# How machine learning is influencing protein engineering

## Jennifer Listgarten



# Talk outline

- 1. Intro: protein engineering + ML
- 2. ML-based design challenges
- 3. Conditioning for design

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#### Protein engineering: therapeutics, environment, etc.





gene therapy virus delivery (AAV)



#### gene editing (CRISPR/<u>Cas9</u>)



antibiotics & biofuel production (PKS)



plastic recycling (PETase) CO<sub>2</sub> biosequestration (RuBisCO)



#### Fundamental difficulty: design space is nearly infinite

- Also highly rugged design space  $\Rightarrow$  size scales as  $\sim 20^{L}$
- Discrete search space (no gradients)

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#### Successes in navigating this complex space

#### 1. Nature: via evolution over millions of years.



MSKGEELFTGVVPILV ELDGDVNGHKFSVSG EGEGDATYGKLTLKFIC TTGKLPVPWPTLVTTF SYGVQCFSRYPDHMK QHDFFKSAMPEGYVQ ERTIFFKDDGNYKTRA EVKFEGDTLVRIELKGI DFKEDGNILGHKLEYN YNSHNVYIMADKQKN GIKVNFKIRHNIEDGSV QLADYQQNTPIGDGPV LLPDNHYLSTQSALSK DPNEKRDHMVLLEFVT AAGITHGMDELYK

green fluorescent

protein folding itself

#### Successes in navigating this complex space

Nature: via evolution over millions of years.
 Various protein engineering strategies.

### Protein engineering strategies emerging

- i. <u>Computation ("data free")</u>: physics-based energy functions (e.g., Rosetta) to model protein structure, and protein binding. ~1997-2023'ish (almost R.I.P.) [2024 Nobel Prize]
- ii. <u>Wetlab</u>: directed evolution to iteratively directly design property of interest.
   ~1993-present [2018 Nobel Prize]
- iii. <u>Machine learning (augmented)</u>: generative models; function prediction; structure prediction, etc. ~2018(?)-present





## Did AlphaFold2/3 "solve" protein engineering?

NEWS 22 July 2021

#### DeepMind's AI predicts structures for a vast trove of proteins

AlphaFold neural network produced a 'totally transformative' database of more than 350,000 structures from *Homo sapiens* and 20 model organisms.

<u>Ewen Callaway</u>

*sequence→ structure* 



- No: don't typically know which protein structures we need.
- If did, would need: structure→sequence. (decent ML solutions exist).
- <u>Bottleneck challenge</u>: predict which proteinsjj have the function we desire—often extrapolatively.
- AlphaFold2 *was* a breakthrough, and is already useful.

#### A suite of ML protein engineering problems



#### A suite of ML protein engineering problems



- 1. Representation learning: un(self)supervised learning on largescale databases (millions of natural proteins, with *e.g.*, Transformers), or families.
  - This is (approx.) *density estimation,*  $p_{\theta}(sequence)$  through a bottleneck.



Processes whole sequence



[Bepler et al., Cell Systems 2021]

2. (Conditional) generative models for <u>sequences</u>.

This is (conditional) density estimation,  $p_{\theta}(\text{sequence}|C)$ , (e.g. auto-regressive Transformer, Potts/VAE).

- a) structure-conditioned, aka "inverse folding"
- b) "control tag" conditioned, protein family



3. (Conditional) generative models for <u>structure</u>.

- This is (conditional) density estimation,  $p_{\theta}$  (backbone|F), (e.g. "Diffusion" models latest trend).
- Only as good as function prediction, p(F|backbone).
- Paired with inverse-felding to get concomposition



4. ML to estimate function from sequence and/or function:

- e.g.,  $p_{\theta}(F | sequence)$ .
- Few or no labelled data.
- Leverage evolutionary information\*, or large unsupervised models on panproteomic database.



\*key part of AlphaFold2/3

5. Structure prediction: filling the gaps left by AlphaFold2

- Orphan proteins (with *no/few homologs*).
- Protein-protein/DNA/RNA/small molecule binding.
- Protein dynamics and conformational distributions.



## Unpacking some of the hype in AI+Science

Correspondence | Published: 25 January 2024

# The perpetual motion machine of AI-generated data and the distraction of ChatGPT as a 'scientist'

Jennifer Listgarten

*Nature Biotechnology* (2024) Cite this article



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- 3. Conditioning for design

#### Analogy: can we trust "banana" design?





catalytic efficiency

#### Naïve design yields abstract art ("pathology-finding").



Unfolded

catalytic efficiency

non-folding protein

- 1. Brookes *et al ICLM* 2019 (CbAS)
- 2. Fannjiang et al NeurIPS 2020 (autofocus)

1. A natural tension between leveraging the trained model for extrapolation, vs knowing that the model is not trustworthy in many areas of protein space (related to causality) [1,2].



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- 2. Also related to estimation of *epistemic* uncertainty (whereas we typically think mostly of *aleotoric*) uncertainty [3, 4].



- 1. Brookes *et al* ICLM 2019 (CbAS)
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- 3. Nisinoff et al ACS Synth Bio 2023 (fv-BNN)
- 4. Fannjiang et al PNAS 2023(conformal)



- 1. A natural tension between leveraging the trained model for extrapolation, vs knowing that the model is not trustworthy in many areas of protein space (related to causality) [1,2].
- 2. Also related to estimation of *epistemic* uncertainty (whereas we typically think mostly of *aleotoric*) uncertainty [3,4].
- 3. Suitable protein inductive biases when using neural networks [3,5,6,7].



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- 5. Aghazadeh et al Nat. Comm. 2021
- 6. Brookes et al PNAS 2022
- 7. Hsu et al Nat. Biotech. 2022



- A natural tension between leveraging the trained model for 1. extrapolation, vs knowing that the model is not trustworthy in many areas of p
- **Is Novelty Predictable?** 2. Also relate typically th **Clara Fannjiang and Jennifer Listgarten** 3. Suitable p
  - Cold Spring Harb Perspect Biol 2023
- Design of distributions instead of individual sequences [1,2,8].



- Brookes et al ICLM 2019 (CbAS)
- Fannjiang et al NeurIPS 2020 (autofocus)
- Nisinoff et al ACS Synth Bio 2023 (fv-BNN) 3.
- Fannjiang et al PNAS 2023(conformal) 4.
- Aghazadeh et al Nat. Comm. 2021 5.
- Brookes et al PNAS 2022 6.
- Hsu *et al* Nat. Biotech. 2022
- Zhu, Brookes et al Science Advances 2024 8.



we

[3,5,6,7].

#### Conditioning by Adaptive Sampling for Robust Design

E Intimately related to Estimation of Distribution Algorithms (EDAs)

- Modern day "evolutionary" algorithms where "mutations", etc. replaced by generative model [Baluja & Caruana '95]
  - CEM-rare event estimation [Rubinstein '99, '97]
- CMA-ES [Hansen *et al.* '03]
- Can be written as Expectation-Maximization
  [Brookes *et al.* 2019]
- Also more superficially to RL.

Brookes, Park & Listgarten ICML 2019



 $\theta$  )



#### David Brookes

#### Conditioning by Adaptive Sampling for Robust Design

EM-like algorithm emerges

Two technical challenges:

- 1.  $\theta$  is in the expectation distribution.
- 2. MC estimates for rare events.

$$\arg\max_{\boldsymbol{\theta}} \log \mathbb{E}_{p(\mathbf{x}|\boldsymbol{\theta})} \left[ P(S|\mathbf{x}) \right],$$

$$\boldsymbol{\theta} \geq$$

$$\arg\max_{\boldsymbol{\theta}} \mathbb{E}_{p(\mathbf{x}|\boldsymbol{\theta}^{(t)})} \left[ P(S|\mathbf{x}) \log p(\mathbf{x}|\boldsymbol{\theta}) \right].$$

$$\boldsymbol{\theta} \quad \text{Anneal and MC}$$

$$\boldsymbol{\theta}^{(t+1)} = \arg\max_{\boldsymbol{\theta}} \sum_{i=1}^{M} P(S^{(t)}|\mathbf{x}_{i}^{(t)}) \log p(\mathbf{x}_{i}^{(t)}|\boldsymbol{\theta})$$

$$\underset{\text{weights for MLE}}{\text{weights}}$$

Brookes, Park & Listgarten ICML 2019

#### Conditioning by Adaptive Sampling for Robust Design



Conditioning by Adaptive Sampling for Robust Design (CbAS)

How to handle non-trustworthy predictive model in design problems

If have access data  $\{x_i, y_i\}$  used to trair oracle, or prior "soft trust" information,

• then have prior knowledge about where p(y|x) is likely to be accurate: near  $\{x_i\}$ , so estimate  $p(x_i)$  from those data.



Brookes, Park & Listgarten ICML 2019

Conditioning by Adaptive Sampling for Robust Design (CbAS)

How to handle non-trustworthy predictive model in design problems

2. If don't have access to such data,

• then leverage implicit domain knowledge, such as taking all proteins known to fold, to estimate  $p(x_i)$ . NALKELLKSANVIALIDMMEVPAVQLQEIRDK KTLKGLIKSKPVVAIVDMMDVPAPQLQEIRDK EELANLIKSYPVIALVDVSSMPAYPLSQMRRI EELAKLIKSYPVIALVDVSSMPAYPLSQMRRI EELANLIKSYPVVALVDVSSMPAYPLSQMRRI



#### Brookes, Park & Listgarten ICML 2019



#### Autofocused oracles for model-based design

- Previously, <u>predictive model is fixed</u> because we are not acquiring any new data.
- Should we consider <u>changing the oracle as the</u> <u>optimization progresses</u>, even in a fixed data setting?



#### $\geq$ Related to accounting for domain shift (*e.g.*, IWERM).

Fannjiang & Listgarten NeurIPS 2020

#### Auto-focused oracles for model-based design

Show how <u>updating the predictive model for function</u> can help design, even when <u>not collecting new data</u> to train in.



ML-based design has "domain shift" as explore new regions of design space.

 $\beta^{(t)} = \underset{\beta \in B}{\operatorname{arg\,max}} \frac{1}{n} \sum_{i=1}^{n} \frac{p_{\theta^{(t)}}(\mathbf{x}_i)}{p_0(\mathbf{x}_i)} \log p_{\beta}(y_i \mid \mathbf{x}_i).$ 



Clara Fannjiang

Fannjiang & Listgarten, NeurIPS 2020

Augmenting Neural Networks with Priors on Functional Values

Coherent blending of <u>function value prior information</u>, such as biophysical models, to Bayesian Neural Networks (BNN).

Easy to implement, zero added cost.



$$\mu(\mathbf{x}) = \frac{\sigma_{_{\mathrm{BNN}}}^2(\mathbf{x})^{-1} \,\mu_{_{\mathrm{BNN}}}(\mathbf{x}) + \sigma_{_{\mathrm{fv}}}^2(\mathbf{x})^{-1} \mu_{_{\mathrm{fv}}}(\mathbf{x})}{\sigma_{_{\mathrm{BNN}}}^2(\mathbf{x})^{-1} + \sigma_{_{\mathrm{fv}}}^2(\mathbf{x})^{-1}},$$
$$\sigma^2(\mathbf{x}) = \left(\sigma_{_{\mathrm{BNN}}}^2(\mathbf{x})^{-1} + \sigma_{_{\mathrm{fv}}}^2(\mathbf{x})^{-1}\right)^{-1}.$$

Method	Log-Likelihood
NN	$-8.33\pm0.66$
BNN	$-5.73\pm0.18$
STACKING: BNN+NON-FUNCTIONAL PRIOR	$-8.63\pm0.33$
STACKING: BNN+STABILITY PRIOR	$-8.61\pm0.34$
<i>fv-BNN</i> (NON-FUNCTIONAL PRIOR)	$-1.82\pm0.00$
<i>fv-BNN</i> (STABILITY PRIOR)	$-1.53\pm0.00$





Hunter Nisonoff

Nisonoff, Wang, Listgarten, ACS Synth Bio 2023

# Confidence sets for model-based design, with generalized *conformal prediction*



Design necessitates moving to regions of input space *far from training data*, where we trust the model's predictions the least.

[Conformal prediction for the design problem, Clara Fannjiang, et al PNAS 2022]



Standard *conformal prediction* gives finite sample guaranteed valid confidence sets (in expectation\*).

• Under assumption of exchangeability of training and test data, obtain confidence sets on the labels,

$$\mathbb{P}\left(Y_{\text{test}} \in C(X_{\text{test}})\right) \ge 1 - \alpha_{\text{test}}$$



- Generalizations for different train and test distributions, but requires independence of train vs test
- Clara: generalize further to "design dependence" (feedback covariate shift), allowing dependence.

#### Sketch of *conformal prediction* for design dependence

Intuition: include all candidate labels, y, such that  $(x_{test}, y)$ , looks sufficiently similar to the weighted training data as quantified by a user-crafted score,  $S_i$ .

$$\begin{split} S_{i}(X_{\text{test}},y) &= |Y_{i} - \mu_{y}(X_{i})| \\ \mu_{y} : \text{regression model trained on training + candidate test data points}} & \text{scores of } n+1 \\ \text{training + candidate test data points} \\ C_{\alpha}(X_{\text{test}}) &= \begin{cases} \text{score of candidate test data point} \\ y \in \mathbb{R} : S_{n+1}(X_{\text{test}},y) \leq \text{QUANTILE}_{1-\alpha} \left( \sum_{i=1}^{n+1} w_{i}^{y}(X_{\text{test}}) \delta_{S_{i}(X_{\text{test}},y)} \right) \right) \\ & w_{i}^{y}(X_{\text{test}}) \propto v(X_{i}; \mathbb{Z}_{-i} \cup \{(X_{\text{test}},y)\}), \ i = 1, \dots, n, \\ w_{n+1}^{y}(X_{\text{test}}) \propto v(X_{\text{test}}; \mathbb{Z}_{1:n}), \\ v(X; \mathbb{D}) &= \frac{\tilde{p}_{X; \mathbb{D}}(X)}{p_{X}(X)} & \text{distribution of designed inputs} \\ & \text{induced by training model on } \mathbb{D} \end{split}$$

#### Can guide hyperparameter choice (e.g. $\lambda$ ) of design algorithm

*e.g.*, use confidence interval width to assess trade-off between entropy/diversity and expected predicted fitness



 $\tilde{p}_{X;Z_{1:n}}(X_{\text{test}}) \propto \exp(\lambda \cdot \mu_{Z_{1:n}}(X_{\text{test}}))$ 

Sparse Epistatic Networks





- Inject suitable inductive biases for protein sequence functions.
- •*i.e.* sparsity in "epistatic" terms (aka Walsh-Hadamard basis of features).



Aghazadeh\*, Nisonoff\* et al, Nat Comm 2020

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## How to condition for design?

- Suppose I have a generative model for protein sequences, p(x).
- But I want to generate from a conditional generative model, p(x|y), conditioned on structure.
- And I have access to either  $\{(x_i, y_i)\}_i$  or a predictive model p(y|x).

- p(x) small molecule library (e.g. Enamine)
- p(x|y), conditioned on desired chemical property (e.g, binding affinity)
- p(y|x) predict binding affinity from molecule

#### How to condition for design?

#### Three ways to do this:

- 1. Start from scratch and directly train a conditional generative model.
- 2. Start with unconditional model, and "update it" using calls to the predictive model (e.g. CbAS [1-3], DPO [4]).
- 3. Freeze the unconditional model, and "guide" it at generation time (*e.g.*, diffusion models) [5-7].
  - Brookes, Park, Listgarten *ICLM* 2019
     Fannjiang & Listgarten *NeurIPS* 2020
     Brookes, Busia, *et al. GECCO* 2020
- 4. Rafailov et al. NeurIPS 2023
- 5. Sohl-Dickstein *et al. ICML* 2015
- 6. Dhariwal & Nichol NeurIPS 2021
- 7. Song *et al. ICLR* 2021

#### You are using Bayes rule!

For any modeling strategy, unless we bake in conditioning, we are using Bayes rule (even if we don't know it\*).

$$p(x \mid y) = rac{p(y \mid x)p(x)}{p(y)}$$

\*possibly approximately, such as in DPO, which could view as contrastive-based approximation to CbAS.

#### The beauty of classifier-guided diffusion

- Recall: diffusion/score models estimate  $\nabla_x p_{\theta}(x)$ .
- By pushing gradient through Bayes rule, we get rid of the normalizing constant

$$\nabla_{\mathbf{x}} \log p_{t}(\mathbf{x}|\mathbf{y}) = \nabla_{\mathbf{x}} \log \frac{p_{t}(\mathbf{x})p_{t}(\mathbf{y}|\mathbf{x})}{p_{t}(\mathbf{y})}$$
  
=  $\nabla_{\mathbf{x}} \log p_{t}(\mathbf{x}) + \nabla_{\mathbf{x}} \log p_{t}(\mathbf{y}|\mathbf{x}) - \nabla_{\mathbf{x}} \log p_{t}(\mathbf{y})$   
=  $\nabla_{\mathbf{x}} \log p_{t}(\mathbf{x}) + \nabla_{\mathbf{x}} \log p_{t}(\mathbf{y}|\mathbf{x}).$   
Unconditional model Guidance for conditioning

J. Ingraham et al. Nature 623.7989 (2023): 1070-1078.

#### What about diffusion on discrete state spaces?

MYTWTGALITPCAAEESKLPINPLSNSLLRHH YDTRCFDSTVTESDIRVEESIYQCCDLAPEEA LTERLYIGGPLTNSKGQNCGYRRCRASGVLTT SCGNTLTCYLKATAACRAAKLQDCTMLVNGDD LVVICESAGTQEDAAALRAFTEAMTRYSAPPG



Challenge: for sequences, graphs, text, etc.,  $\nabla_x p_\theta(x)$  not useful. Consequence I: Standard diffusion/score doesn't work. Consequence II: Cannot use guidance,  $\nabla_x p_\phi(y|x)$ .

Some mitigating strategies:

- a. Relax x into real-valued space and snap back.
- b. Diffusion(flow) on multinomial space [Stark et al. arXiv 2024].
- c. Continuous-time Markov processes [Campbell *et al.* 2022, 2024]

#### Unlocking Guidance for Discrete State-Space Diffusion and Flow Models

Hunter Nisonoff







CTMC enable not only diffusion, but also guidance, on both diffusion and flow models.

Nisonoff\*, Xiong\*, Allenspach\*, Listgarten, arXiv 2024





Stephan Allenspach

#### Unlocking Guidance for Discrete State-Space Diffusion and Flow Models





CTMC enable not only diffusion, but also guidance, on both diffusion and



Unlocking Guidance for Discrete State-Space Diffusion and Flow Models

Continuous<br/>state-spaceunconditional<br/> $\nabla_{x_t} \log p^{(\gamma)}(x_t|y) = \nabla_{x_t} \log p(x_t) + \gamma \nabla_{x_t} \log p(y|x_t)$ J. Sohl-Dickstein *et al.*, ICML (2015).<br/>P. Dhariwal\* and A. Nichol\*, NeurIPS (2021).<br/>Y. Song *et al.*, ICLR (2021).

**Discrete**  
**state-space**  
**unconditional**  

$$\log R_t^{(\gamma)}(x, \tilde{x}|y) = \log \frac{R_t(x, \tilde{x})}{R_t(x, \tilde{x})} + \gamma \left(\log \frac{p(y|\tilde{x}, t)}{p(y|\tilde{x}, t)} - \log \frac{p(y|x, t)}{p(y|x, t)}\right)$$

Nisonoff\*, Xiong\*, Allenspach\*, Listgarten, arXiv2024

Application in the sciences



Small molecules



DNA sequences (enhancers)



#### Amino acids (proteins)

stability-guided inverse folding

Nisonoff\*, Xiong\*, Allenspach\*, Listgarten, arXiv2024

# The real deal: testing+developing our ideas with wetlab collaborators

- David Schaffer (UC Berkeley; AAV for gene therapy)
- David Savage (UC Berkeley; CRISPR-Cas9 system)
- Phil Romero (U Wisconsin; enzymes for plastic degradation)
- Secure and Robust Biosystems Design Group (LL National Labs, Columbia University, University of Maryland, University of Minnesota)
- Andrew Yang (UCSF; blood-brain barrier permeable proteins)



## Parting thoughts: ML + protein engineering

- 1. Exciting times!
- 2. Are we close to ChatGPT4 for protein engineering? No.
- 3. AF2/3 super important, but doesn't solve design task.
- 4. Predicting function (generally) will remain difficult problem for a long time.
- 5. Generative models cool and powerful, but don't solve the need to understand/extrapolate on designed properties from predictive models.
- 6. Far less data than in text, vision—will need to be much more clever for the answers.

### The group of people who make it happen



Stephan Allenspach, PhD (postdoc)



h, James Bowden (PhD student)



David Brookes, PhD (now at Dyno)



Akosua Busia, PhD (now at Dyno)



Clara Fannjiang, PhD (now at Genentech)



Chloe Hsu, PhD (now at startup)



Hanlun Jiang, PhD (postdoc)



Hunter Nisonoff (PhD student)



Come join us!

- PhD apps via EECS, BioE, CCB programs.
- Postdocs contact me.

Junhao (Bear) Xiong (PhD student)