

# Local minima in quantum systems

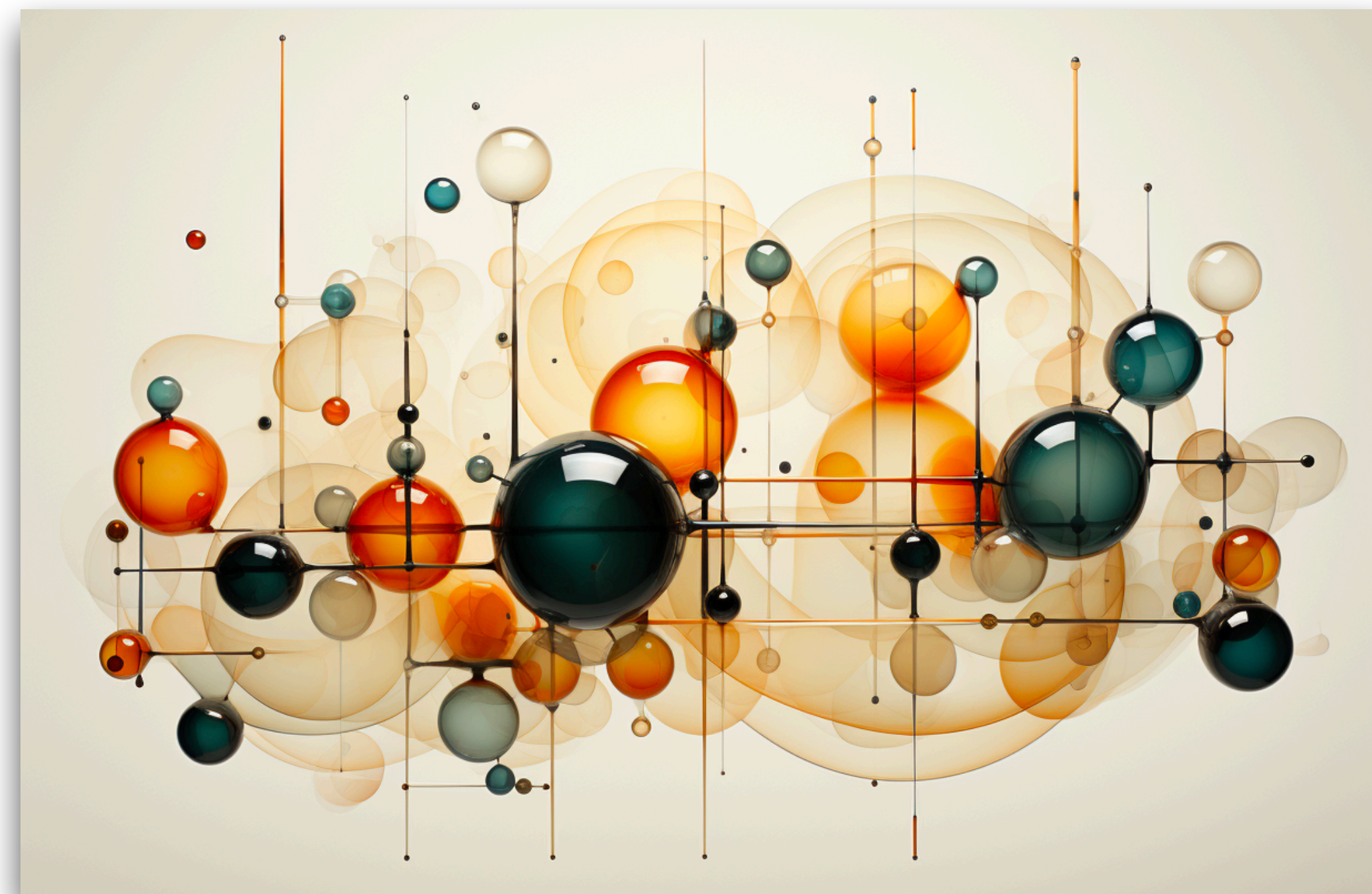
Hsin-Yuan Huang (Robert)

Joint work with Chi-Fang Chen, John Preskill, Leo Zhou



# Motivation

- We **hope** that quantum computing can advance physics, chemistry, material science by solving the ground states of quantum systems.





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- We **hope** that quantum computing can advance physics, chemistry, material science by solving the ground states of quantum systems.
- However, finding ground states is **QMA-hard**.
- So, ground states are both classically & quantumly hard to find.

# Motivation

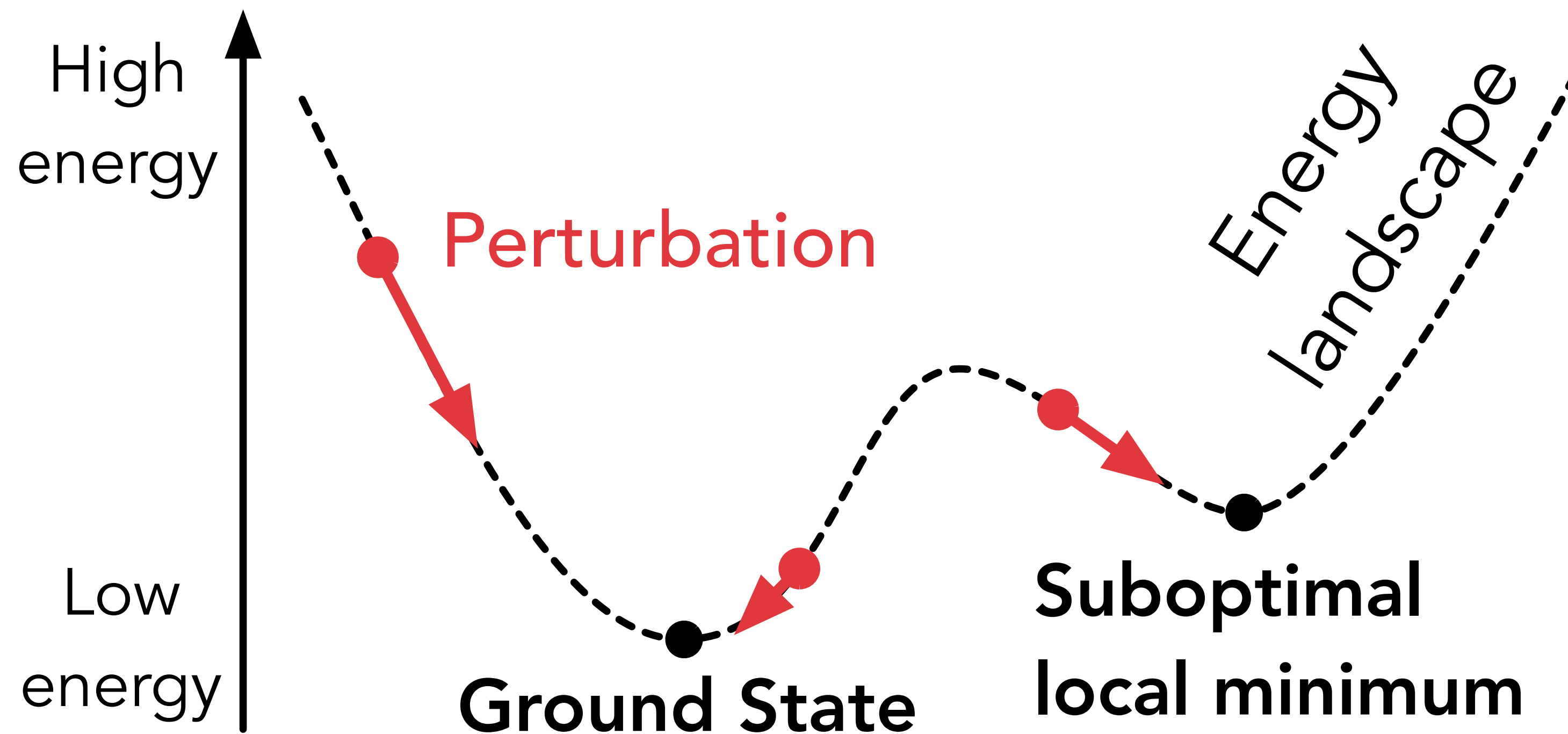
- The QMA-hardness of finding ground states implies that ground states are **not always physical**.
- Assuming Nature cannot efficiently solve NP-hard problems, Nature **should not** always find the ground state.

# Motivation

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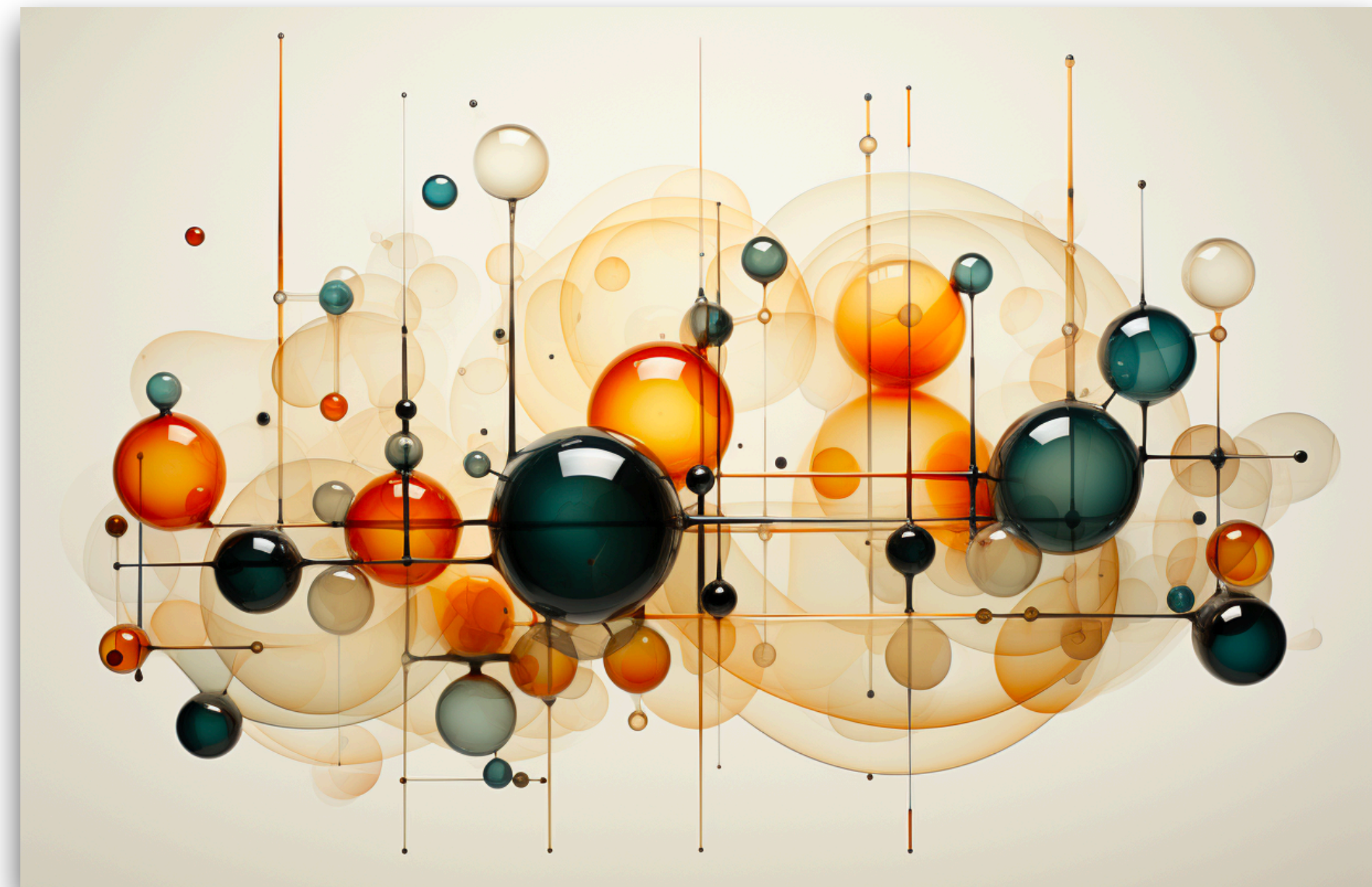
# Motivation

- For some physical systems, such as **spin glasses**, the systems almost always find **suboptimal local minima**.
- In these systems, ground states are **physically irrelevant**.



# Question

How tractable is the problem of **finding a local minimum** in quantum systems using **classical** vs. **quantum** computers?





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How tractable is the problem of **finding a local minimum** in quantum systems using **classical** vs. **quantum** computers?

To answer this, we need

- (1) a formal definition of local minima,
- (2) a characterization of these local minima.

# Outline

- Define local minima in quantum systems
- Complexity of finding local minima
- Future directions

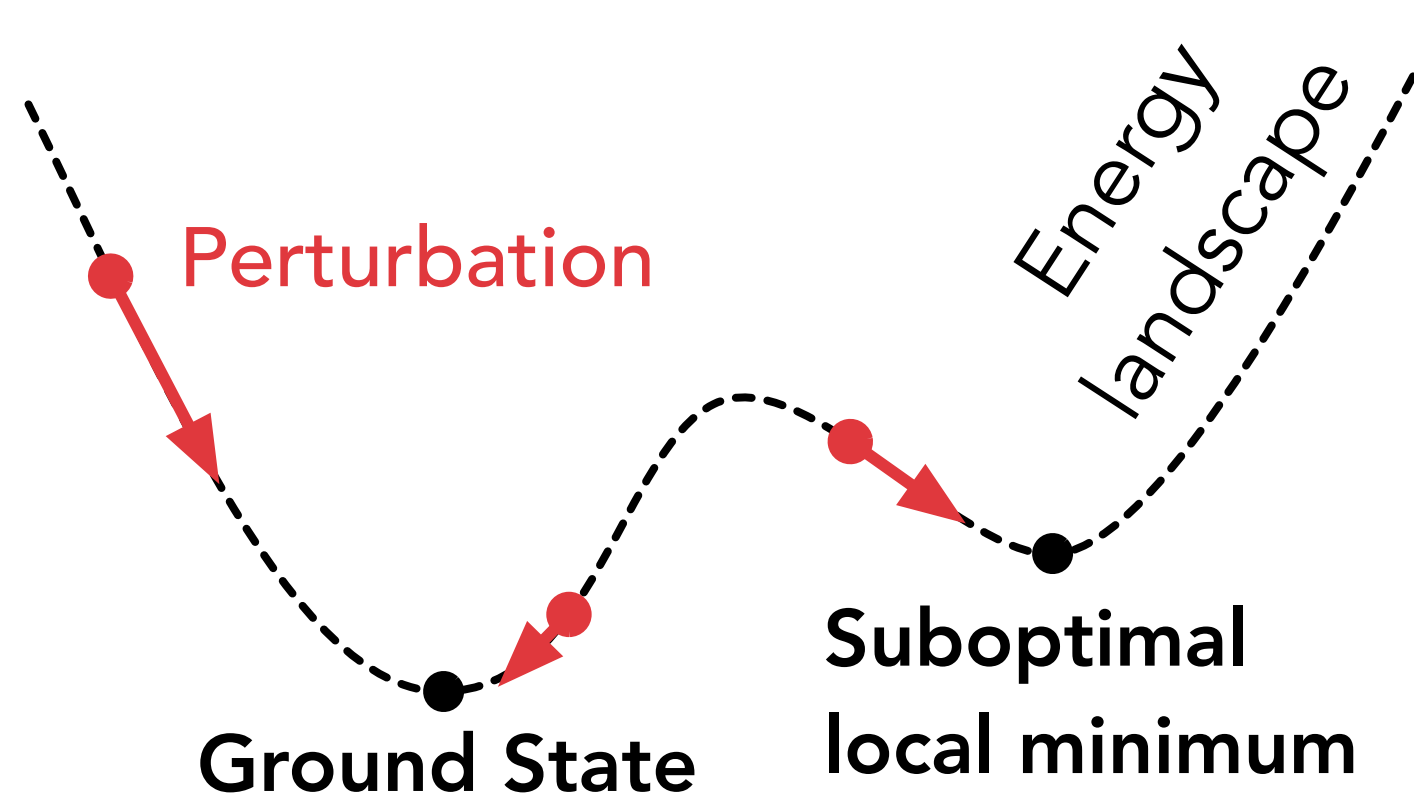


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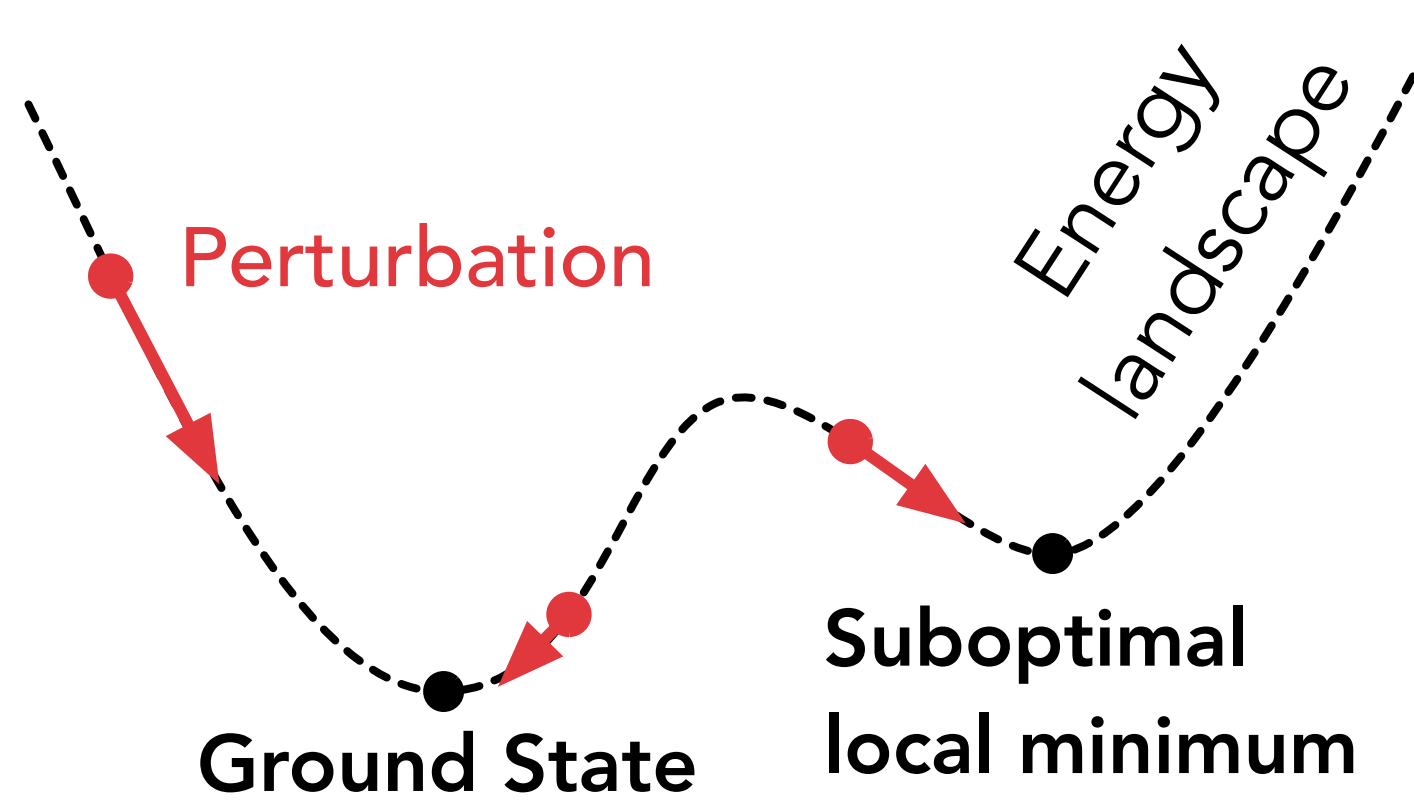






# Definition

- Given an  $n$ -qubit Hamiltonian  $H$  written as a sum of few-body terms.
- A local minimum of  $H$  is an  $n$ -qubit state  $\rho$  that has the **minimum** energy under **any small perturbations** to the state.

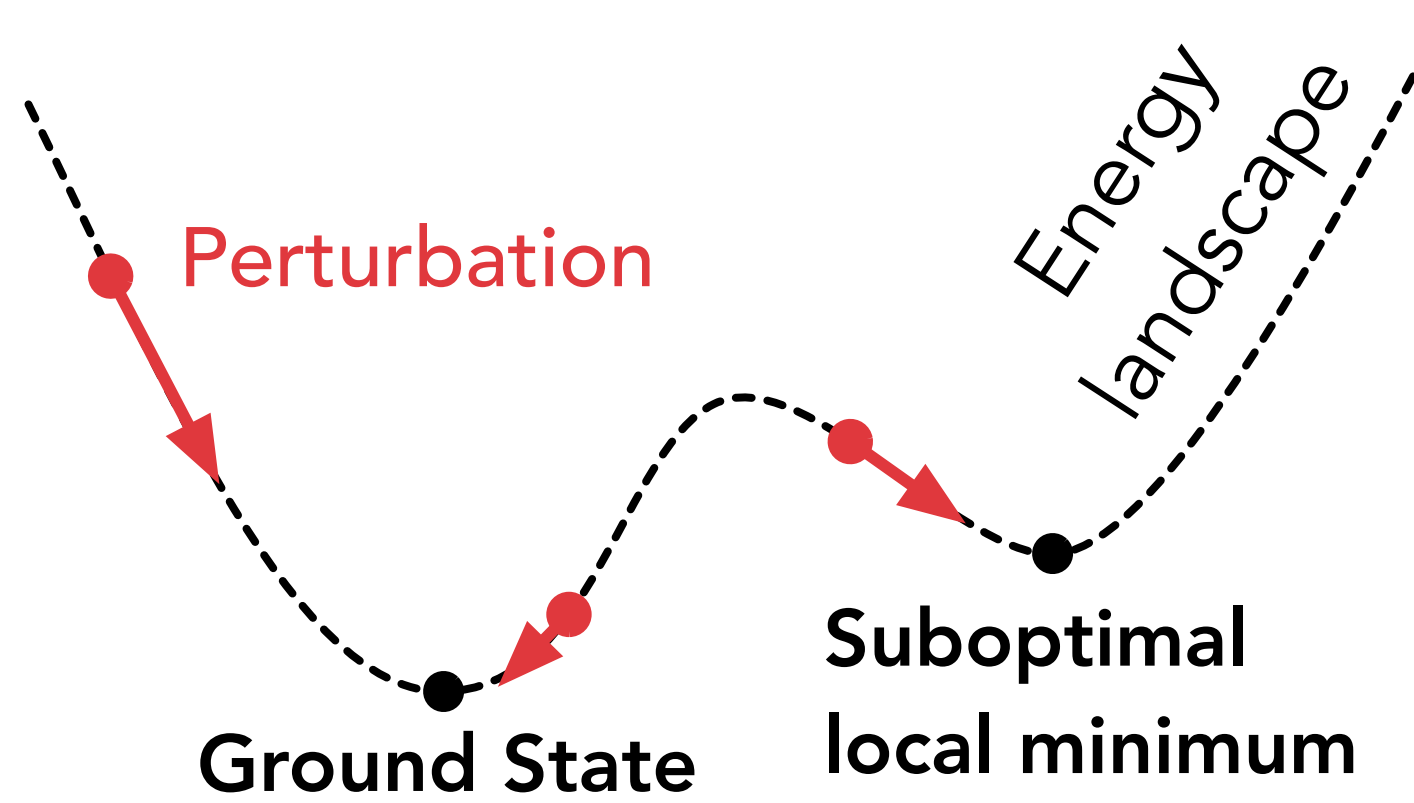


# Definition

- Consider **perturbation**  $P_\alpha$  mapping states to states parameterized by a vector  $\alpha \in \mathbb{R}^m$ , where  $m = \text{poly}(n)$ .
- An  $n$ -qubit state  $\rho$  is **an  $\epsilon$ -approximate local minimum** of  $H$  under  $P$  if

$$\text{Tr}(H\rho) \leq \text{Tr}(HP_\alpha(\rho)) + \epsilon\|\alpha\|,$$

for all small vector  $\alpha$ .



# Definition

- Local minima form a subset of the entire  $n$ -qubit state space.
- The local minima subset contains the ground state  
and **depends on the perturbations.**
- We will consider two classes of perturbations.



# Local unitary perturbations

- A **mathematically-natural** definition of perturbations.
- Consider a pure  $n$ -qubit state  $|\psi\rangle$ . The perturbations are given by

$$|\psi\rangle \rightarrow \exp\left(-i \sum_{a=1}^m \alpha_a h^a\right) |\psi\rangle$$

for a set of  $m$  few-body Hermitian operators  $\{h^a\}_{a=1}^m$ .

- Any quantum circuit with near-identity two-qubit gates is a local unitary perturbation (to the 1st order).

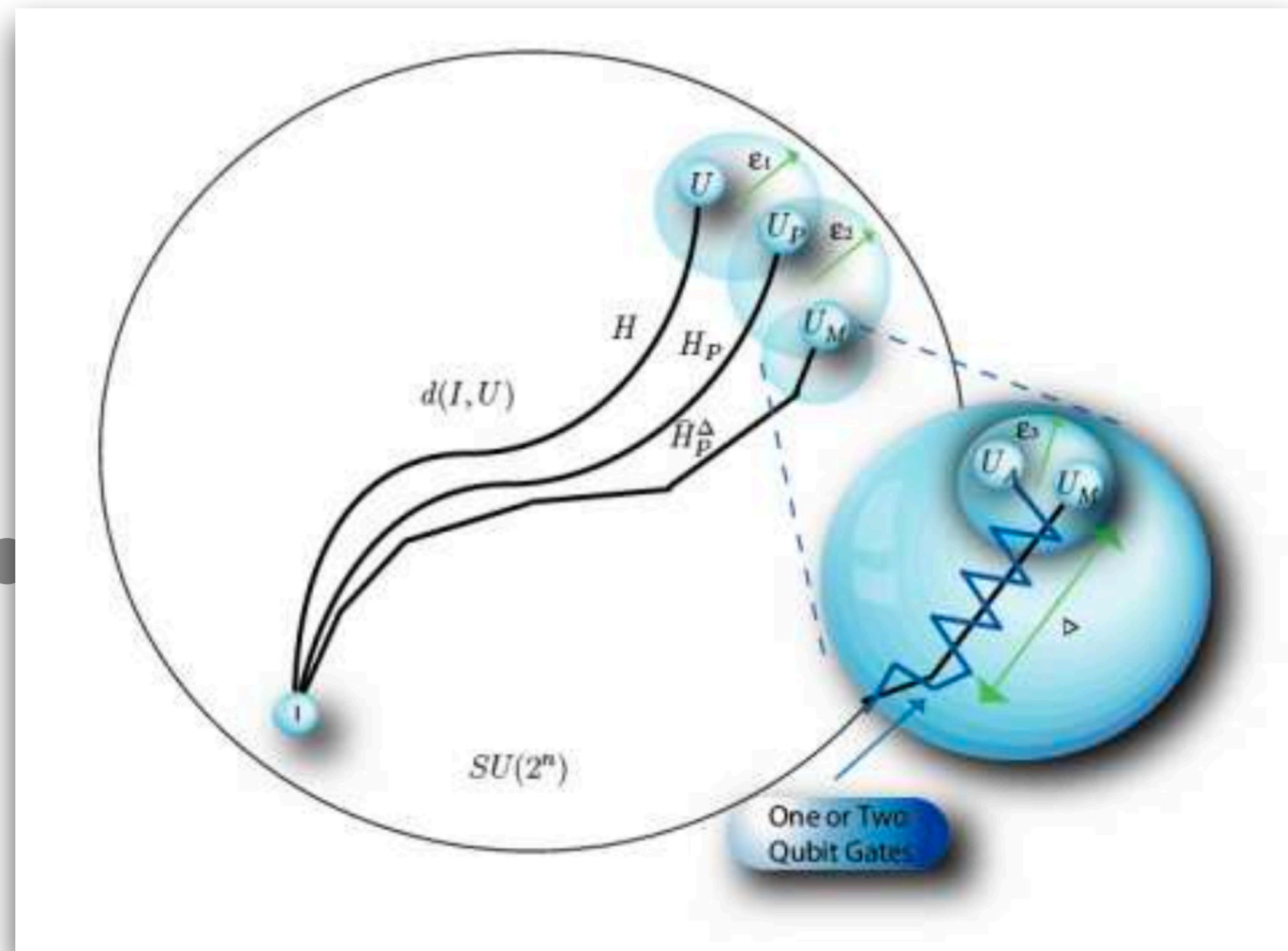
# Local unitary perturbations

- A **mathematically-natural** definition of perturbations.
- Consider a pure  $n$ -qubit state  $|\psi\rangle$ . The perturbations are given by

$$\left( -i \sum_{a=1}^m \alpha_a h^a \right) |\psi\rangle$$

Hermitian operators  $\{h^a\}_m$

Forms a Riemannian geometry;  
see *Quantum Computation as Geometry*  
by Nielson et al., Science (2006)



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# Thermal perturbations

- A **physically-motivated** definition of perturbations.
- When a quantum system is placed in a **cold thermal bath**, the perturbations are described by thermal Lindbladian dynamics.
- These perturbations are generally irreversible, i.e., **non-unitary**.

# Thermal perturbations

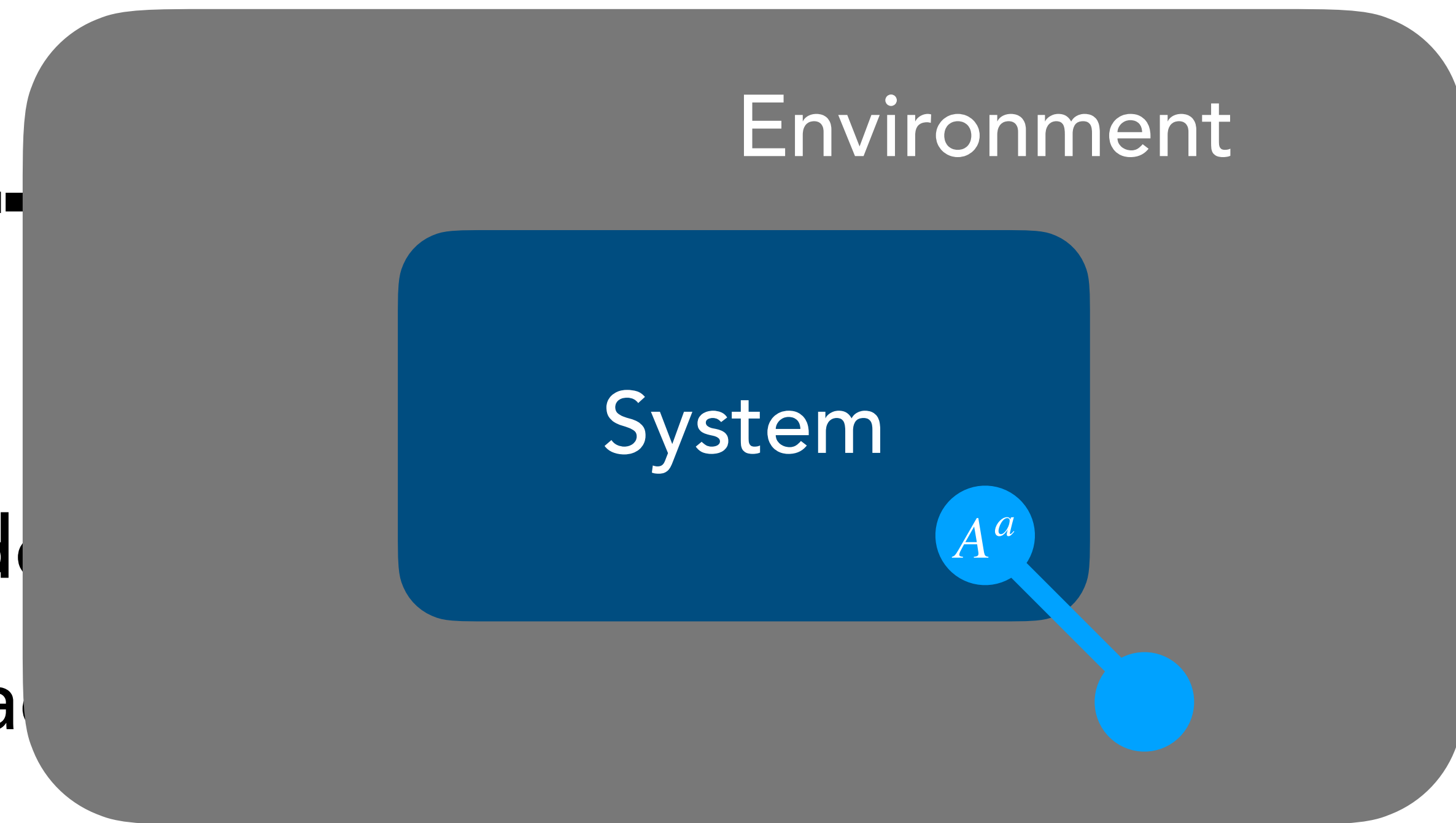
- 2 macroscopic properties from modern quantum thermodynamics:  
 $\beta$  (inverse temperature) and  $\tau$  (characteristic time scale).

- The **thermal perturbations** are given by

$$\rho \rightarrow \exp \left( \sum_{a=1}^m \alpha_a \mathcal{L}_a^{\beta, \tau, H} \right) (\rho),$$

where  $\mathcal{L}_a^{\beta, \tau, H}$  is a thermal Lindbladian for the few-body operator  $A^a$  through which the bath interacts with the system and  $\alpha_a \geq 0$ .

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# Summary

- An  $n$ -qubit state  $\rho$  is an  $\epsilon$ -approximate local minimum of  $H$  under  $P$  if  $\text{Tr}(H\rho) \leq \text{Tr}(HP_\alpha(\rho)) + \epsilon\|\alpha\|$  for all small vector  $\alpha$ .
- **Local unitary perturbations:**  
mathematically natural, reversible ( $\alpha \in \mathbb{R}^m$ ), Hermitian evolutions.
- **Thermal perturbations:**  
physically motivated, irreversible ( $\alpha \in \mathbb{R}_{\geq 0}^m$ ), Lindbladian evolutions.



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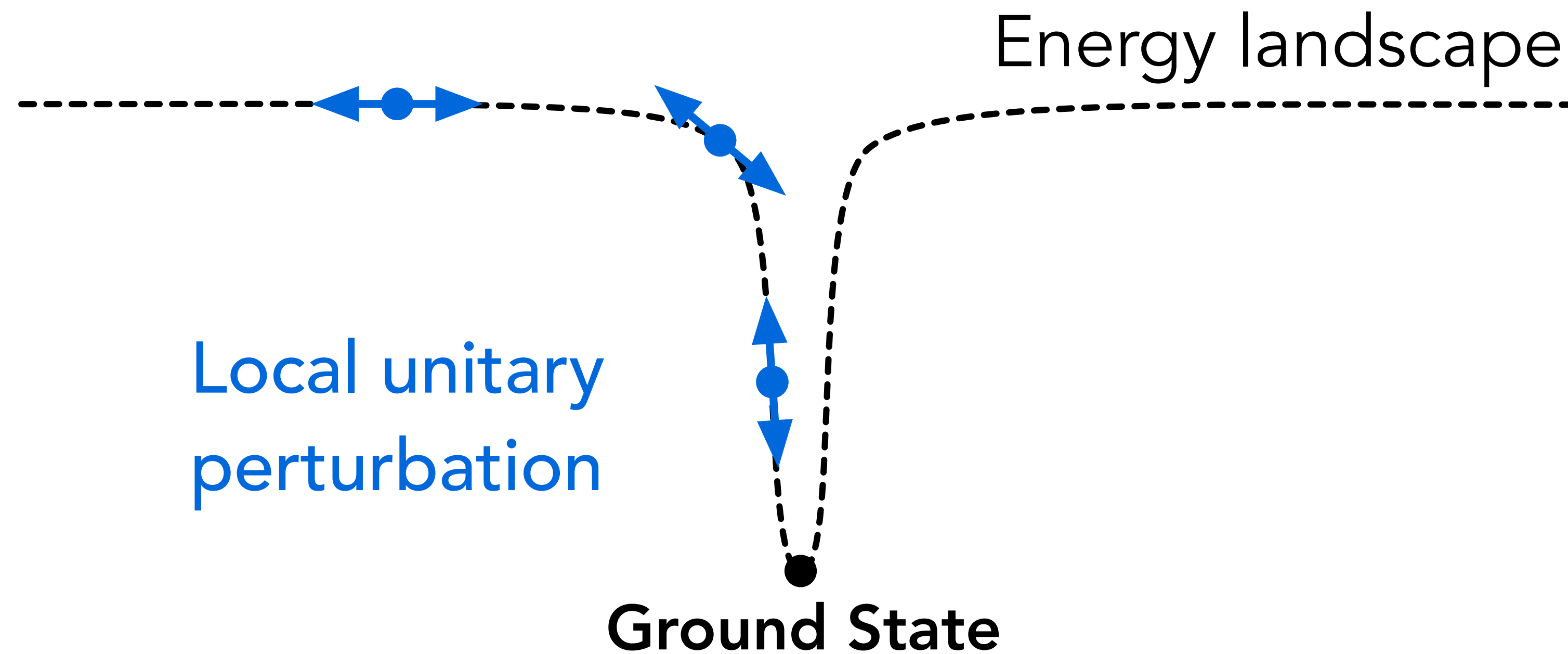


# Local minima problem

- An algorithm solves the local minima problem efficiently if  
For any  $n$ -qubit **local Hamiltonian**  $H$  and any **local observable**  $O$ ,  
the algorithm can output  $\text{Tr}(O\rho)$  to error  $\epsilon = 1/\text{poly}(n)$   
of an  $\epsilon$ -**approximate local minimum**  $\rho$  of  $H$  in  $\text{poly}(n)$  time.
- This is a problem with purely **classical** input and output.

# Characterizing local minima

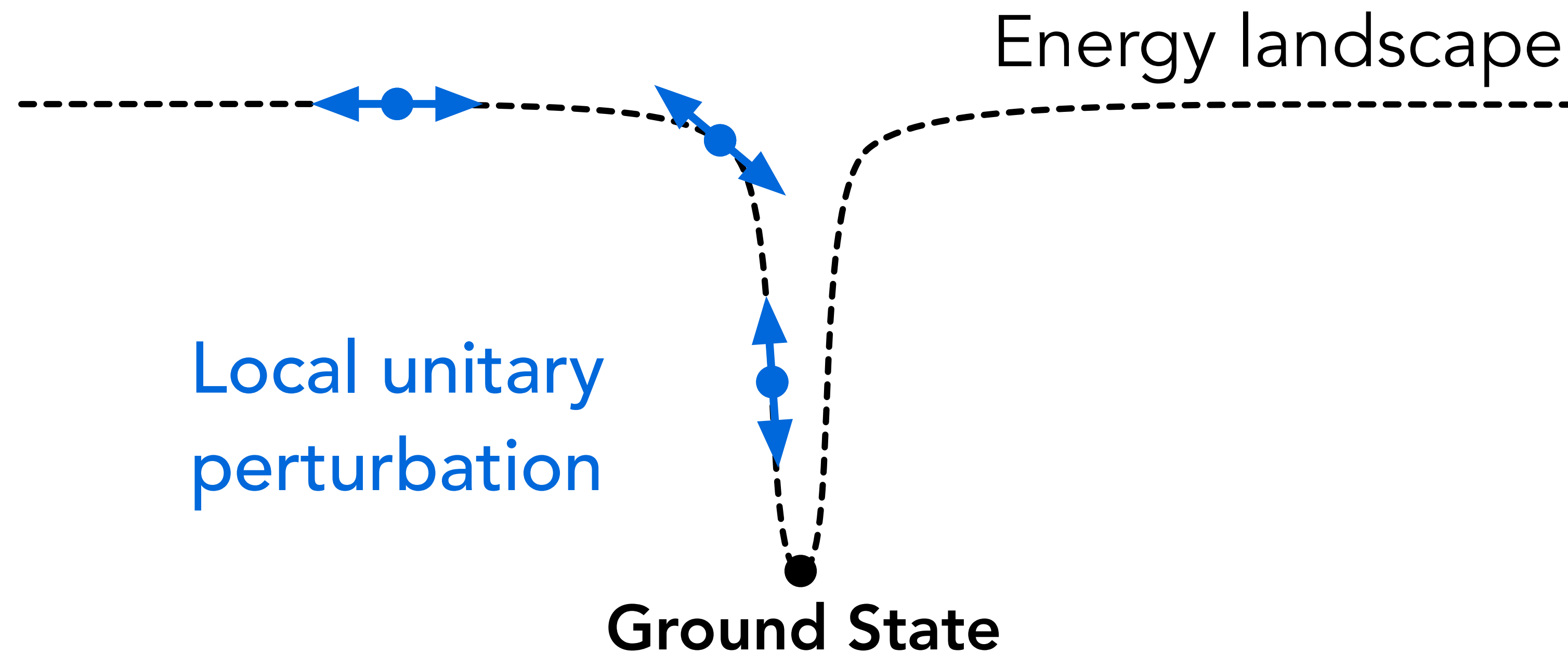
**Proposition (Classically easy):** The problem of finding local minima under **local unitary perturbations** is in BPP.





# Characterizing local minima

Lemma (Barren plateau): For any local Hamiltonian  $H$ , a random state is a local minimum of  $H$  under **local unitary perturbations**.



# Characterizing local minima

- **Local unitary perturbations** are mathematically natural but not physically motivated, as thermodynamics are generally non-unitary.
- Let's see how the conceptual picture changes when we consider **thermal perturbations**.

# Characterizing local minima

**Theorem (Quantumly easy):** The problem of finding local minima under **thermal perturbations** is quantumly easy.

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- This theorem is shown using a **quantum thermal gradient descent** algorithm (to handle finite temperature and finite time scale).



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**Theorem (Quantumly easy):** The problem of finding local minima under **thermal perturbations** is quantumly easy.

- This theorem is shown using a **quantum thermal gradient descent** algorithm (to handle finite temperature and finite time scale).
- The convergence is proven by showing the smoothness properties of the second derivative of thermal Lindbladians.

# Characterizing local minima

Theorem (Quantumly easy): The problem of finding local minima under **thermal perturbations** is quantumly easy.

While the problem is quantumly easy,  
can the problem also be classically easy?

# Characterizing local minima

Consider a class of Hamiltonians  $\{H_C\}_C$  on *2D lattices*.

- Each poly-size quantum circuit  $C$  corresponds to a Hamiltonian  $H_C$   
based on a modified version of Kitaev's circuit-to-Hamiltonian construction
- The ground state of  $H_C$  encodes the output of the circuit  $C$ .
- So finding the ground state of  $H_C$  is **BQP-hard**.

# Characterizing local minima

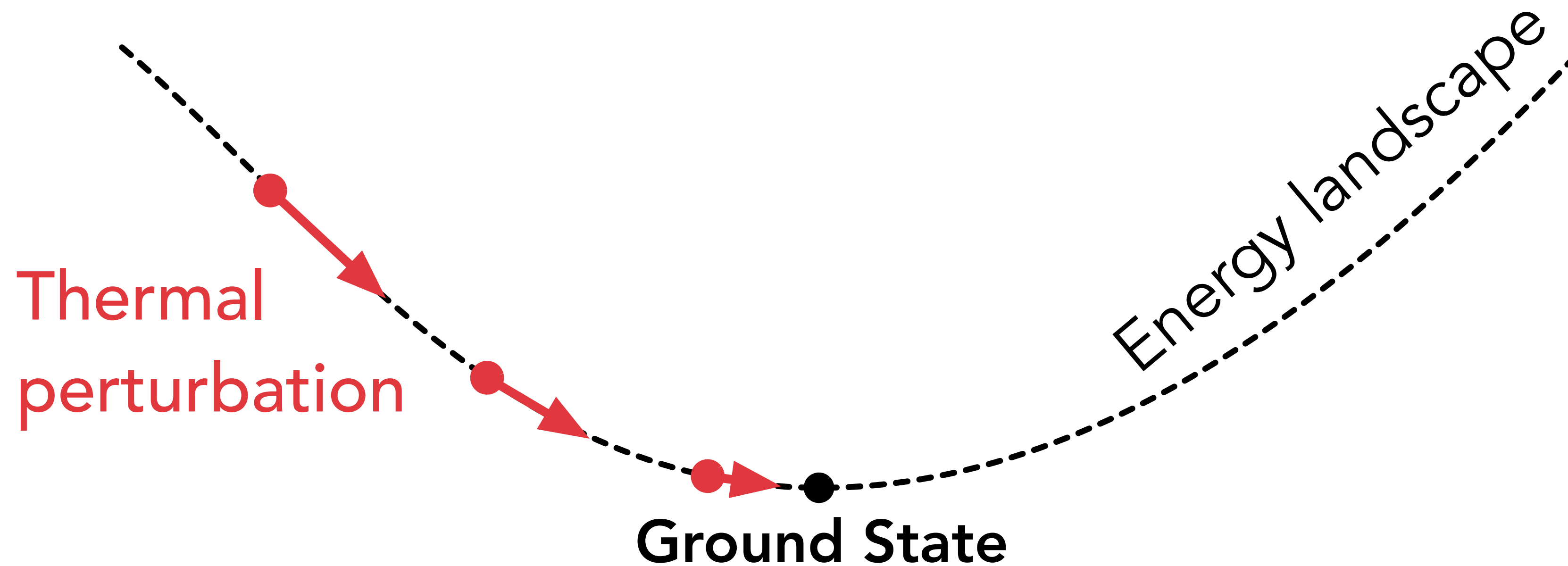
Consider a class of Hamiltonians  $\{H_C\}_C$  on *2D lattices*.

- But, perhaps, finding local minima of  $H_C$  is much easier.
- Maybe there are some **classically easy local minima** lurking in the exponentially large quantum Hilbert space!



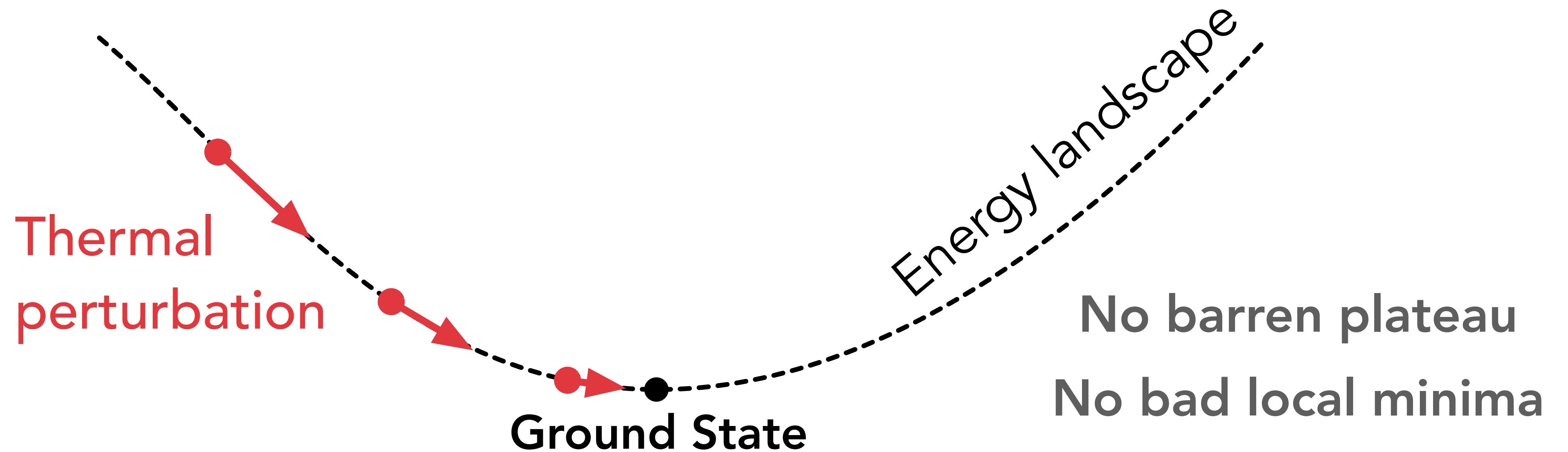
# Characterizing local minima

Theorem (No suboptimal local minima): All approximate local minima of  $H_C$  under **thermal perturbations** are close to the global minimum.



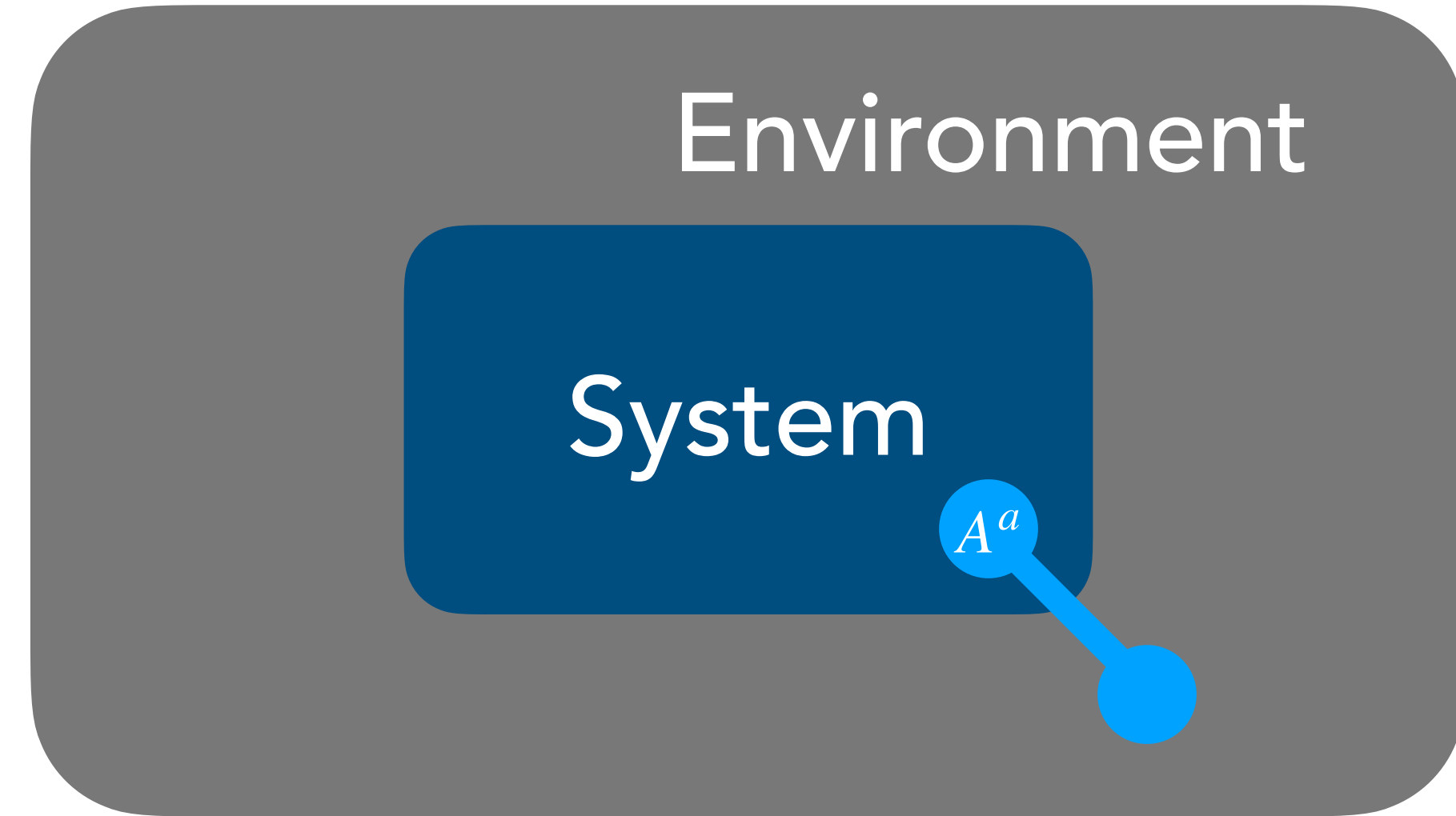
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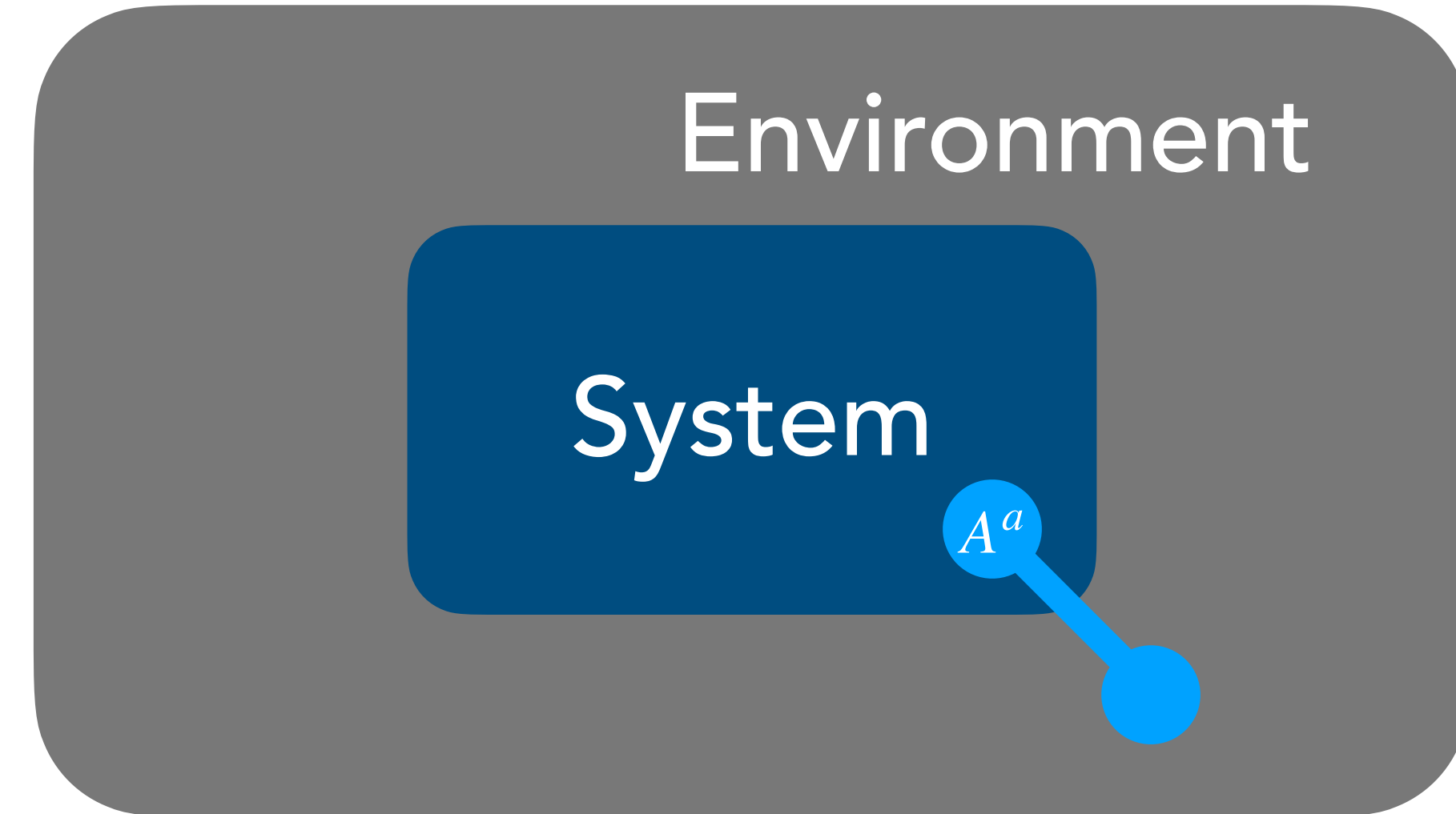
# Proof Idea

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- Consider a local operator  $A^a$ .

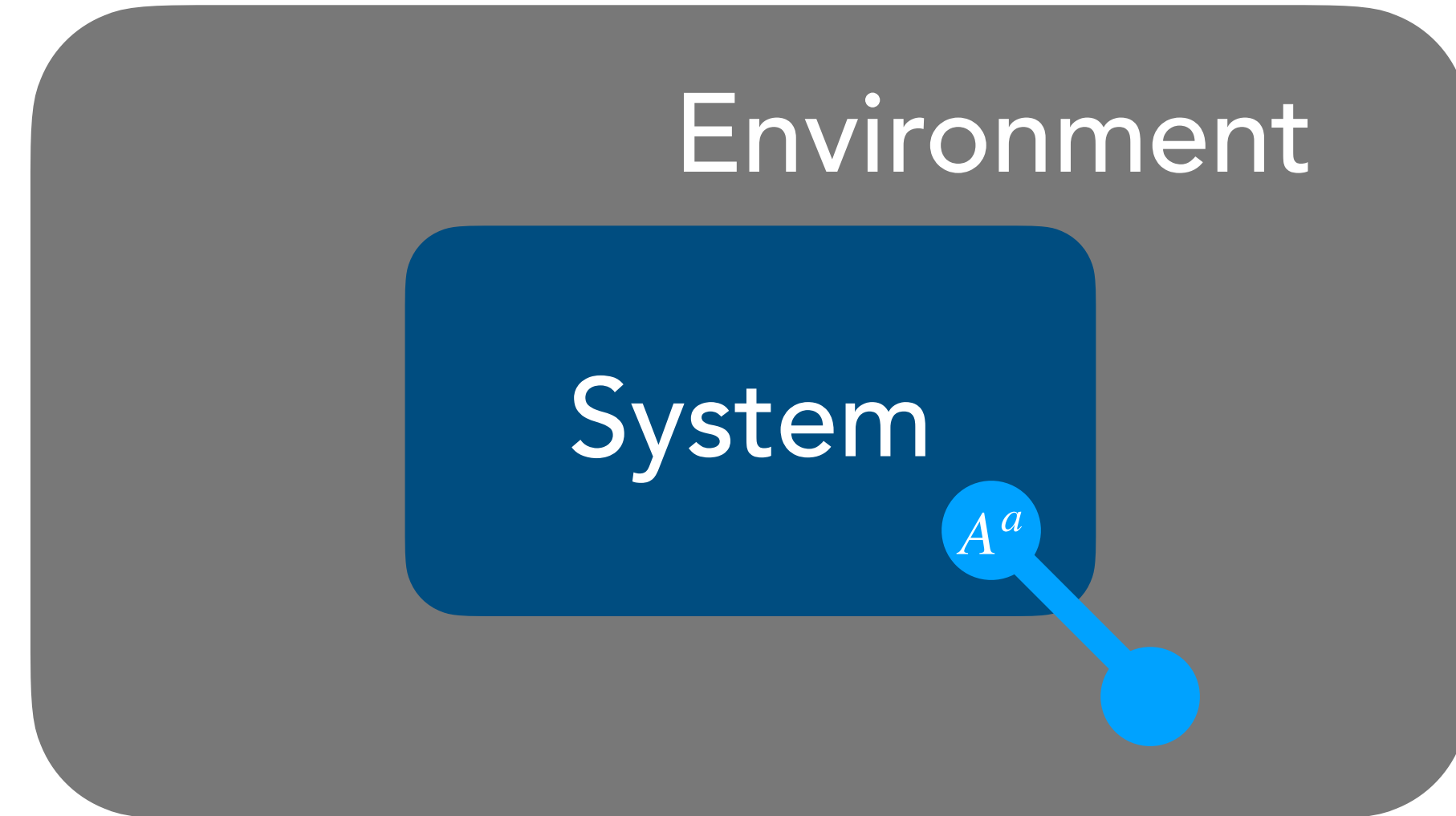
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- Consider a local operator  $A^a$ .
- The thermal bath induces a thermal Lindbladian  $\mathcal{L}_a^{\beta, \tau, H}$  with a continuous set of **Lindblad jump operators**  $\left\{ \hat{A}_{\tau, H}^a(\omega) \right\}_{\omega \in (-\infty, \infty)}$ .



# Proof Idea



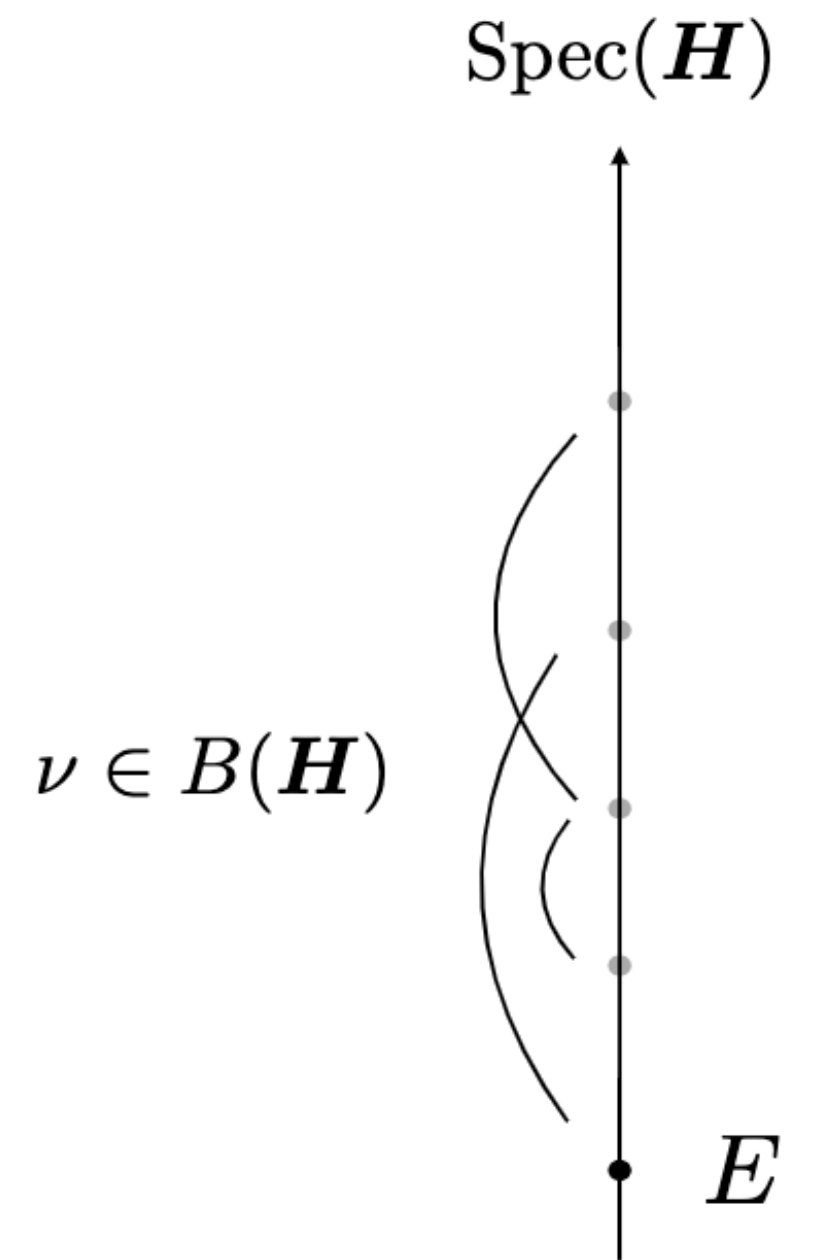
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- The index  $\omega$  has an energy unit and measures the energy difference.

# Proof Idea

- Intuition for the Lindblad jump operator  $\hat{A}_{\tau,H}^a(\omega)$ :

$$A^a = \sum_{i,j} A_{ij}^a |E_i\rangle\langle E_j|$$

$$\hat{A}_{\tau,H}^a(\omega) = \sum_{i,j} A_{ij}^a \sqrt{\delta_{\tau}(\omega - (E_i - E_j))} |E_i\rangle\langle E_j| \quad \sqrt{\delta_{\tau}(x)} = \frac{1}{\sqrt{2\pi\tau}} \int_{-\tau/2}^{\tau/2} e^{-itx}$$

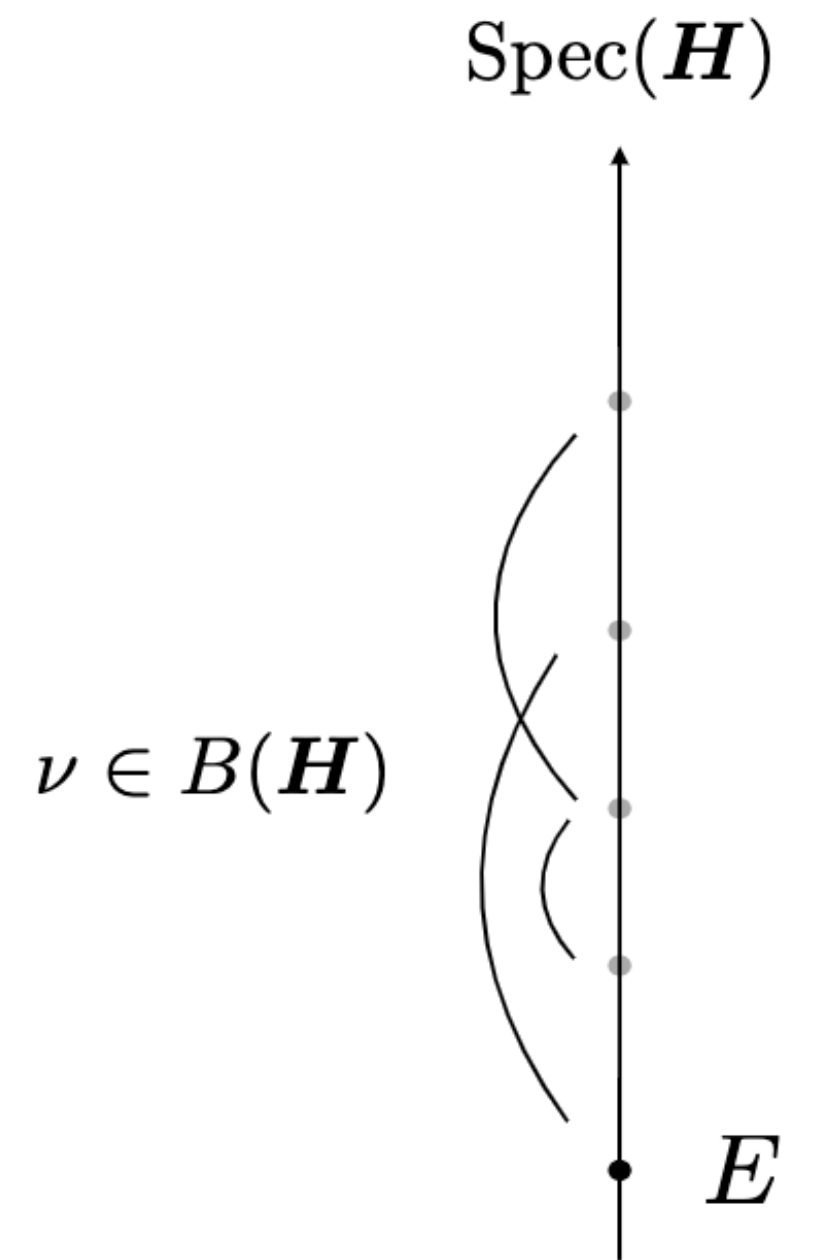


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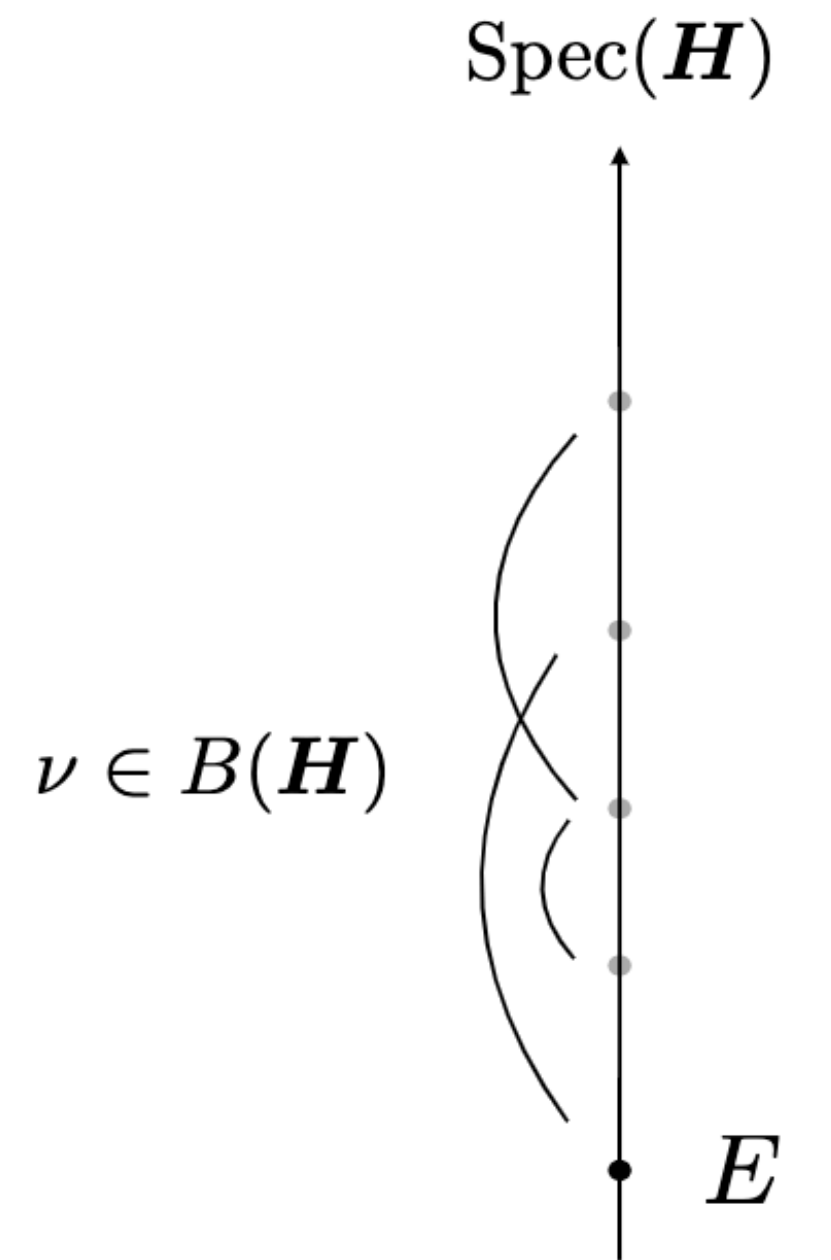


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# Proof Idea

Spec( $H$ )

$\nu \in B(H)$

$E$

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- While  $A^a$  has matrix elements betw.  $|E_j\rangle$  and **higher & lower**  $|E_i\rangle$ ,  
 $\hat{A}^a(\omega)$  for  $\omega < 0$  induces transitions from  $|E_j\rangle$  to **lower** energy  $|E_i\rangle$ .



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- If  $\forall$  energy eigenstate  $|E_j\rangle$ ,  $\exists$  a local operator  $A^a$  and  $E_i < E_j$ ,  
s.t.,  $\langle E_i|A_a|E_j\rangle \neq 0$ , then there are no suboptimal local minima.

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s.t.,  $\langle E_i|A_a|E_j\rangle \neq 0$ , then there are no suboptimal local minima.

Note the similarity to classical combinatorial optimization

# Proof Idea

Given a circuit  $C$  with unitary  $U_C = U_T \dots U_1$ .

The Hamiltonian is  $H_C = H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$

with a unique ground state given by

$$\sum_{t=0}^T \sqrt{\frac{1}{2^T} \binom{T}{t}} (U_t \dots U_1 |0^n\rangle) \otimes |0^t 1^{T-t}\rangle$$

$H_{\text{cl}}$  checks the clock

$H_{\text{prop}}$  checks propagation

$H_{\text{in}}$  checks the input

$$\|H_{\text{cl}}\| \gg \|H_{\text{prop}}\| \gg \|H_{\text{in}}\|$$

# Proof Idea

1. There are no suboptimal local minima in  $H_{\text{cl}}$ .

The Hamiltonian is  $H_C = H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$

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# Proof Idea

1. There are no suboptimal local minima in  $H_{\text{cl}}$ .
2. In GS space of  $H_{\text{cl}}$ , there are no suboptimal LM in  $H_{\text{cl}} + H_{\text{prop}}$ .

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1. There are no suboptimal local minima in  $H_{\text{cl}}$ .
2. In GS space of  $H_{\text{cl}}$ , there are no suboptimal LM in  $H_{\text{cl}} + H_{\text{prop}}$ .
3. In GS space of  $H_{\text{cl}} + H_{\text{prop}}$ , there are no suboptimal LM in  $H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$ .

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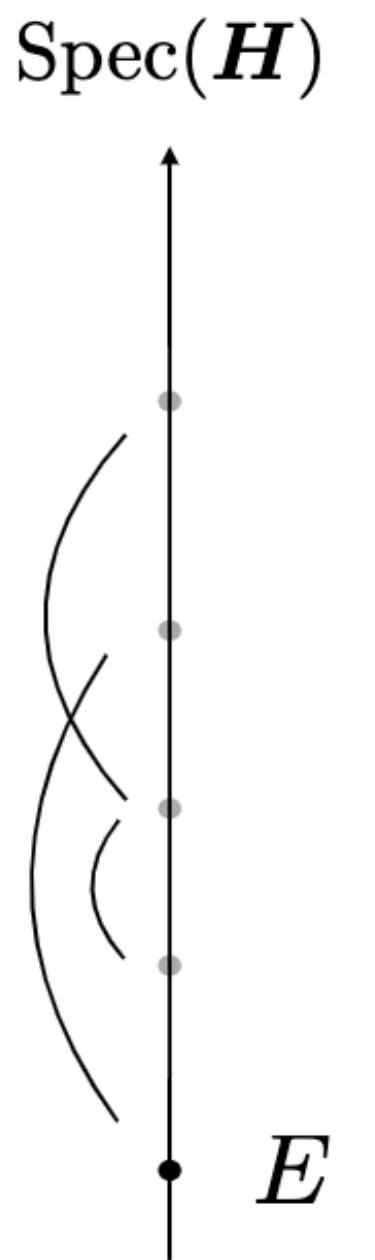
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# Proof Idea

If the Hamiltonians have a **large Bohr frequency gap** and  
Statement 1, 2, 3 hold,

then **there are no suboptimal LM** in  $H_C = H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$ .

$\nu \in B(\mathbf{H})$



The Hamiltonian is  $H_C = H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$

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# Proof Idea

$H_{\text{in}}$  is standard.

The Hamiltonian is  $H_C = H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$

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# Proof Idea

$$H_{\text{cl}} = \sum_{t=1}^{T-1} h_{t,\text{cl}}$$
 has a non-uniform  $\|h_{t,\text{cl}}\|$  decreasing in  $t$ ,

so **local excitations** have the tendency to move to the right.

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# Proof Idea

$$H_{\text{prop}} = \sum_{t=1}^T h_{t,\text{prop}} \text{ gives rise to the } \frac{1}{2^T} \binom{T}{t} \text{ factor,}$$

and **the energy spectrum is**  $\propto \{k\}_{k=0}^T$  (evenly spaced).

The Hamiltonian is  $H_C = H_{\text{cl}} + H_{\text{prop}} + H_{\text{in}}$

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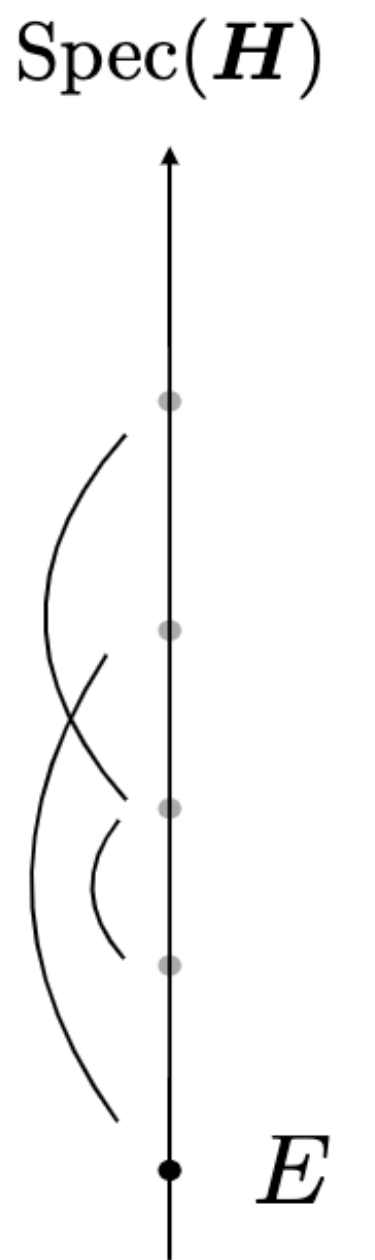


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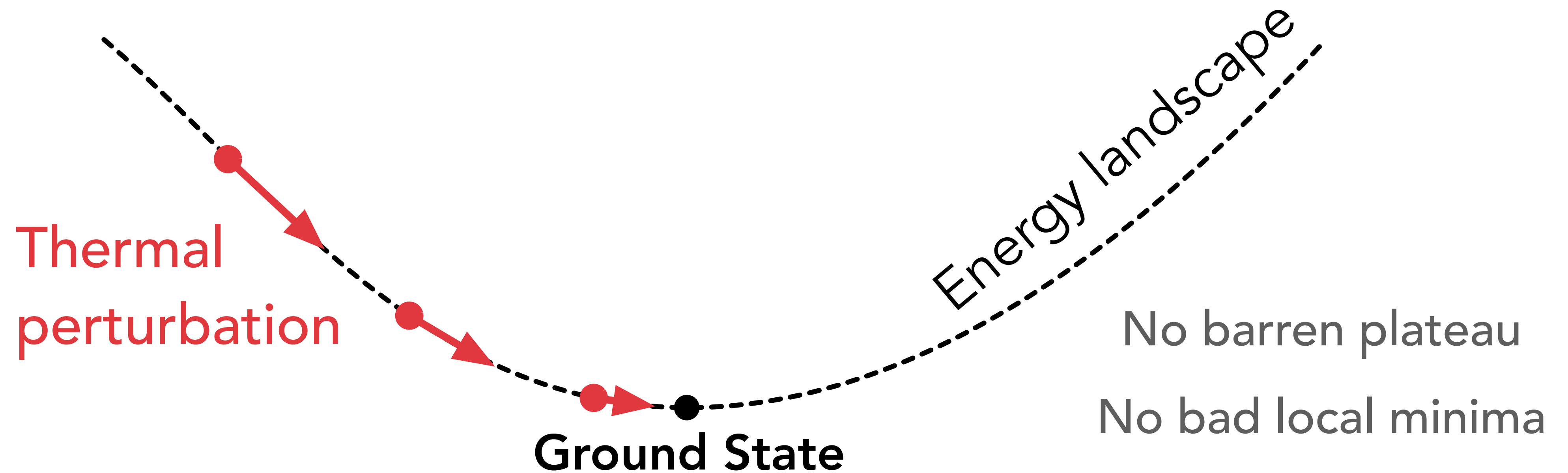
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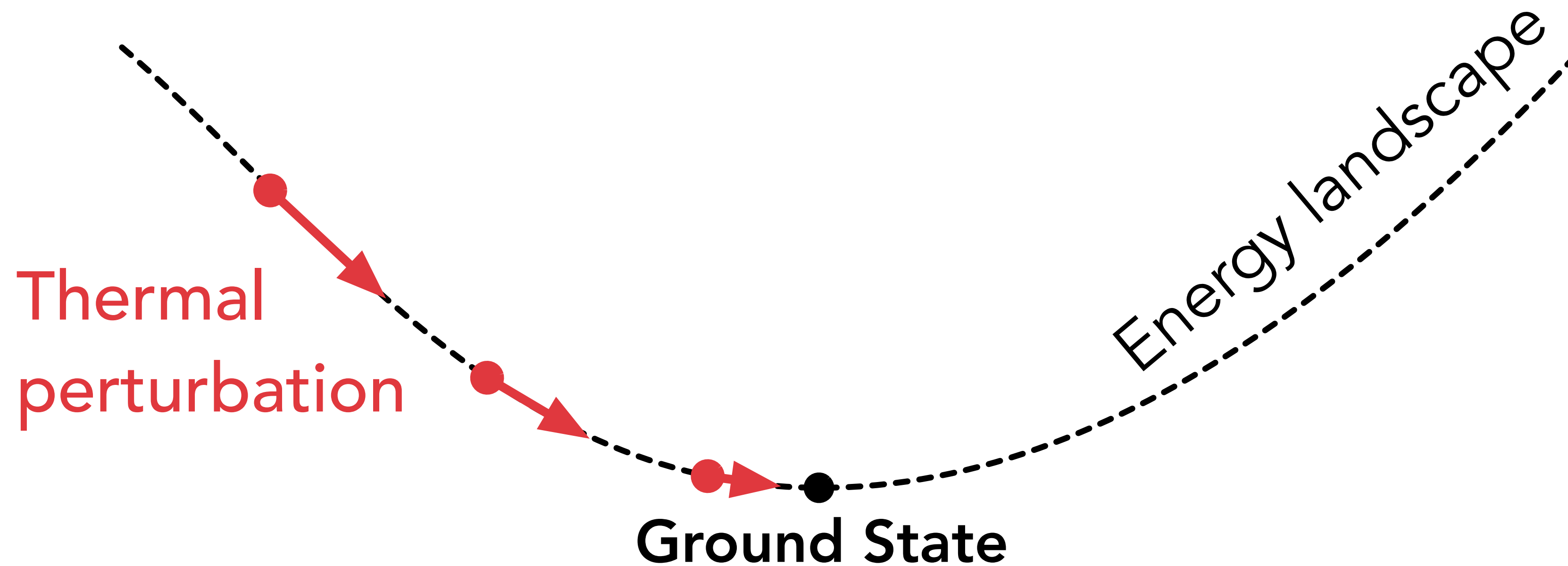
# Characterizing local minima

Theorem (No suboptimal local minima): All approximate local minima of  $H_C$  under **thermal perturbations** are close to the global minimum.



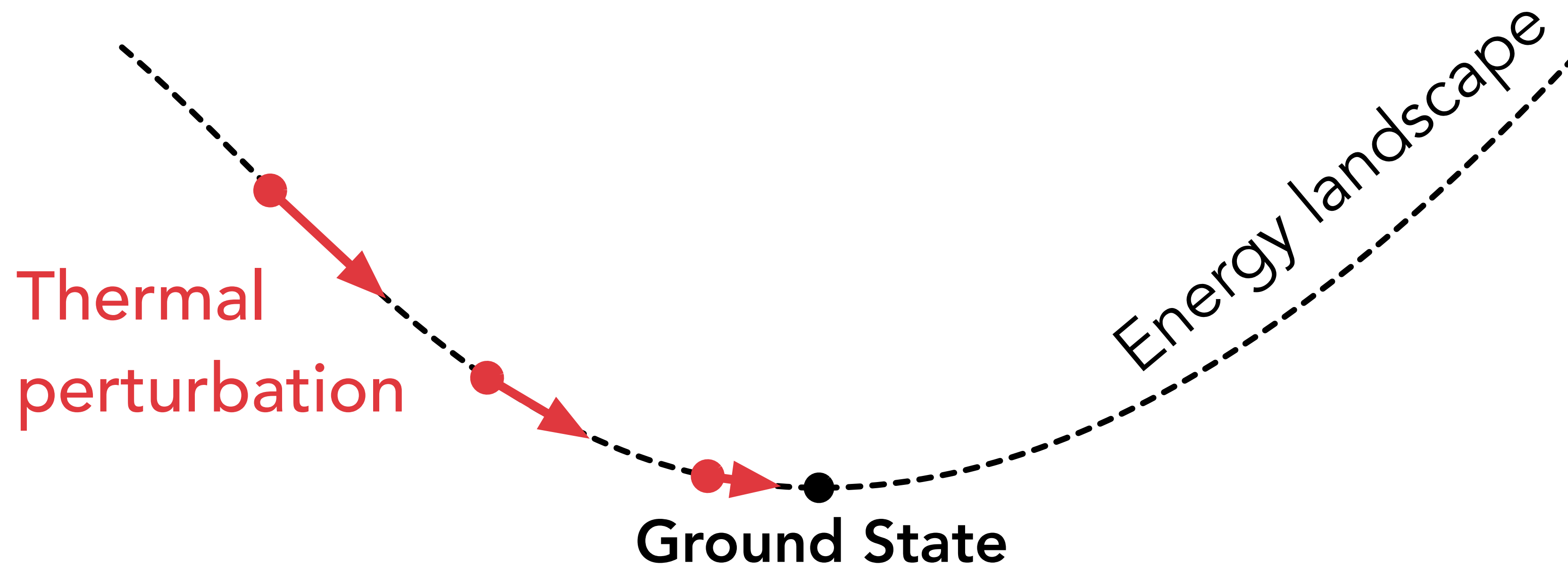
# Characterizing local minima

Theorem (Classically hard): The problem of finding local minima under **thermal perturbations** is classically hard if  $BPP \neq BQP$ .



# Characterizing local minima

Corollary: There are 2D Hamiltonians where the energy of classical ansatz optimized by efficient classical algorithms can be **strictly improved** by simulating quantum thermodynamics.

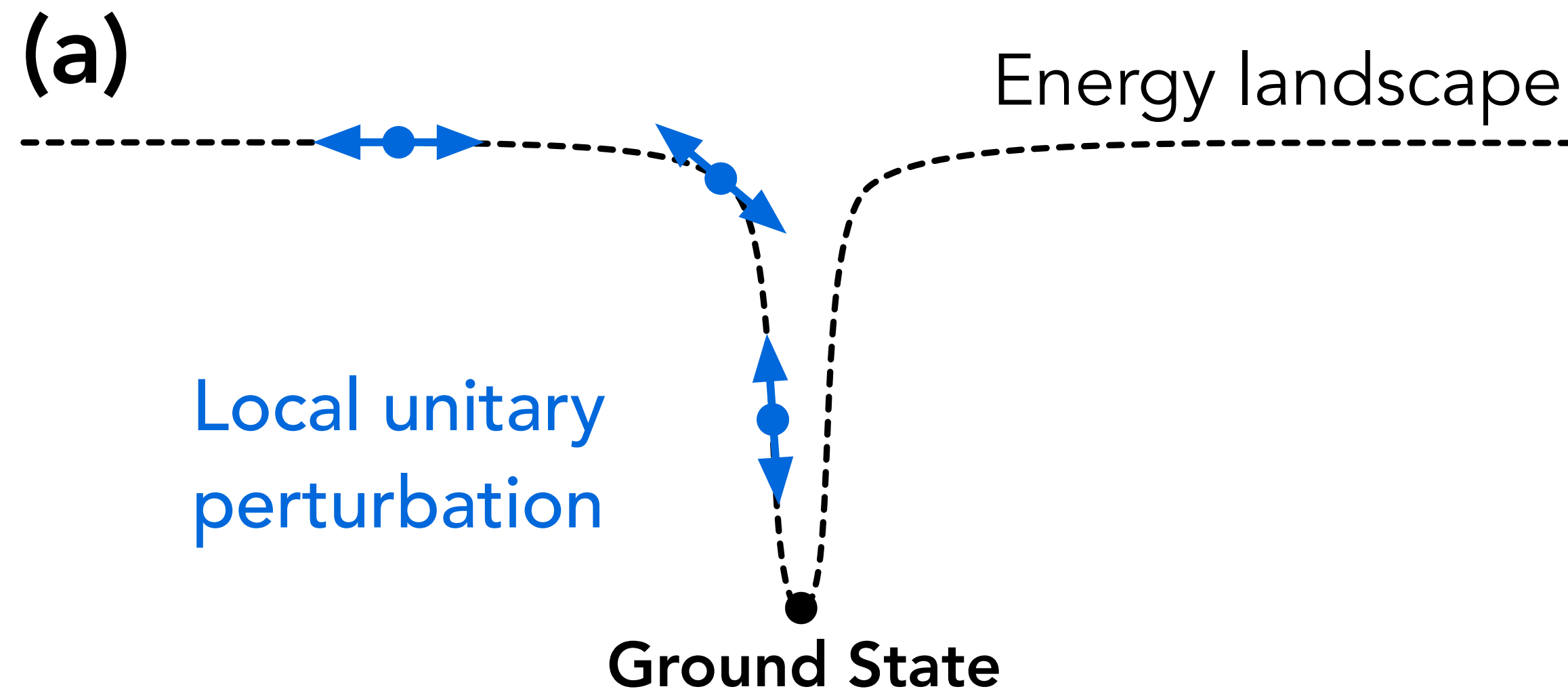


# Characterizing local minima

Finding local minima

under **local unitary perturbations**

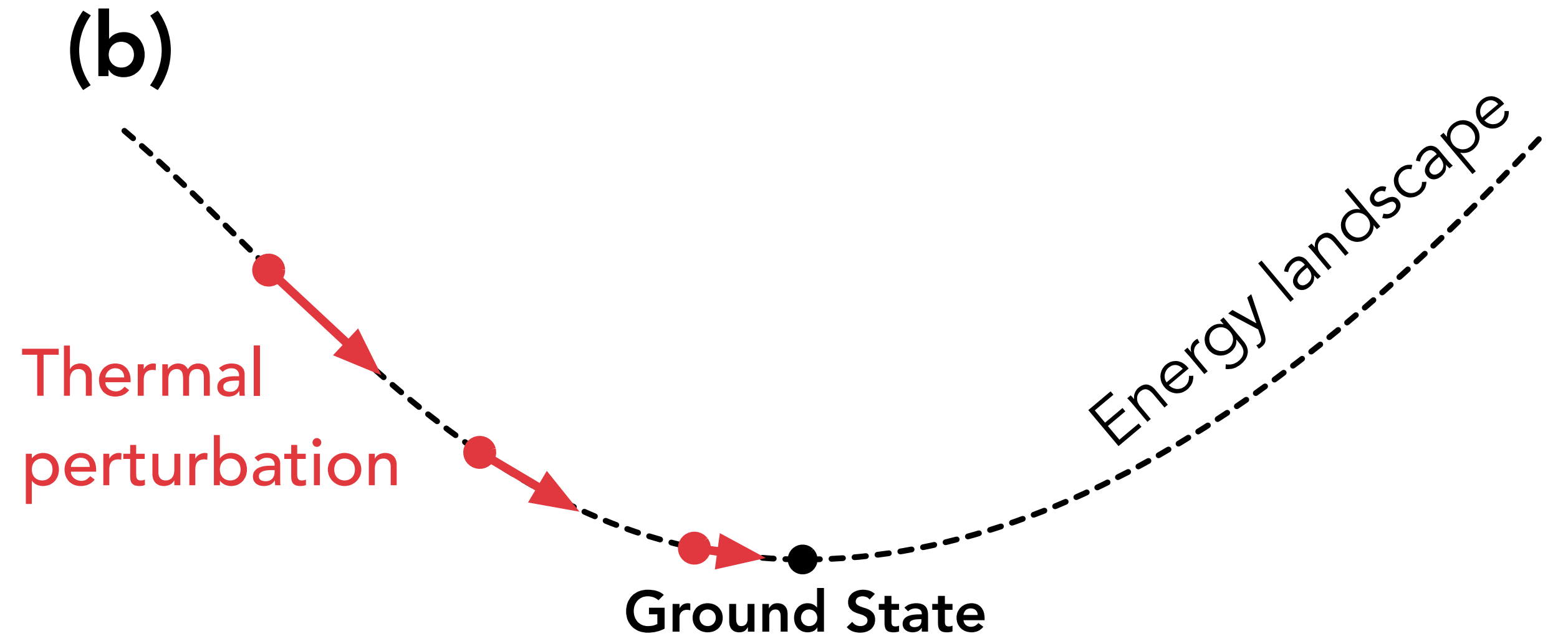
*is trivial for classical computation*



Finding local minima

under **thermal perturbations**

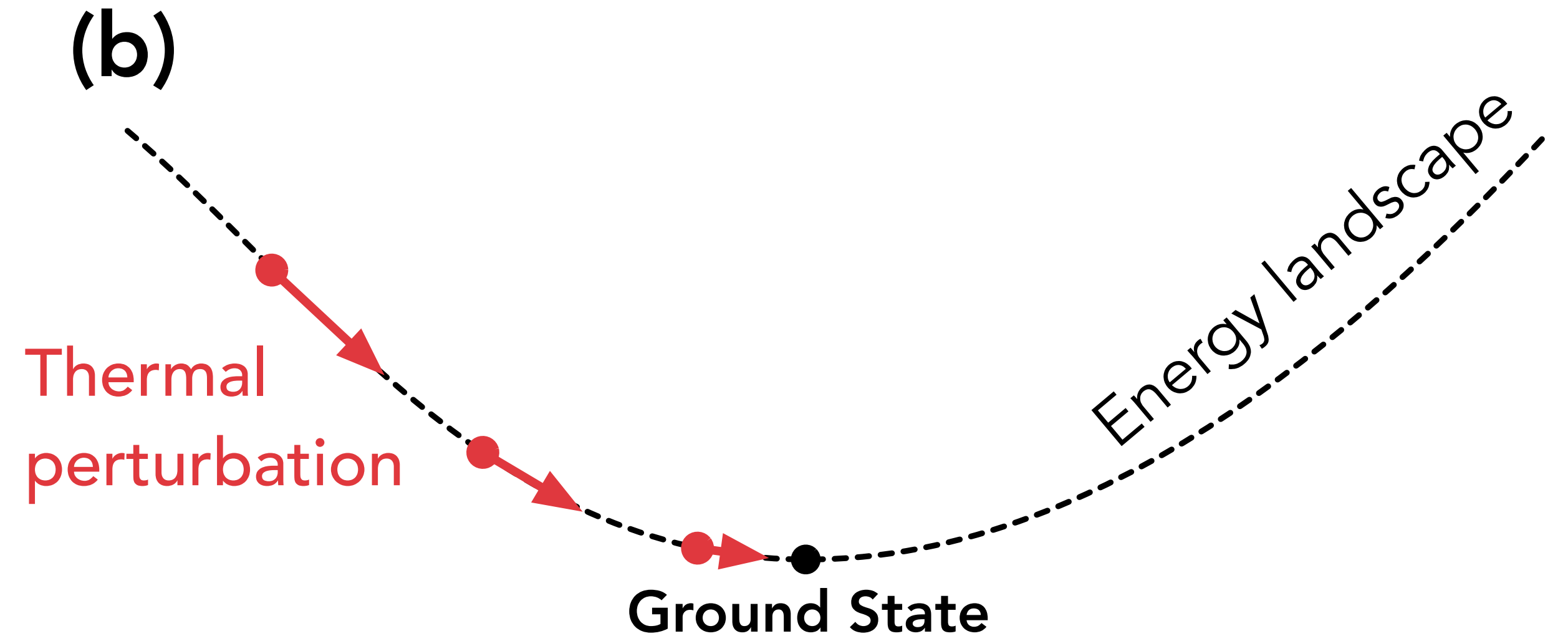
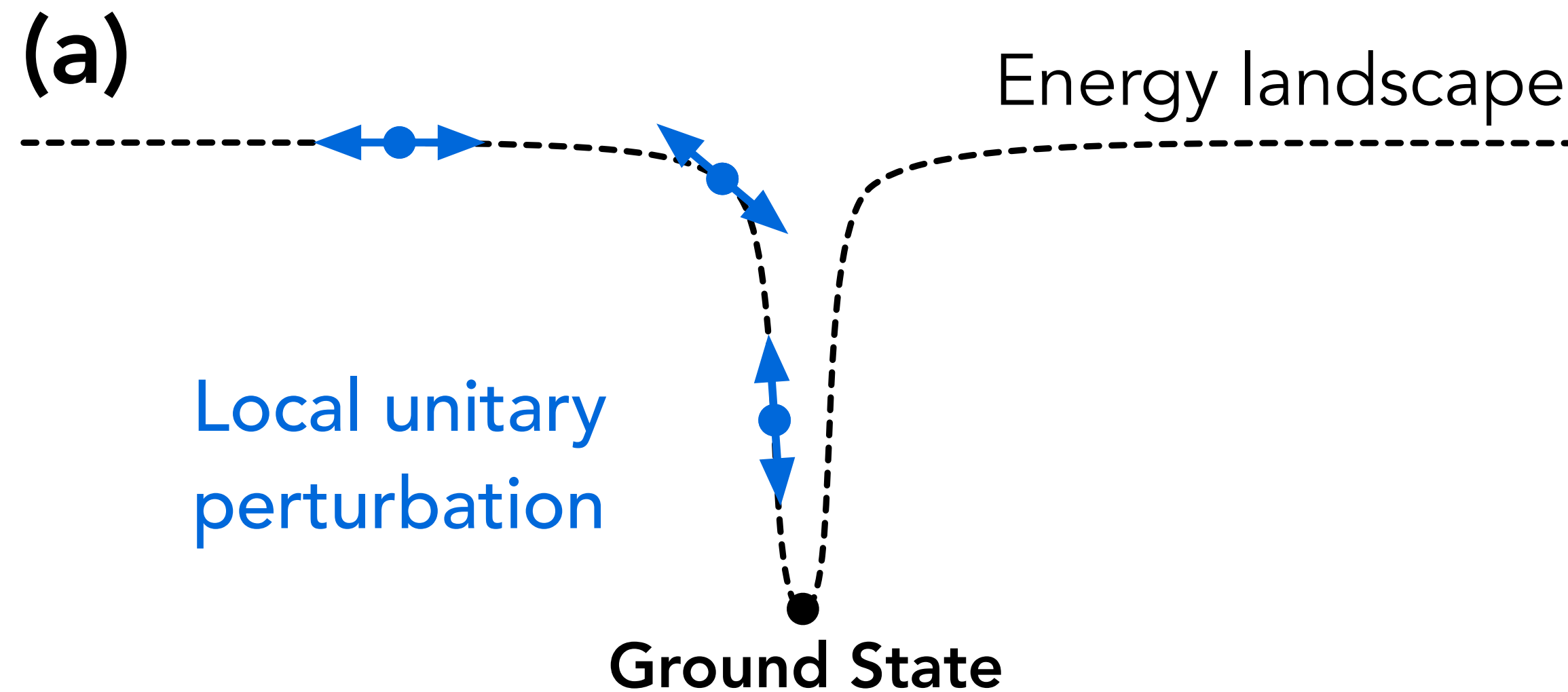
*is universal for quantum computation*



# Characterizing local minima

Finding local minima  
under local unitary perturbations  
is *trivial for classical computation*

A very good refrigerator  
is **a universal quantum computer**





# Outline

- Define local minima in quantum systems
- Complexity of finding local minima
- Open problems





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# Open Problems

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Could pseudorandomness help answer this question?

# Open Problems

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Quantum advantage in sampling from such systems is known.



# Conclusion

- Finding ground states is classically and quantumly hard.
- Finding local minima in energy is classically hard but quantumly easy.

