

Strong Direct Sum for Randomized Query Complexity

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Direct Sum Theorems

Does computing $f(x)$ on k copies scale with k ?

Direct Sum Theorem: Computing k copies of f requires k times the resources

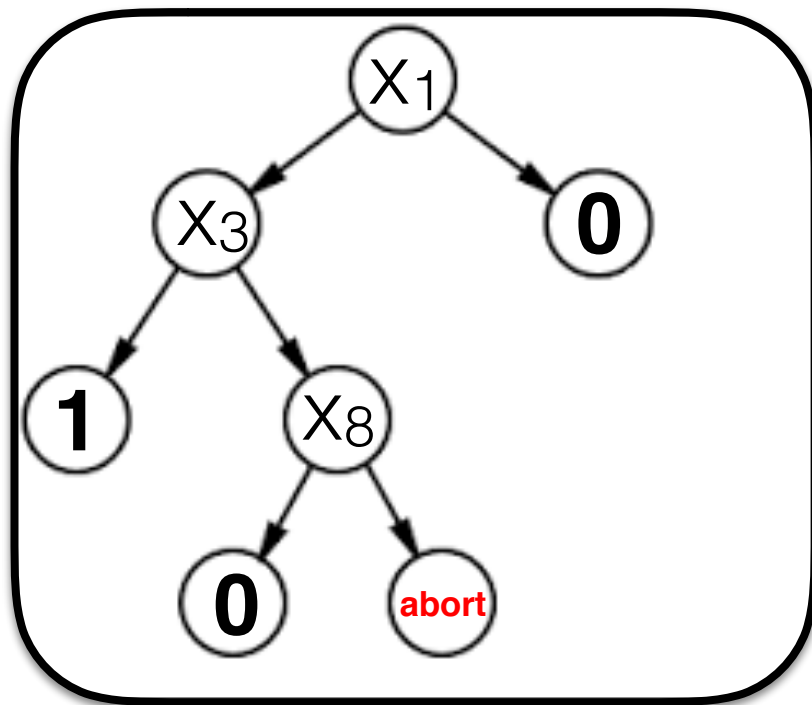
Direct Product Theorem: Success prob. of computing k copies of f with $\ll k$ resources is $2^{-\Omega(k)}$



Strong Direct Sum: computing k copies of $f(x)$ requires $k \cdot \log(k)$ times the resources

Query Complexity

aka Decision Tree Complexity



Decision Tree for $f: \{0,1\}^n \rightarrow \{0,1\}$:

- internal nodes labeled w/input bits x_i
- leaves labeled w/output or **ABORT**
- **cost(T)**: depth of **T** == worst-case #queries

Randomized DT:

- distribution **A** on decision trees
- **cost(A) = $\max_T \text{cost}(T)$**

Distributional QC $D_{\delta,\epsilon}^\mu(f)$: min **cost(T)** s.t. **Pr[abort] $\leq \delta$** and **Pr[error] $\leq \epsilon$**

Randomized QC $R_{\delta,\epsilon}(f)$: minimum cost of randomized algorithm s.t.
Pr[abort] $\leq \delta$ and **Pr[error] $\leq \epsilon$**

(q, δ , ϵ)-algorithm: q queries, abort prob. δ , error prob. ϵ

Query Complexity w/aborts

Minimax Lemma: $D_{2\delta, 2\varepsilon}^\mu(f) \leq R_{\delta, \varepsilon}(f) \leq D_{\delta/2, \varepsilon/2}^\mu(f)$

Error Reduction: $R_{o(1/t), o(1/t)}(f) \leq O(\log(t))R_{1/2, 1/3}(f)$

Previous Work

[MWY13, MWY15]:

- strong direct sum for *information complexity w/aborts + error*
- applications for streaming/sketching algorithms

[Drucker12]:

- direct product theorems for randomized query complexity

[GPW15, ABLSS17]:

- query complexity separations based on *pointer functions*
- polynomial separation $R_0(f)$ vs $R_\epsilon(f)$

Theorem: Suppose any T -query algorithm computing f has **success $\leq 1-\epsilon$** under μ . Then, any $(\epsilon T k)/2$ -query algorithm for computing f^k has **success $< (1-\epsilon/2)^k$** under μ^k [Drucker 12]

Our Results

Strong Direct Sum Theorem: $D_{0,\varepsilon}^{\mu^k}(f^k) = \Omega(kD_{1/5,40\varepsilon/k}^{\mu}(f))$

Scaling with ε : There is $f : \{0,1\}^N \rightarrow \{0,1\}$ such that for all $\varepsilon > 2^{-\log(N)^2}$, we have $R_{\delta,\varepsilon}(f) = \Theta(N' \log(1/\varepsilon))$

Corollary: There is f such that $R_{\varepsilon}(f^k) = \Omega(k \log(k) R_{\varepsilon}(f))$

Query-resistant codes: probabilistic encoding $G: \Sigma \rightarrow \{0,1\}^N$ such that $N/2$ bits of $G(x)$ needed to learn anything about x

Query Resistant Codes

Definition: a δN -query resistant code of Σ is a set of distribs $\{G(x)\}$

- For each $x \in \Sigma$, $G(x)$ is a distribution on $\{0,1\}^N$
- $\{\text{support}(G(x)) : x \in \Sigma\}$ partition $\{0,1\}^N$
- For all $S \subseteq [N]$ with $|S| \leq \delta N$, all $z \in \{0,1\}^{|S|}$ and all $x \neq x'$, distributions $G(x), G(x')$ conditioned on $S\text{-bits} = z$ are equal
- “decoding function” $h(y) := x$ iff $y \in \text{support}(G(x))$

Lemma: For any Σ , there is a $(N/2)$ -query resistant code with $N = |\Sigma|$. Furthermore, conditional distributions

$G(x)|_{S=z}$ are uniform.

Query Resistance

For $f : \Sigma^n \rightarrow \{0,1\}$, define $F : \{0,1\}^{nN} \rightarrow \{0,1\}$ as:

$$F(y_1, \dots, y_n) := f(h(y_1), \dots, h(y_n))$$

Theorem: $R_{\delta, \epsilon}^{\text{cell}}(f) \leq (2/N)R_{\delta, \epsilon}(F)$

Proof: Let A be a (q, δ, ϵ) -algorithm for F .

```
Algorithm  $B(x_1, \dots, x_n)$  {  
  emulate  $A(G(x_1), \dots, G(x_n))$   
  when  $A$  queries  $G(x_i)$  for  $k$ th time:  
    if  $k < N/2$ , sample  $G(x_i)$  cond. on prev. queries  
    if  $k = N/2$ , sample  $x_i$   
    if  $k \geq N/2$ , sample  $G(x_i)$  cond. on prev. history.  
}
```


Functions

GapID: $\{0,1\}^n \rightarrow \{0,1\}$.

- $\text{GapID}(x) = 1$ if $x = 0^n$ 0 if $|x|=n/2$

Conclusion: $R_{\delta,\epsilon}(\text{EncFcn}) \geq (N/2) R_{\delta,\epsilon}^{\text{cell}}(\text{PtrFcn})$
 $\geq (N/2) R_{\delta,2\epsilon}(\text{BlueRed})$
 $\geq (Nm/14) R_{\delta+0.1,2\epsilon}(\text{GapID})$
 $\geq \Omega(Nm \log(1/\epsilon))$

- $\text{BlueRed}(y) = 0$ if half colored entries **Red**, half **Blue**
- theorem: $R_{\delta+0.1,\epsilon}(\text{GapID}) \leq (7/m) * R_{\delta,\epsilon}(\text{BlueRed})$

Theorem: $R_{0,\epsilon}(\text{EncFcn}) = O(Nm \log(1/\epsilon))$

[ABBLSS17]

theorem: $R_{\delta,2\epsilon}(\text{BlueRed}) \geq R_{\delta,\epsilon}^{\text{cell}}(\text{PtrFcn})$

EncFcn: *query resistant code+PtrFcn*

- theorem: $R_{\delta,\epsilon}^{\text{cell}}(\text{PtrFcn}) \leq (2/N) R_{\delta,\epsilon}(\text{EncFcn})$

GapID Lower Bound

Theorem: $R_{\delta,\epsilon}(\text{GapID}) = \Omega(\log(1/\epsilon))$

Hard Distribution $\mathbf{X} \sim \mu$:

w/prob $\alpha := \max(\delta, 1.001\epsilon)$, $\mathbf{X} = 0^n$

w/prob $1-\alpha$, \mathbf{X} uniform on $|\mathbf{x}| = n/2$

Fix $(\log((1-\alpha)/\epsilon), \delta, \epsilon)$ -algorithm T for GapID

wlog output NO if $\mathbf{X}_i = 1$ queried

When all queries = 0:

- *abort*: $\Pr[\text{abort}] > \alpha \geq \delta$
- *output 0*: $\Pr[\text{error}] = \alpha > \epsilon$
- *output 1*: $\Pr[\text{error}] \cong (1-\alpha)2^{-q} > \epsilon$

In all cases, abort prob. $> \delta$ or error prob. $> \epsilon$.

BlueRed Lower Bound

Theorem: $R_{\delta+0.1,\epsilon}(\text{GapID}) \leq (7/m) * R_{\delta,\epsilon}(\text{BlueRed})$

Emulate (q,δ,ϵ) -algorithm **A** for **BlueRed**

- each colored entry in uniform row
- pick each $i_j \in [m]$ uniformly
- map $0 \rightarrow \text{Red}$, $1 \rightarrow \text{Blue}$
- abort if A queries $> 7q/m$ colored entries

Claim: $\Pr[> 7q/m \text{ colored entries probed}] \leq 1/10.$

BlueRed Lower Bound

Claim: $\Pr[> 7q/m \text{ colored entries probed}] \leq 1/10.$

- For any column, $\Pr[\text{colored entry found on } k\text{-th query}] = 1/m$
- For any leaf w/ t colored entries found, $\Pr[\text{leaf}] \leq m^{-t}$
- there are $\{q \text{ choose } t\}$ leaves w/ t colored entries found

$$\begin{aligned}\Pr[> 7q/m \text{ colored entries}] &\leq \sum_{t > 7q/m} \{q \text{ choose } t\} m^{-t} \\ &\leq \sum_{t > 7q/m} (qe/mt)^t \\ &< \sum_{t > 7q/m} (e/7)^t \\ &< 1/10.\end{aligned}$$

PtrFcn Lower Bound

Theorem: $R_{\delta, 2\varepsilon}(\text{BlueRed}) \leq R^{\text{cell}}_{\delta, \varepsilon}(\text{PtrFcn})$

Partially emulate $(\mathbf{q}, \delta, \varepsilon)$ -algorithm \mathbf{A} for **PtrFcn**:

- map **Black** $\rightarrow [1, \perp, \perp, \dots, \perp]$
- map **Red** $\rightarrow [0, \perp, \perp, \dots, \perp]$
- **Blue**: halt, output **NO**

Claim: Let $\mathbf{x} \in \text{BlueRed}^{-1}(0)$. Then $\Pr[\text{no Blue entries queried}] < 2\varepsilon$

Proof:

- Let $\mathbf{z} \in \text{PtrFcn}^{-1}(1)$ be *consistent* with \mathbf{x} .
- $\mathbf{z}' := \mathbf{z}$, w/value of special entry = 0.
- $\mathbf{A}(\mathbf{z}) = \mathbf{A}(\mathbf{z}')$ unless special entry queried.
- $\Pr[\text{no blue entries queried}] \leq \Pr[\text{special entry not queried}] \leq 2\varepsilon$

Strong Direct Sum Theorem: $D_{0,\varepsilon}^{\mu^k}(f^k) = (kD_{1/5,40\varepsilon/k}^{\mu}(f))$

Let A be an ε -error algorithm for f^k .

Let $y = (y_1, \dots, y_k)$.

Embed(y, i, x) := y , w/ i -th coord replaced by x .

```
Algorithm B(x) {  
  carefully select y, i  
  emulate A(EMBED(y, i, x))  
  abort if problems found  
}
```

Strong Direct Sum Theorem: $D_{0,\varepsilon}^{\mu^k}(f^k) = (kD_{1/5,40\varepsilon/k}^{\mu}(f))$

$$1-\varepsilon \leq \Pr_{Y \sim \mu^k}[A(Y) = f^k(Y)] = \prod_{i=1}^k \Pr_{Y \sim \mu^k}[A(Y)_i = f^k(Y)_i \mid A(Y)_{<i} = f^k(Y)_{<i}]$$

- at least $2k/3$ i give $\Pr[A(Y)_i = f^k(Y)_i \mid A(Y)_{<i} = f^k(Y)_{<i}] \leq 10 \varepsilon/k$ (1)
- $q_i(Y)$: # queries of Y_i
- $q \geq \sum_i \mathbb{E}_Y[q_i(Y)] \Rightarrow \geq 2k/3$ i have $\mathbb{E}[q_i(Y)] \leq 3q/k$ (2)

Fix i^* to get (1) and (2). $Y^* := \text{Embed}(Y, i^*, x)$.

This i^* satisfies:

1. $\mathbb{E}_{Y \sim \mu^k}[\Pr_{x \sim \mu}[A(Y^*)_{<i} \neq f^k(Y^*)_{<i}]] \leq \varepsilon$
2. $\mathbb{E}_Y[\Pr_{x \sim \mu}[A(Y^*)_i \neq f^k(Y^*)_i \mid A(Y)_{<i} = f^k(Y)_{<i}]] \leq 10 \varepsilon/k$
3. $\mathbb{E}_Y[\mathbb{E}_x[q_i(Y^*)]] \leq 3q/k$

Strong Direct Sum Theorem: $D_{0,\varepsilon}^{\mu^k}(f^k) = \Omega(kD_{1/5,40\varepsilon/k}^\mu(f))$

This i^* satisfies:

1. $E_{Y \sim \mu^k} [\Pr_{x \sim \mu} [A(Y^*)_{<i} \neq f^k(Y^*)_{<i}]] \leq \varepsilon$
2. $E_Y [\Pr_{x \sim \mu} [A(Y^*)_i \neq f^k(Y^*)_i \mid A(Y)_{<i} = f^k(Y)_{<i}]] \leq 10 \varepsilon/k$
3. $E_Y [E_x [q_i(Y^*)]] \leq 3q/k$

Markov Inequality: there is y^* such that

1. $\Pr_{x \sim \mu} [A(Y^*)_{<i} \neq f^k(Y^*)_{<i}] \leq 4\varepsilon$
2. $\Pr_{x \sim \mu} [A(Y^*)_i \neq f^k(Y^*)_i \mid A(Y)_{<i} = f^k(Y)_{<i}] \leq 40 \varepsilon/k$
3. $E_x [q_i(Y^*)] \leq 12q/k$

```
Algorithm B(x) {  
  z := EMBED(y*, i*, x)  
  emulate A(z)  
  abort if  $q_{i^*}(z) > 120q/k$   
  abort if  $A(z)_{<i^*} \neq f^k(z)_{<i^*}$   
}
```

abort probability: $1/10 + 4\varepsilon < 1/5$

error probability: $40\varepsilon/k$

Open Problems

1. Give a more efficient *query resistant code*
2. *Characterize* functions robust to **aborts**
3. **Strong Direct Sum** for Composed Functions
4. How does $R_{\delta,\epsilon}(\mathbf{f})$ compare to other QC measures?

Thanks!

slide of common stuff

$D_{\delta,\epsilon}^\mu$

$R_{\delta,\epsilon}$

[Y90], [HG91], [BT94]

$D_{\delta,\epsilon}^\mu$

$R_{\delta,\epsilon}$

$\delta\epsilon\epsilon\mu \geq \rightarrow \leq \Sigma \Theta \Omega \Omega \Omega \perp \alpha$

Fact: If $f \in \text{ACC}^0$ then f has NOF protocol with **poly(log n)** communication and **k = poly(log n)** players

$R_{\delta,\epsilon}(\text{GapID})$