

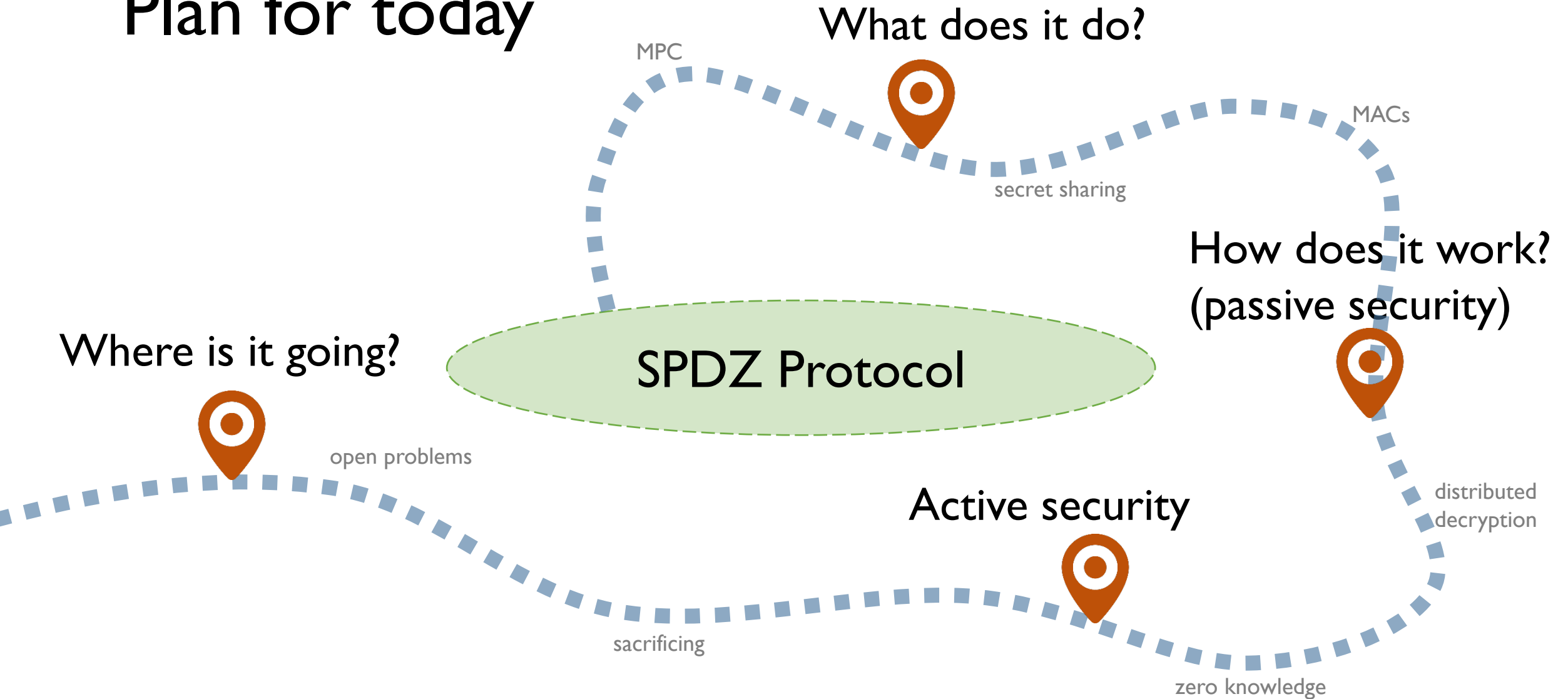
# Homomorphic Encryption in the SPDZ Protocol for MPC

Peter Scholl

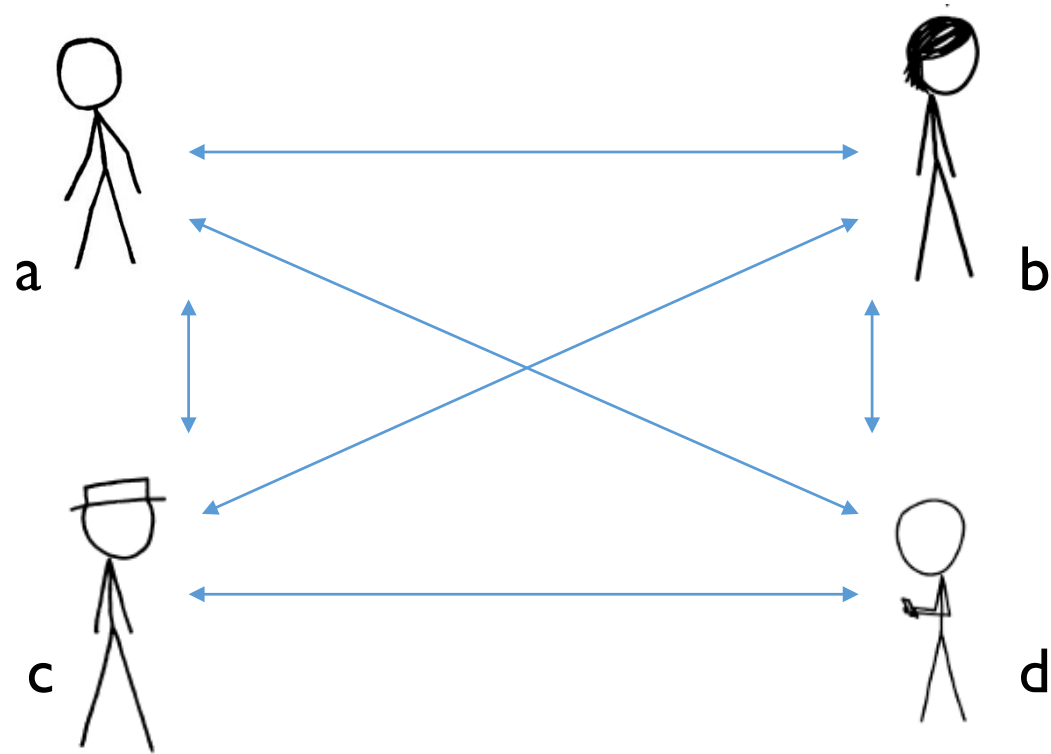
*Lattices: From Theory to Practice*, Simons Institute

I May 2020

# Plan for today



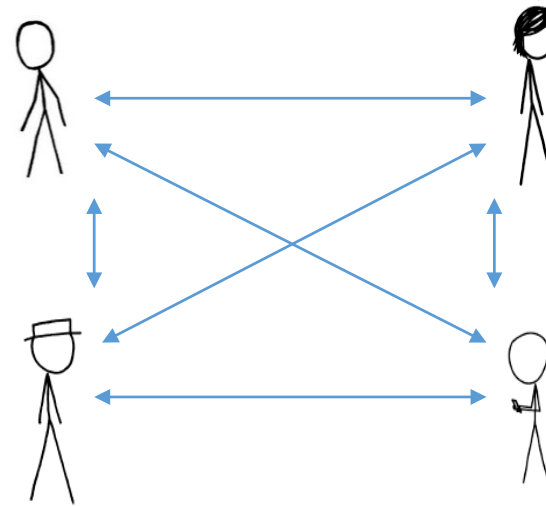
# Secure Multi-Party Computation



**Goal:** Securely compute  $f(a,b,c,d)$

# The SPDZ setting

- Dishonest majority
  - Up to  $t = n - 1$  parties may be corrupt
  - Requires **computational assumptions**
- Active security:
  - Security with **abort**
  - No **fairness**
- Arithmetic circuits
  - Typically in  $F_p$ , large prime  $p$
  - Can also handle Boolean circuits, rings, ...
- Originally: [**D**amgård **P**astro **S**mart **Z**akarias '12]
  - Building on ideas from [BDOZ 11]
  - Many subsequent improvements and variants [DKLPSS 13], [KOS 16], [KPR 18], [CDESX 18], [BCS 19], ...



# MPC in the preprocessing model



- Preprocessing protocol can be done in advance
- Online phase:
  - After inputs are known
  - **Lightweight**: only  $\approx 2x$  computational overhead on plaintext circuit evaluation

# Additive secret sharing with MACs

[DPSZ12,DKLPSS13]

- Fixed MAC key  $\alpha \leftarrow \mathbb{Z}_p$
- Linear MAC scheme

$$\text{MAC}(x) = x \cdot \alpha \pmod{p}$$

Secret share the MAC key, and  $x$ ,  $\text{MAC}(x)$ :

$$\langle x \rangle, \langle \alpha \cdot x \rangle, \langle \alpha \rangle$$

Where  $\langle x \rangle$  denotes  $(x_1, \dots, x_n)$ , such that  $x = \sum_i x_i$ , and party  $P_i$  holds  $x_i$

# Reconstructed shared values

[DPSZ12,DKLPSS13]

$$\langle x \rangle, \langle \alpha \cdot x \rangle, \langle \alpha \rangle$$

where  $x = \sum x_i$ ,  $\alpha x = \sum m_i$ ,  $\alpha = \sum \alpha_i$

**Challenge:** how to check the MAC **without revealing  $\alpha$** ?

- Parties open  $x' = x + \Delta$
- $P_i$  commits to  $d_i = \alpha_i \cdot x' - m_i$

➤ Note:  $d_1 + \dots + d_n = \alpha \cdot x' - \text{MAC}(x) = \alpha \cdot \Delta$

If  $\Delta \neq 0$ , have to guess  $\alpha$  to pass

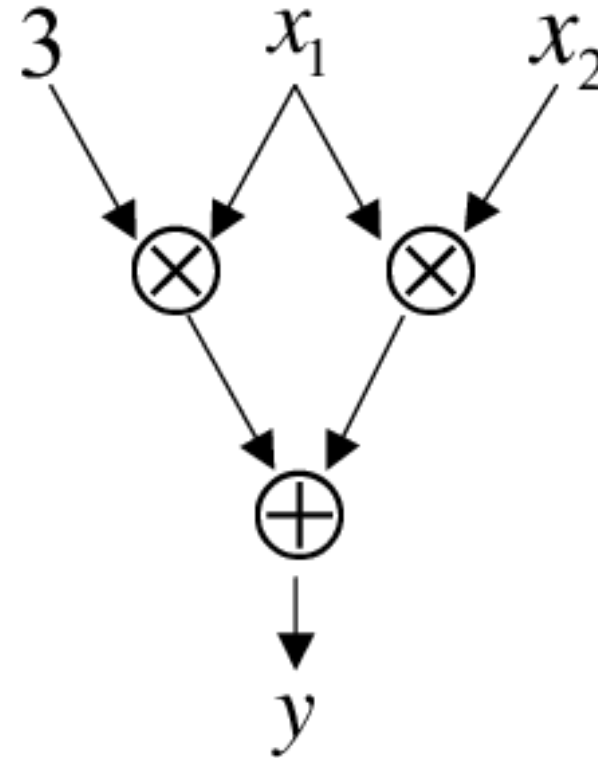
- Open  $d_i$  and check they sum to 0

# SPDZ online phase : securely computing arithmetic circuits

## Main invariant:

- For every wire  $x$ , parties have  $\langle x \rangle, \langle \alpha x \rangle$

**Linear gates:** local operations on shares





# Multiplication of secret-shared values

- Have  $\langle x \rangle, \langle y \rangle$ , want  $\langle x \cdot y \rangle$ .
- Use random triple  $\langle a \rangle, \langle b \rangle, \langle a \cdot b \rangle$
- Compute and open  $\langle x + a \rangle, \langle y + b \rangle$
- Observe:

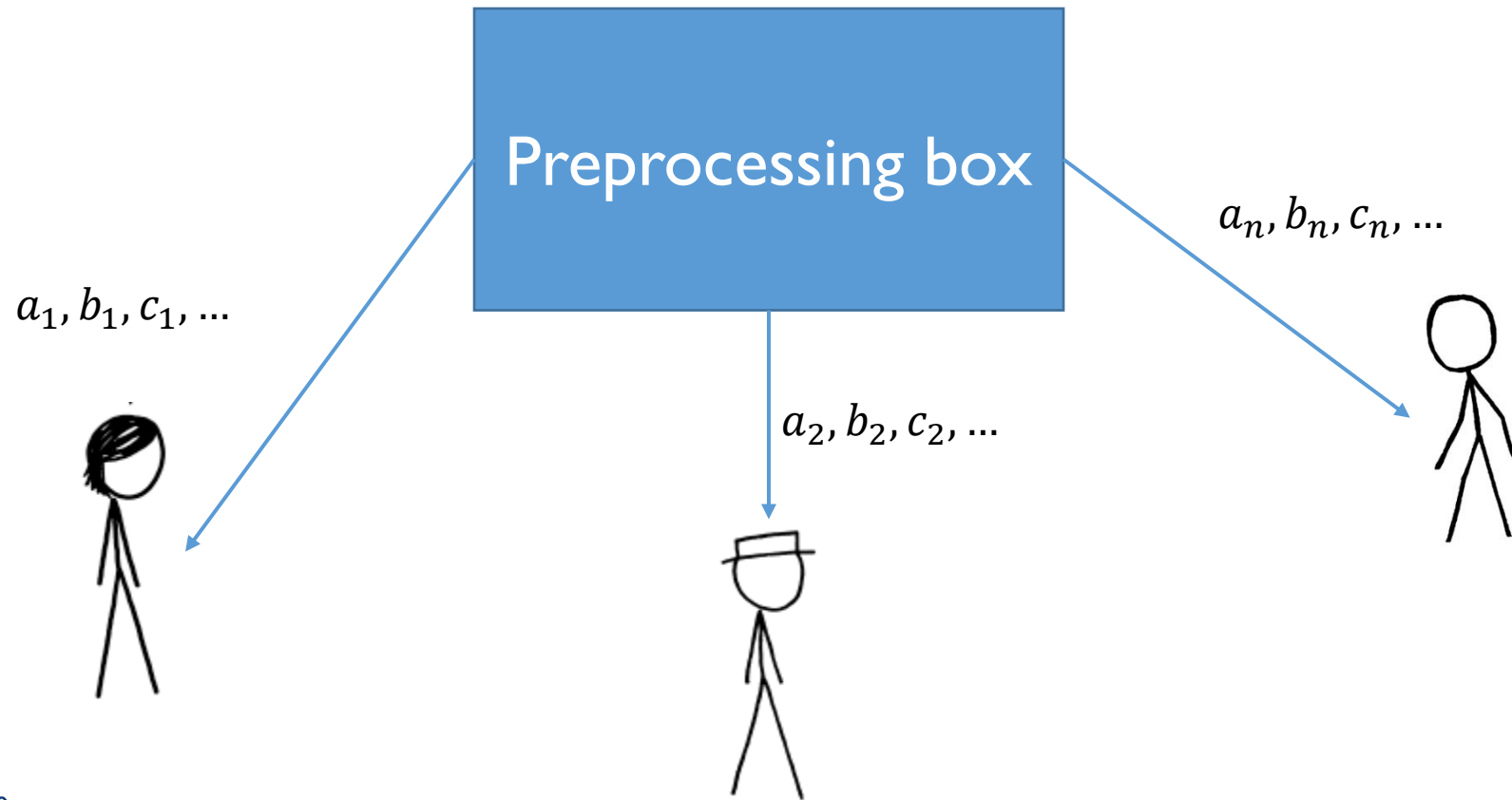
$$\begin{aligned} \boxed{x \cdot y} &= (x + a - a) \cdot (y + b - b) \\ &= \boxed{(x + a)} \cdot \boxed{(y + b)} - \boxed{(x + a)} \cdot \boxed{b} - \boxed{a} \cdot \boxed{(y + b)} + \boxed{a \cdot b} \end{aligned}$$

opened

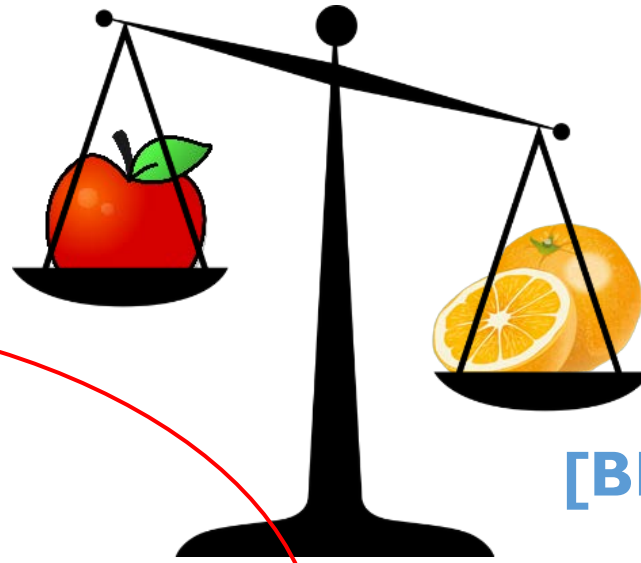
preprocessed

- SPDZ Basics: secret-sharing with MACs, online phase
- Passively secure preprocessing
- Active security
  - Zero knowledge proofs
  - Triple verification
- Open questions

# How do we get $\langle a \rangle$ , $\langle b \rangle$ , $\langle a \cdot b \rangle$ ?



# Triple generation: two main approaches



## SPDZ-style

- Depth-1 HE
- Communication via broadcast
- Scales better with  $n$  parties

## [BDOZII]-style

- Linearly HE
- Pairwise communication channels
- Can be faster for 2 parties

# Threshold homomorphic encryption

- Scheme  $(KeyGen, Enc, DistDec)$ , plaintext space  $Z_p$ .

Write  $[a] := Enc_{pk}(a)$

- **Homomorphism:**  $O(n)$  additions, 1 multiplication

- **KeyGen setup:**

Not today

➤ Common  $pk$ , additive shares  $\langle sk \rangle$

- **Distributed decryption protocol:**

➤  $DistDec([m]) \rightarrow m$

# Instantiating threshold homomorphic encryption

[BV11, BGV12]

**Parameters:**  $R = \mathbb{Z}[X]/(X^N + 1)$ ,  $N$  is a power of two.

Modulus  $q > p$ . “Small” distributions  $\chi_{sk}, \chi_{err}$ .

Plaintext space:  $R_p \cong \mathbb{Z}_p^N$  (via CRT)

*KeyGen:*

- $a \leftarrow R_q, s \leftarrow \chi_{sk}, e \leftarrow \chi_{err}, b = as + pe$
- $\mathbf{pk} = (b, -a), sk = (s)$

*Enc(pk, m), (m ∈ R<sub>p</sub>):*

- $u \leftarrow \chi_{sk}, e_0, e_1 \leftarrow \chi_{err}$
- $\mathbf{c} = (c_0, c_1) = u \cdot \mathbf{pk} + p \cdot (e_0, e_1) + (m, 0)$

*Dec(sk, c), using:*

- $c_0 + c_1 \cdot s = p \cdot e' + m$

## Multiplicative homomorphism:

- View  $c$  as polynomial:  
$$c_0 + c_1(x)$$
- Decrypt with  $c(s)$
- Multiply two polynomials  $\Rightarrow$  multiply ciphertexts!
  - Decryption requires  $s^2$

# Distributed decryption protocol

- Parties have  $(c_0, c_1)$  and shared  $\langle s \rangle$

➤ Want to open:  $\langle c_0 + c_1 \cdot s \rangle$

- **Problem:**  $c_0 + c_1 \cdot s = p \cdot e' + m$

➤ Noise  $e'$  depends on the secret key!

- **Solution:** noise drowning

➤ Open

$$\langle c_0 + c_1 \cdot s \rangle + p \cdot \langle \tilde{e} \rangle$$

Superpolynomially larger than  $e'$   
e.g.  $|\tilde{e}| \approx 2^\kappa \cdot |e'|$

# Passive triple generation: basic protocol

- $P_i$  samples  $a_i, b_i, c_i'$ , broadcasts  $[a_i], [b_i], [c_i']$
- All parties:
  - Compute  $[a] = \sum_i [a_i], [b] = \sum_i [b_i], [c'] = \sum_i [c_i']$
  - Compute  $[\Delta] = \text{Mult}([a], [b]) - [c']$
  - $\Delta = \text{DistDec}([\Delta])$
- $P_1$  outputs  $a_1, b_1, c_1' + \Delta$ ,  $P_i$  outputs  $a_i, b_i, c_i'$  ( $i > 1$ )

Adding MACs: essentially the same procedure

Directly gives  $\langle a \rangle, \langle b \rangle, \langle a \cdot b \rangle$



- SPDZ Basics: secret-sharing with MACs, multiplication triples
- Passively secure SPDZ
- Active security
  - Zero knowledge proofs
  - Triple verification
- Open questions

# Active security in two steps

- **I: zero knowledge proof of plaintext knowledge**

- Ensure ciphertexts are **correctly generated**

- Whenever  $P_i$  sends  $[a_i]$ , **prove knowledge** of  $a_i$  and randomness

- **II: triple verification**

- Even with ZK proofs, may be additive errors in  $\langle c \rangle$ , due to *DistDec*

- “sacrifice” one triple, to check another (soundness  $1/p$ )

# Zero knowledge proofs in SPDZ

- Given ciphertext

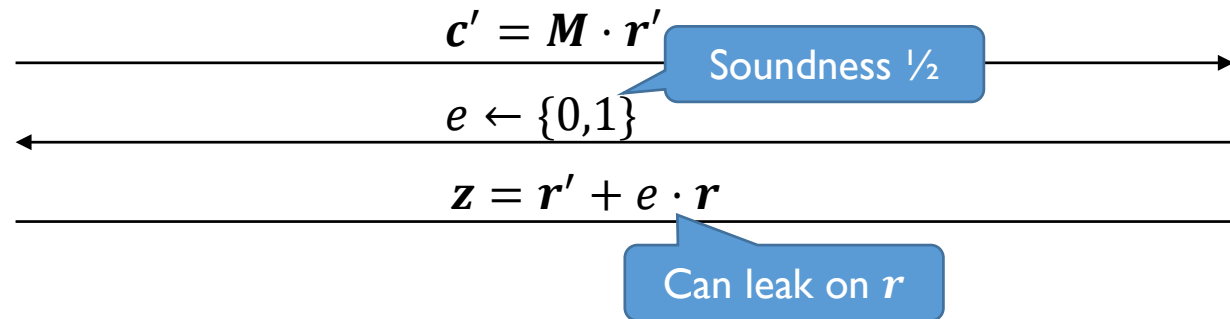
$$\begin{aligned} \begin{bmatrix} c_0 \\ c_1 \end{bmatrix} &= \begin{bmatrix} -b \\ a \end{bmatrix} \cdot u + \begin{bmatrix} e_0 \\ e_1 \end{bmatrix} + \begin{bmatrix} m \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} -b & 1 & 0 & 1 \\ a & 0 & 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} u \\ e_0 \\ e_1 \\ m \end{bmatrix} \end{aligned}$$

- Prove knowledge of short pre-image satisfying linear relation

# Proving knowledge of short preimages

$$c = M \cdot r$$

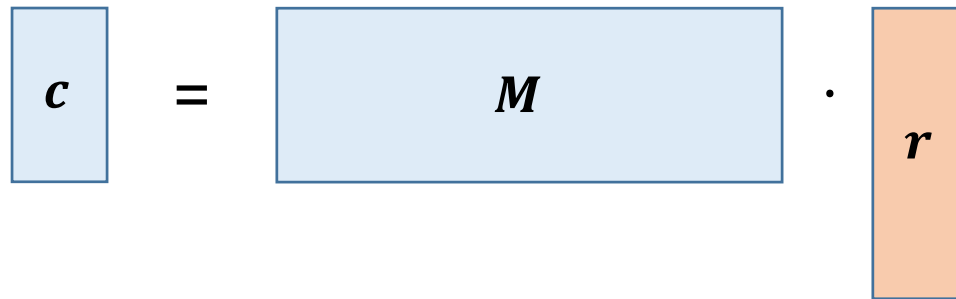
Standard  $\Sigma$ -protocol:



**Two options:** (a) rejection sampling, or (b) noise drowning

Introduces large **soundness slack**, need bigger  $q$

# Proving knowledge of short preimages

$$c = M \cdot r$$
A diagram illustrating the equation  $c = M \cdot r$ . The variable  $c$  is enclosed in a light blue square box. The variable  $M$  is enclosed in a light blue horizontal rectangular box. The variable  $r$  is enclosed in a light orange vertical rectangular box. The equals sign and the multiplication dot are placed between the boxes.

## Optimizations:

- Larger challenge space  $\{X^i\}_i$  [BCS19]
  - Reduces # repetitions
  - Only proves that  $2r$  is short
- Amortization
  - Batch many proofs together
  - Additive overhead of  $O(\kappa)$  ciphertexts, instead of multiplicative

# Variations on the basic SPDZ protocol

- [CKRRSW20]
  - Depth-2 instead of depth-1
  - Scale-invariant HE instead of BGV
  - Matrix triples via HE automorphisms
- Local distributed decryption (2 parties only)
  - “Local rounding” of  $\langle c_0 + c_1s \rangle$  gives shared  $\langle m \rangle$
  - From homomorphic secret sharing [DHRW16, BKS19]
- Key switching, modulus switching [DPSZ 12]
  - Can reduce overhead of soundness slack [KPR18]

- SPDZ Basics: secret-sharing with MACs, multiplication triples
- Passively secure SPDZ and variants
- Active security
  - Zero knowledge proofs
  - Triple verification
- Open questions

# Where can we hope to do better?

- **HE parameters:** ( $\log q \approx 300\text{-}600$  bits)
  - Noise drowning in ZK proofs and distributed decryption
- **ZK proofs of plaintext knowledge:**
  - Need to run in large batches for efficiency
  - Computationally expensive ( $\approx 40\%$ )
  - $O(n^2)$  communication complexity for  $n$  parties
    - Passive protocol can be  $O(n)$



# Improving zero knowledge proofs

- **Ideally:** want negligible soundness in one-shot, and tight bounds
- Possibly via proofs on committed values: [AELNS20]
  - Commit to randomness and prove shortness
  - Prove commitments satisfy linear relation given by  $c$  and  $pk$
- Questions:
  - How practical is this vs naïve methods?
  - Does it amortize well?

# A step further: removing zero knowledge proofs?

- Intuition: triple verification already guarantees correctness

- **Challenge:** ensure failure event is independent of sensitive information

- **Potential impact:**  $O(n)$  complexity, better parameters, less computation

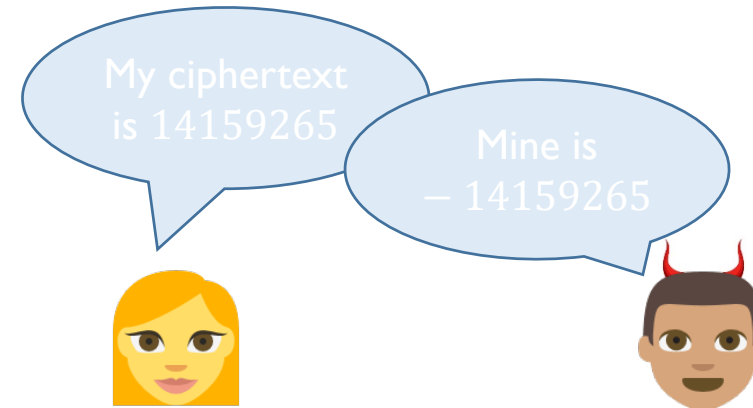
- Related: Overdrive [KPR18] removes proof of correct multiplication, security related to “linear-only encryption” assumption



# A step further: removing zero knowledge proofs?

- Problem I: no independence of inputs

➤ Solution: commit to ciphertexts

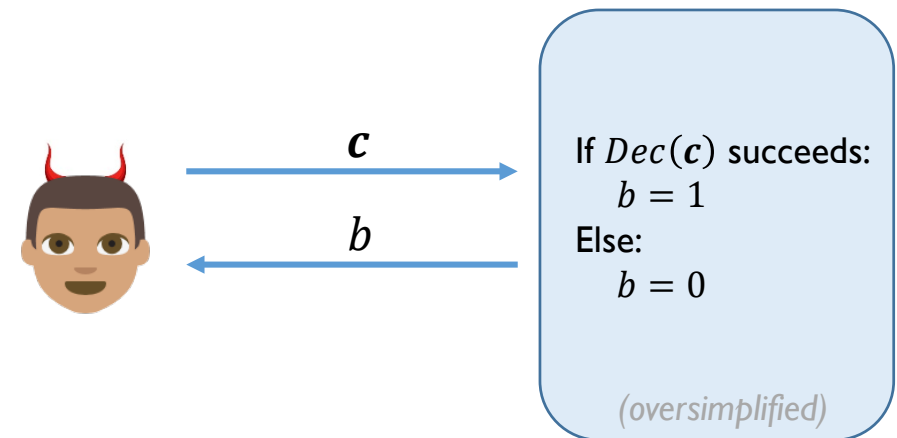


- Problem II: decryption failures can leak

➤ In SPDZ, restricted form of leakage

➤ Possible mitigations:

- Abort/re-key on failure
- Restrict number of executions
- Increase  $sk$  entropy
- Randomness extractor on triples



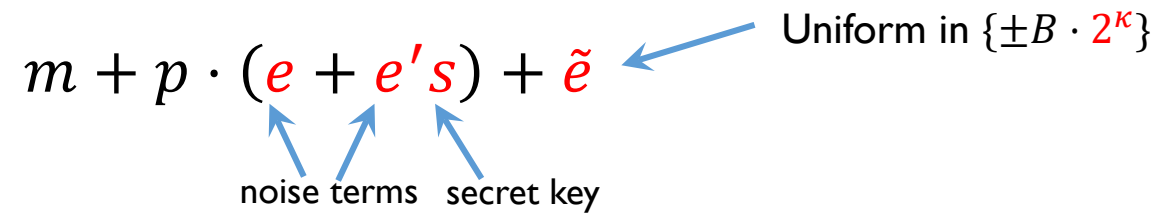
# Noise drowning in distributed decryption

- Distributed decryption reveals values of the form:

$$m + p \cdot (e + e's) + \tilde{e}$$

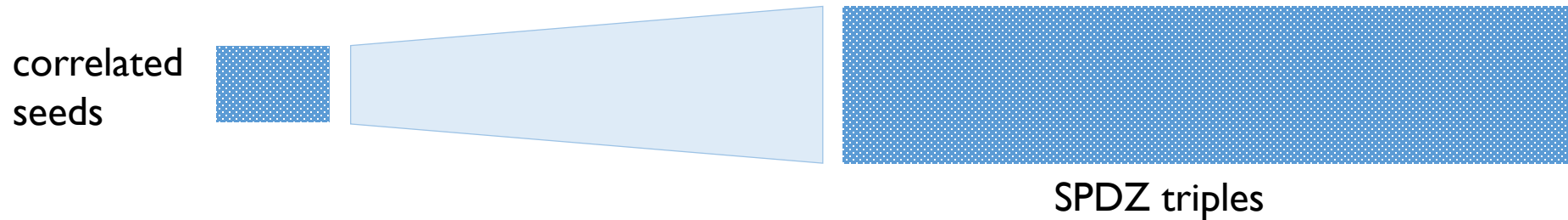
Uniform in  $\{\pm B \cdot 2^k\}$

noise terms secret key



- **Q:** Is there an approach without noise flooding?
- **Q:** What goes wrong if we reduce size of  $\tilde{e}$ ?

# Alternative approach: non-interactive triple generation



- **Goal:** *locally* expand short seeds into large batch of triples
- [BCGKS20]: candidate construction from low-noise ring-LPN in  $\mathbb{Z}_p[x]/(x^N + 1)$ 
  - + good concrete efficiency
  - Still requires many SPDZ triples to setup seeds
  - Assumption less studied when  $x^N + 1$  splits completely

# Conclusion

- SPDZ Protocol

- Currently, most **practical** approach to **dishonest majority** MPC

- Lattices in SPDZ

- Low-depth SHE, **large** parameters

- Heavily reliant on **ZK proofs** of plaintext knowledge

- Noise drowning in distributed decryption

} room for improvement

# References

Access **yyyy/zzz** at <https://ia.cr/yyyy/zzz>

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