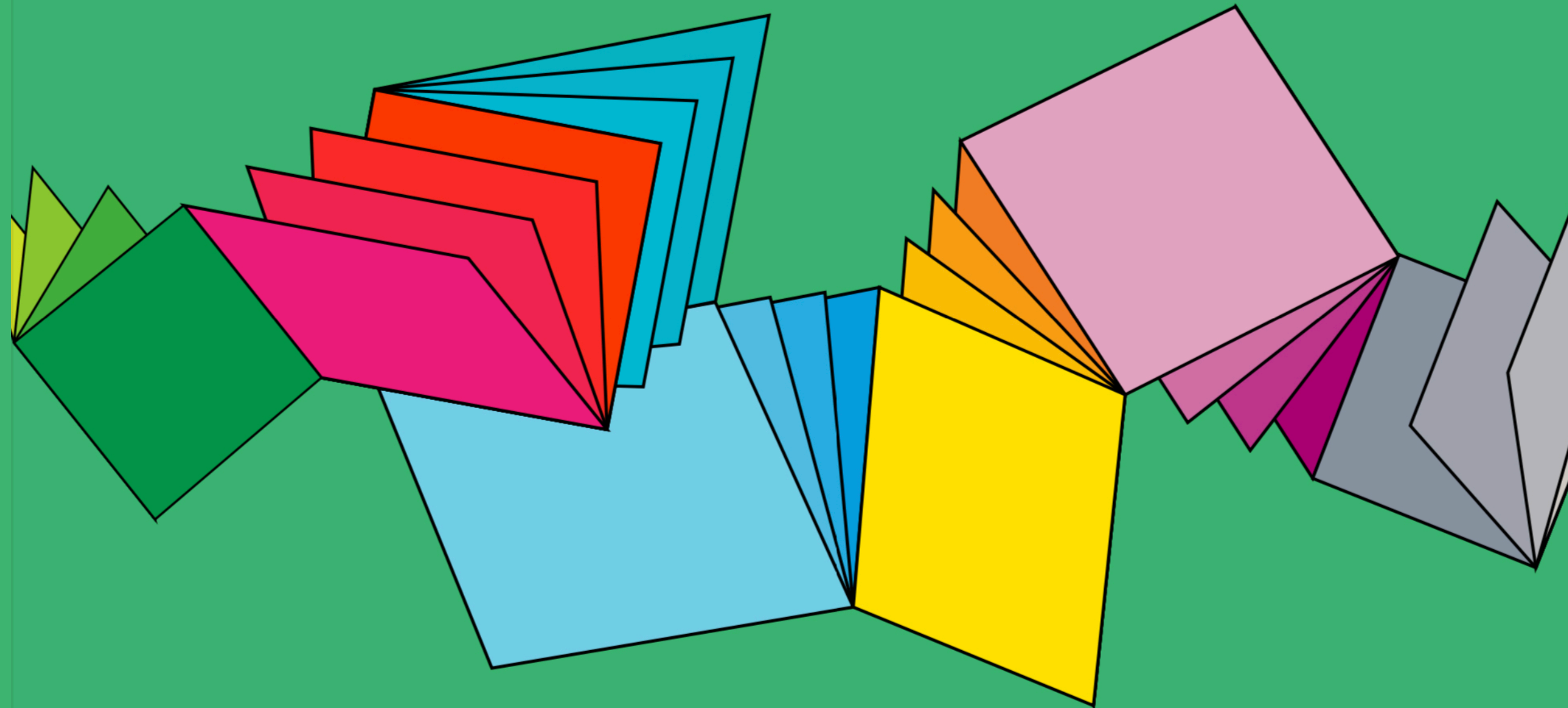


# Recent Advances in Locally Testable Codes

$c^3$ -LTC constructions



Simons Bootcamp

*Prahladh Harsha*

# $c^3$ Locally Testable Codes

Theorem [Dinur-Evra-Livne-Lubotzky-Mozes and Pantaleev-Kalachev 2022]

For every  $0 < r < 1$  there exist  $\delta > 0$  and  $q \in \mathbb{N}$  and an explicit construction of an infinite family of error-correcting codes  $\{C_n\}_n$  with rate  $\geq r$ , distance  $\geq \delta$  and locally testable with  $q$  queries.

$c^3$ -LTC : Constant query, Constant fractional distance and constant rate

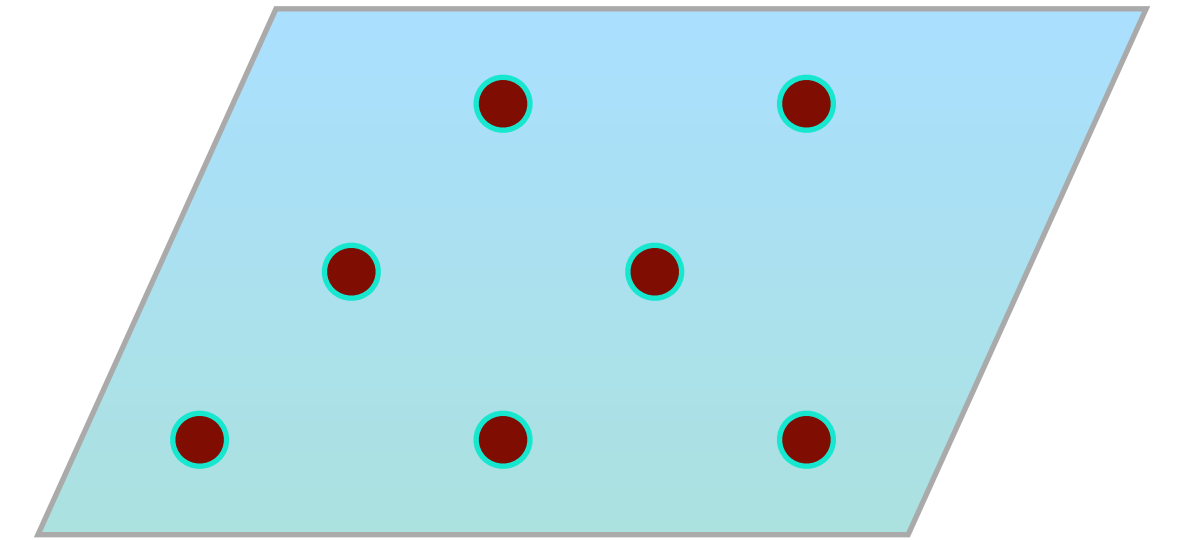
# Talk Outline

1. Locally Testable Codes - quick recap
2. Existing Constructions (Hadamard, Reed-Muller, ...)
3. Attempts at  $c^3$ -LTC construction
4. DELLM construction
  - Square Complex: Left-right Cayley complex
  - Code on the square complex
  - Proof Sketch of Testability

# Locally Testable Codes

A linear error-correcting code is a linear subspace  $C \subseteq \{0,1\}^n$

$$\text{Rate} = \frac{\dim(C)}{n}, \quad \text{Distance} = \min_{w \in C \setminus \{0\}} \frac{|\{i : w_i \neq 0\}|}{n}$$



A code  $C$  is **locally testable with  $q$  queries** if there is a tester  $T$  that has query access to a given word  $w$ , reads  $q$  randomized bits from  $w$  and accepts / rejects, such that

- If  $w \in C$  then  $\Pr[T \text{ accepts}] = 1$
- If  $w \notin C$  then  $\Pr[T \text{ rejects}] \geq \text{const} \cdot \text{dist}(w, C)$

$q$  = the **locality** of the tester

# Historical background

- LTCs were studied implicitly in early PCP works [BlumLubyRubinfeld 1990, BabaiFortnowLund 1990, ..]
- Formally defined in works on low degree tests [Friedl-Sudan, Rubinfeld-Sudan] ~ 1995
- Spielman [1996 thesis]: useful in practice- can check “on the fly” if many errors occurred, and if so request re-transmission
- A systematic study initiated by Goldreich and Sudan in 2002.  
“what is the highest possible rate of an LTC?”

# Historical background

- Sequence of works (BenSasson-Sudan-Vadhan-Wigderson 2003, BenSasson-Goldreich-H.-Sudan-Vadhan 2004, Ben-Sasson-Sudan 2005, Dinur 2005) achieved rate =  $1/\text{polylog}$  & constant locality+distance
- “ $c^3$  LTCs” (constant rate, constant distance, constant locality) - experts doubt existence. Restricted lower bounds are shown [BenSasson-H-Rashkhodnikova 2003, Babai-Shpilka-Stefankovic 2005, BenSasson-Guruswami-Kaufman-Sudan-Videman 2010, Dinur-Kaufman2011]
- Fix rate to constant, get locality  $(\log n)^{\log \log n}$ : [Kopparty-Meir-RonZewi-Saraf 2017, Gopi-Kopparty-Oliveira-RonZewi-Saraf 2018] (forget about PCPs, inject expanders)
- Affine invariance [Kaufman-Sudan 2007,...]: what makes properties testable?
- High dimensional expansion: local to global features [Garland 1973, Kaufman-Lubotzky 2013, Kaufman-Kazhdan-Lubotzky 2014, Evra-Kaufman 2016, Oppenheim 2017, Dinur-Kaufman 2017, Dinur-H.-Kaufman-LivniNavon-TaShma 2019, Dikstein-Dinur-H.-Kaufman-RonZewi 2019, Anari-Liu-OveisGharan-Vinzant 2019]



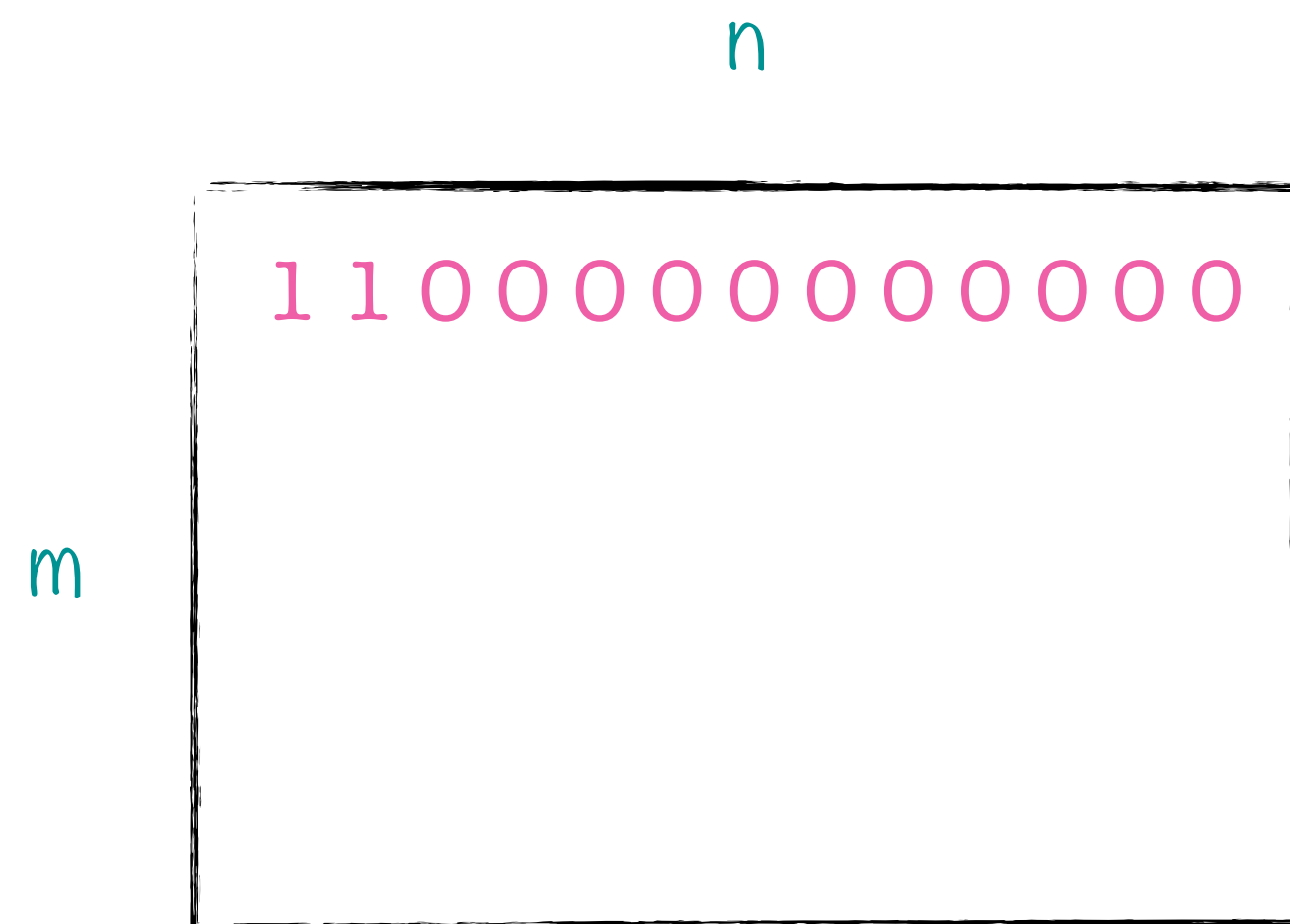
We even had a summer cluster at the Simons Institute in 2019



# HDX & Codes

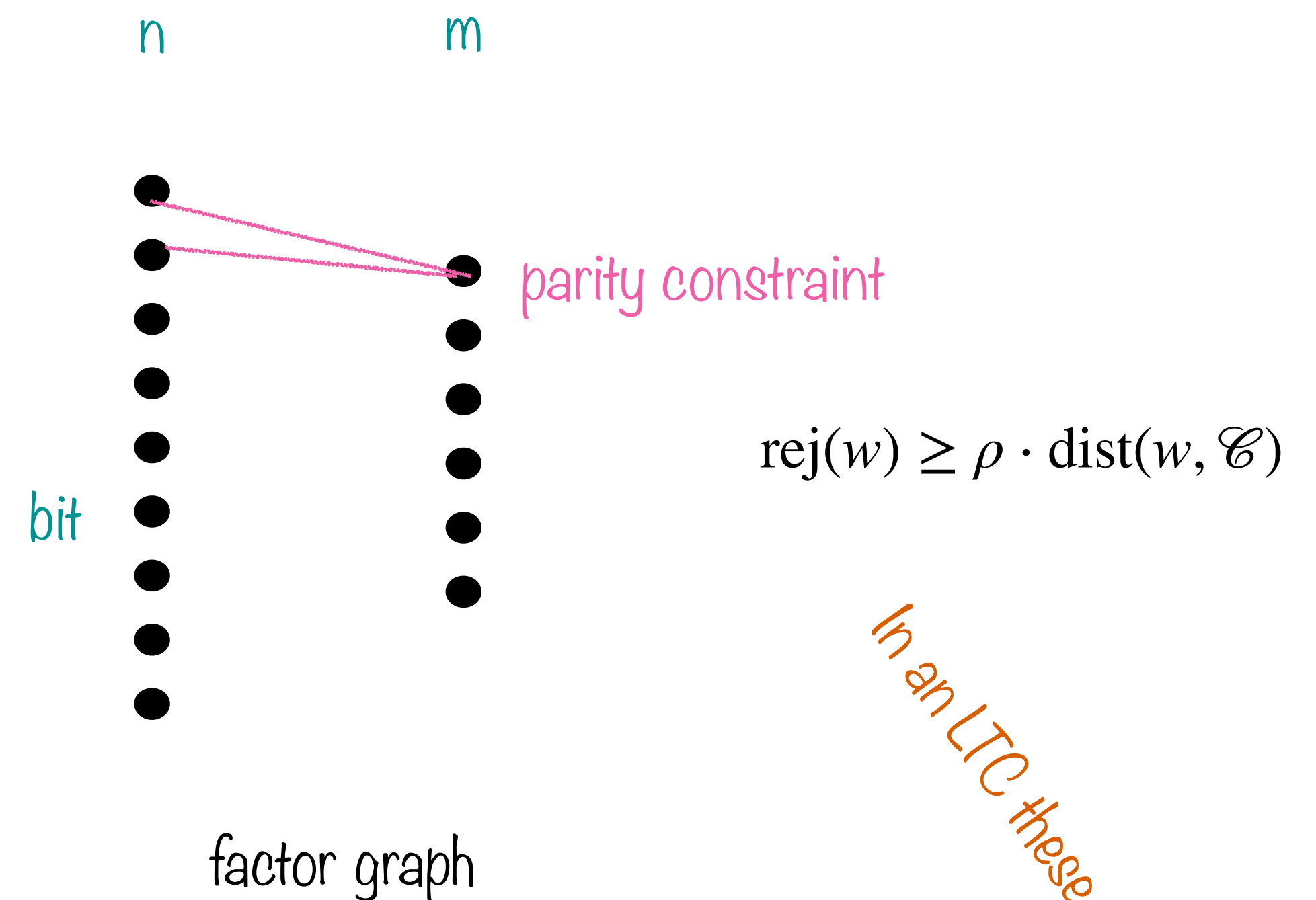
# Low density parity check (LDPC) codes [Gallager '1963]

A (linear) locally testable code is necessarily an LDPC



$H$  - parity check matrix

$$\mathcal{C} = \text{Ker}(H) = \{w \in \{0,1\}^n : Hw = 0\}$$



factor graph

$$\mathcal{C} = \{w \in \{0,1\}^n : \forall v \in [m], \sum_{i \sim v} w_i = 0 \pmod{2}\}$$

Two measures for a word  $w \in \{0,1\}^n$

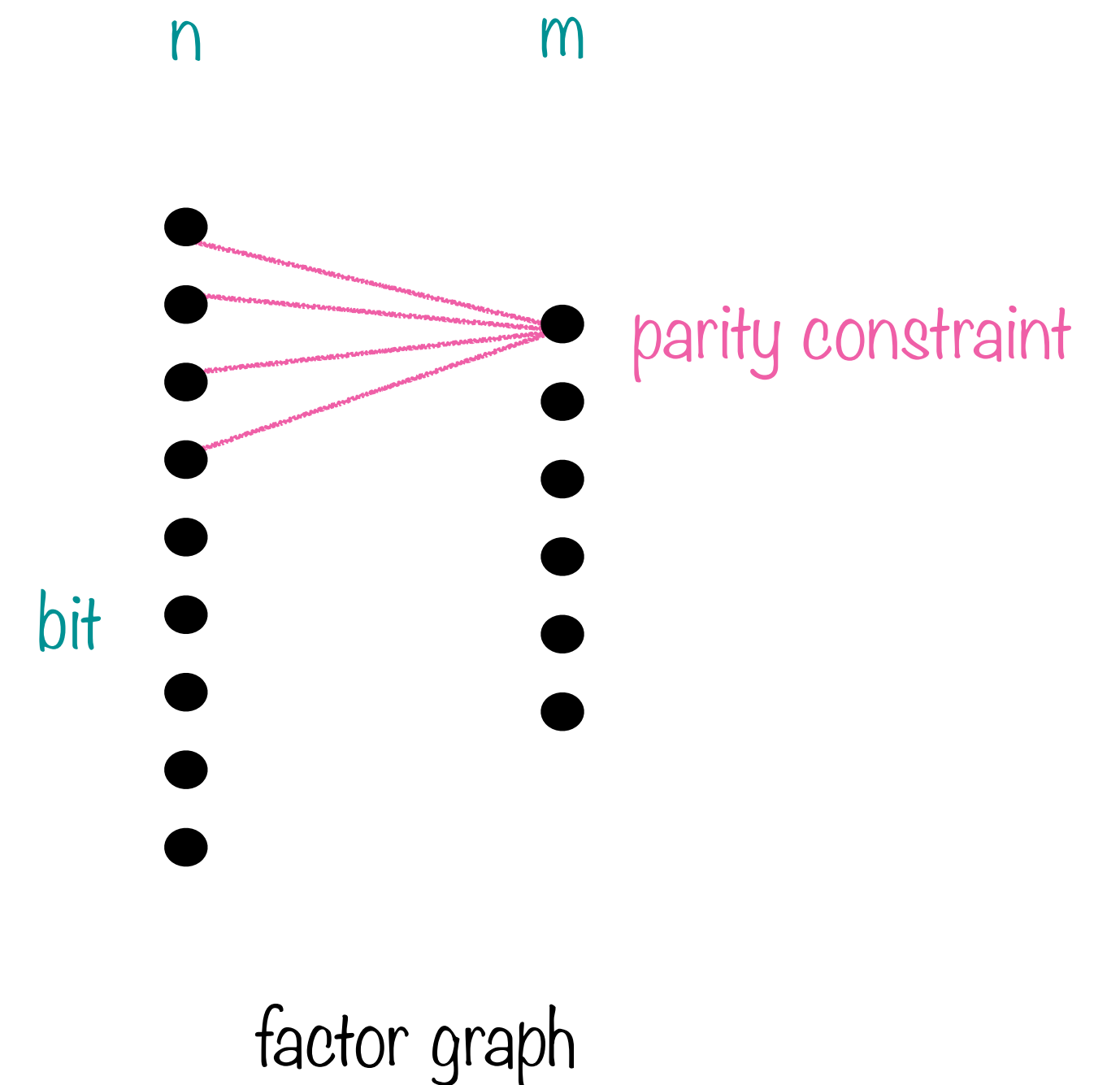
1.  $\text{dist}(w, \mathcal{C})$  - distance to closest codeword
2.  $\text{rej}(w)$  - fraction of rejecting constraints

*In an LTC these measures are related!*



# Expander Codes

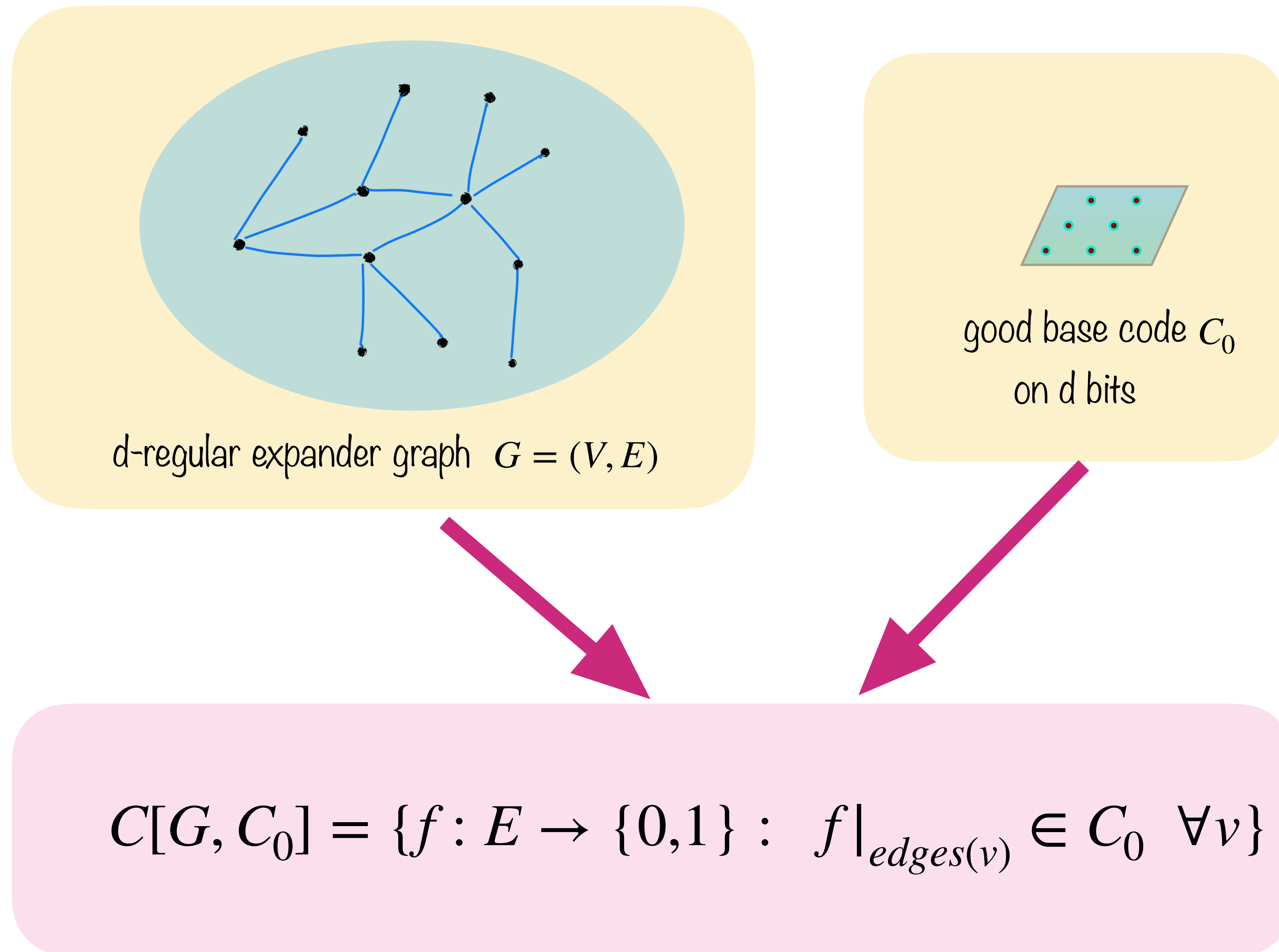
- Gallager (1963): A random LDPC code has good rate & distance
- Tanner (1981): Place a small base-code  $C_0 \subseteq \{0,1\}^d$  on each constraint node. Consider various bipartite graph structures
- Sipser & Spielman (1996): Explicit expander-codes: Tanner codes using edges of an (explicit) expander



$$\mathcal{C} = \{w \in \{0,1\}^n : \forall v \in [m], \sum_{i \sim v} w_i = 0 \pmod{2}\}$$

$$\mathcal{C} = \{w \in \{0,1\}^n : \forall v \in [m], w|_{\text{nbrs}(v)} \in \mathcal{C}_0\}$$

# Expander Codes [Sipser & Spielman 1996]

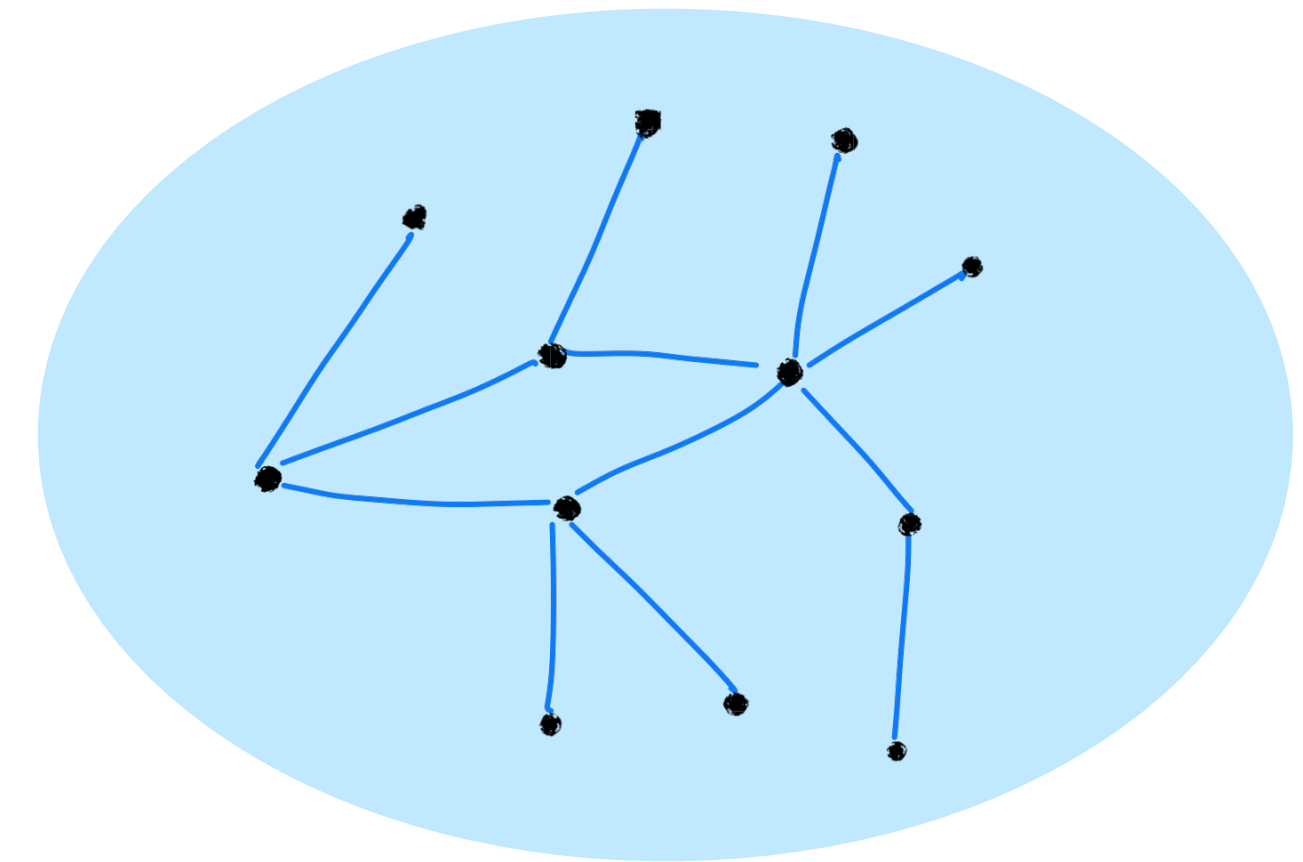


# Expander Codes [Sipser & Spielman 1996]

Given

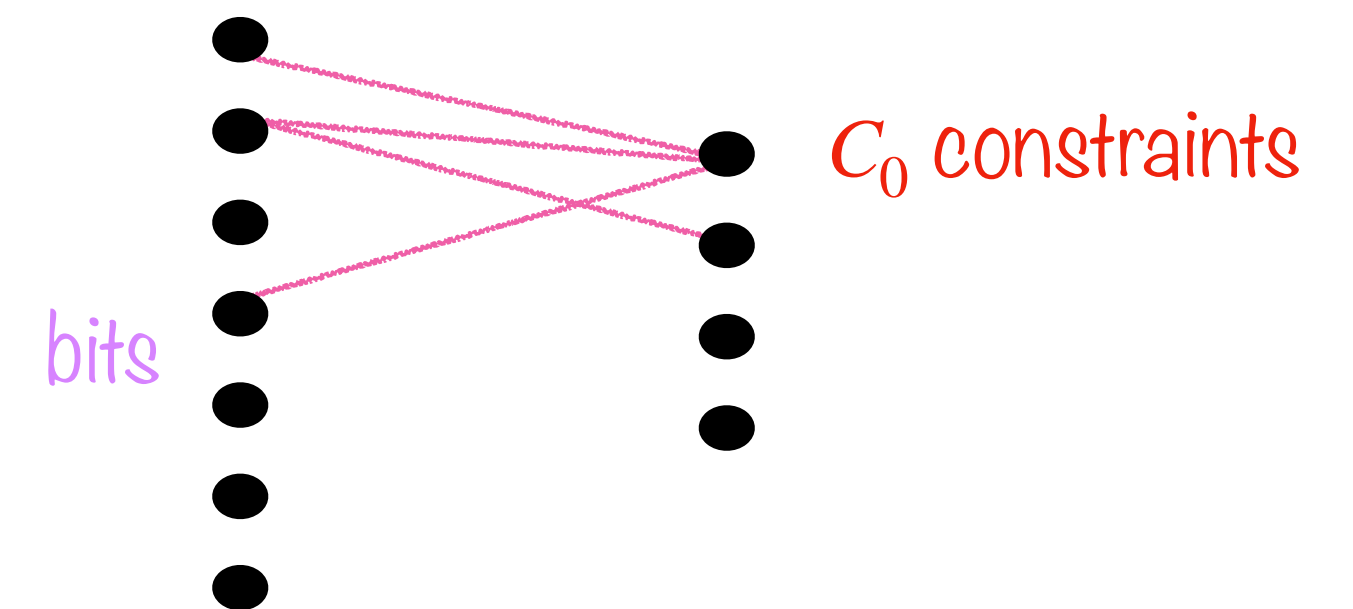
1. A  $d$ -regular  $\lambda$ -expander graph  $G$  on  $n$  vertices
2. A base code  $C_0 \subseteq \{0,1\}^d$  with rate  $r_0$ , distance  $\delta_0$

Let  $C[G, C_0] = \{f : E \rightarrow \{0,1\} : \forall v, f|_{edges(v)} \in C_0\}$



Edges

Vertices

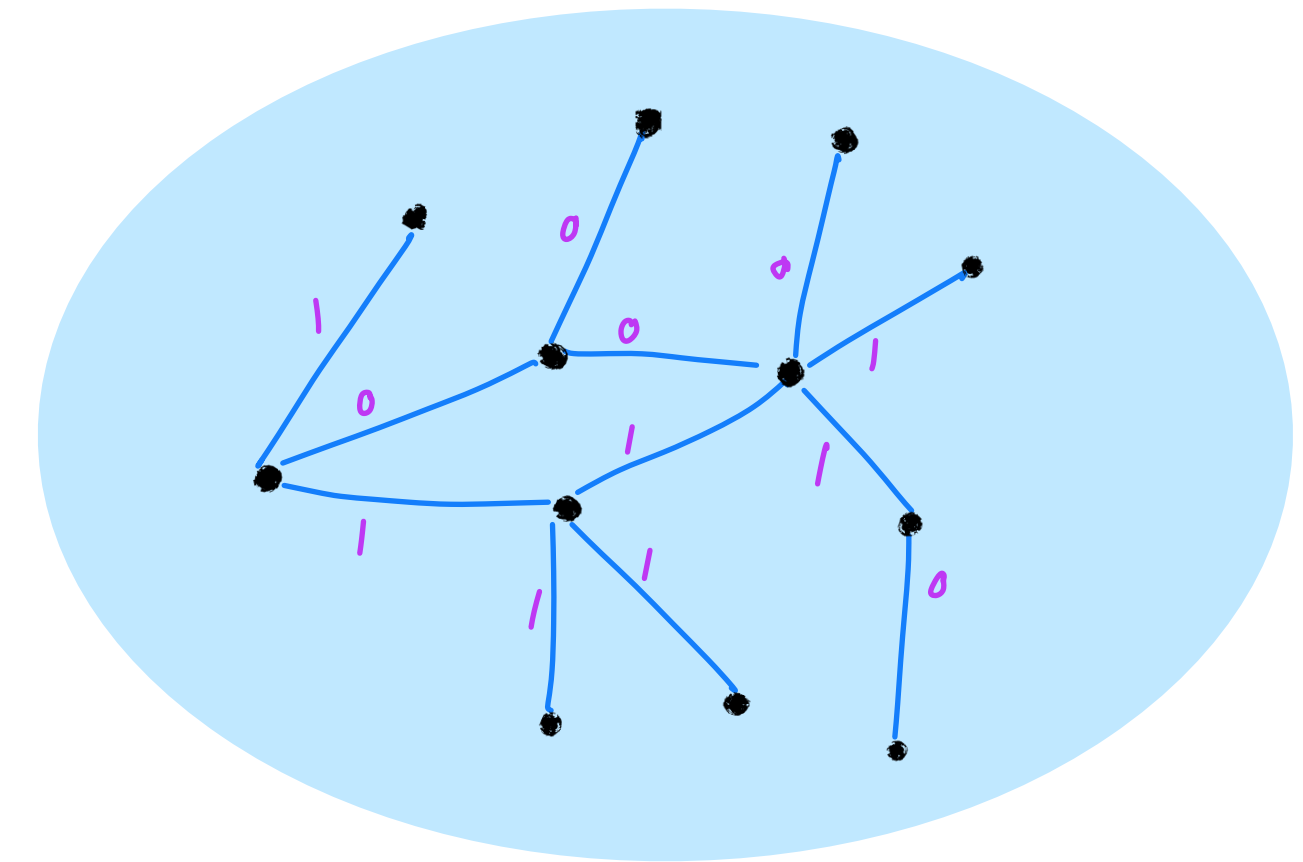


# Expander Codes [Sipser & Spielman 1996]

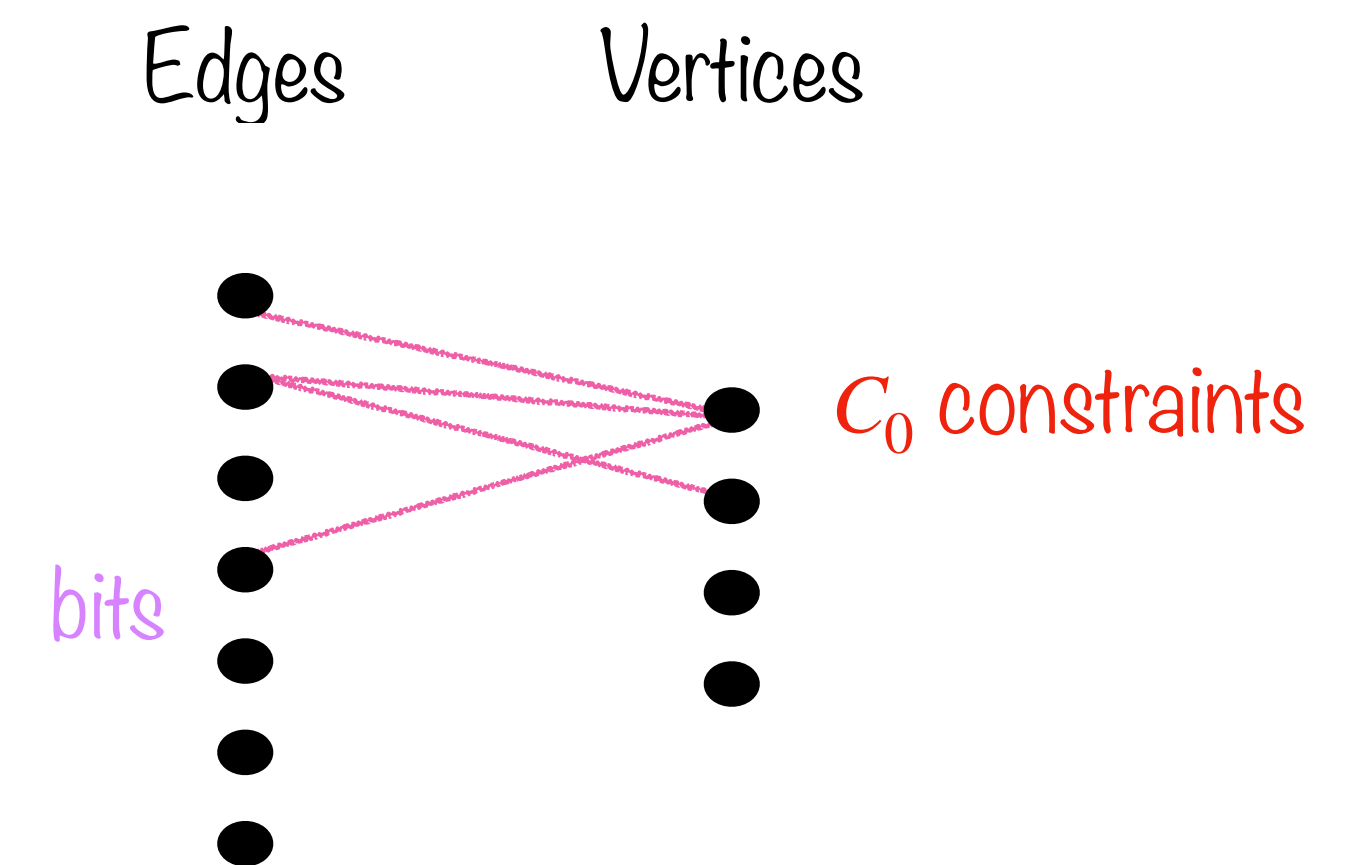
Given

1. A  $d$ -regular  $\lambda$ -expander graph  $G$  on  $n$  vertices
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Let  $C[G, C_0] = \{f : E \rightarrow \{0,1\} : \forall v, f|_{edges(v)} \in C_0\}$



- $\text{Dim}(C) \geq \#bits - \#constraints = |E| - |V| \cdot (1 - r_0)d = |E|(2r_0 - 1)$  rate positive if  $r_0 > 1/2$
- Distance  $\geq \delta_0(\delta_0 - \lambda)$
- Linear time decoding !
- Locally testable?

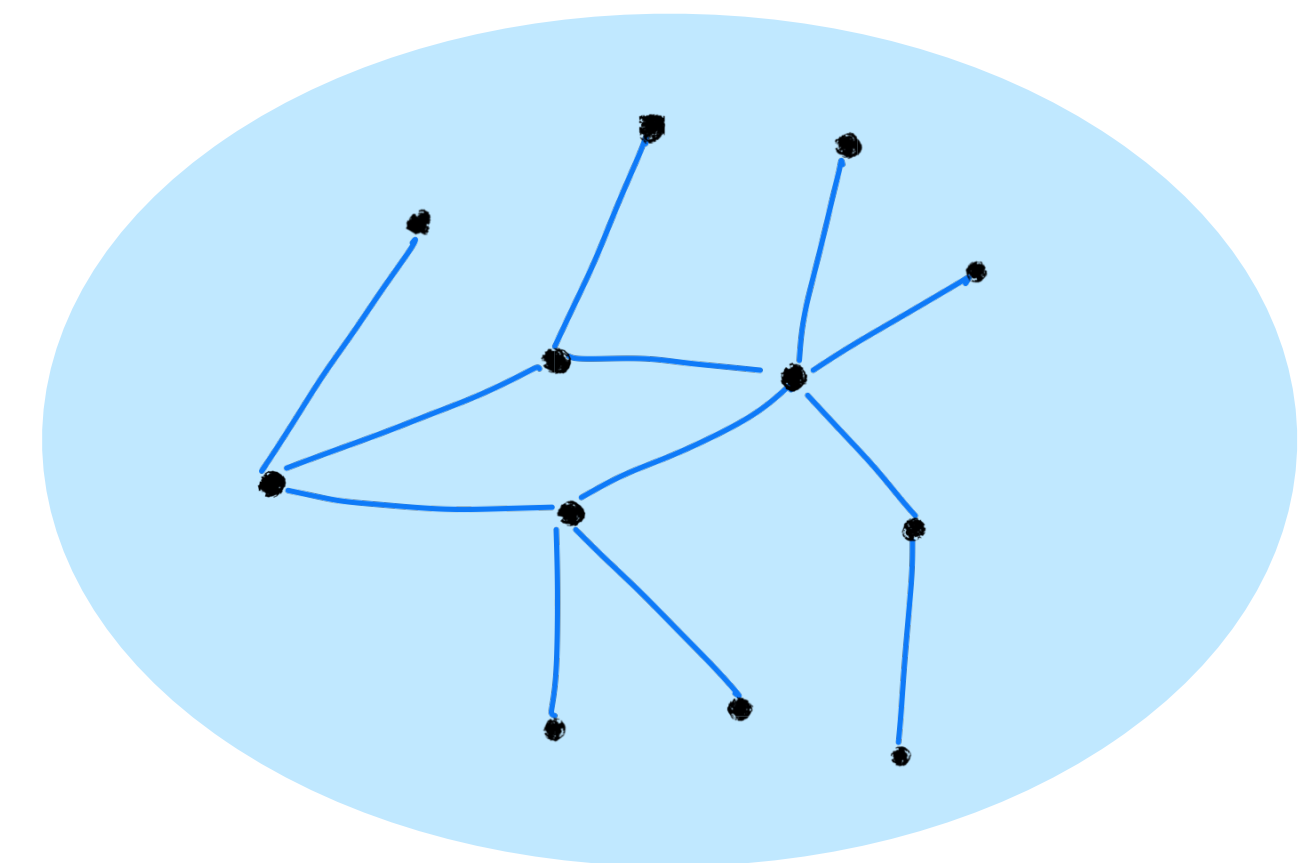


# Expander Codes [BenSasson-H.-Raskhodnikova '03]

are typically not locally testable

Expander codes often have a word  $w \notin C$  that is both

- Far from the code:  $dist(f, C) > const$
- Rejected by only 1 constraint  $\rho(f) = 1/|V|$



Proof:

Choose  $v_0$  and remove one constraint from the base-code of  $v_0$

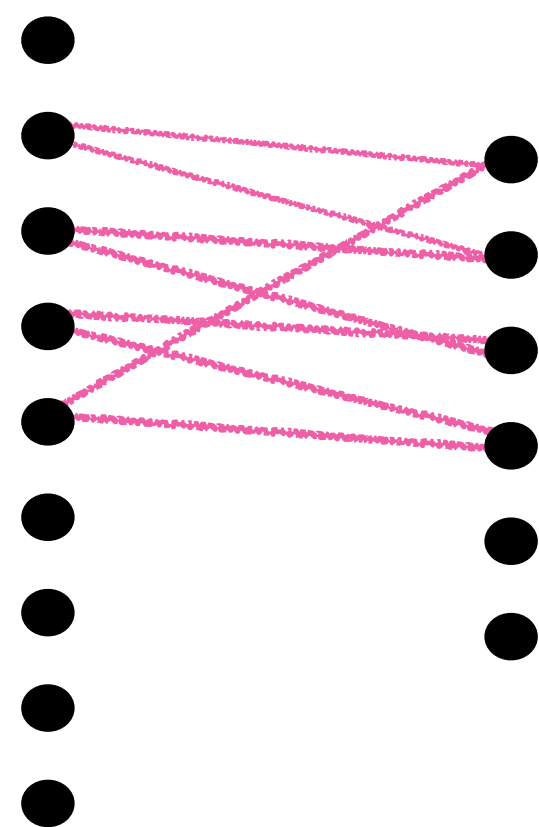
New codewords are far from old code, but **violate only one constraint**



# Other LTCs

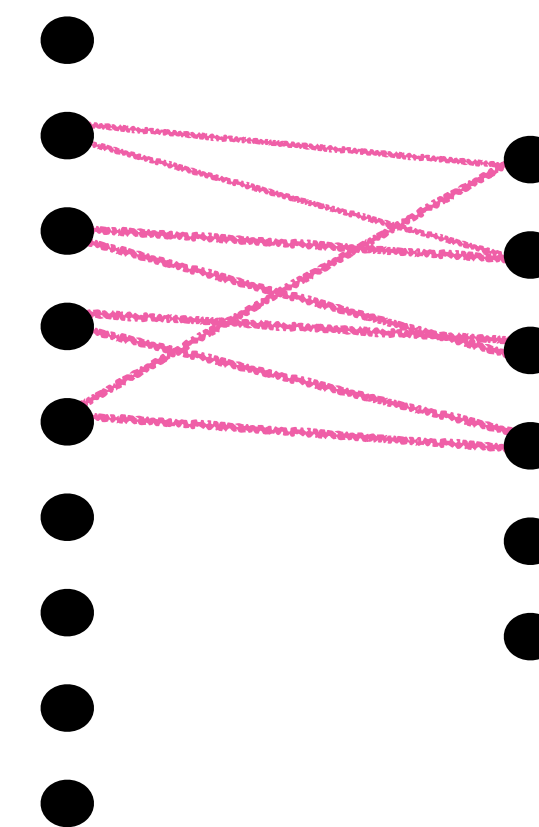
- Hadamard Codes [Blum-Luby-Rubinfeld 1990,...]
- Reed-Muller Codes
  - Large fields [Rubinfeld-Sudan 1992,...]
  - Small fields [Alon-Kaufman-Krivilevich-Litsyn-Ron 2003]

# Hadamard Code as Tanner Code



bits  $C_0$  constraints

factor graph

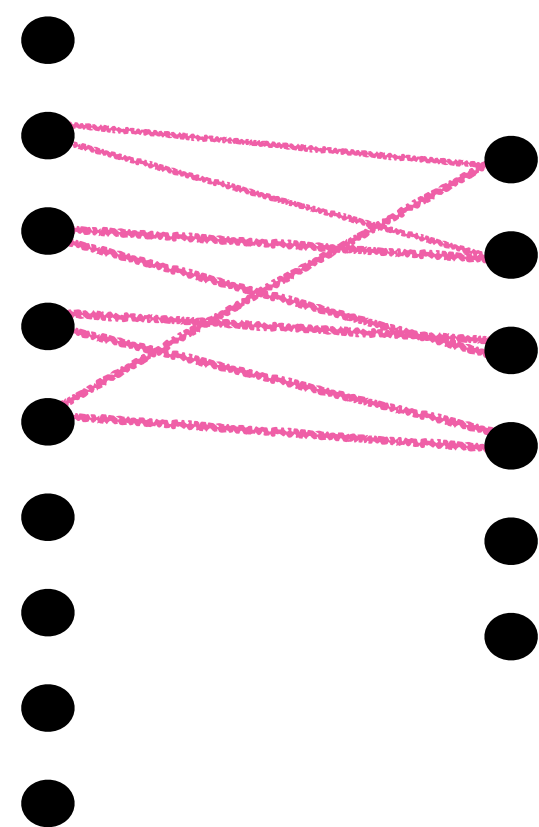


$\{0,1\}^n$   
Codeword bits

Triples  $(x, y, x + y)$   
Constraints

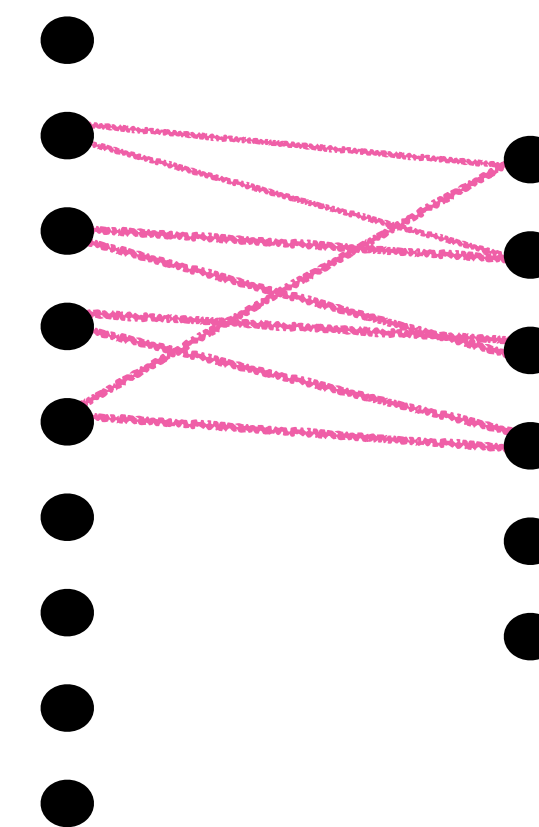
factor graph

# Reed-Muller Code as Tanner Code



bits  $C_0$  constraints

factor graph



$\mathbb{F}^m$   
Codeword bits

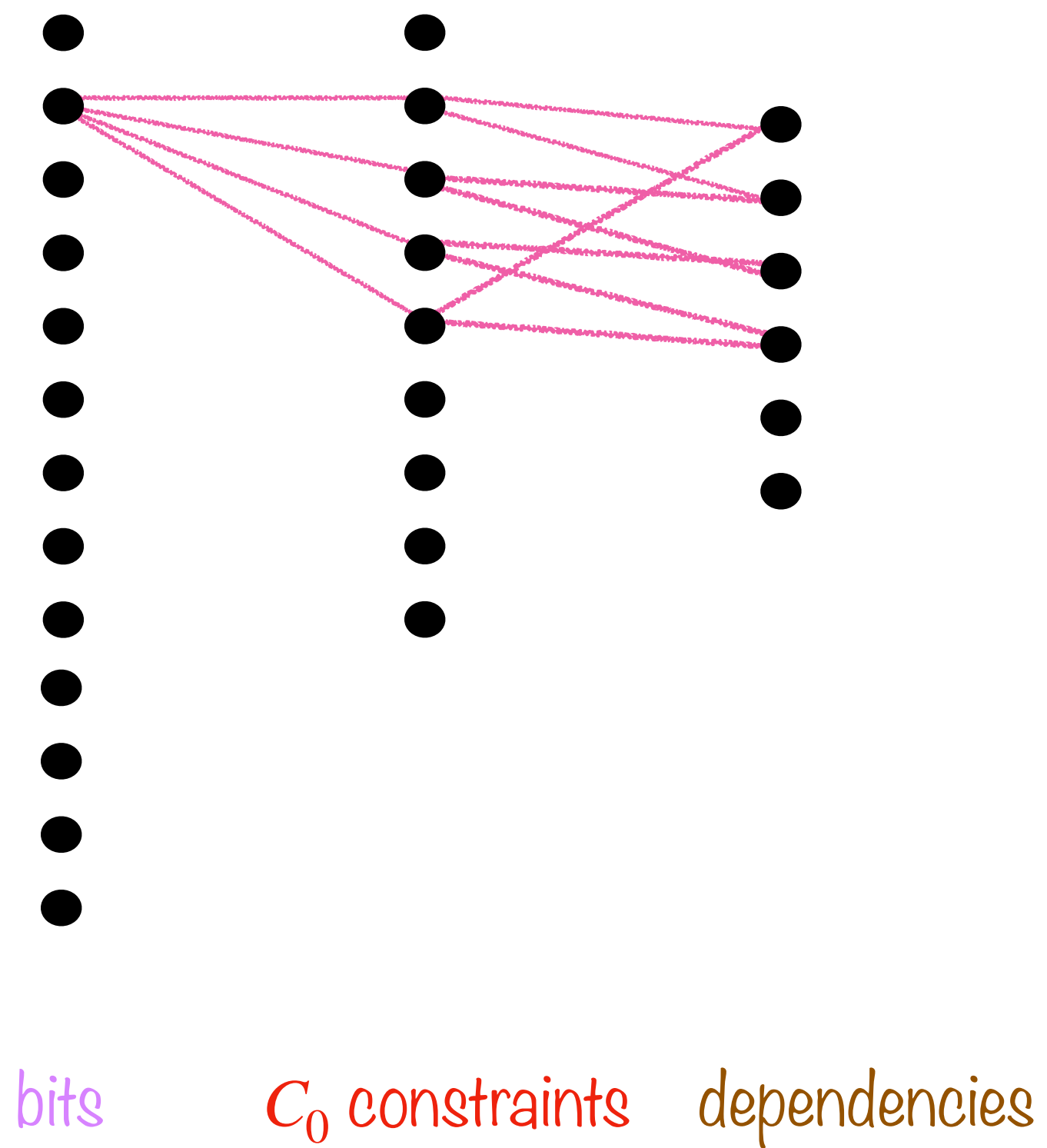
Affine Lines  
Constraints

factor graph

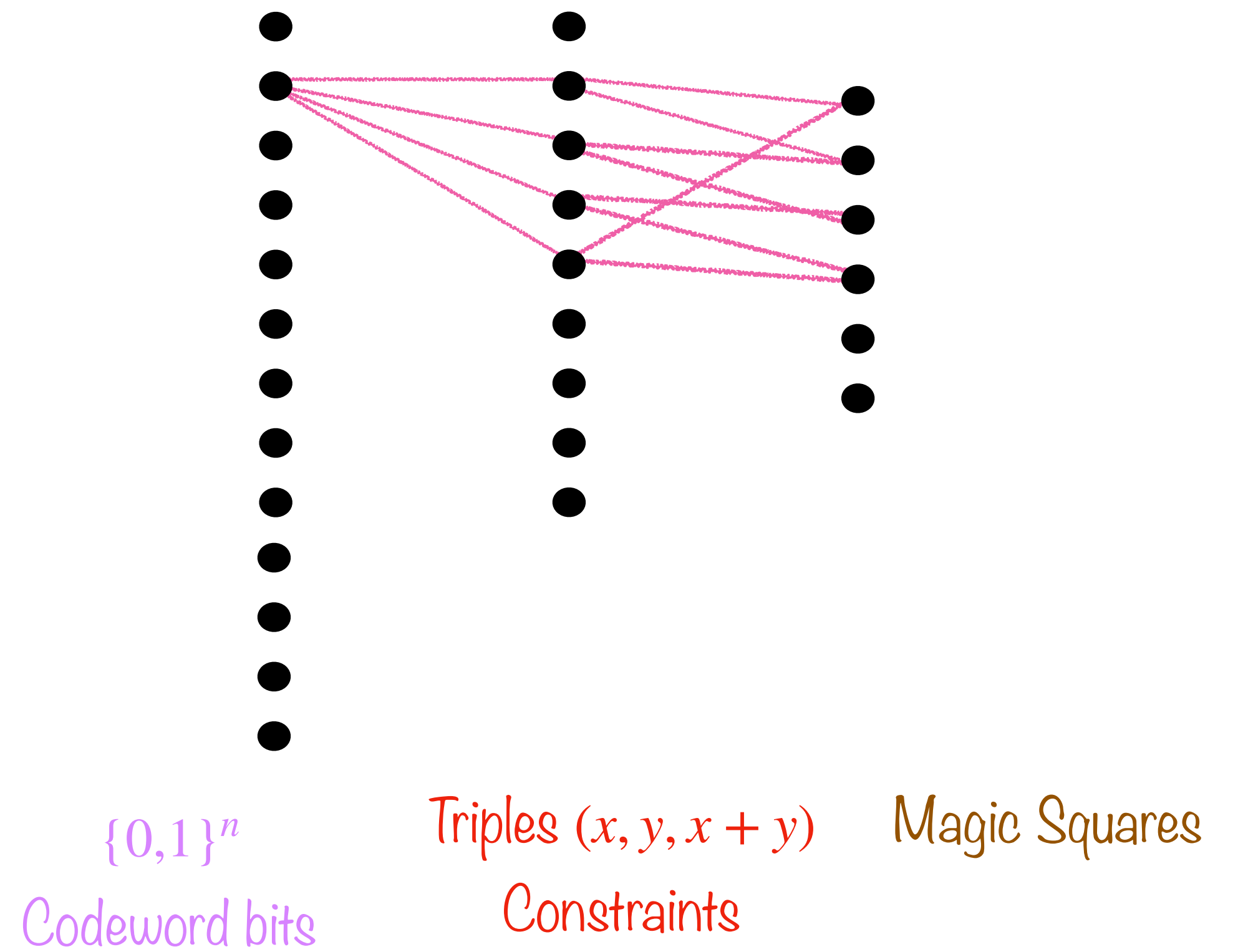
# What makes Hadamard and RM codes testable?

- Hadamard Codes [Blum-Luby-Rubinfeld 1990,...]
- Reed-Muller Codes
  - Large fields [Rubinfeld-Sudan 1992,...]
  - Small fields [Alon-Kaufman-Krivilevich-Litsyn-Ron 2003]

# Testability of Hadamard Code



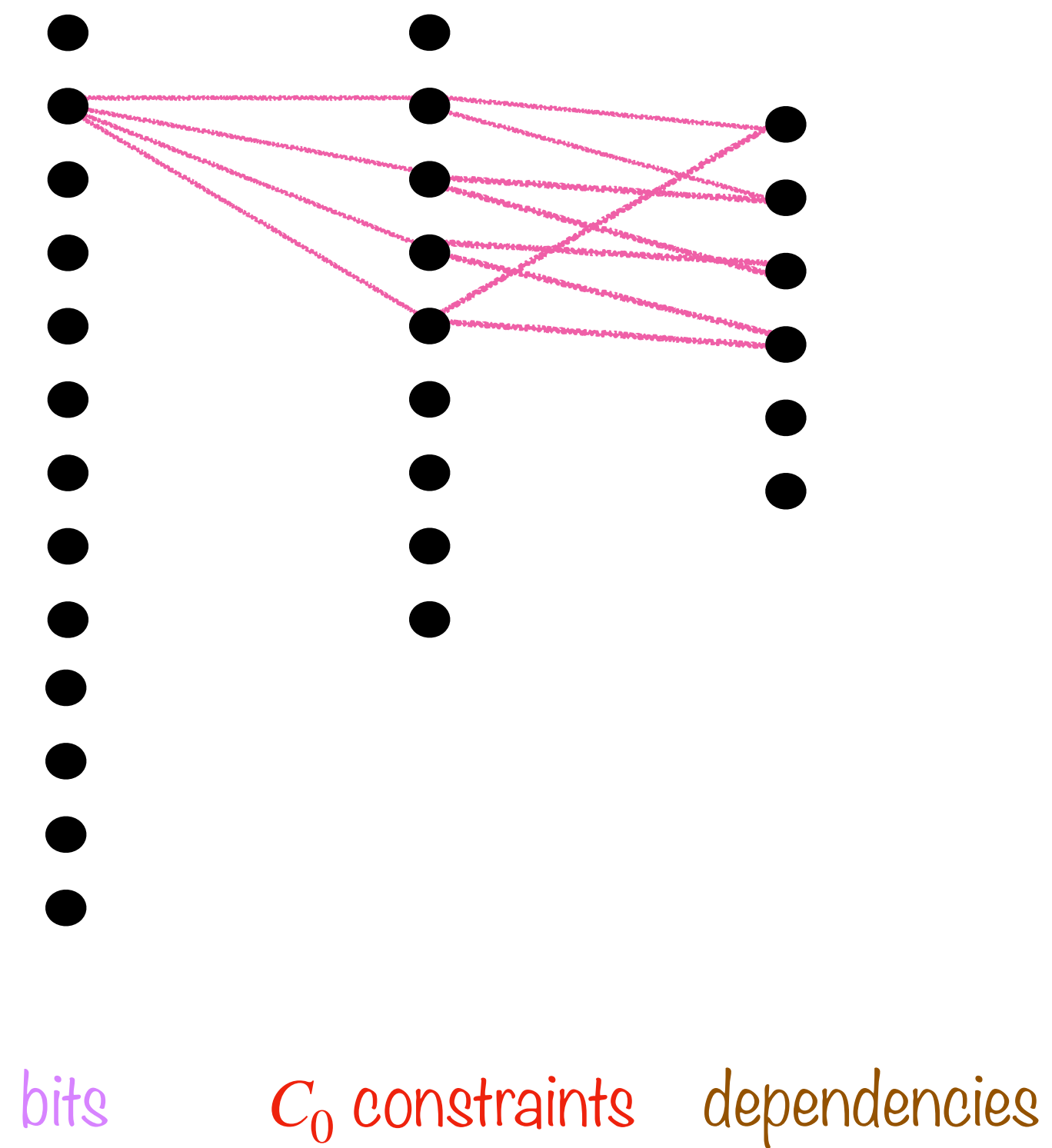
3-layered factor graph



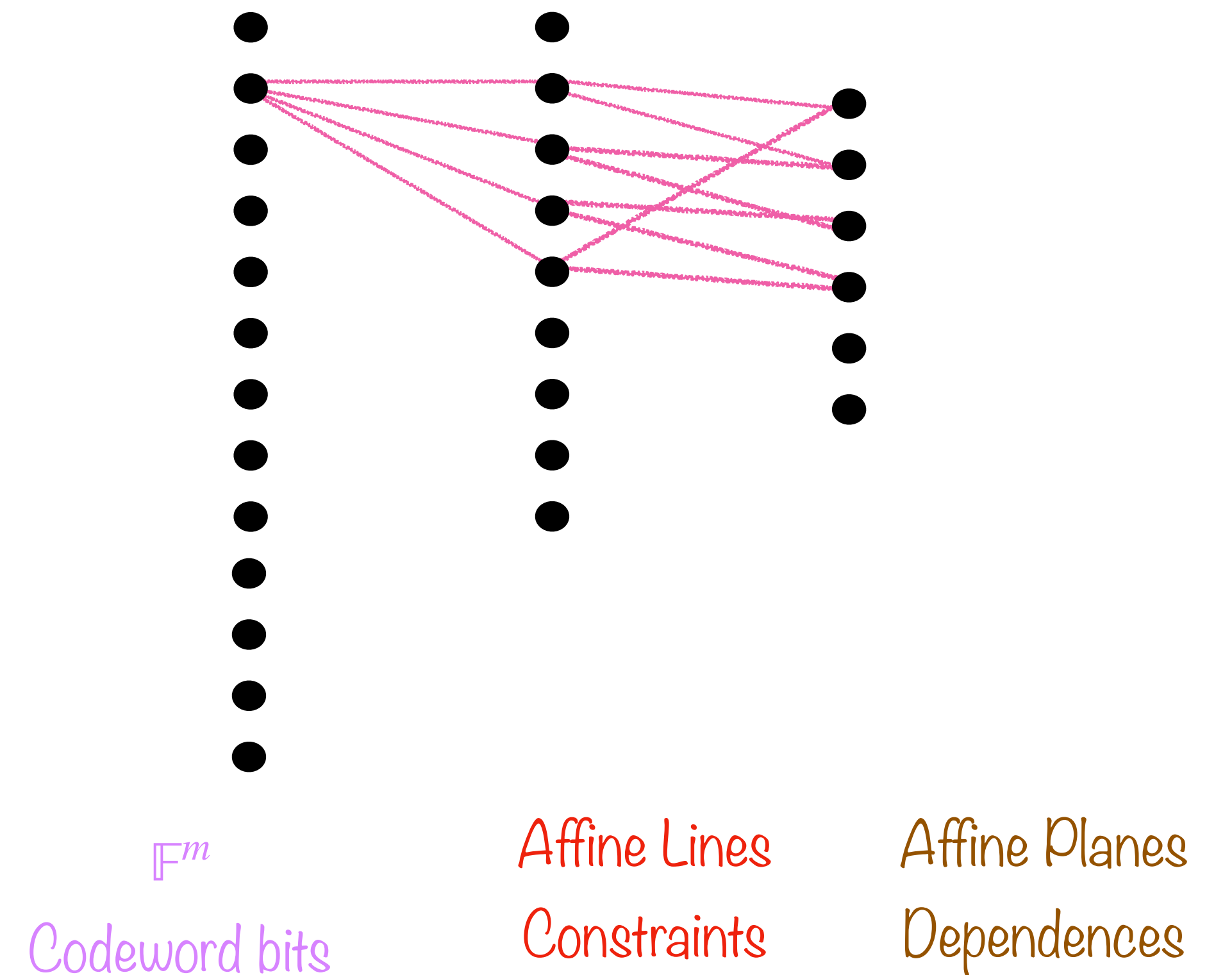
3-layered factor graph



# Testability of Reed-Muller Codes



3-layered factor graph



3-layered factor graph

# High dimensional expansion

The idea of using a higher-dimensional complex instead of a graph for LTCs has been circulating a number of years.

HDXs exhibit local-to-global features: *prove something locally and then use expansion to globalize*

[Garland 1973, Kaufman-Kazhdan-Lubotzky2014, Evra-Kaufman2016, Oppenheim2017, D.-Kaufman2017, Dinur-H.-Kaufman-LivniNavon-TaShma2018, Anari-Liu-OveisGharan-Vinzant2019]

Dikstein-Dinur-H.-RonZewi2019 proved that if one defines a code on a HDX using *a base code that itself is an LTC*, (and if there is an agreement-test), then the entire code is an LTC.

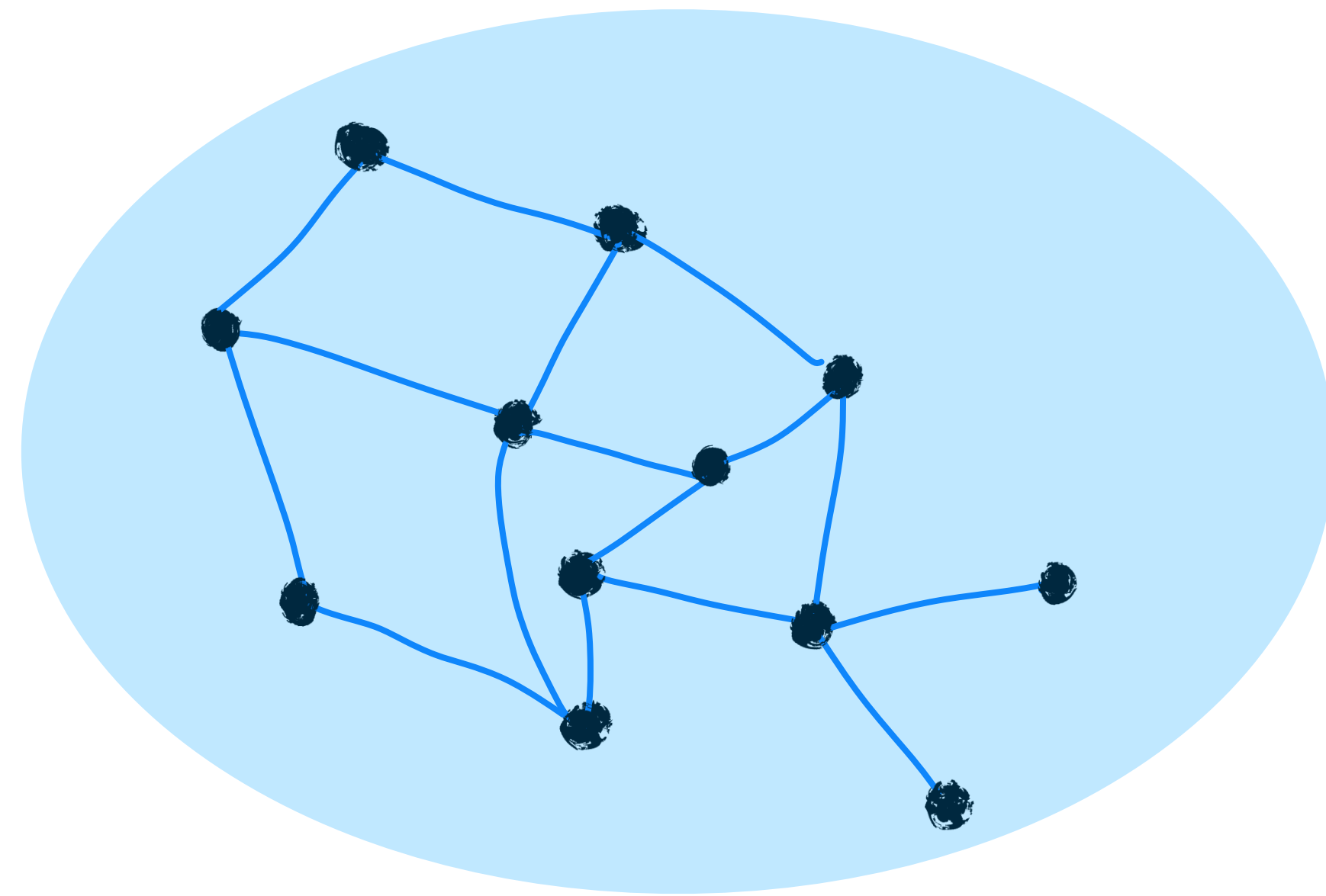
Recently also Kaufman-Oppenheim 2021 proved a similar "schema".

How to "instantiate" this? ...we worked on the Lubotzky-Samuels-Vishne complexes (quotients of BT buildings), and have conjectured base codes, but no proof of local LTCness

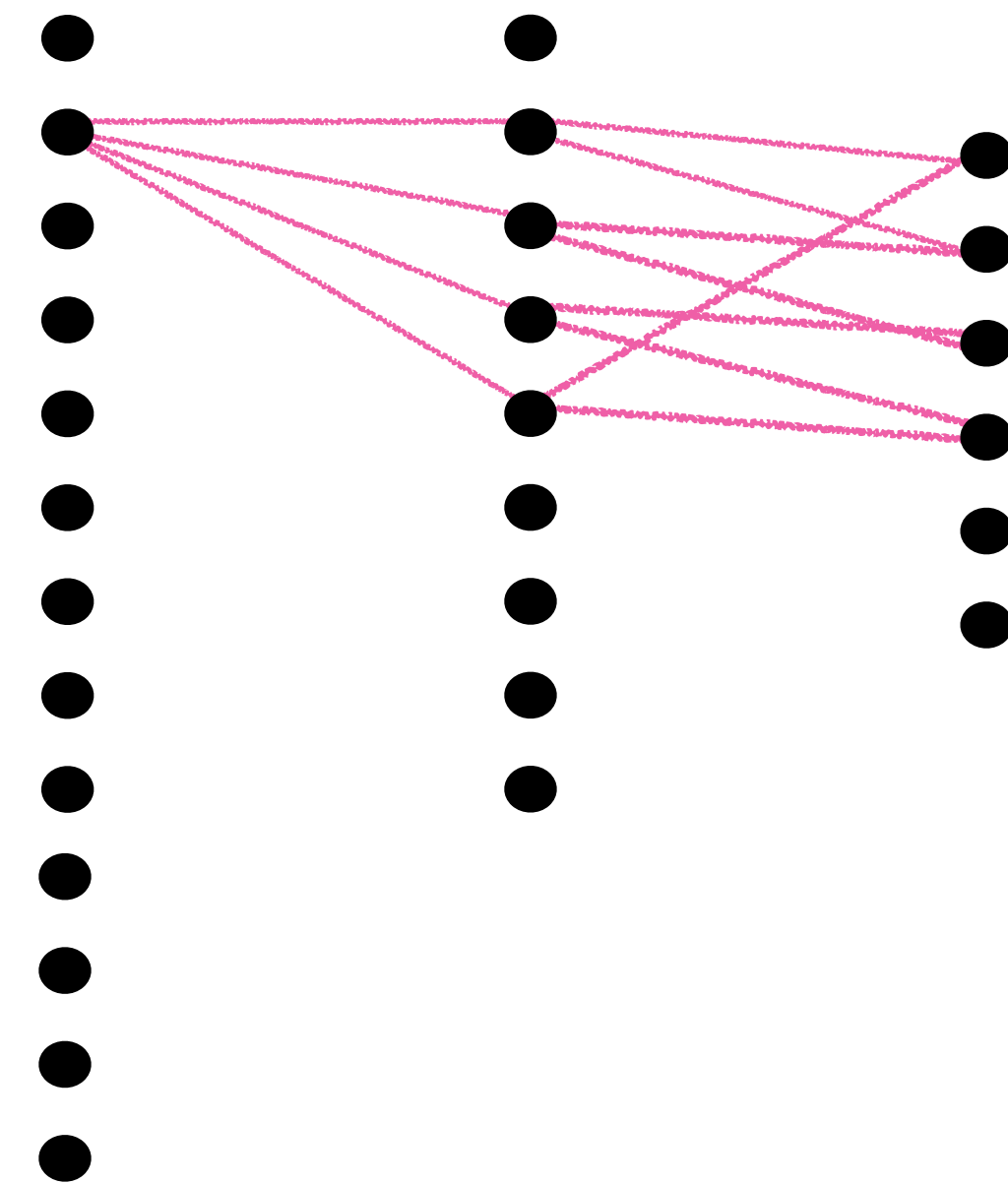
# Dinur-Evra-Livne-Lubotzky-Mozes Approach

- High-dimensional expansion not required
- A square complex suffices

# Expander Codes, one level up



Squares Edges Vertices



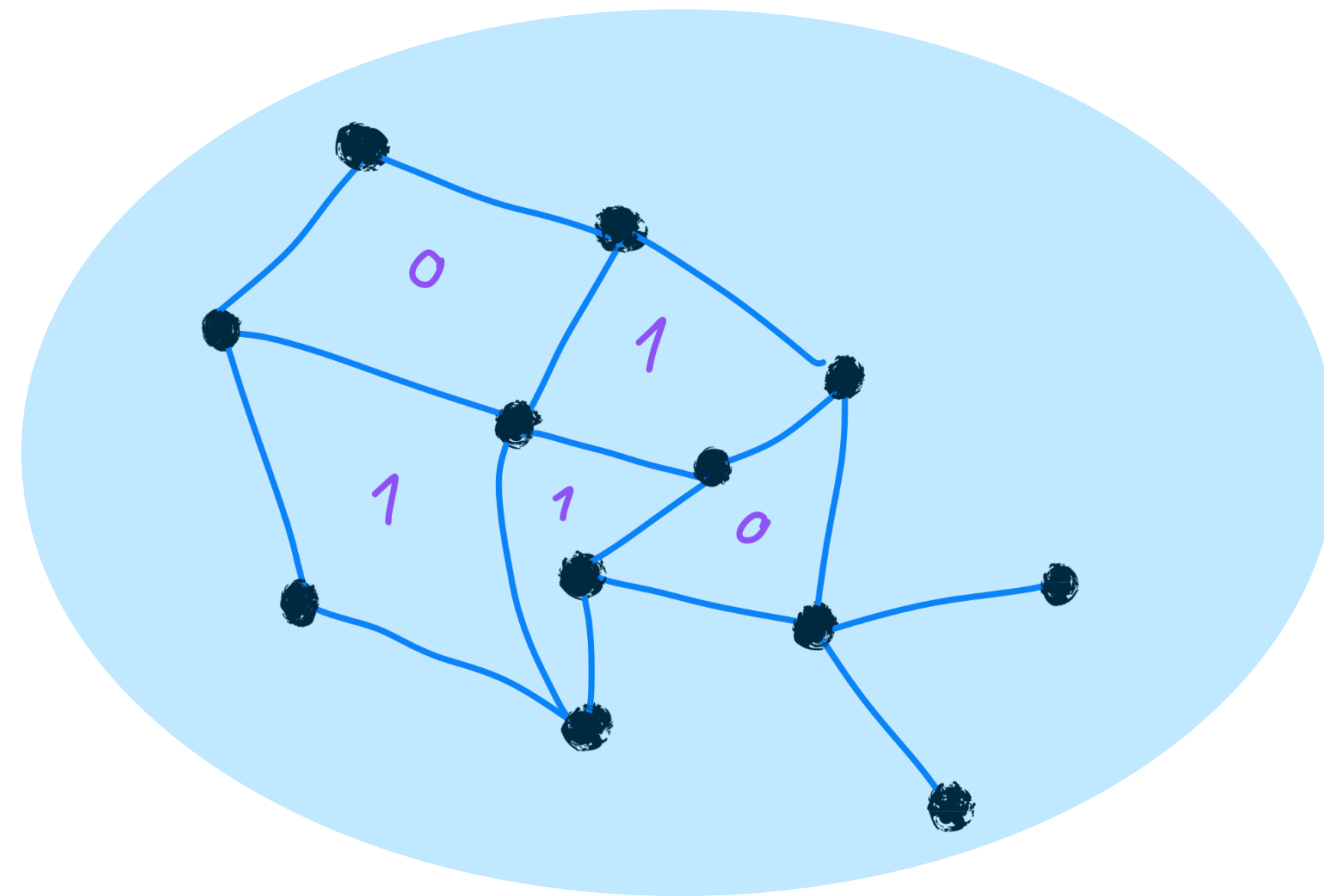
bits

$C_0$  constraints

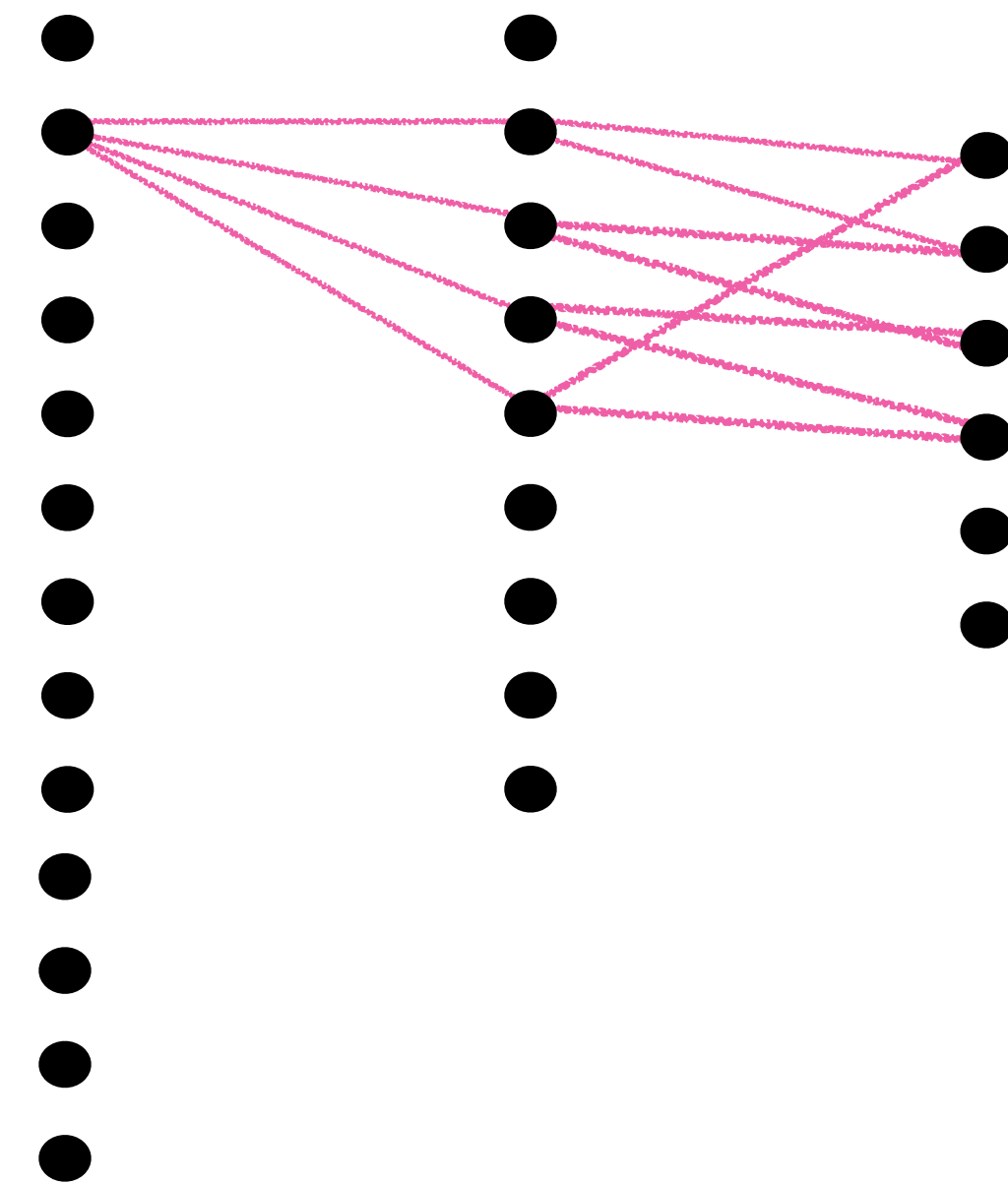
dependencies

factor graph

# Expander Codes, one level up



Squares Edges Vertices



bits

$C_0$  constraints

dependencies

factor graph



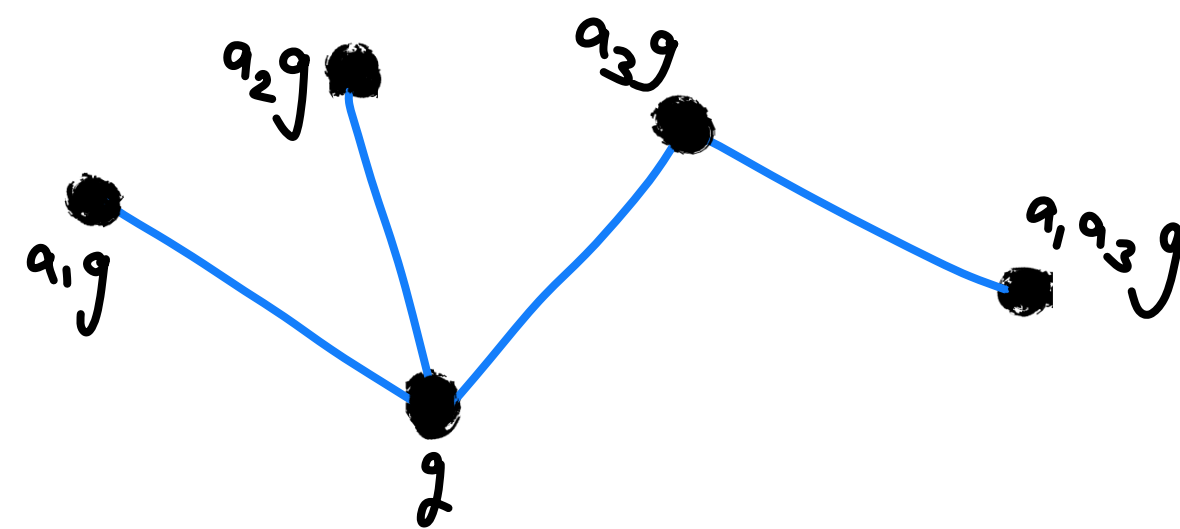
# Left-right Cayley Complex

“a graph with squares”

Let  $G$  be a finite group,

Let  $A \subset G$  be closed under taking inverses, i.e. such that  $a \in A \rightarrow a^{-1} \in A$

$\text{Cay}(G,A)$  is a graph with vertices  $G$ , and edges  $E_A = \{\{g, ag\} : g \in G, a \in A\}$

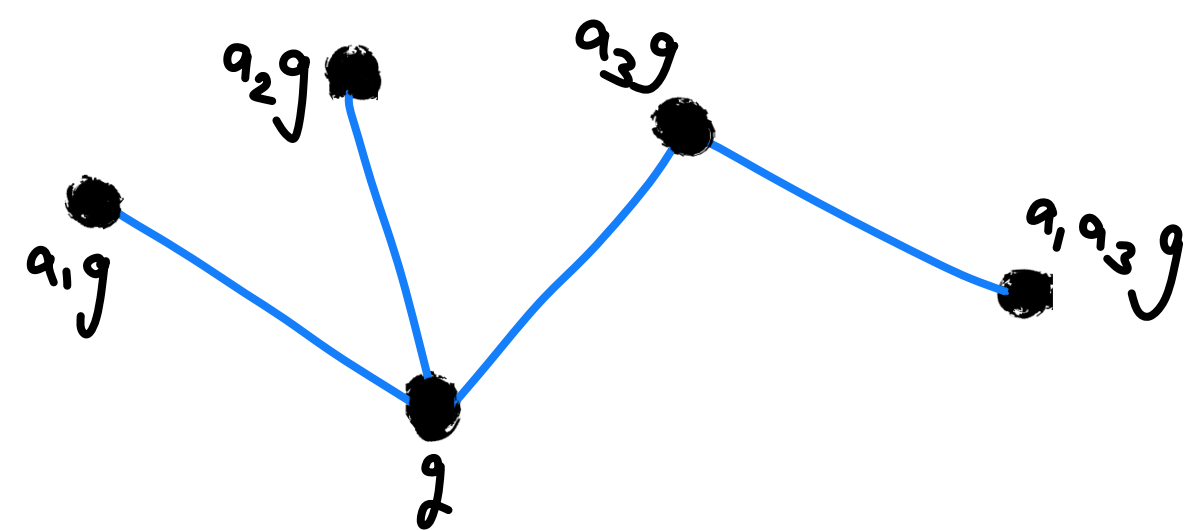


# Left-right Cayley Complex

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# Left-right Cayley Complex

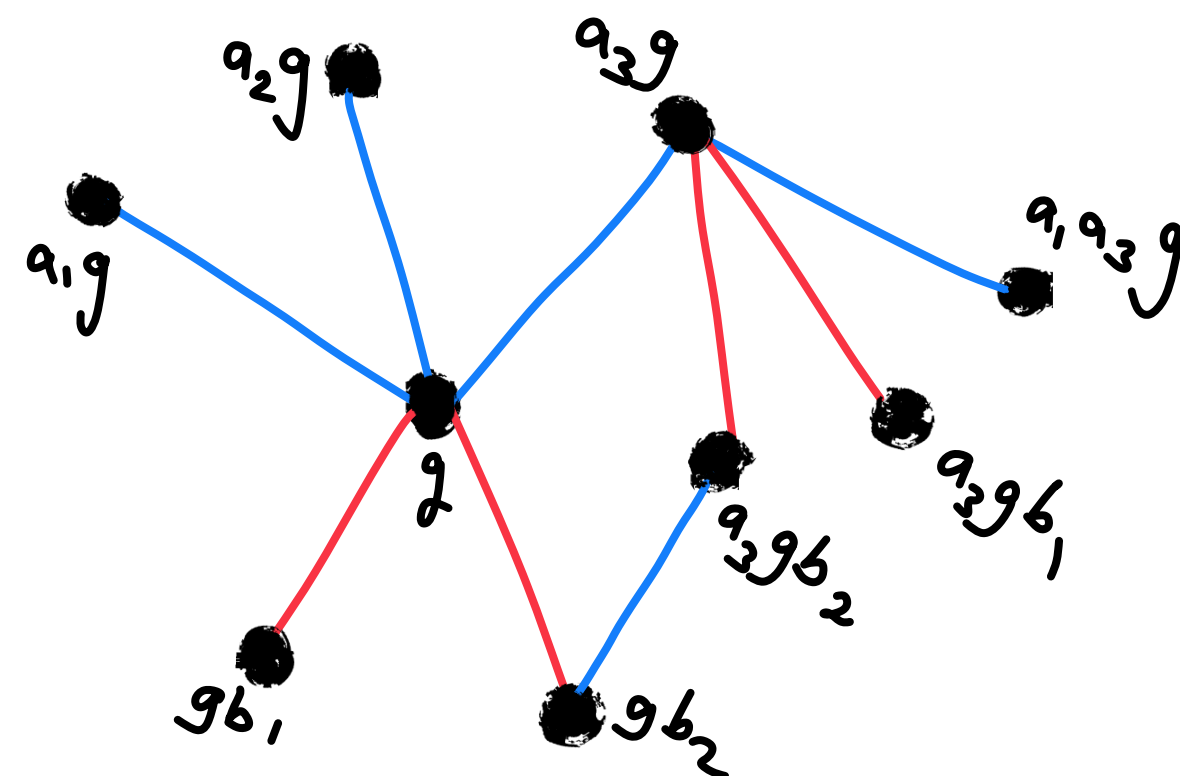
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$\text{Cay}(G, A)$  is a graph with vertices  $G$ , and edges  $E_A = \{\{g, ag\} : g \in G, a \in A\}$  (left \*)

$\text{Cay}(G, B)$  is a graph with vertices  $G$ , and edges  $E_B = \{\{g, gb\} : g \in G, b \in B\}$  (right \*)



# Left-right Cayley Complex

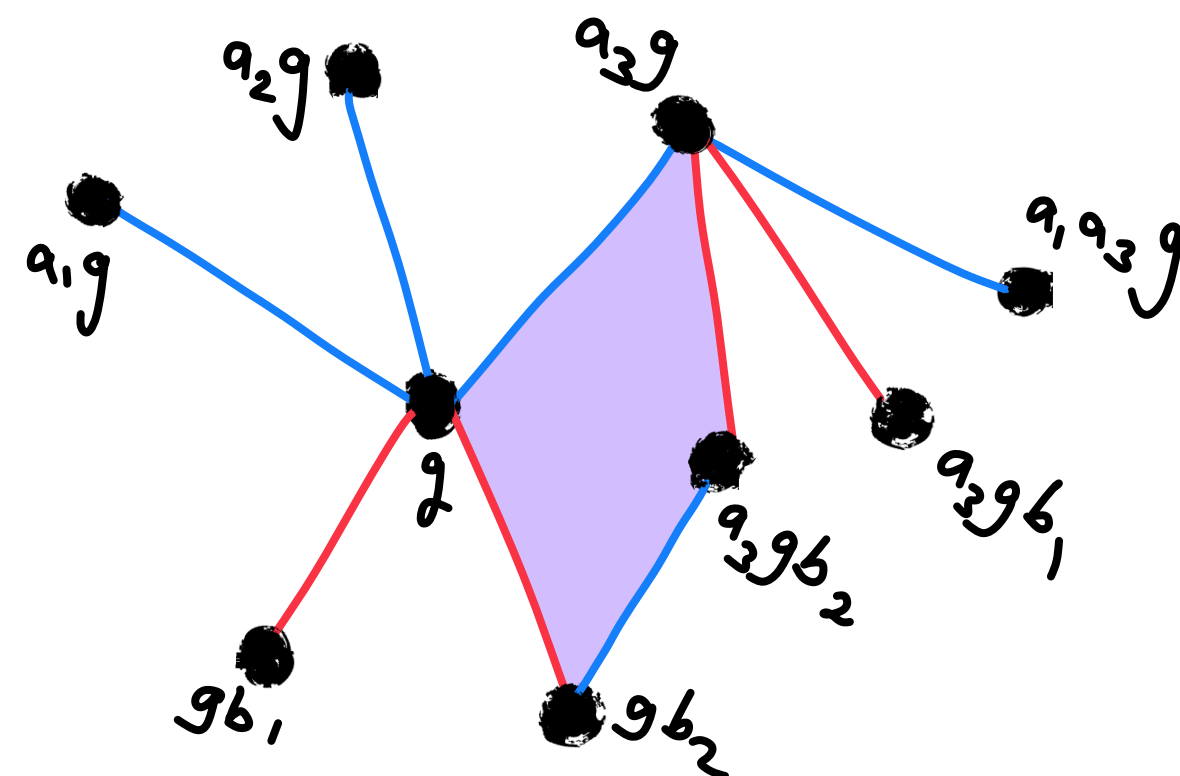
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$\text{Cay}(G, B)$  is a graph with vertices  $G$ , and edges  $E_B = \{\{g, gb\} : g \in G, b \in B\}$  (right \*)



# Left-right Cayley Complex

“a graph with squares”

Each triple  $a \in A, g \in G, b \in B$  define a rooted square  $(a, g, b)$

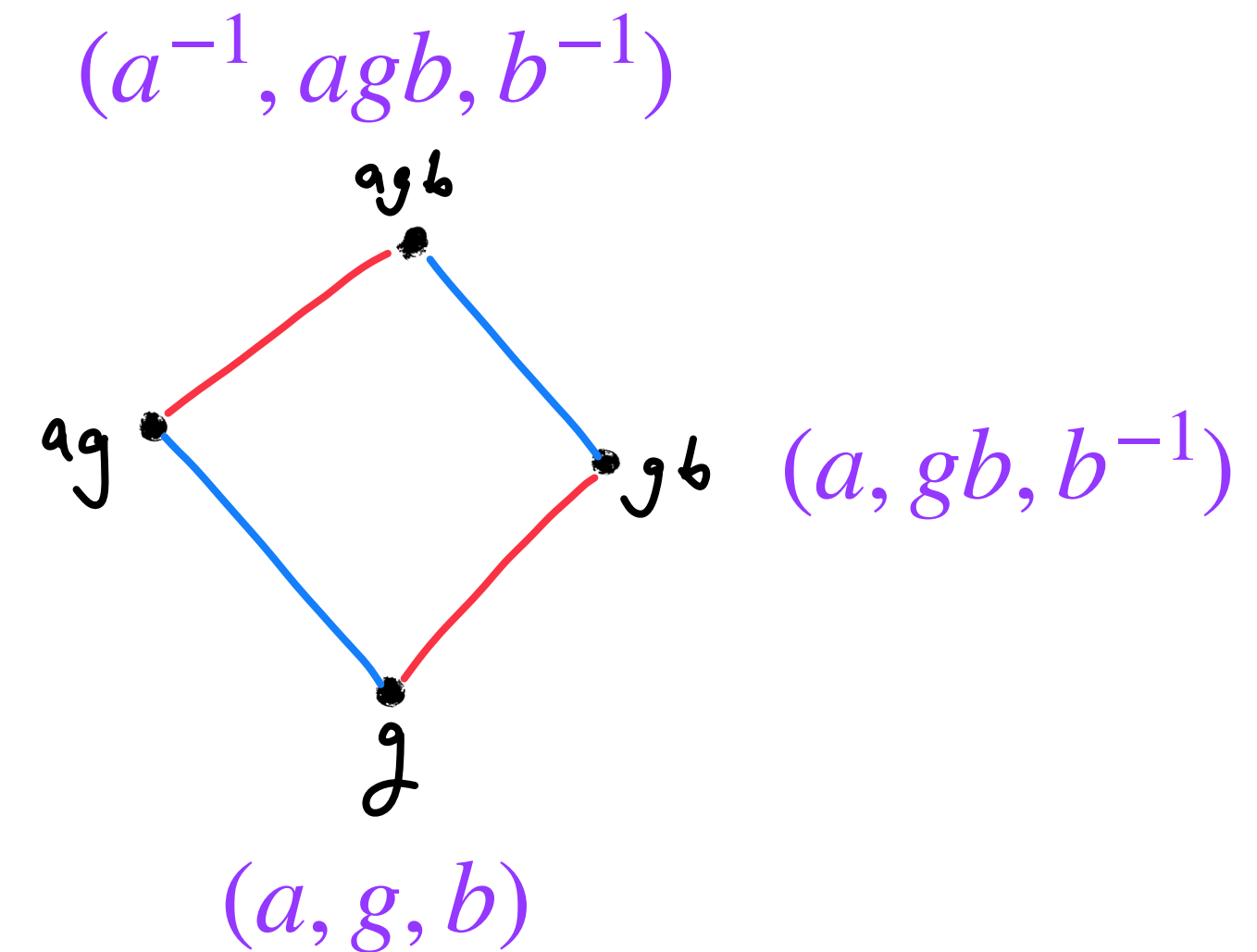
Each square can have 4 roots,

$$[a, g, b] = \{ (a, g, b), (a^{-1}, ag, b), (a^{-1}, agb, b^{-1}), (a, gb, b^{-1}) \}$$

This square naturally contains

- The edges  $\{g, ag\}, \{g, gb\}, \{gb, agb\}, \{ag, agb\},$
- The vertices  $g, ag, gb, agb$

The set of squares is  $X(2) = \{[a, g, b] : g \in G, a \in A, b \in B\} = A \times G \times B / \sim$





# Left-right Cayley Complex $\text{Cay}^2(A,G,B)$

Let  $G$  be a finite group, and let  $A, B \subset G$  be closed under taking inverses.

The left-right Cayley complex  $\text{Cay}^2(A,G,B)$  has

- Vertices  $G$

- Edges  $E_A \cup E_B$

$$E_A = \{\{g, ag\} : g \in G, a \in A\}, \quad E_B = \{\{g, gb\} : g \in G, b \in B\}$$

- Squares  $A \times G \times B / \sim$

We say that  $\text{Cay}^2(A,G,B)$  is a  $\lambda$ -expander if  $\text{Cay}(G,A)$  and  $\text{Cay}(G,B)$  are  $\lambda$ -expanders.

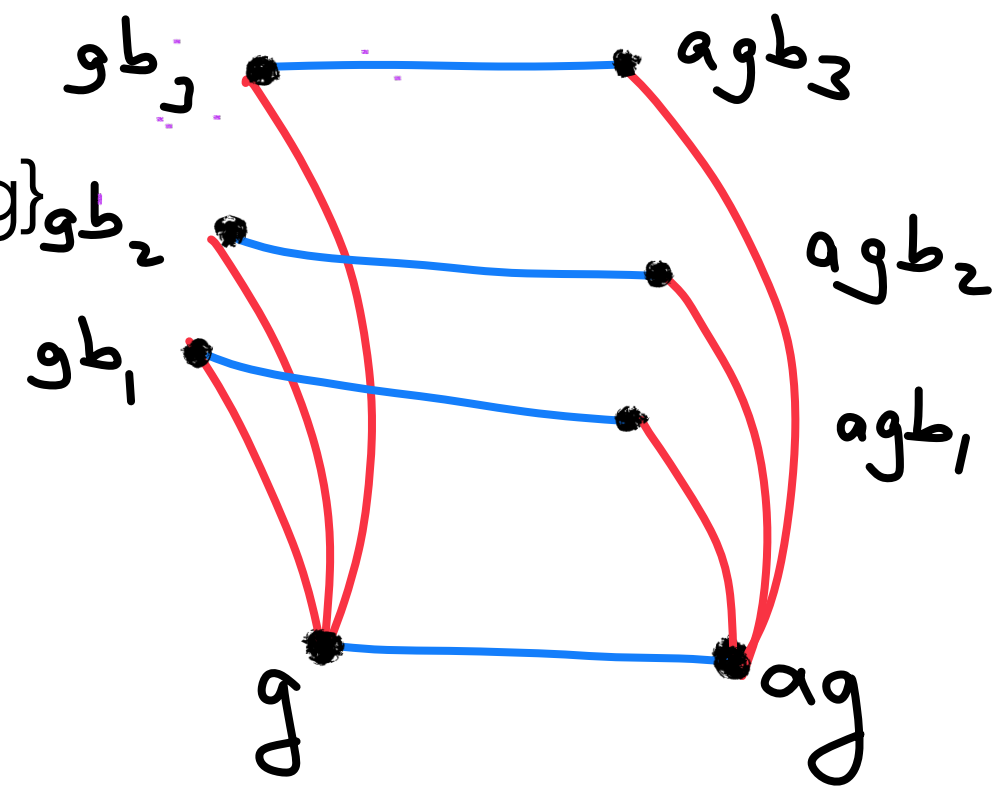
Lemma: For every  $\lambda > 0$  there are explicit infinite families of bounded-degree left-right Cayley complexes that are  $\lambda$ -expanders.

# Left-right Cayley Complex

“a graph with squares”

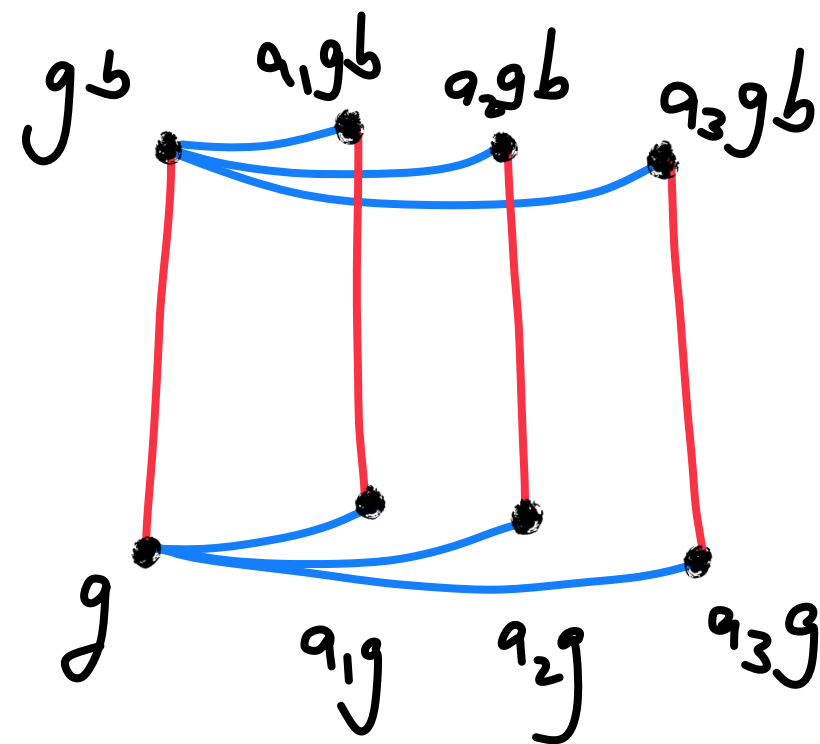
Squares touching the edge  $\{g, ag\}$   
are naturally identified with  $B$

$$b \mapsto [a, g, b]$$



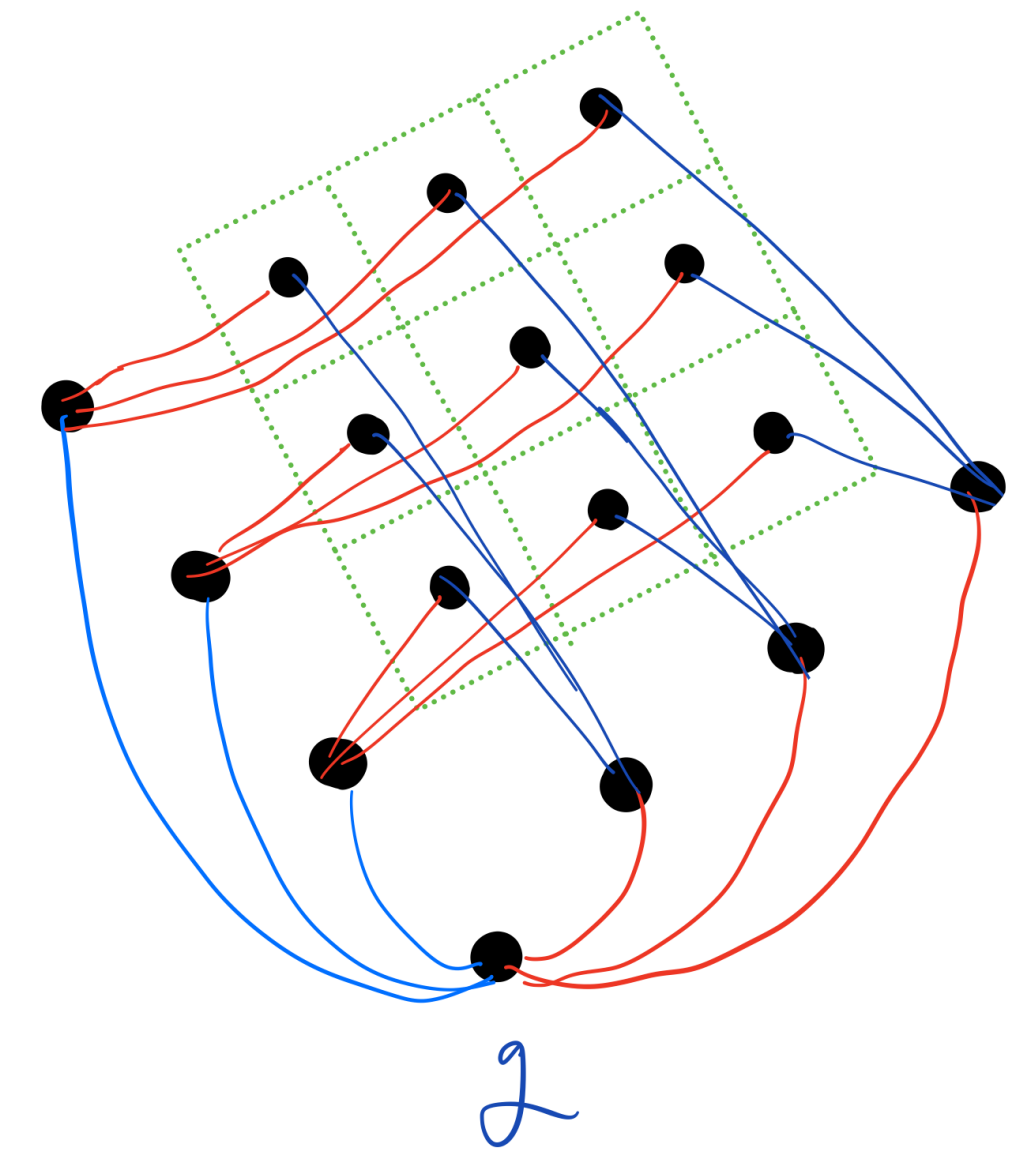
Squares touching the edge  $\{g, gb\}$   
are naturally identified with  $A$

$$a \mapsto [a, g, b]$$



A vertex  $g$  has  $|A| + |B|$  neighbors

For each  $a \in A, b \in B$  there is one square touching  $g$ ,  
so there is a natural bijection\*  $(a, b) \mapsto [a, g, b]$



\* it is a bijection assuming  $\forall a, b, g, \quad g^{-1}ag \neq b$

# Left-right Cayley Complex

“a graph with squares”

Squares touching the edge  $\{g, ag\}$  are naturally identified with  $B$

$$b \mapsto [a, g, b]$$

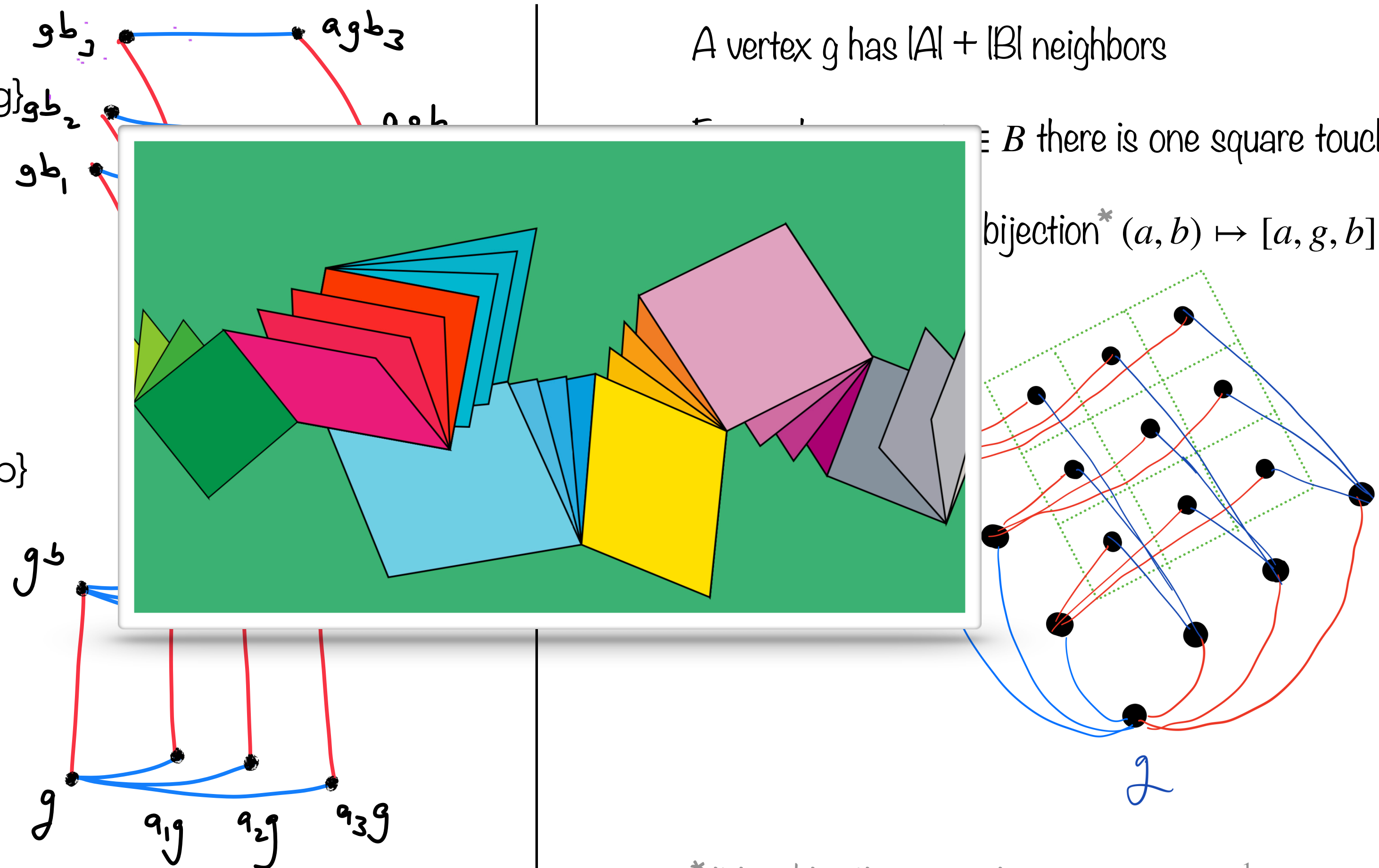
Squares touching the edge  $\{g, gb\}$  are naturally identified with  $A$

$$a \mapsto [a, g, b]$$

A vertex  $g$  has  $|A| + |B|$  neighbors

For each  $a \in A$  and  $b \in B$  there is one square touching  $g$ ,

$$\text{bijection}^* (a, b) \mapsto [a, g, b]$$



\* it is a bijection assuming  $\forall a, b, g, \quad g^{-1}ag \neq b$

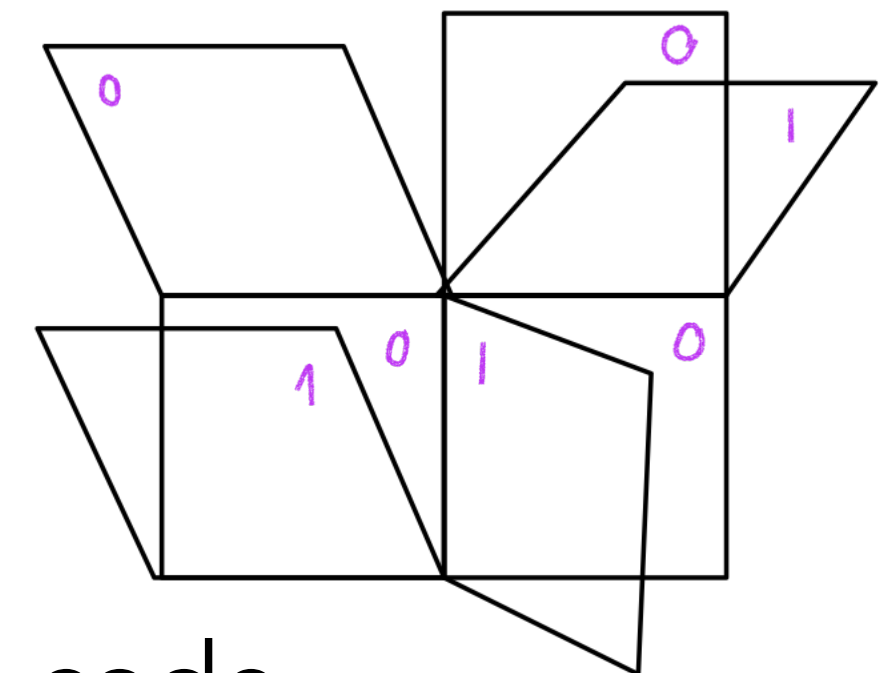
# The Code

Let  $\text{Cay}^2(A, G, B)$  be a left-right Cayley complex.

Fix base codes  $C_A \subseteq \{0,1\}^A$ ,  $C_B \subseteq \{0,1\}^B$  (assuming  $|A| = |B| = d$  we can take one base code  $C_0 \subseteq \{0,1\}^d$  and let  $C_A, C_B \simeq C_0$ )

Define a code  $\text{CODE} = C[G, A, B, C_A, C_B]$ :

- The **codeword bits** are placed on the squares
- Each edge requires that the bits on the squares around it are in the base code



$$\text{CODE} = \{f : \text{Squares} \rightarrow \{0,1\} : \forall a, g, b, f([\cdot, g, b]) \in C_A, f([a, g, \cdot]) \in C_B\}$$

Rate:  $\geq 4r_0 - 3$  [ calc: #squares - #constraints ]

Distance:  $\geq \delta_0^2(\delta_0 - \lambda)$  [easy propagation argument]

# Local views are tensor codes

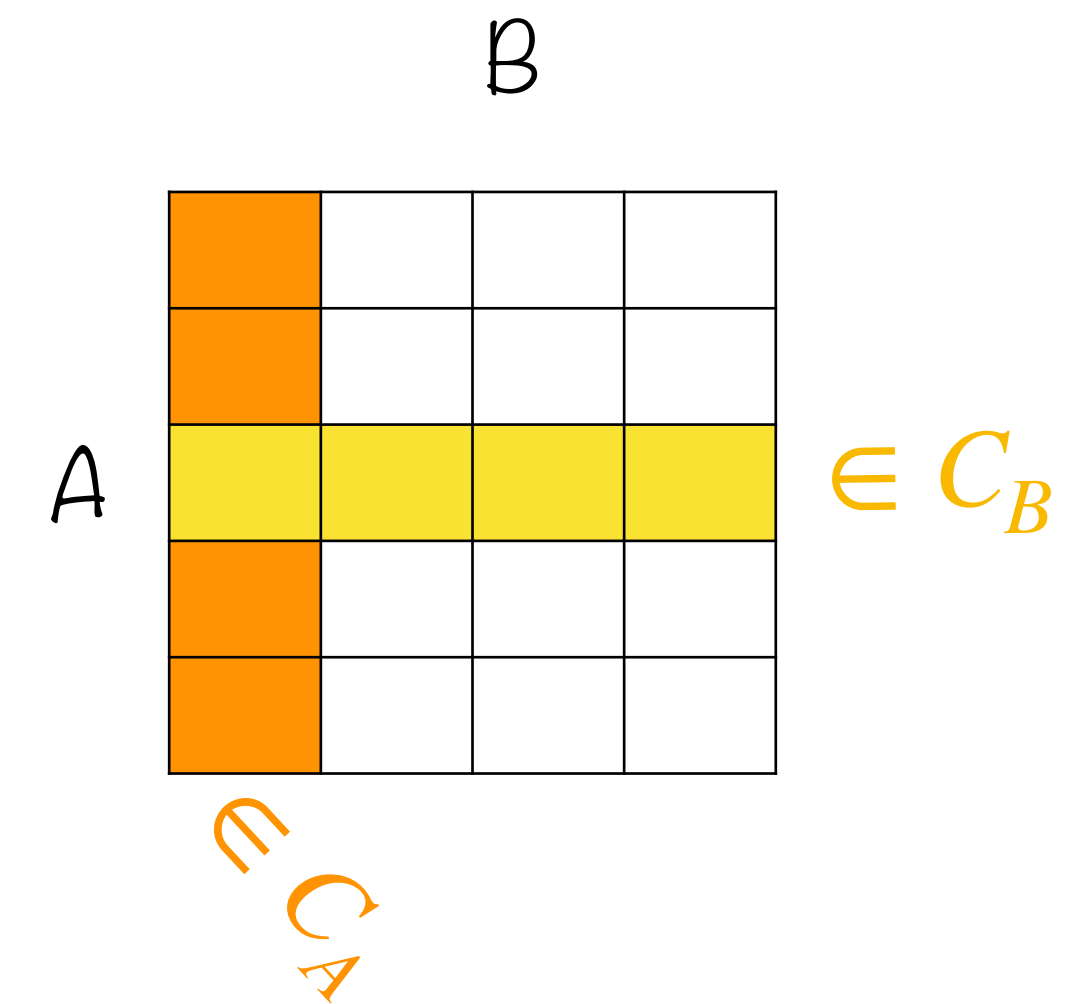
Claim: Fix  $f \in \text{CODE}$ . For each  $g \in G$ ,  $f([\cdot, g, \cdot]) \in C_A \otimes C_B$

Theorem: Assume  $\text{Cay}^2(A, G, B)$  is a  $\lambda$ -expander, and  $C_A \otimes C_B$  is  $\rho$ -robustly testable. If  $\lambda < \delta_0 \rho / 5$ , then  $C[G, A, B, C_A, C_B]$  is locally testable.

The tester is as follows:

1. Select a vertex  $g$  uniformly,
2. Read  $f$  on all  $|A| \cdot |B|$  squares touching  $g$ , namely  $f([\cdot, g, \cdot])$ .
3. Accept iff this belongs to  $C_A \otimes C_B$

Then  $\Pr_{g \in G} [f([\cdot, g, \cdot]) \notin C_A \otimes C_B] \geq \text{const} \cdot \text{dist}(f, C[G, A, B, C_A, C_B])$

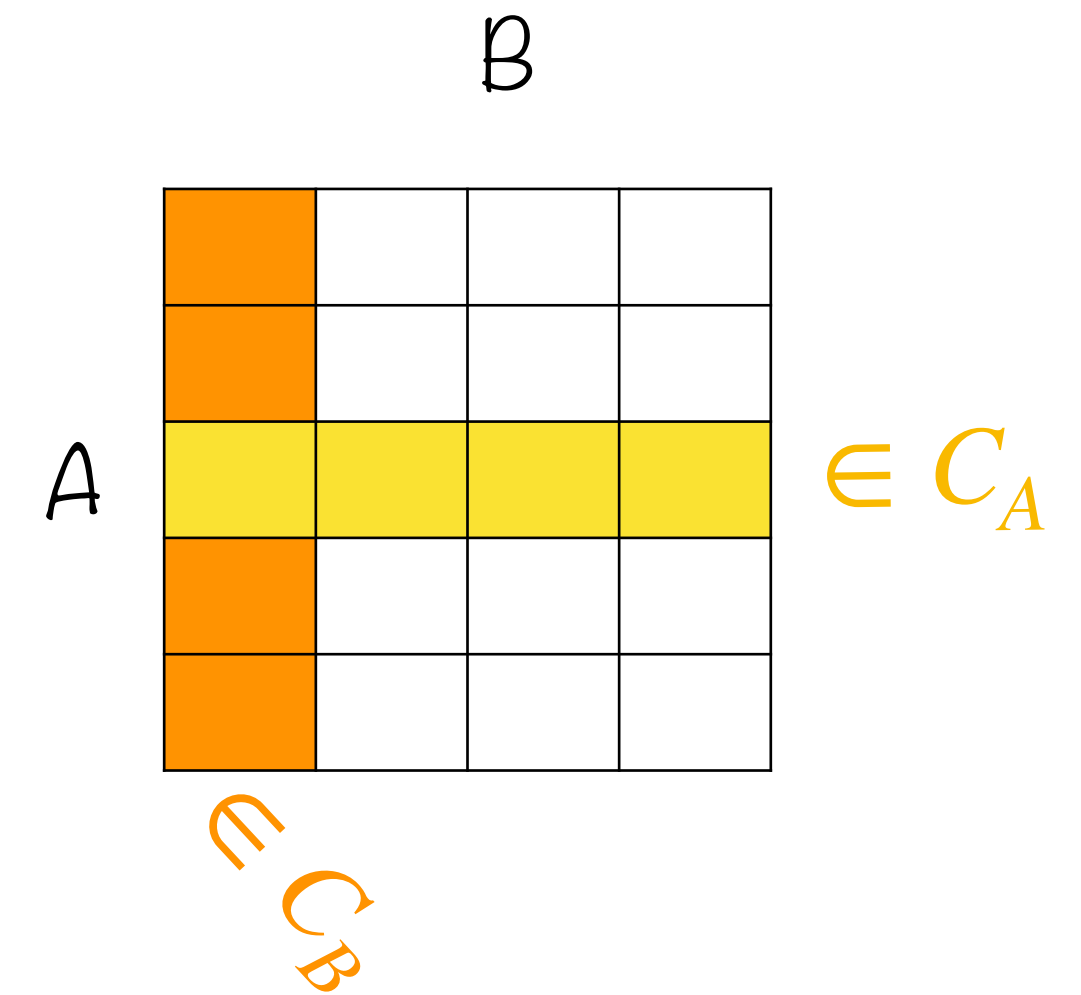


$$\text{CODE} = \{f : \text{Squares} \rightarrow \{0,1\} : \forall a, g, b, f([\cdot, g, b]) \in C_A, f([a, g, \cdot]) \in C_B\}$$



# Robustly-testable tensor codes

Definition [Ben-Sasson-Sudan'05]:  $C_A \otimes C_B$  is  $\rho$ -robustly testable if for all  $w : A \times B \rightarrow \{0,1\}$ ,  $\rho \cdot \text{dist}(w, C_A \otimes C_B) \leq \text{row-distance} + \text{column-distance}$



Row-distance : average distance of each row to  $C_A$

Column-distance : average distance of each column to  $C_B$

Lemma [Ben-Sasson-Sudan'05, Dinur-Sudan-Wigderson2006, Ben-Sasson-Videman2009]:

For every  $r > 0$  there exist base codes with rate  $r$  and constant distance whose tensors are robustly-testable. (Random LDPC codes, LTCs)



# Proof of local-testability

Start with  $f : \text{Squares} \rightarrow \{0,1\}$  and find  $f' \in C$ ,  $\text{rej}(f) \geq \text{dist}(f, f') \cdot \text{const}$

## ALG "self-correct":

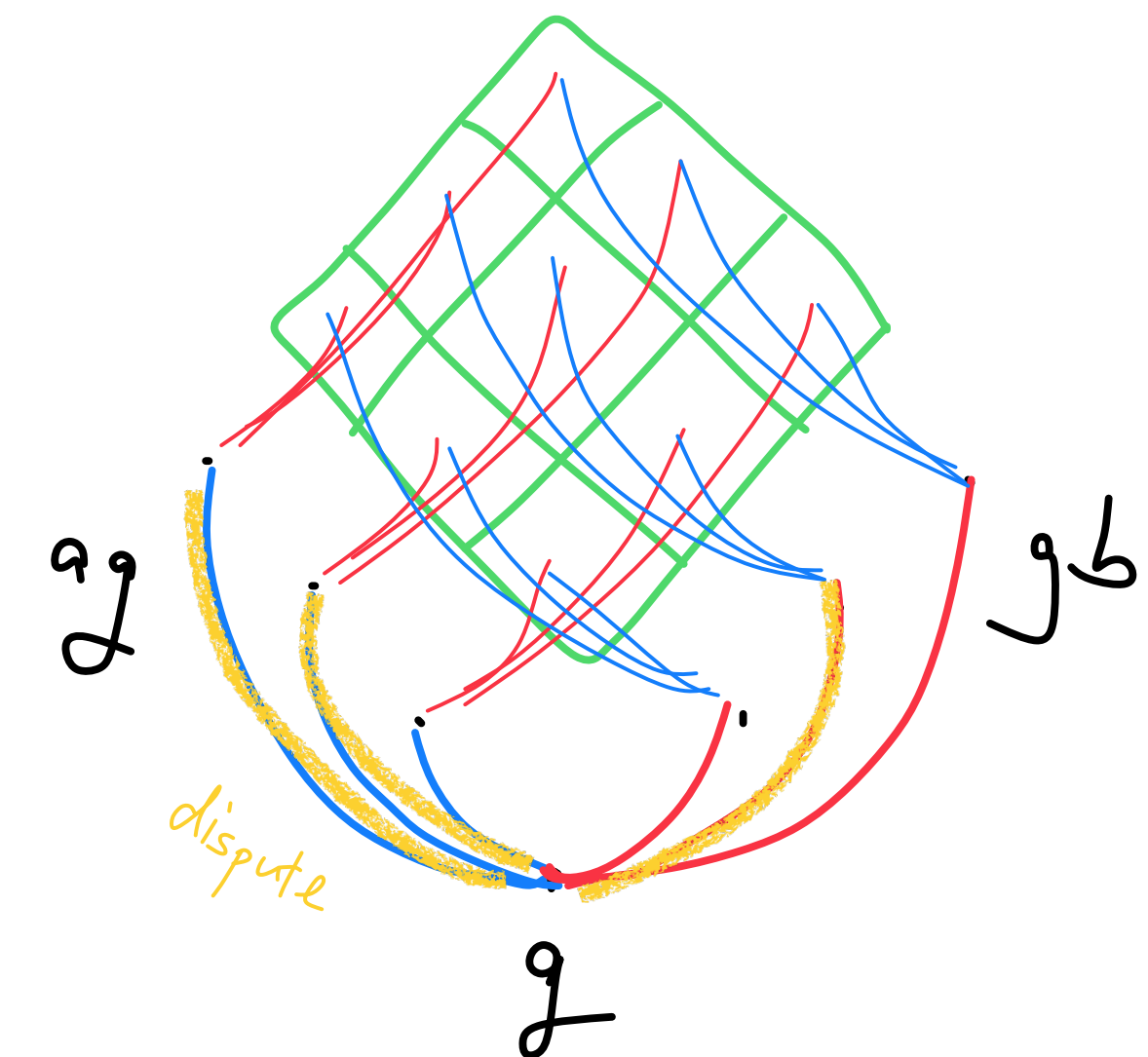
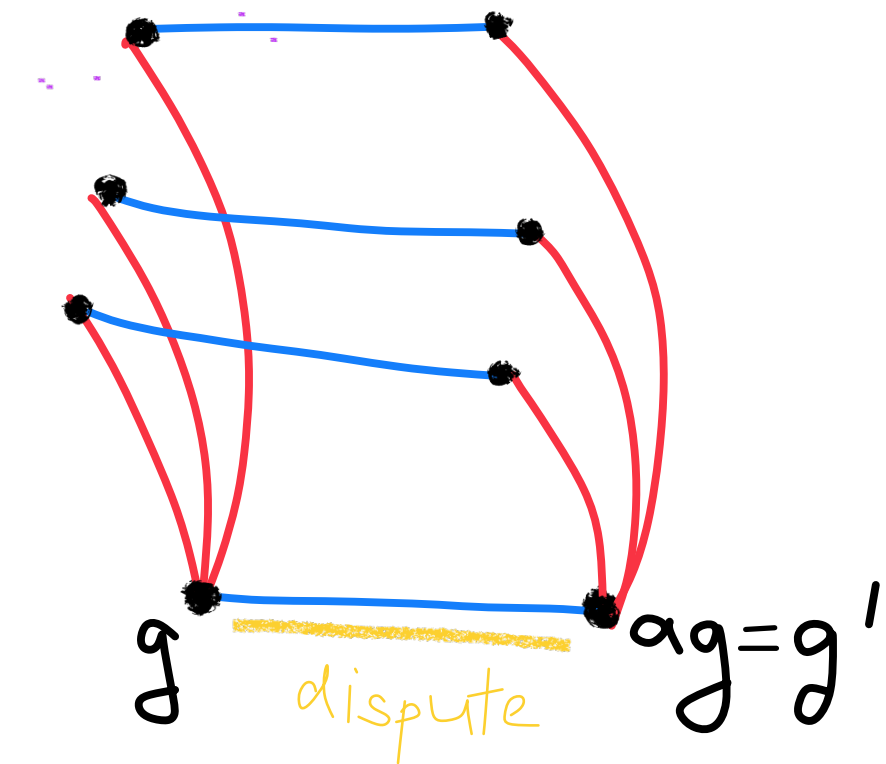
1. Init: Each  $g \in G$  finds  $T_g \in C_A \otimes C_B$  closest to  $f([\cdot, g, \cdot])$   
[ define a progress measure  $\Phi = \# \text{ dispute edges}$  ]
2. Loop: If  $g$  can change  $T_g$  and reduce  $\Phi$  then do it
3. End: If  $\Phi = 0$  let  $f'([a, g, b]) = T_g(a, b)$  and output  $f'$ ,  
If  $\Phi > 0$  quit

- $\text{steps} \leq \Phi \approx \text{rej}(f)$
- If  $\Phi = 0$  then  $\text{rej}(f) \geq \text{dist}(f, f') \cdot \text{const}$
- **If  $\Phi > 0$  then  $\Phi > 0.1$**  so  $\text{rej}(f) \geq \text{dist}(f, f') \cdot 0.1$

# Proof of local-testability

If ALG "self-correct" is stuck then  $\text{rej}(f) > 0.1$

- If  $g, g'$  are in dispute, there must be many squares on  $\{g, g'\}$  with further dispute edges
- Can try to propagate, but, they all might be clumped around  $g$
- But then  $g$ 's neighbors all agree, so there must have been a better choice for  $T_g$  (using the LTCness of tensor codes)
- Random walk **edge**  $\rightarrow$  **square**  $\rightarrow$  **edge** + expansion  $\implies$  dispute set is large



# A concrete choice of group & base codes

Theorem: For all  $0 < r < 1$  there exist  $\delta > 0$  and  $q \in \mathbb{N}$  and an explicit construction of an infinite family of error-correcting codes  $\{C_n\}_n$  with rate  $\geq r$ , distance  $\geq \delta$  and locally testable with  $q$  queries.

Proof: Take

1. Family of base codes  $\{C_d\}_d$  with rate  $> \frac{r+3}{4}$  and constant robustness  $\rho$  and distance  $\delta$
2. Set  $\lambda$  small enough wrt  $\delta$  and  $\rho$
3. Choose a family  $\{Cay^2(A_n, G_n, B_n)\}_n$  of  $\lambda$  expanding left-right Cayley complexes, with  $d = |A_n| = |B_n| = O(1/\lambda^2)$
4. Output  $\{C[G_n, A_n, B_n, C_d, C_d]\}_n$

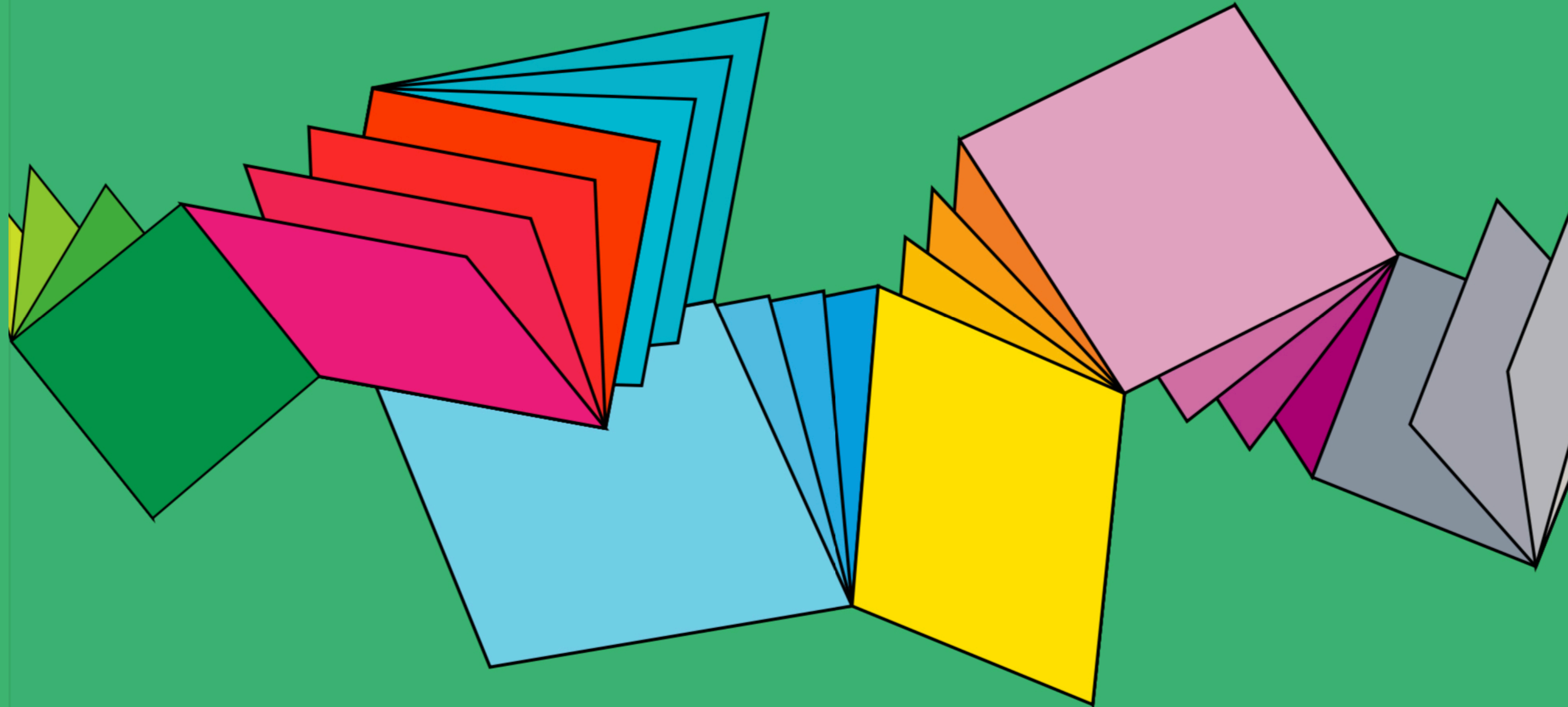
# ...questions?

- Can such ideas be used for constructing PCPs?
- Can these codes be made practical?
- Can one construct LTCs on other HDX's such as LSV simplicial complexes? It all boils down to building one finite code in the links
- Can one construct higher dimensional (e.g. cubical) complexes similarly?

# References

- Irit Dinur, Shai Evra, Ron Livne, Alexander Lubotzky, and Shahar Mozes. *Locally testable codes with constant rate, distance, and locality*. In Stefano Leonardi and Anupam Gupta, eds., Proc. 54th ACM Symp. on Theory of Computing (STOC), pages 357–374. 2022.  
[arXiv:2111.04808](https://arxiv.org/abs/2111.04808)
- Prahladh Harsha, *The Blooming of the  $c_3$  LTC Flowers*, A blogpost on the constant-query locally testable code construction due to Dinur, Evra, Livne, Lubotzky and Mozes, In [Calvin Café: The Simons Institute Blog](#), September 2022.

# $C^3$ -LTC constructions



*Thank You*

