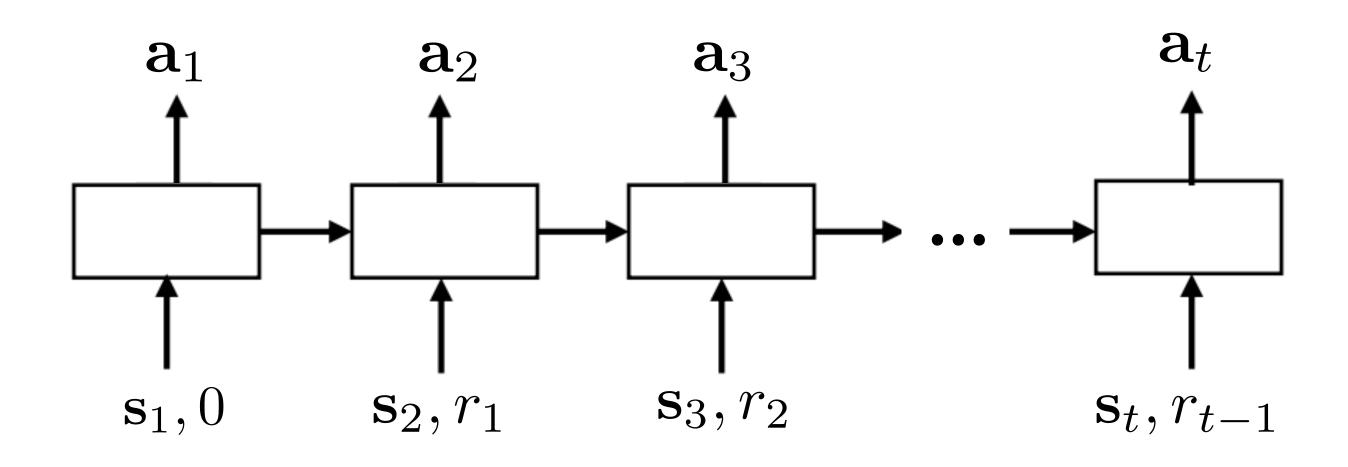


Key assumption: Meta-training & meta-testing MDPs come from same distribution.

(so that we can expect generalization)

### A brief primer on meta-reinforcement learning

Common approach: Implement the learning procedure with a recurrent network.



Is this just a recurrent policy?

Hidden state maintained across episodes within a task!

Trained across a *family of MDPs* with varying dynamics, rewards.

## How Do We Learn to Explore?

## Solution #1: Optimize for Exploration & Exploitation *End-to-End* w.r.t. Reward

(Duan et al., 2016, Wang et al., 2016, Mishra et al., 2017, Stadie et al., 2018, Zintgraf et al., 2019, Kamienny et al., 2020)

- + simple
- + leads to optimal strategy in principle
- challenging optimization when exploration is hard

## Example of a Hard Exploration Meta-RL Problem

Learned cooking tasks in previous kitchens



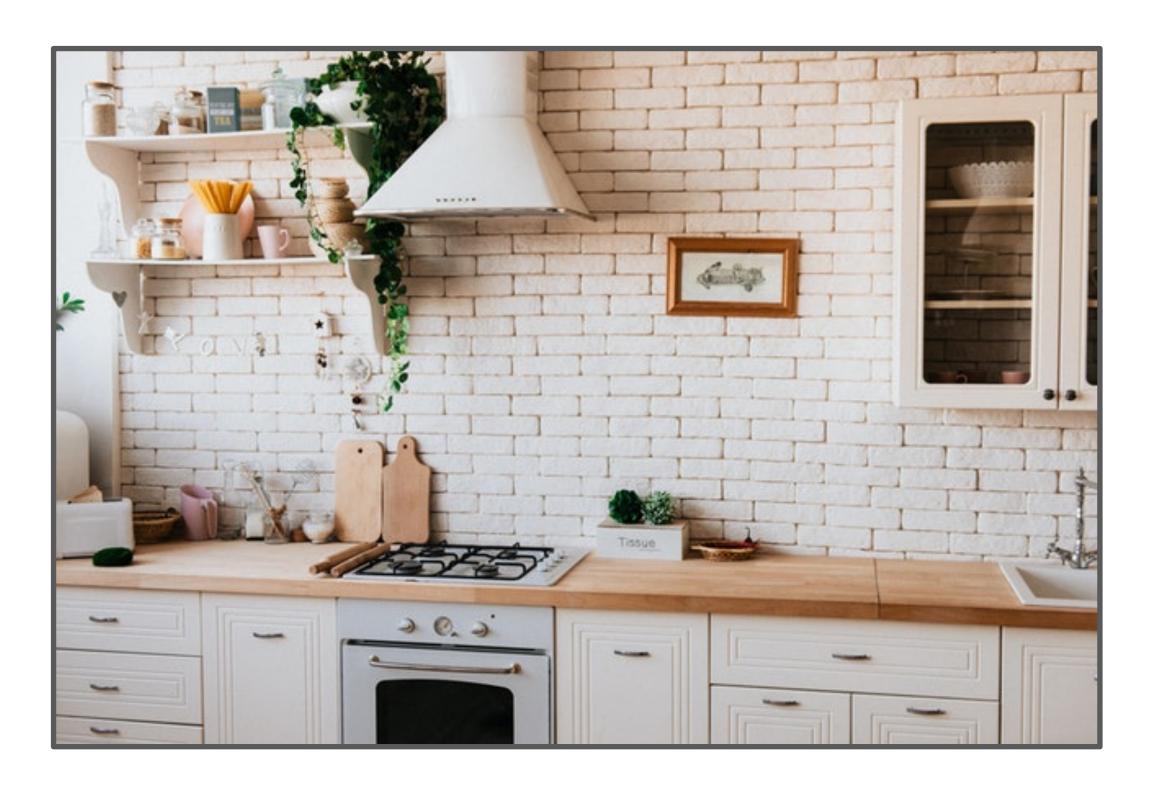








Goal: Quickly learn tasks in a new kitchen.



meta-testing

meta-training

#### Why is End-to-End Training Hard?

**End-to-end approach:** optimize exploration and execution episode behaviors end-to-end to maximize reward of execution



Coupling problem: learning exploration and execution depend on each other

—> can lead to poor local optima, poor sample efficiency

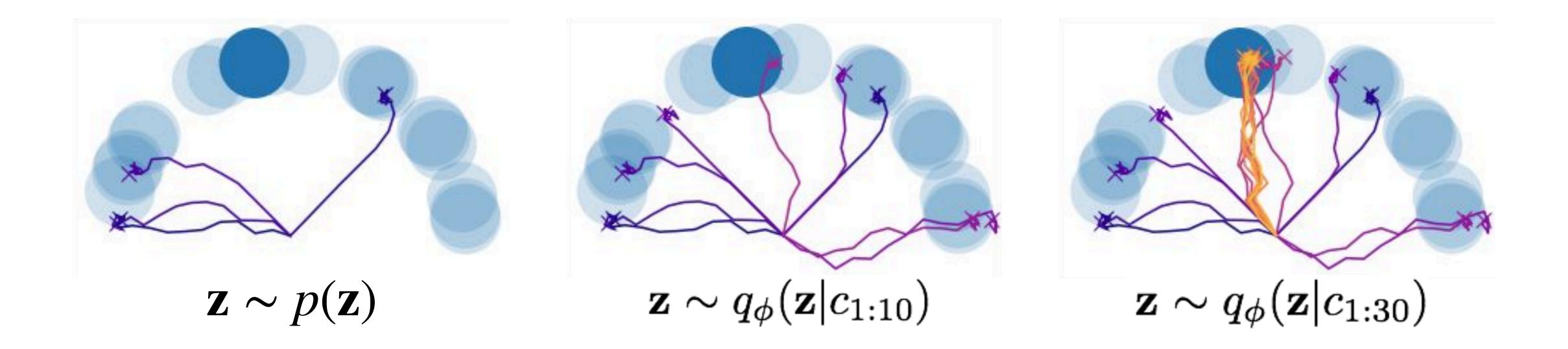
Liu, Raghunathan, Liang, Finn. Explore then Execute: Adapting without Rewards via Factorized Meta-RL. 2020

#### Solution #2: Leverage Alternative Exploration Strategies

1a. Use posterior sampling (also called Thompson sampling)

PEARL (Rakelly, Zhou, Quillen, Finn, Levine. ICML '19)

- i. Learn distribution over latent task variable  $p(\mathbf{z}), q(\mathbf{z} \mid \mathcal{D}_{\mathrm{tr}})$  and corresponding task policies  $\pi(\mathbf{a} \mid \mathbf{s}, \mathbf{z})$
- ii. Sample **z** from current *posterior* and sample from policy  $\pi(\mathbf{a} \mid \mathbf{s}, \mathbf{z})$



When might posterior sampling be bad? Eg. Goals far away & sign on wall that tells you the correct goal.

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1b. Use intrinsic rewards

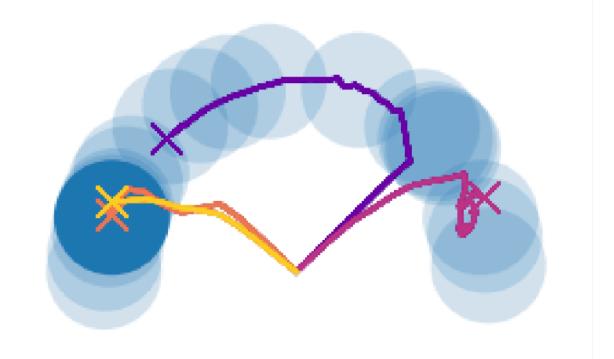
MAME (Gurumurthy, Kumar, Sycara. CoRL '19)

1c. Task dynamics & reward prediction

i. Train model  $f(\mathbf{s}', r | \mathbf{s}, \mathbf{a}, \mathcal{D}_{\text{train}})$ 

MetaCURE (Zhang, Wang, Hu, Chen, Fan, Zhang. '20)

ii. Collect  $\mathcal{D}_{train}$  so that model is accurate.



When might this be bad?

Lots of distractors, or complex, high-dim state dynamics

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- + easy to optimize
- + many based on principled strategies

- suboptimal by arbitrarily large amount in some environments.

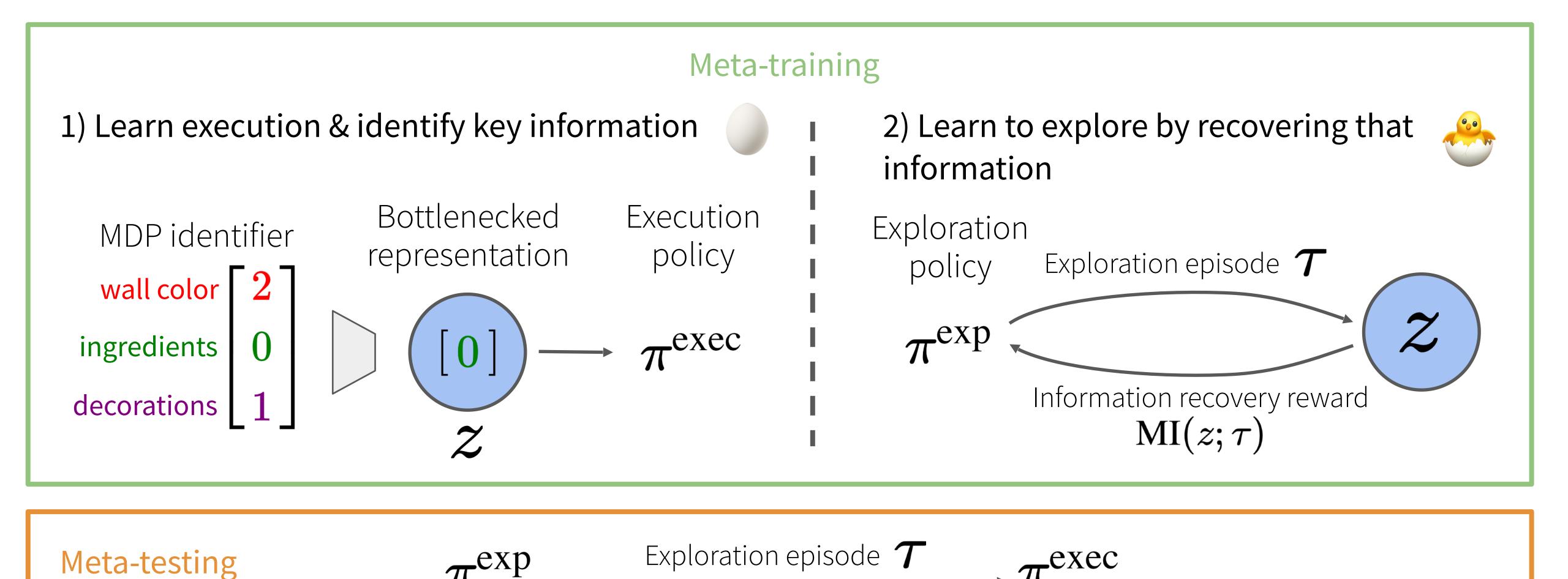
Can we avoid the chicken-and-egg problem without sacrificing optimality?

Yes!



Evan Z. Liu

#### Solution #3: Decouple by acquiring representation of task relevant information



Decoupled Reward-free ExplorAtion and Execution in Meta-Reinforcement Learning (DREAM)

Liu, Raghunathan, Liang, Finn. Explore then Execute: Adapting without Rewards via Factorized Meta-RL. 2020

**Solution #3:** Decouple by acquiring representation of task relevant information (Informal) Theoretical Results

- (1) DREAM objective is *consistent* with end-to-end optimization.
  - -> can in principle recover the optimal exploration strategy

[under mild assumptions]

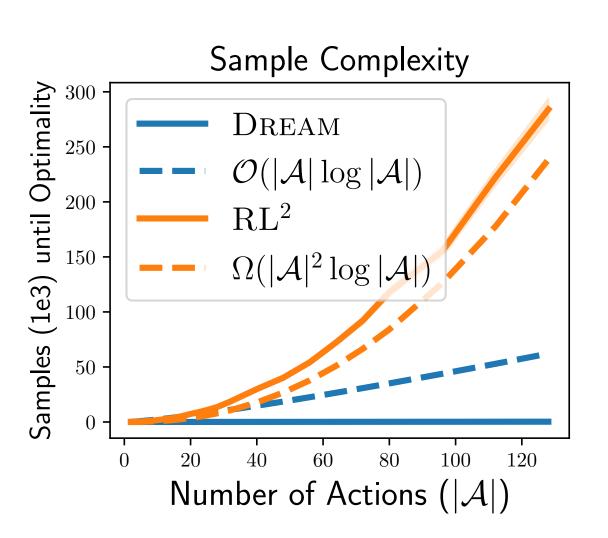
(2) Consider a bandit-like setting with |A| arms.

In MDP i, arm i yields reward. In all MDPs, arm 0 reveals the rewarding arm.

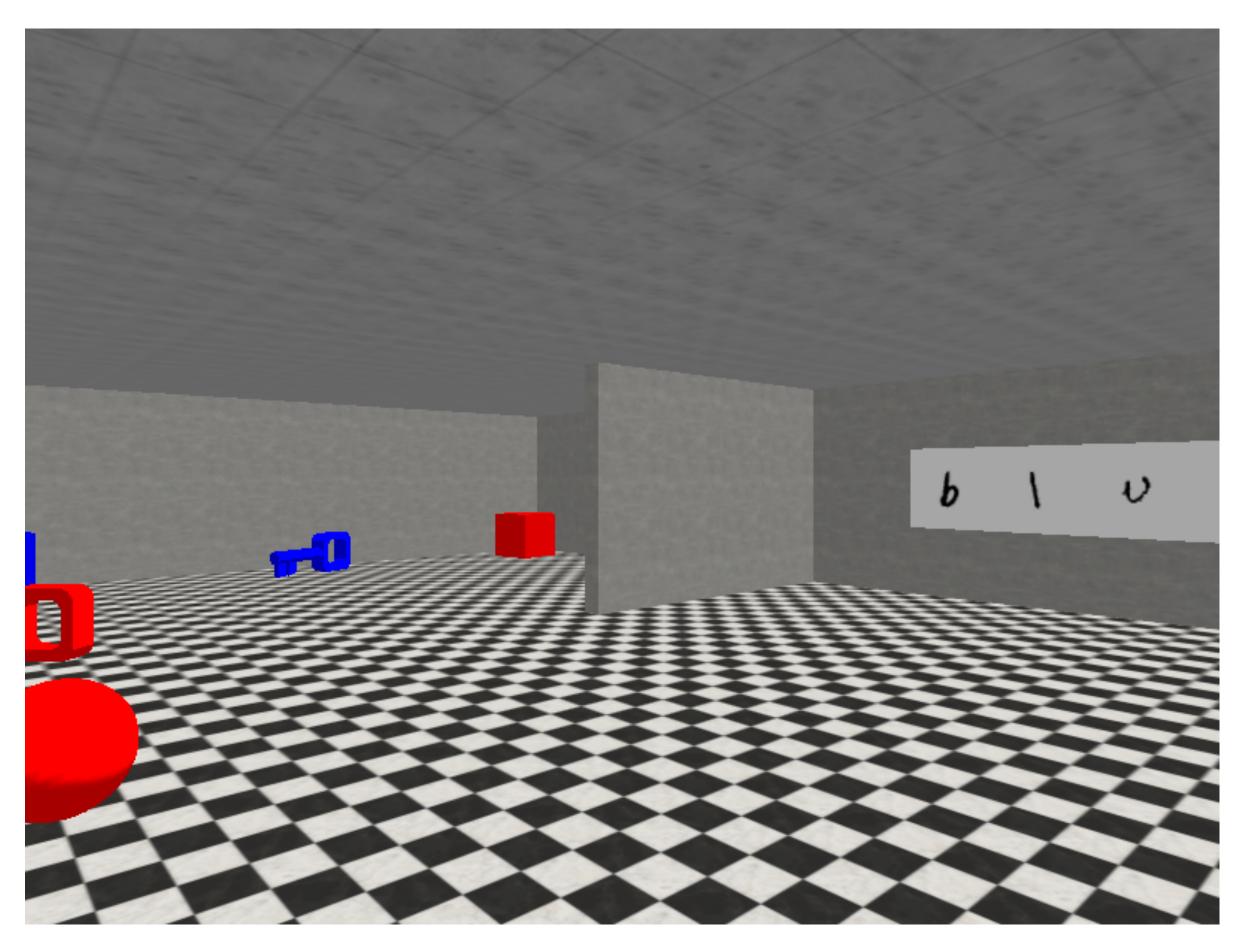
RL<sup>2</sup> requires  $\Omega(|\mathcal{A}|^2 \log |\mathcal{A}|)$  samples for meta-optimization.

**DREAM** requires  $\mathcal{O}(|\mathcal{A}| \log |\mathcal{A}|)$  samples for meta-optimization.

[assuming Q-learning with uniform outer-loop exploration]



### Empirical Results: Sparse Reward 3D Visual Navigation Problem



More challenging variant of task from Kamienny et al., 2020

- Task: go to the (key / block / ball), color specified by the sign
- Agent starts on other side of barrier, must walk around to read the sign
- Pixels observations (80 x 60 RGB)
- Sparse binary reward

### Qualitative Results for DREAM

Env ID: 0

Action: None

Reward: 0

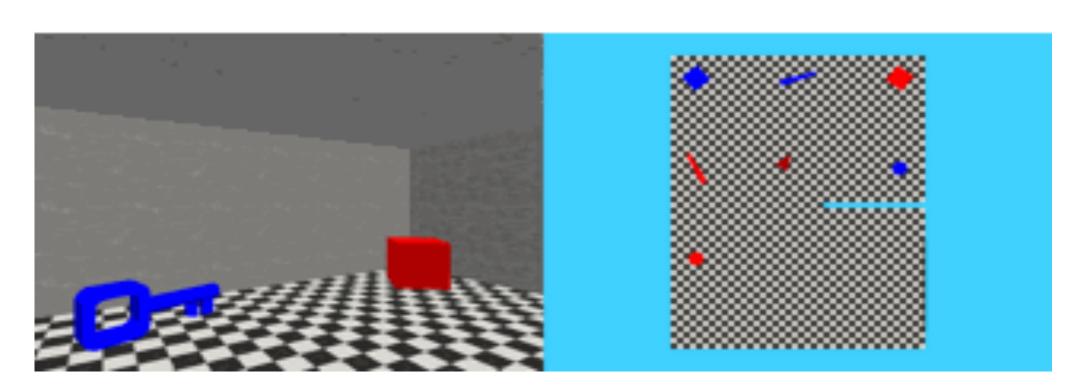
Timestep: 0

Env ID: 0

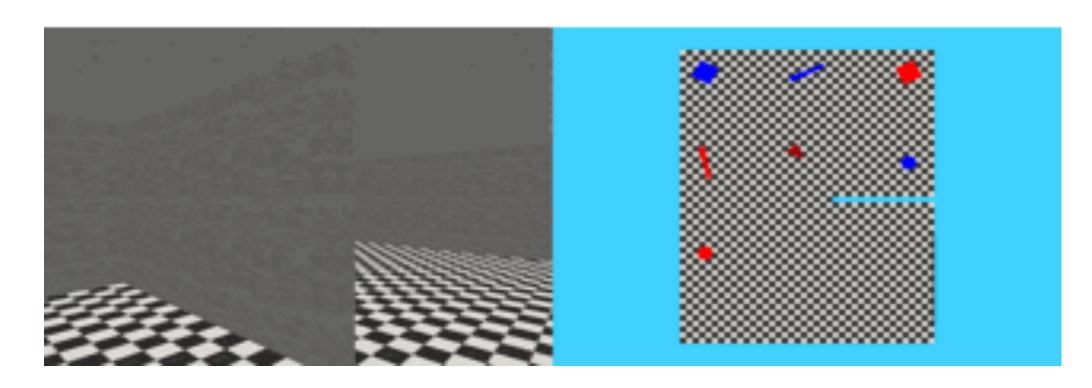
Instructions: [1]

Action: None Reward: 0

Timestep: 0



Exploration episode

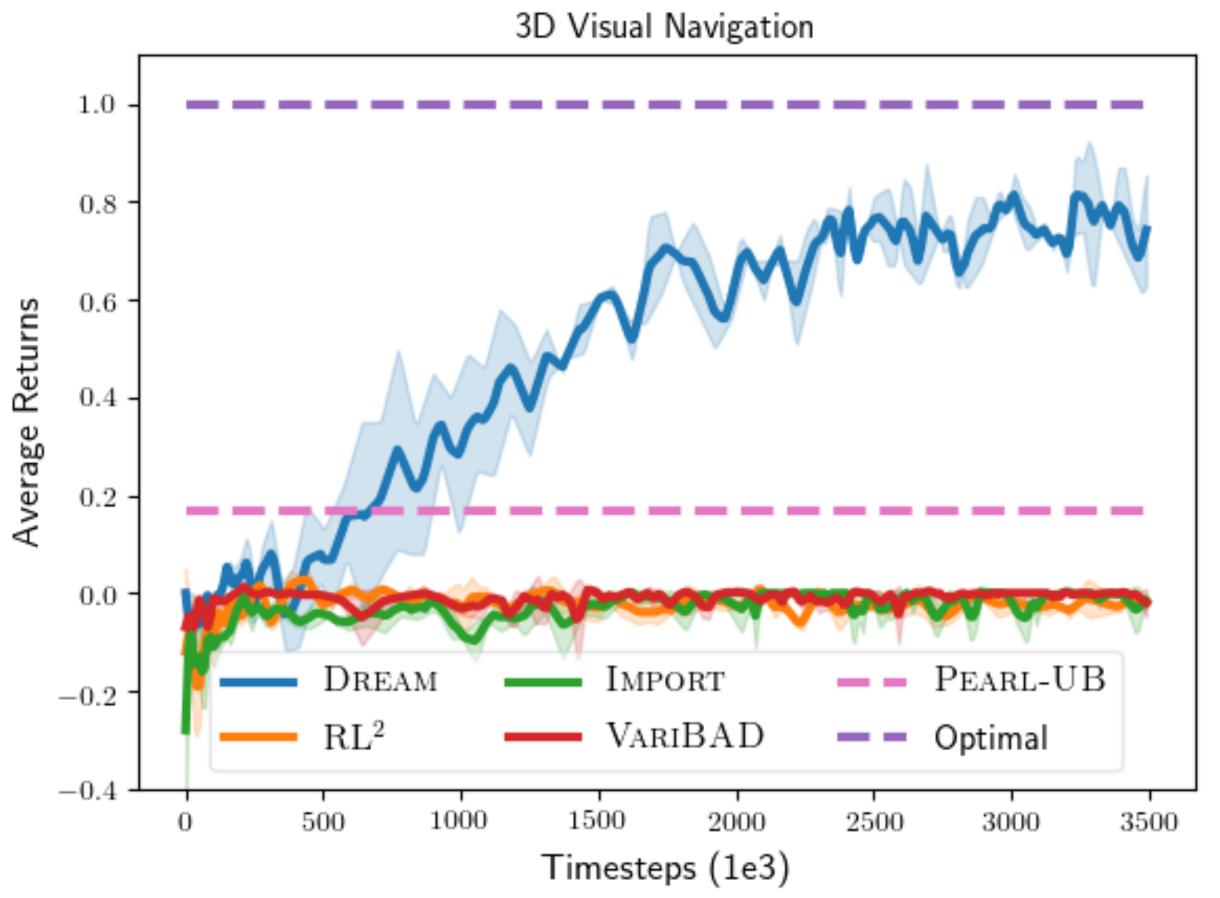


Execution episode

Task: Go to key

Liu, Raghunathan, Liang, Finn. Explore then Execute: Adapting without Rewards via Factorized Meta-RL. 2020

### Quantitative Results



- Dream achieves near-optimal reward
- Existing state-of-the-art algorithms perform poorly due to coupling
- Alternate exploration strategies, e.g.,
   Thompson Sampling do not learn the optimal exploration strategy
- PEARL-UB: Upper-bound on PEARL, reward achieved with optimal policy and Thompson-Sampling exploration

RL<sup>2</sup> (Duan et al., 2016), IMPORT (Kamienny et al., 2020), VARIBAD (Zintgraf et al., 2019), PEARL (Rakelly, et. al., 2019), Thompson, 1933

## How Do We Learn to Explore?

#### End-to-End

- + leads to optimal strategy in principle
- challenging optimization when exploration is hard

#### Alternative Strategies

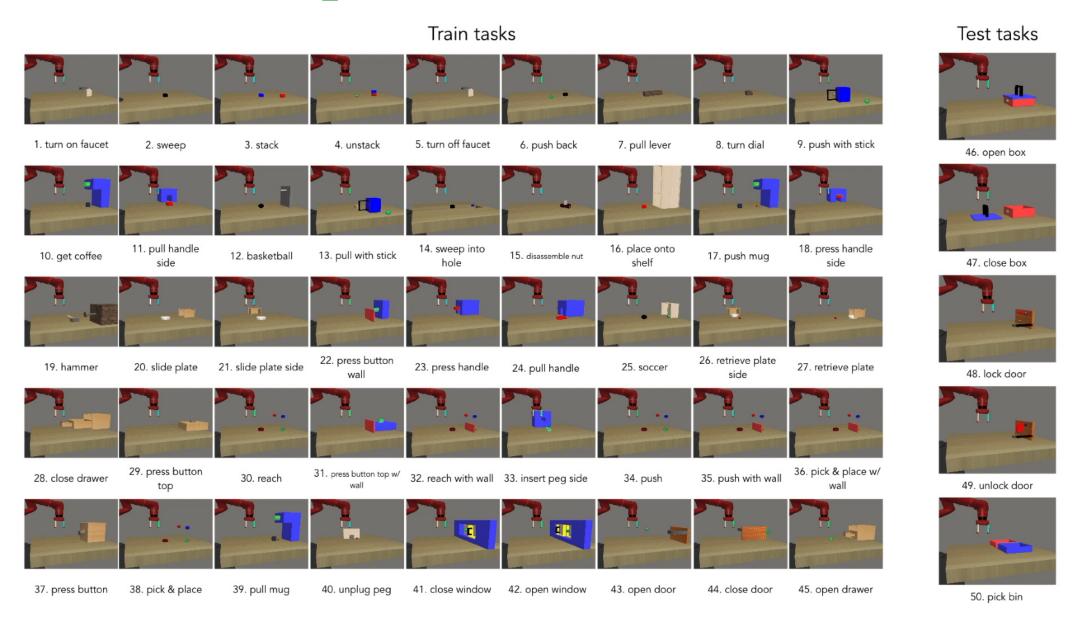
- + easy to optimize
- + many based on principled strategies
- suboptimal by arbitrarily large amount in some environments.

#### Decoupled Exploration & Execution

- + leads to optimal strategy in principle
- + easy to optimize in practice
- requires task identifier

## Other Challenges in Meta-Reinforcement Learning

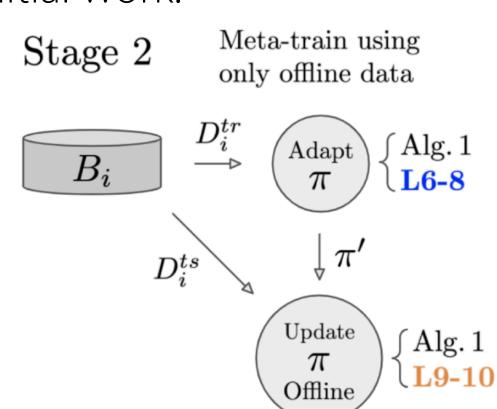
#### Handling Broad Task Distributions



TYu, D Quillen, Z He, R Julian, K Hausman, C Finn, S Levine. Meta-World. CoRL'19

#### Meta-RL from Offline Multi-Task Data

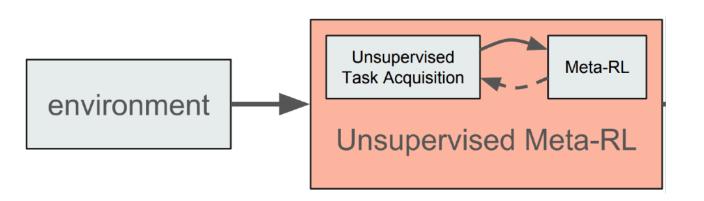
Initial work:



Mitchell, Rafailov, Peng, Levine, Finn. *Offline Meta-RL with Advantage Weighting*. arXiv '20

#### Unsupervised Meta-RL

Meta-RL over discovered skills



Gupta, Eysenbach, Finn, Levine. *Unsupervised Meta-Learning for Reinforcement Learning*. '18

Jabri, Hsu, Eysenbach, Gupta, Levine, Finn. *Unsupervised Curricula for Visual Meta-Reinforcement Learning*. *NeurIPS '19* 



#### Want to learn more?

Stanford CS330: Deep Multi-Task and Meta Learning <a href="mailto:cs330.stanford.edu">cs330.stanford.edu</a>
All lecture videos online!

## Questions?