

# Indistinguishability Obfuscation via Mathematical Proofs of Equivalence

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Johns Hopkins University

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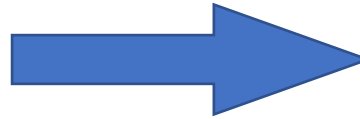
Johns Hopkins University → MIT

# Indistinguishability Obfuscation (iO)

```
1 function main() {  
2   console.log('hello, world');  
3 }  
4 main()
```

Source code

iO



```
function _0x19e6(_0x4d301f,_0xcaab53){var _0x3a4e72=_0x3a4e();return  
_0x19e6=function(_0x19e691,_0x5809f0){_0x19e691=_0x19e691-0x14e;var  
_0x16ee0b=_0x3a4e72[_0x19e691];return  
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['log','199381NCGrSa','2328491tAiNSg','18mVqyqS','4cVQTsk','6PuGzWR','107410  
32WsiTV0','104321yYIIVM','370911DTLqdw','10uRQffV','2024504eEkwnt','114d0c0h  
j','hello,\x20world','2634710Iat10d'];_0x3a4e=function(){return  
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_0x3afd0b=_0x19e6,_0x2928d3=_0x3d9e47();while(![]){try{var _0x33cc3a=-  
parseInt(_0x3afd0b(0x15a))/0x1*(-parseInt(_0x3afd0b(0x158))/0x2)+  
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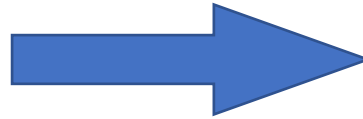
“Unintelligible”

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```

**“Unintelligible”**

The obfuscated program *preserves the functionality* of the input program.  
(Produce the same output)

# Indistinguishability Security (as a Game)



# Indistinguishability Security (as a Game)

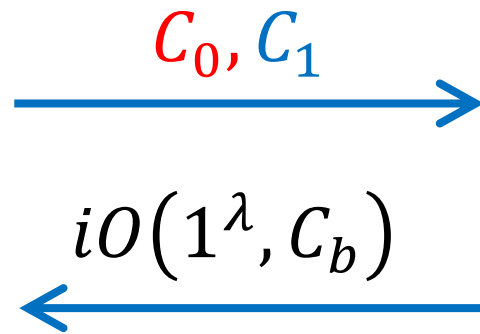


# Indistinguishability Security (as a Game)



$b \leftarrow \{0,1\}$

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$C_0, C_1$

$iO(1^\lambda, C_b)$

$b'$



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# Indistinguishability Security (as a Game)



$C_0, C_1$

$iO(1^\lambda, C_b)$

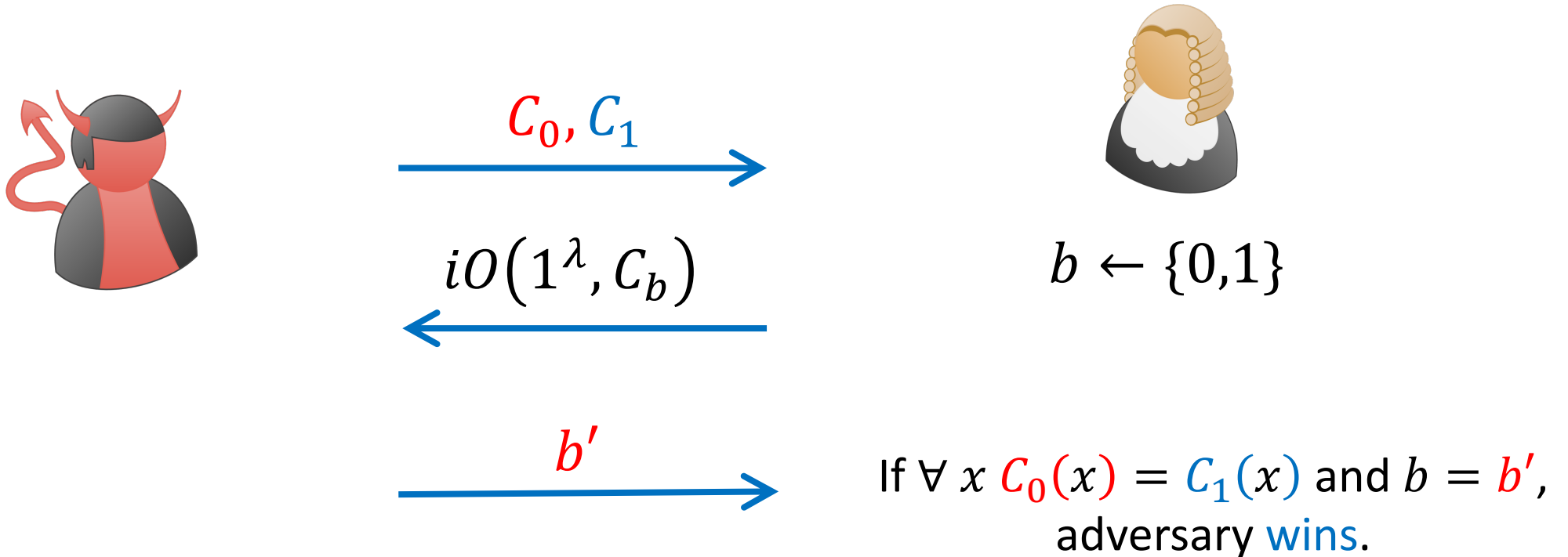


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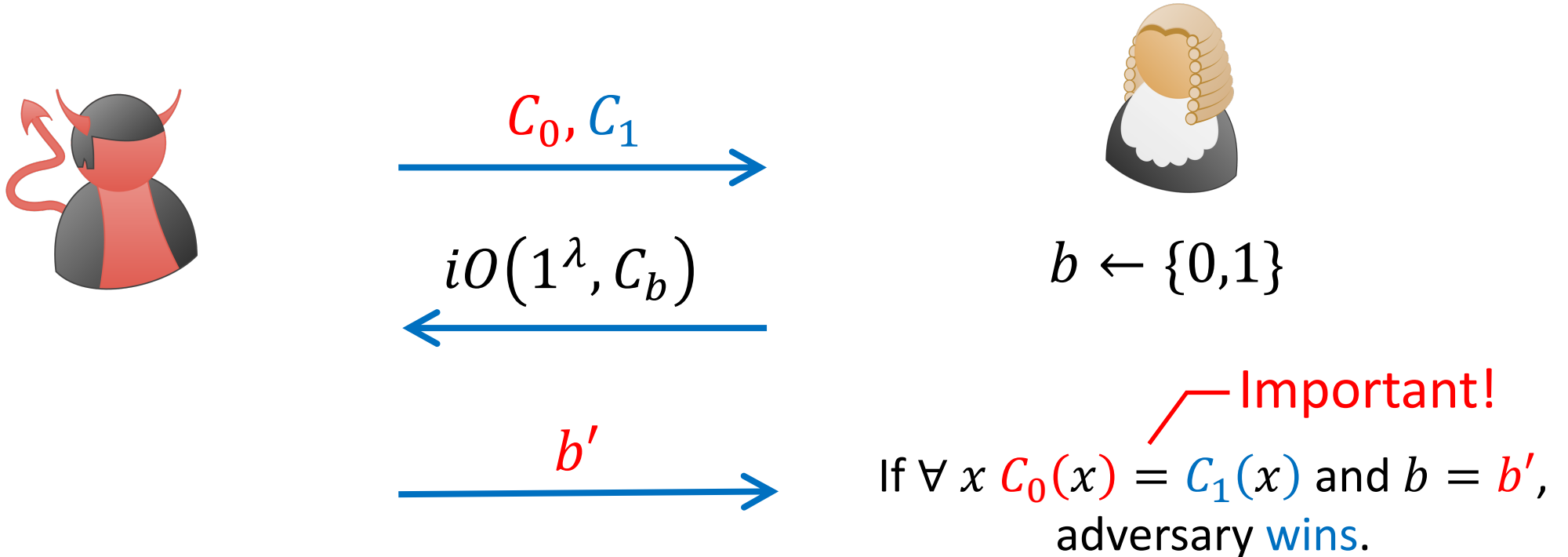
If  $\forall x C_0(x) = C_1(x)$  and  $b = b'$ ,  
adversary **wins**.

# Indistinguishability Security (as a Game)



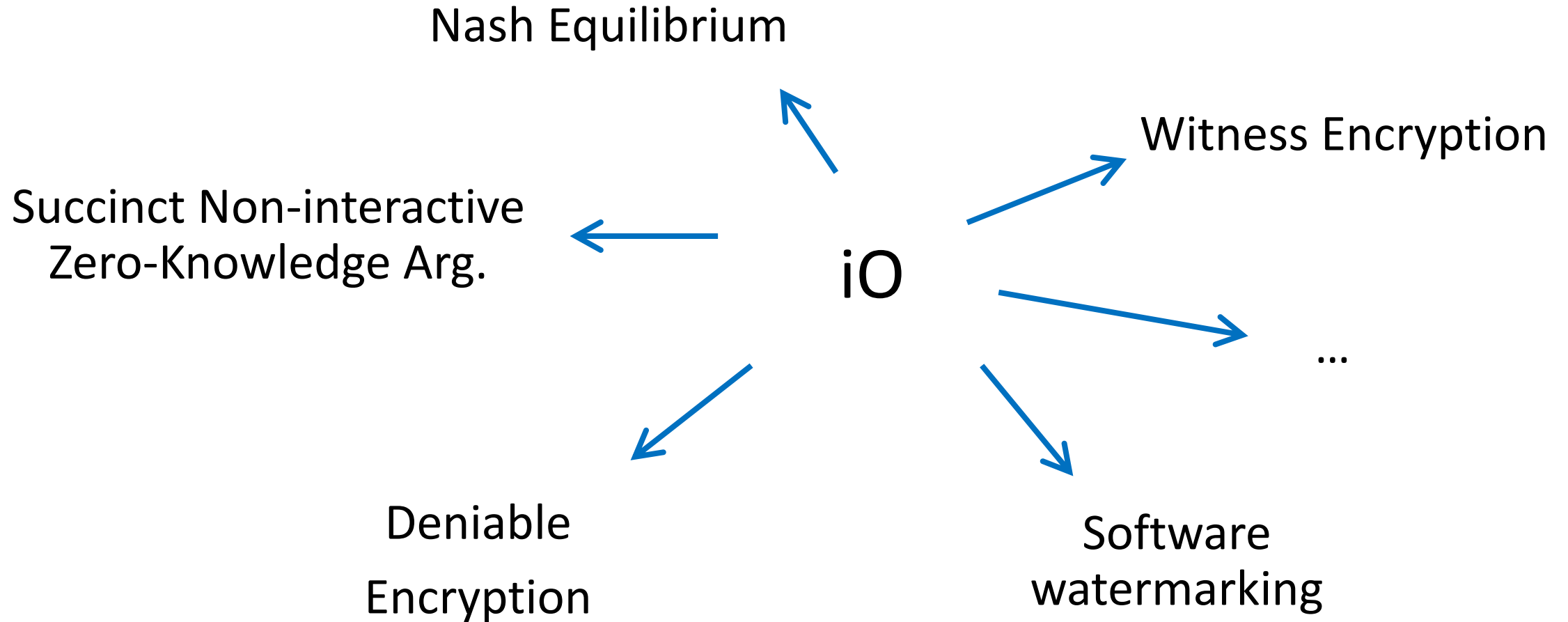
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# Indistinguishability Security (as a Game)



$$\Pr[\text{adversary wins}] \leq \frac{1}{2} + \text{negl}(\lambda)$$

# iO: Crypto “Complete” [Sahai-Waters’13,...]



Can we build iO?

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## A Long Line of Work:

[Garg-Gentry-Halevi-Raykova-Sahai-Waters'13][Pass-Seth-Telang'14]

[Gentry-Lewko-Sahai-Waters'15][Ananth-Jain'15][Bitansky-Vaikuntanathan'15]

[Lin'16][Lin-Vaikuntanathan'16][Lin-Pass-Karn Seth-Telang'16]

[Garg-Miles-Mukherjee-Sahai-Srinivasan-Zhandry'16][Ananth-Sahai'17][Lin'17]

[Lin-Tessaro'17][Agrawal'19][Jain-Lin-Matt-Sahai'19][Brakerski-Dottling-Malavolta'20]...

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iO for *circuits* from well-founded assumptions

[Jain-Lin-Sahai'20]

**Question: Can we build iO for Turing machines?**



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**Why Turing machines?**

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- Natural representation of programs

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## Why Turing machines?

- Natural representation of programs

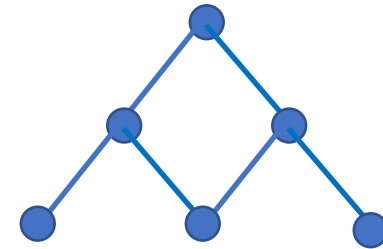
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```

(Turing Machine)

# Question: Can we build iO for Turing machines?

## Why Turing machines?

- Natural representation of programs
- Support *any* input length



Circuit Model: input length is fixed

# Question: Can we build iO for Turing machines?

## Why Turing machines?

- Natural representation of programs
- Support *any* input length
- *Small* obfuscated program size

```
1 function main() {  
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```

Obfuscated Turing Machine Size:  
***Poly(input Turing Machine)***

# Prior Work: Only for *Bounded*-Input Length

[BGLPT'15][CHJV'15][KLW'15][GS'18]...

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Adversary for iO

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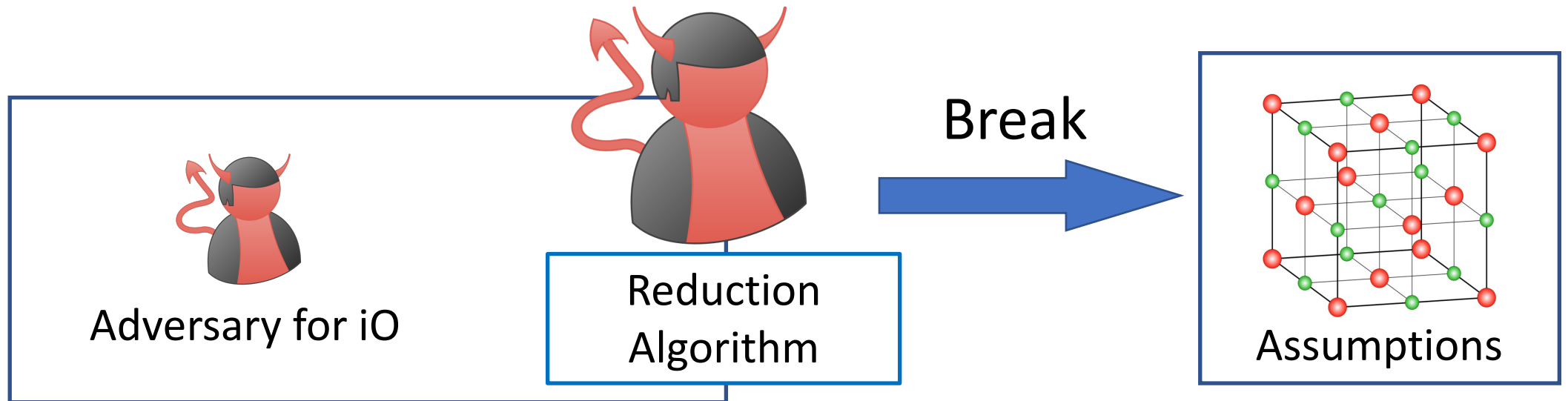


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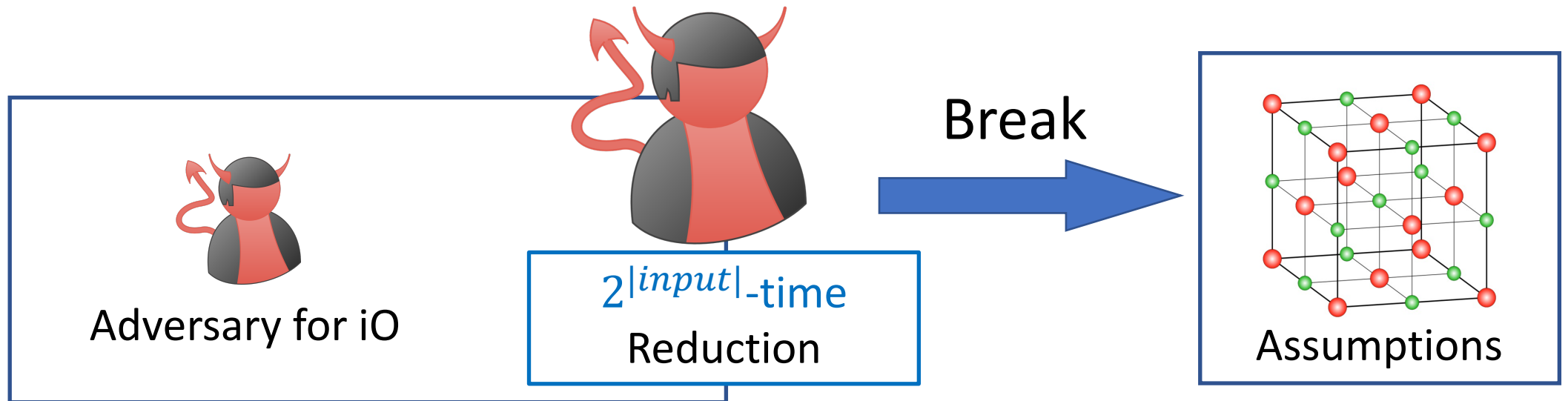
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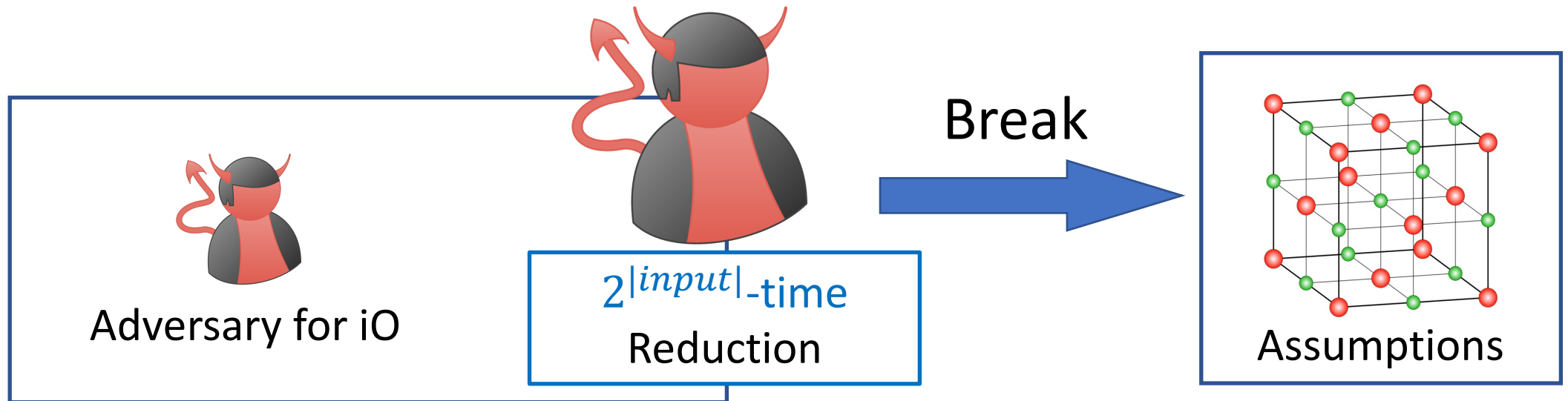
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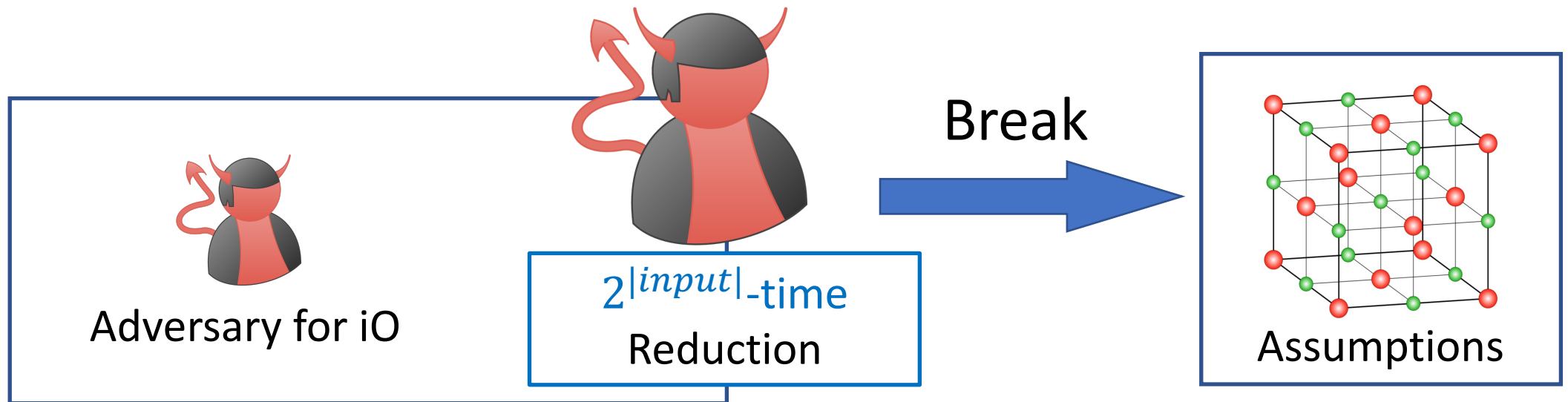
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**Assume  $2^{\lambda^c}$ -hardness of assumptions  
& set  $\lambda$  s.t.  $2^{\lambda^c} > 2^{|input|}$**

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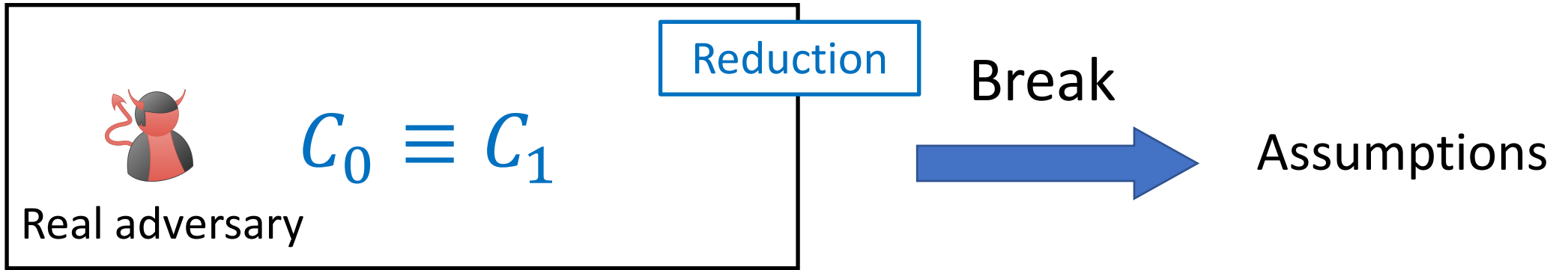
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**$\Rightarrow |input| < \lambda^c$**

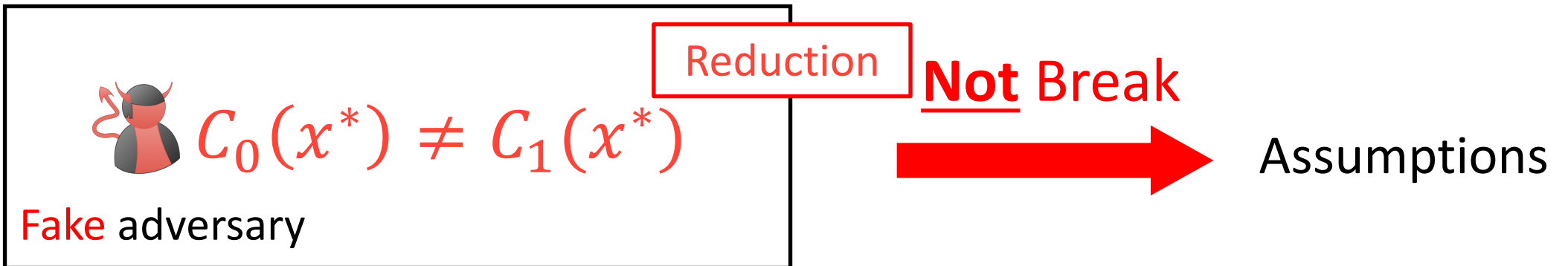
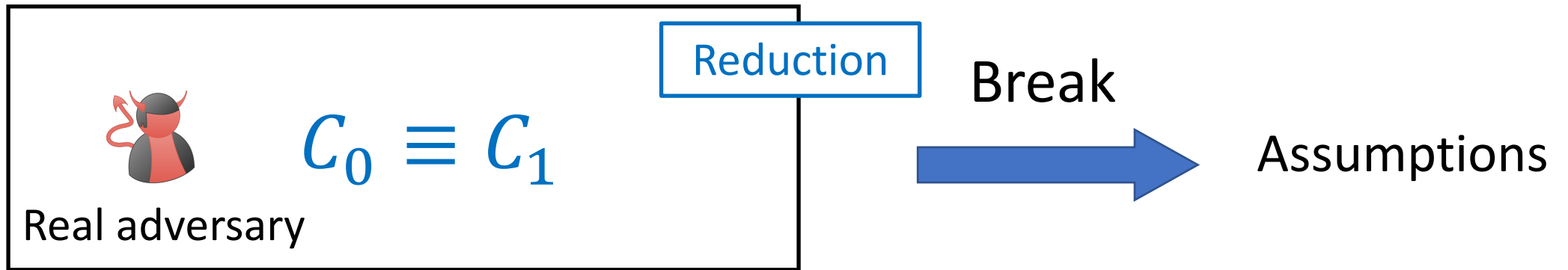
Why  $2^{|input|}$  Loss?



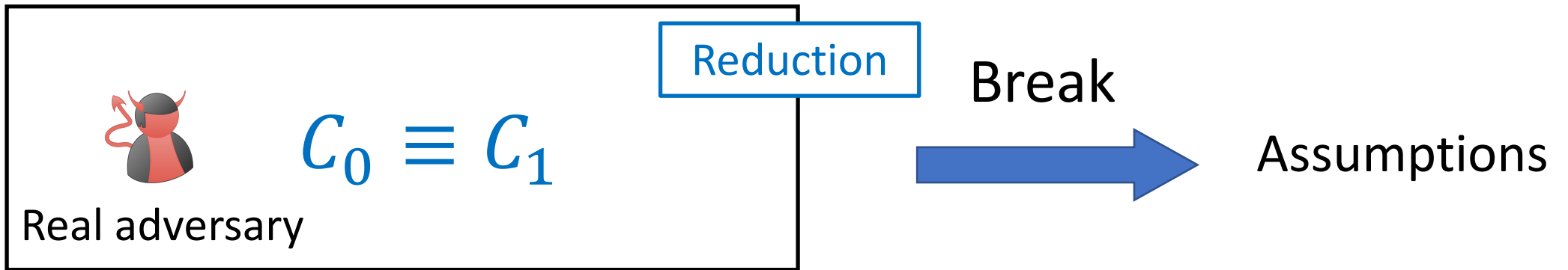
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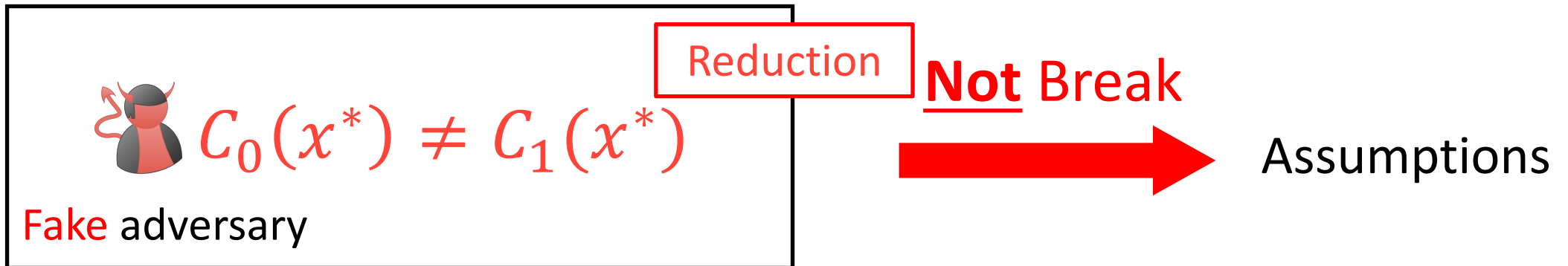
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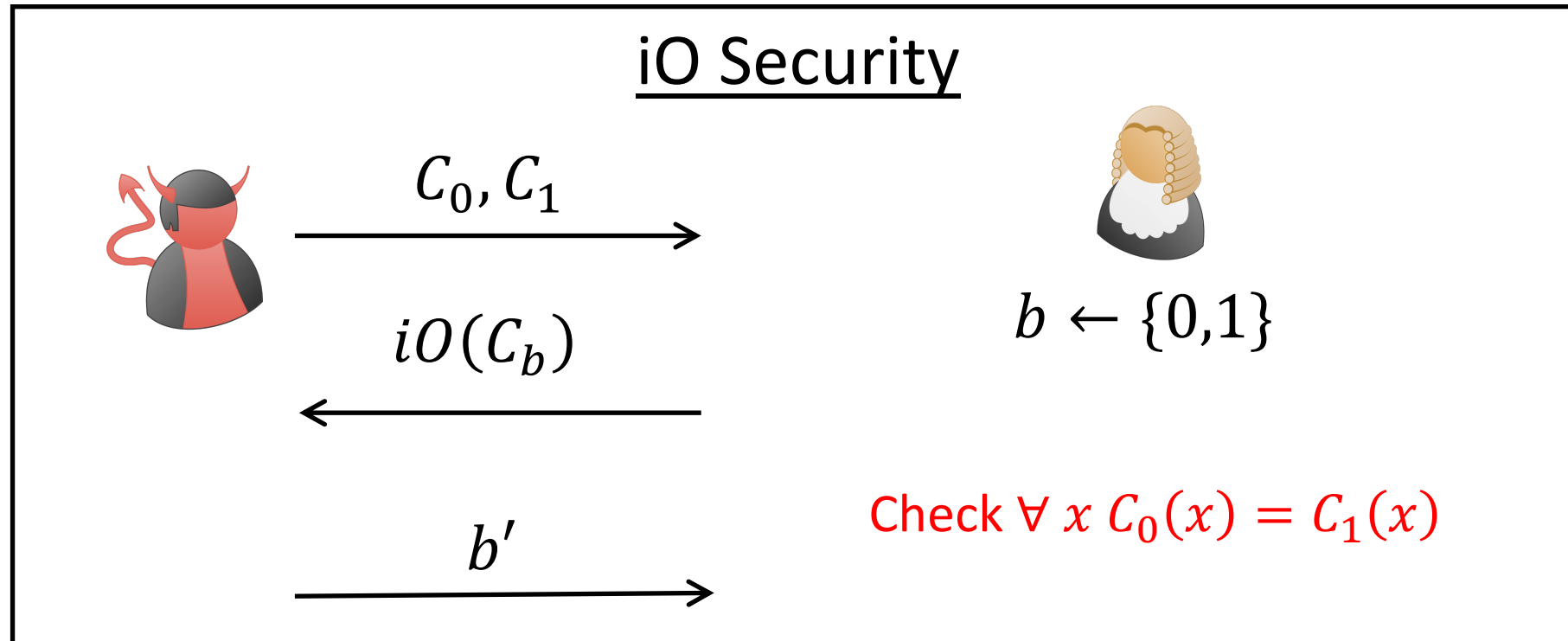
Reduction needs to 'decide' the functionality equivalence.



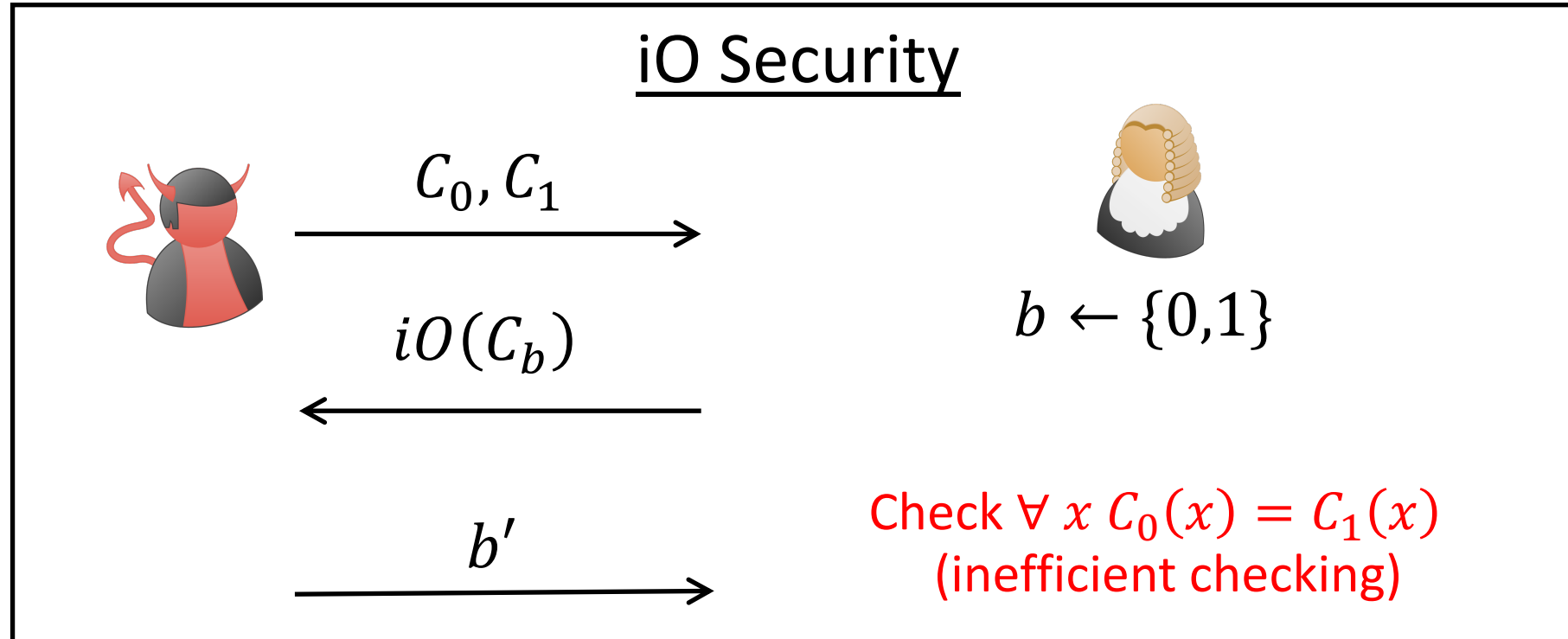


# Non-Falsifiability

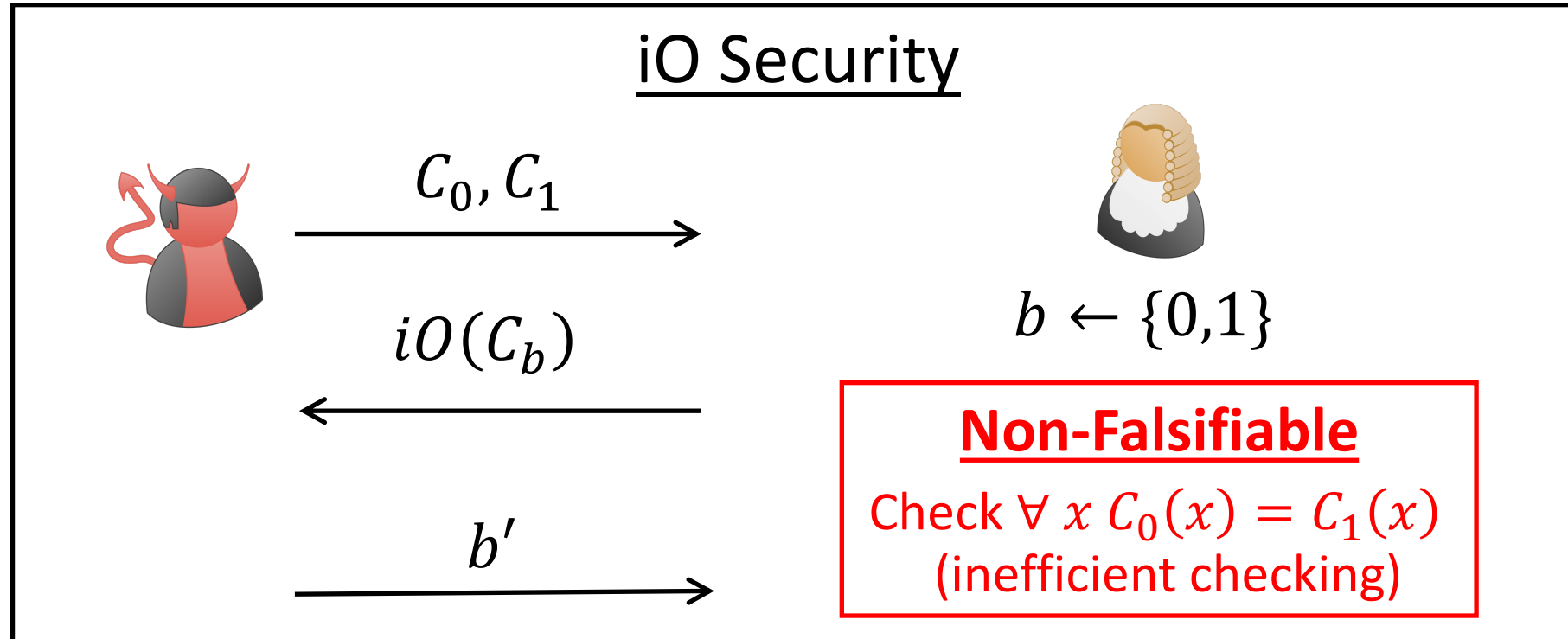
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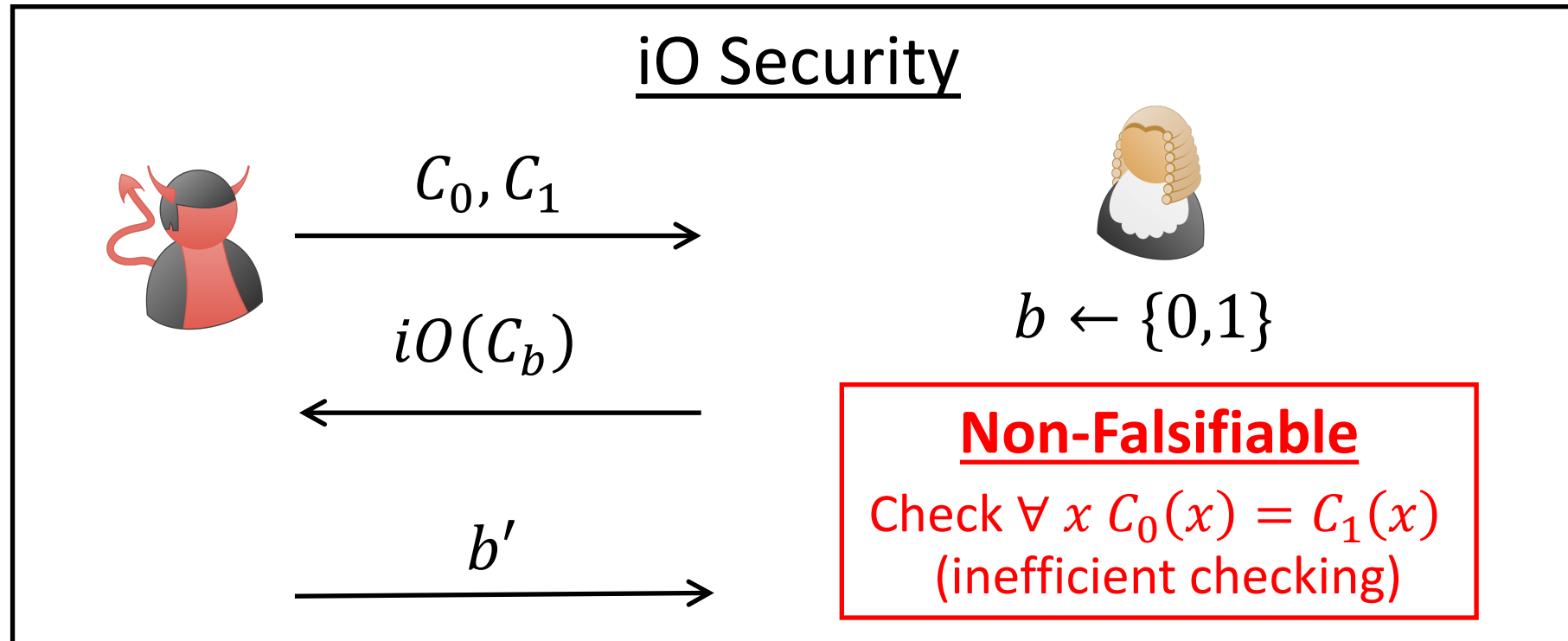
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# Non-Falsifiability



## Broader Perspective

**Non-Falsifiable** definitions appear in many other places,  
e.g. proof systems. [Gentry-Wichs'10]

*This Talk:* How to overcome the non-falsifiability barrier?

*This Talk:* How to overcome the non-falsifiability barrier?

### Prior Work

[Garg-Pandey-Srinivasan'16, Garg-Srinivasan'16,  
Garg-Pandey-Srinivasan-Zhandry'17][Liu-Zhandry'17]:  
Require that " $\forall x C_0(x) = C_1(x)$ " can be decided in **P**

# Observation: *We Prove* Equivalence in Math

 Applications



**iO**



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iO

Security Proof of the Application

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iO

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iO

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iO

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Math proof of  $\forall x C_0(x) = C_1(x)$

# Observation: We *Prove* Equivalence in Math

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iO

## Security Proof of the Application

Build  $C_0$ ,  $C_1$

 Math proof of  $\forall x C_0(x) = C_1(x)$

iO Security  $\Rightarrow iO(C_0) \approx iO(C_1)$

# Observation: We *Prove* Equivalence in Math

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**iO**



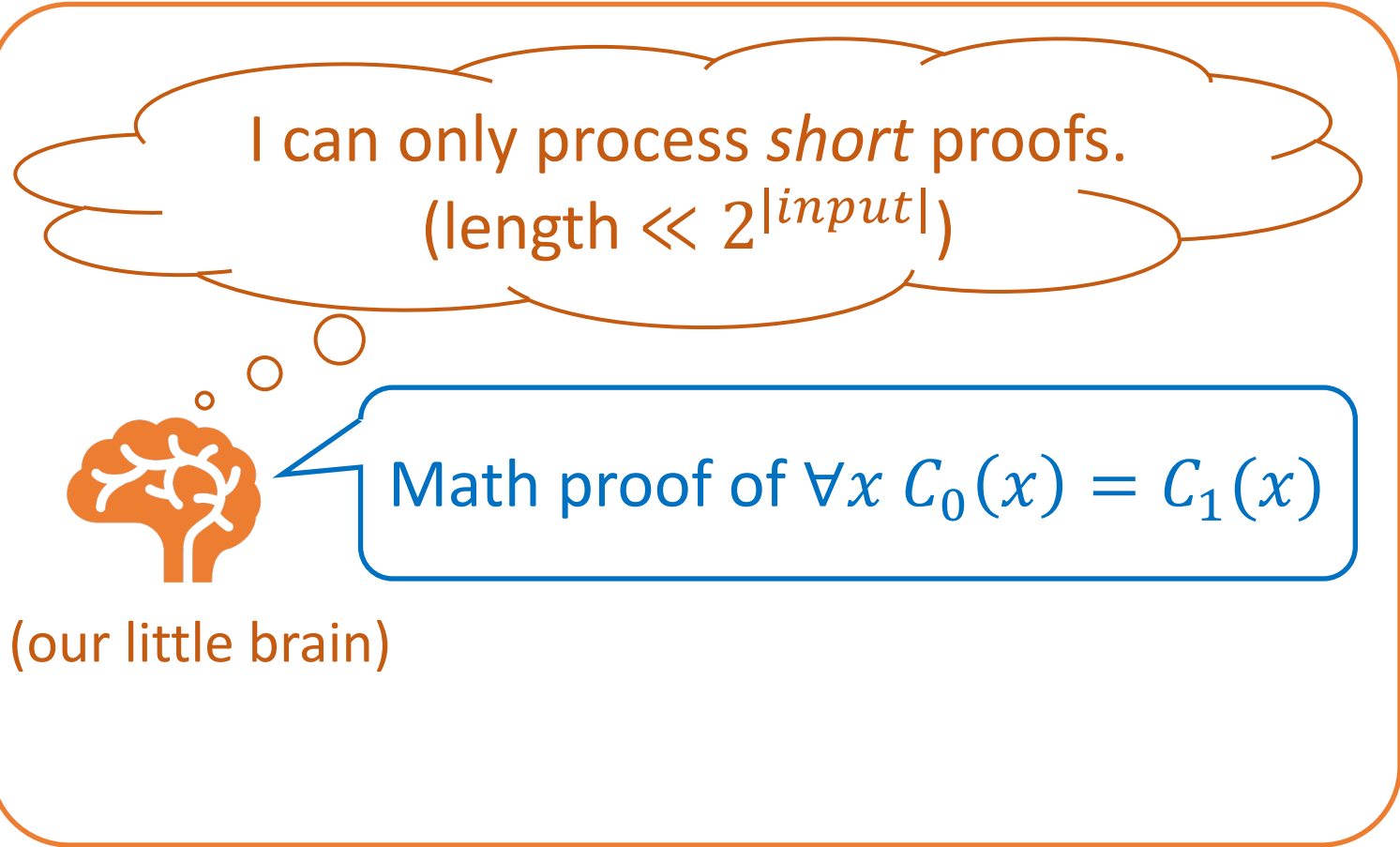
Math proof of  $\forall x C_0(x) = C_1(x)$

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# Our Approach

*Short* mathematical proof of “ $\forall x C_0(x) = C_1(x)$ ”

**iO**



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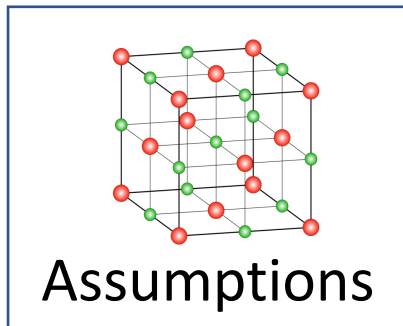
# Our Approach

*Short* mathematical proof of “ $\forall x C_0(x) = C_1(x)$ ”



*Efficient* Reduction Algorithm  
(this work)

iO



## Our Result

*iO* for any Turing machines  $M_1, M_2$  with “ $\forall x M_1(x) = M_2(x)$ ”  
*provable in Cook's Theory PV*, based on well-founded assumptions.

# Cook's Theory $PV$ [Cook'75]

- Polynomial time reasoning

Polynomial-time Induction rule:

“If  $\Phi(0)$  is true, and  $\forall n, \Phi(n) \rightarrow \Phi(2n) \wedge \Phi(2n + 1)$ , then  $\forall n \Phi(n)$ .”

Can define *any* polynomial-time functions, e.g.:

- Arithmetic:  $+$ ,  $-$ ,  $\times$ ,  $\div$ ,  $\leq$ ,  $<$ ,  $[\cdot]$ ,  $mod$ , ...
- Logic Symbols:  $\rightarrow$ ,  $\neg$ ,  $\wedge$ , ...

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Many crypto algorithms are “natural”:  
ElGamal Encryption  
Regev’s Encryption  
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Many crypto algorithms are “natural”:  
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## Unprovable Theorems (assume Factoring is hard)

- Fermat’s Little Theorem
- Correctness for “Primes is in P”

How to leverage mathematical proofs?

# How to leverage mathematical proofs?

*Overview of Techniques*

What Information does a Proof Provide?

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## Mathematical Proofs Have Structures

1.  $P \wedge Q$  Premise
2.  $P$  Decomposing a conjunction (1)
3.  $Q$  Decomposing a conjunction (1)
4.  $P \rightarrow \neg(Q \wedge R)$  Premise
5.  $\neg(Q \wedge R)$  Modus ponens (3,4)
6.  $\neg Q \vee \neg R$  DeMorgan (5)
7.  $\neg R$  Disjunctive syllogism (3,6)
8.  $S \rightarrow R$  Premise
9.  $\neg S$  Modus tollens (7,8)  $\square$



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Rest of the Talk: mainly focus on extended Frege ( $\mathcal{EF}$ ), since PV-proof can be translated to  $\mathcal{EF}$ -Proof.

Bypass  $2^{|\text{input}|}$ -Loss via  $\mathcal{EF}$ -Proofs

# Bypass $2^{|\text{input}|}$ -Loss via $\mathcal{EF}$ -Proofs

Hybrid Argument

$iO(C_0)$

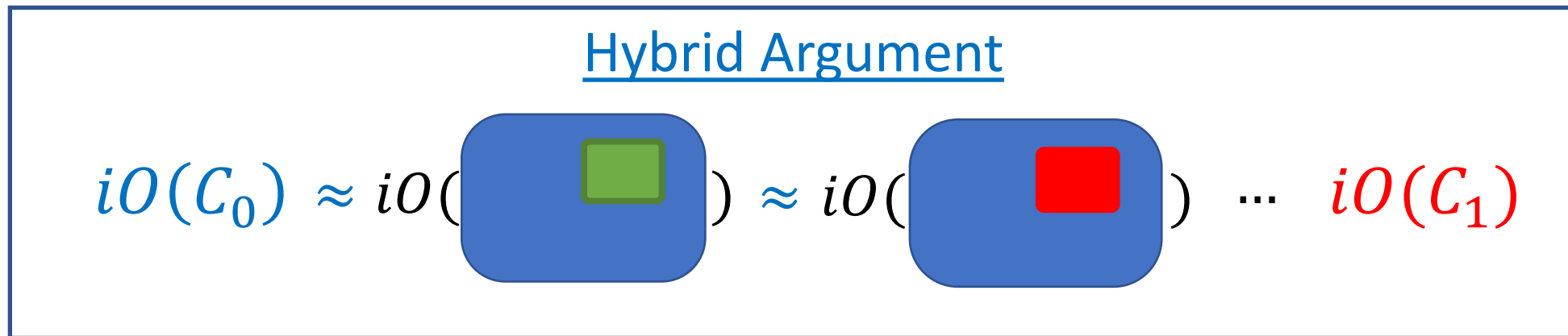
$iO(C_1)$

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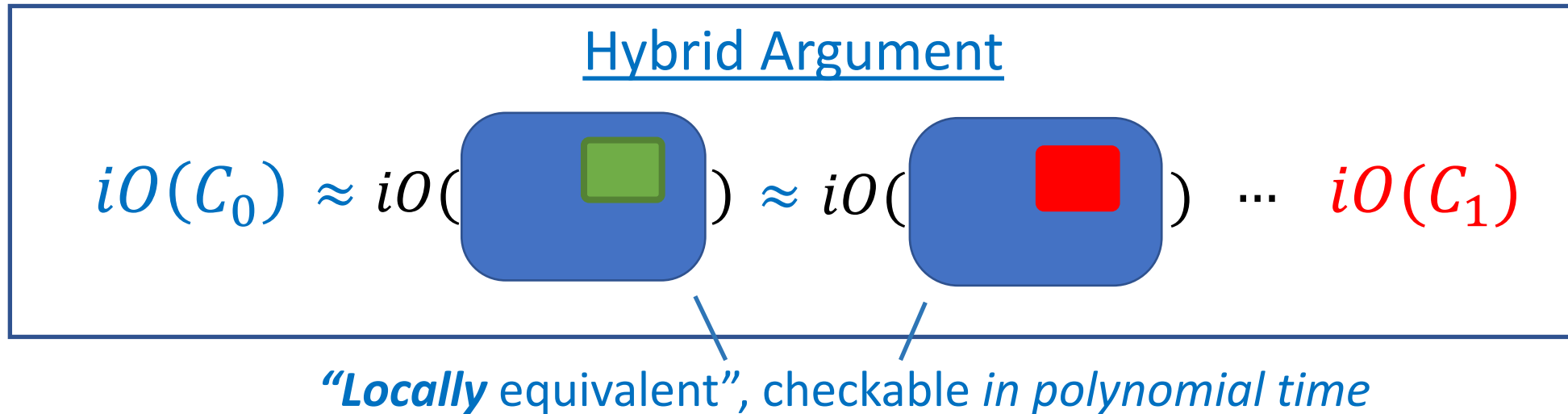
## Hybrid Argument

$$iO(C_0) \approx iO(\quad) \approx iO(\quad) \dots iO(C_1)$$

# Bypass $2^{|\text{input}|}$ -Loss via $\mathcal{EF}$ -Proofs



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# Bypass $2^{|\text{input}|}$ -Loss via $\mathcal{EF}$ -Proofs

We build iO for locally equivalent circuits with loss independent of  $|\text{input}|$ .

## Hybrid Argument

$$iO(C_0) \approx iO(\text{[Green Box]}) \approx iO(\text{[Red Box]}) \dots iO(C_1)$$

*“Locally equivalent”, checkable in polynomial time*

# Bypass $2^{|\text{input}|}$ -Loss via $\mathcal{EF}$ -Proofs

We build iO for locally equivalent circuits with loss independent of  $|\text{input}|$ .

## Hybrid Argument

$$iO(C_0) \approx iO(\text{blue box with green square}) \approx iO(\text{blue box with red square}) \dots iO(C_1)$$

*“Locally equivalent”, checkable in polynomial time*



Poly. size  $\mathcal{EF}$ -proof for  $C_0(x) \equiv C_1(x)$

# Technical Details

- $\mathcal{EF}$ -Proofs  $\Rightarrow$  local equivalence
- iO for locally equivalent ckts
- iO for Turing machines

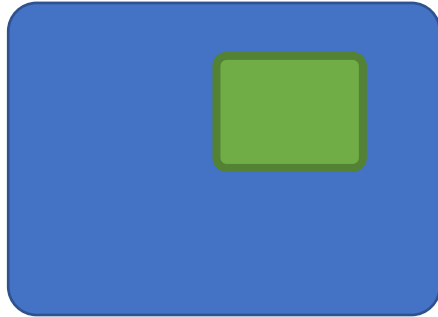
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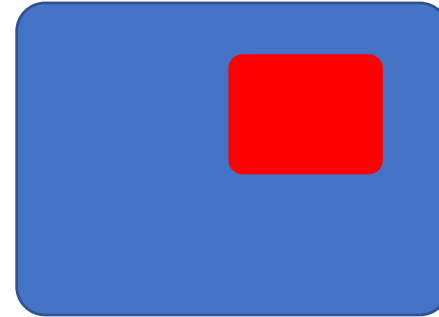
# Define Local Equivalence

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$C:$



$:C'$



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$C$  and  $C'$  are almost the same (with same topology), except for a **functionality equivalent sub-circuit** of size  $O(\log n)$



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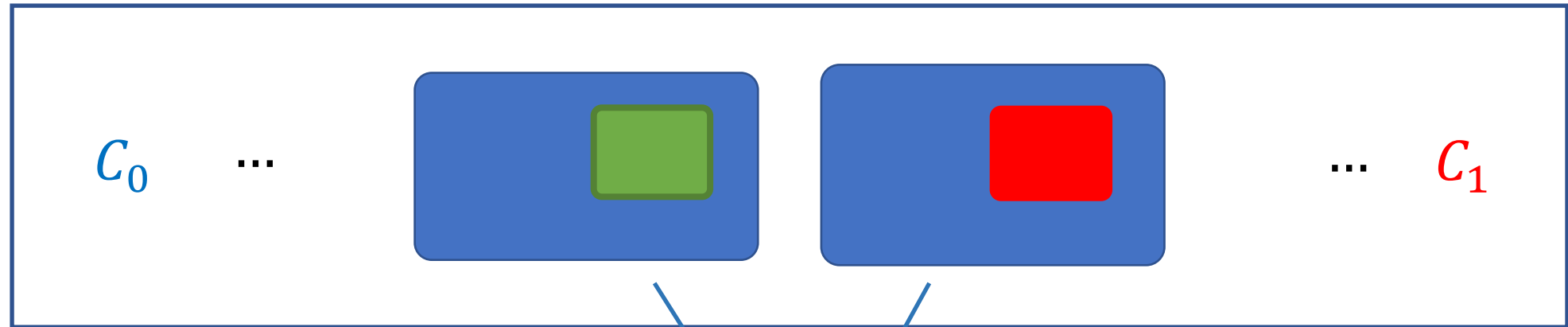


(Sub-circuit: induced subgraph from a subset of gates)



# Goal: $\mathcal{EF}$ -Proof $\Rightarrow$ Locally Equivalent Circuits

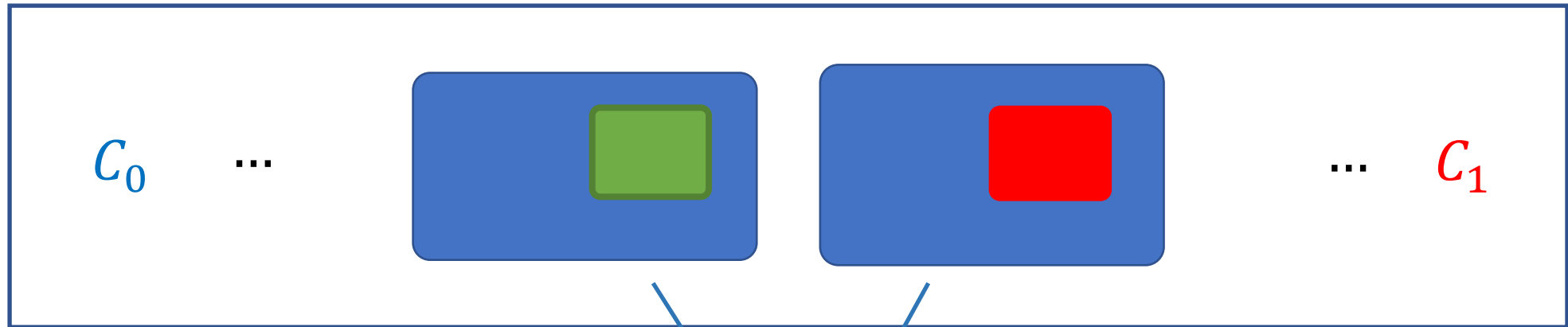
$\mathcal{EF}$  proof for  $C_0(x) \equiv C_1(x)$



Locally Equivalent

# Alternative View: A Series of Local Changes

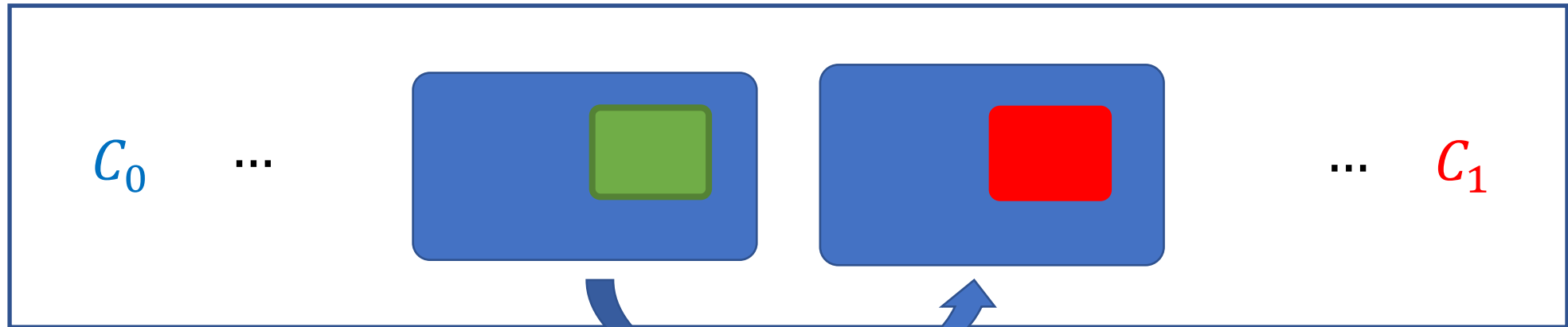
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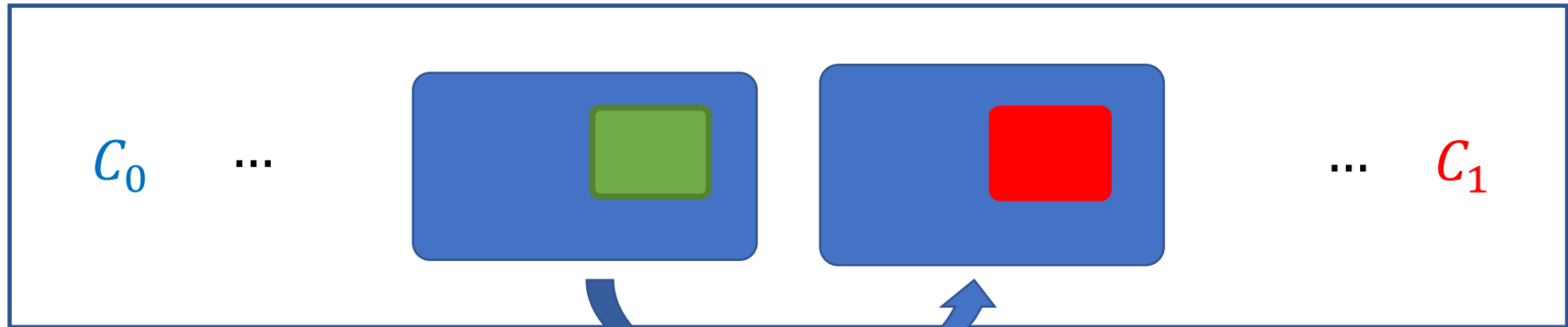
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Local Change

# Alternative View: A Series of Local Changes

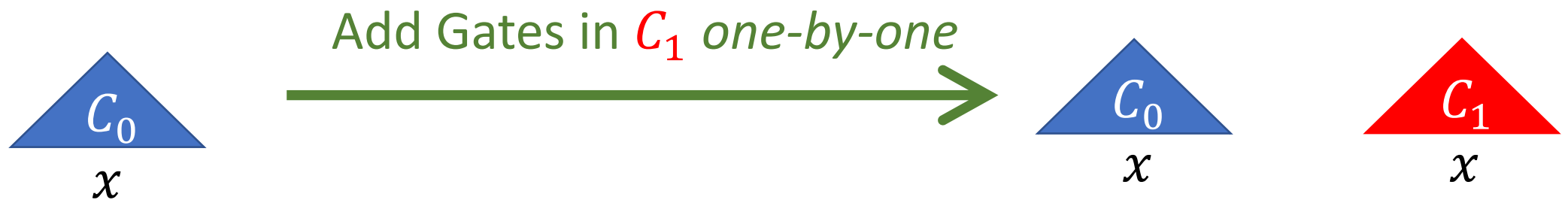
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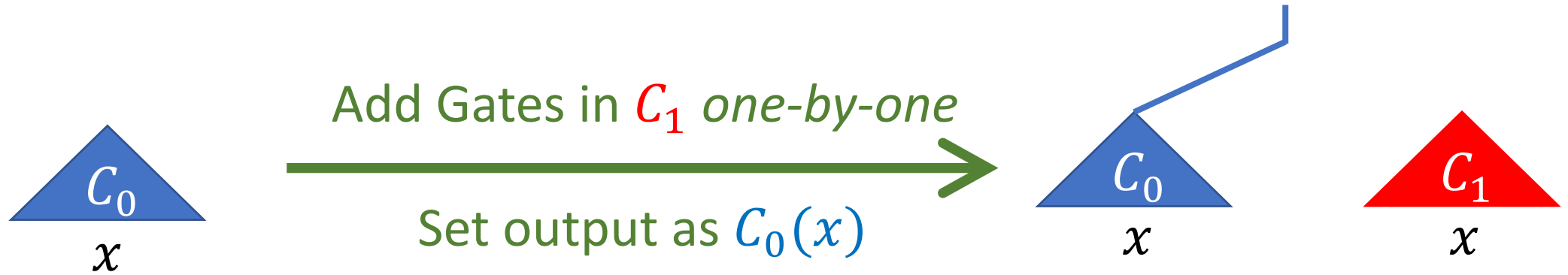
Local Change

Simplification in This Talk: Ignore topology & allow multi-arity gates

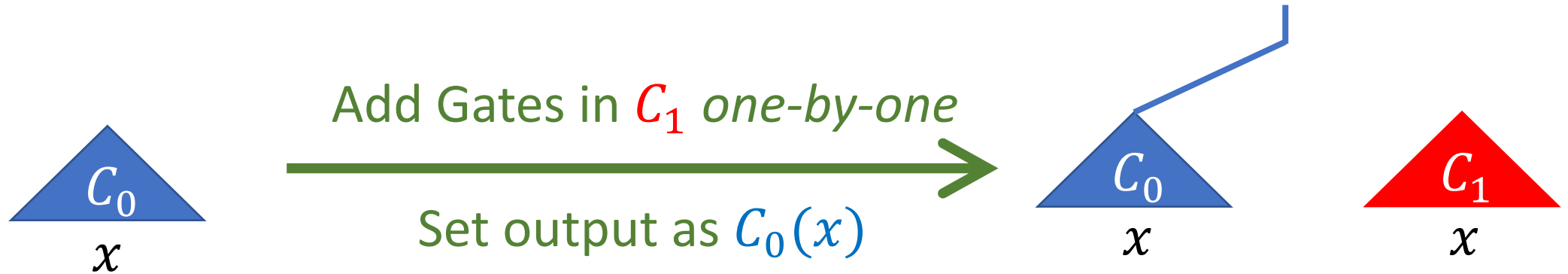
# Stage I: Grow $C_1$



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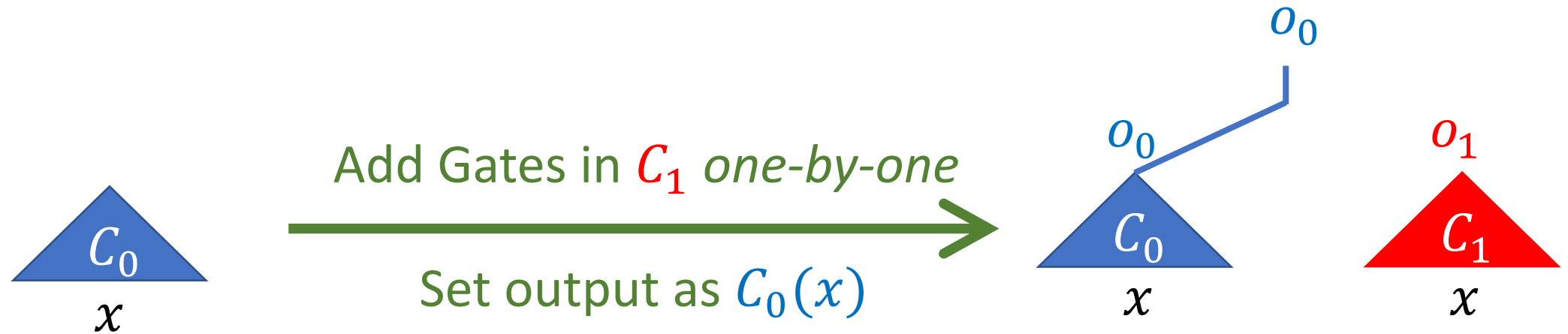
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## Local Equivalence

When a gate is added, its output is not used anywhere

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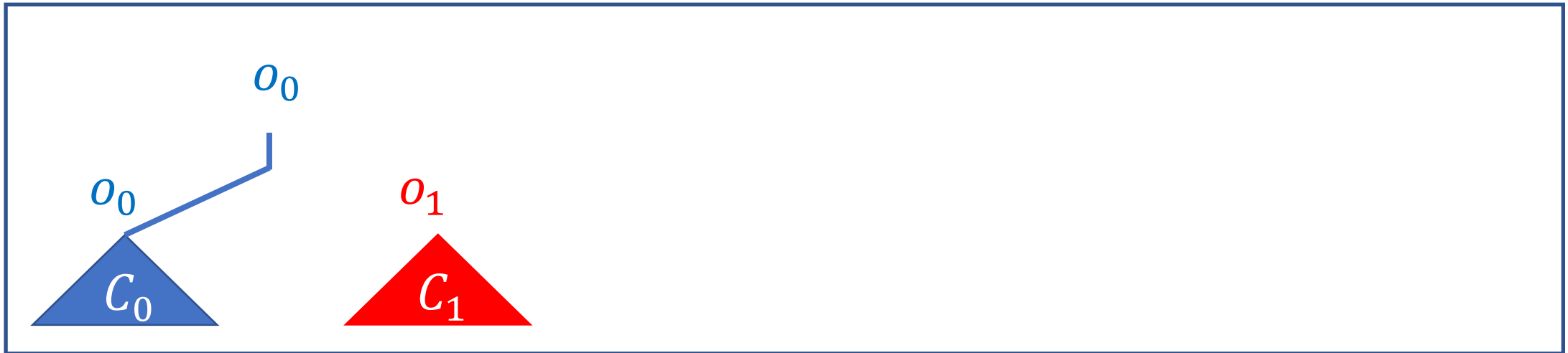
## Stage II: Grow the **Proof**

$\mathcal{EF}$ -Proof of  $C_0(x) \leftrightarrow C_1(x): \theta_1, \theta_2, \dots, \theta_\ell$



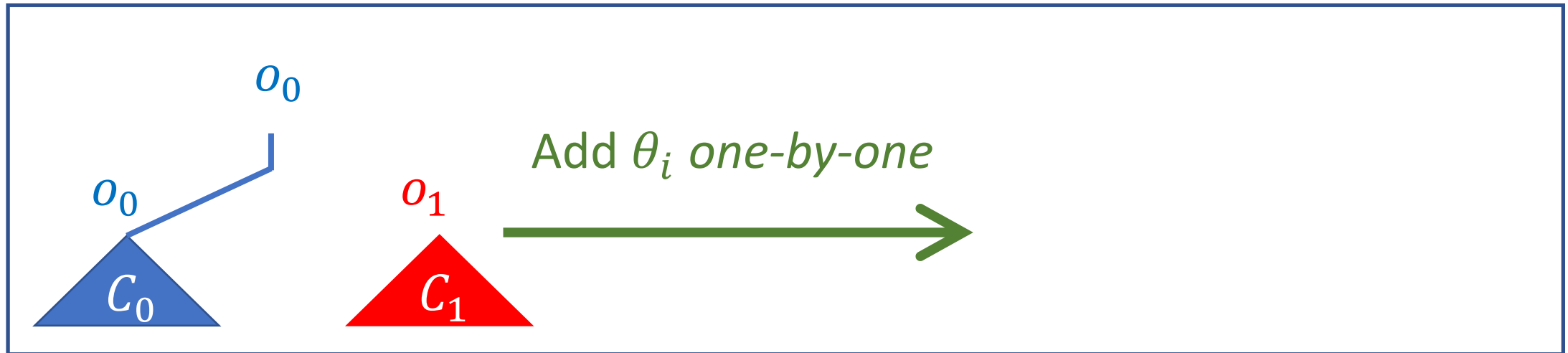
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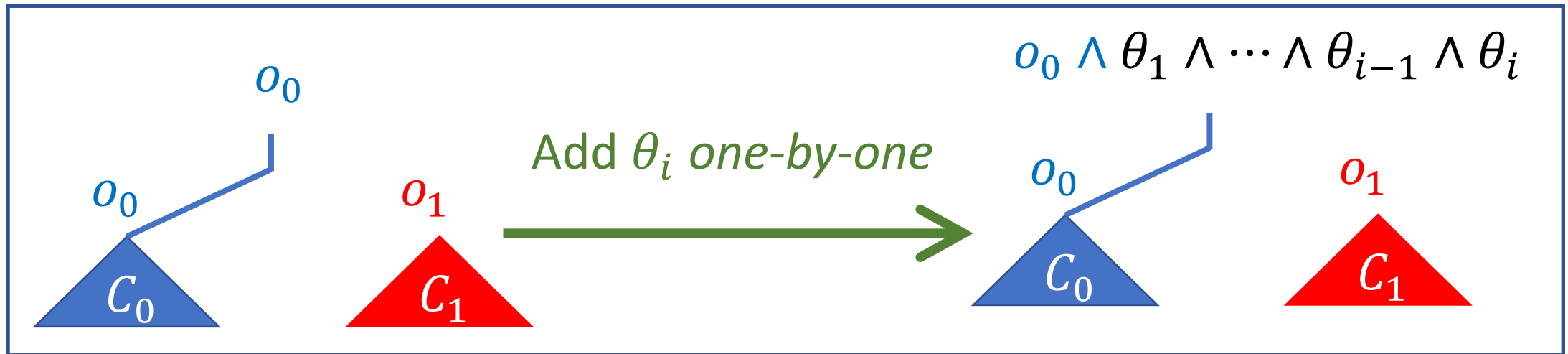
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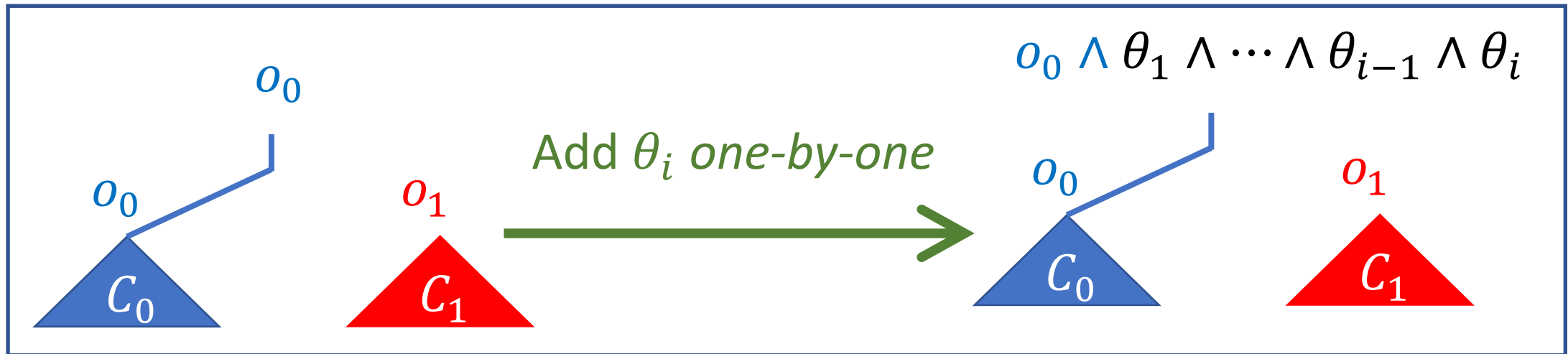
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$\mathcal{EF}$ -Proof of  $C_0(x) \leftrightarrow C_1(x): \theta_1, \theta_2, \dots, \theta_\ell$



**Intuition:**  $\theta_i$ 's (i.e. lines of the proof) are "true", so the functionality is preserved.

## Stage II: Local Equivalence

$i$ -th Step: Add  $\theta_i$

Before:  $C_0(x) \wedge \theta_1 \wedge \cdots \wedge \theta_{i-1}$

After:  $C_0(x) \wedge \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_i$

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Before:  $C_0(x) \wedge \theta_1 \wedge \cdots \wedge \theta_{i-1}$

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$\theta_i$  is derived via Modus Ponens:  $p, p \rightarrow q \vdash q$

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Before:

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## Stage II: Local Equivalence

$i$ -th Step: Add  $\theta_i$

Before:  $C_0(x) \wedge p \wedge \dots \wedge (p \rightarrow q) \wedge \dots$

After:  $C_0(x) \wedge p \wedge \dots \wedge (p \rightarrow q) \wedge \dots \wedge q$

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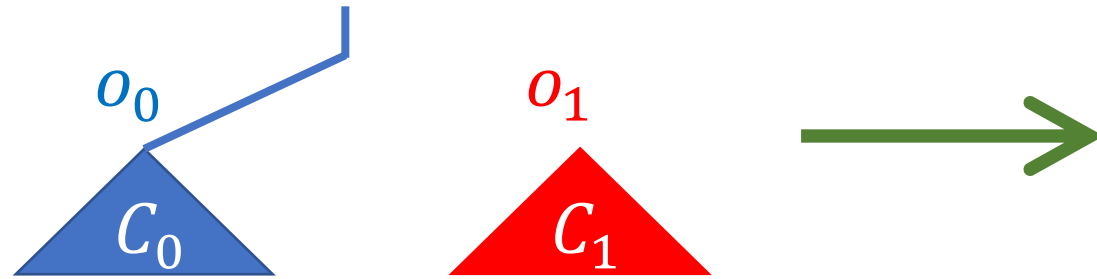
After:  $C_0(x) \wedge p \wedge \cdots \wedge (p \rightarrow q) \wedge \cdots \wedge q$

$\theta_i$  is derived via Modus Ponens:  $p, p \rightarrow q \vdash q$

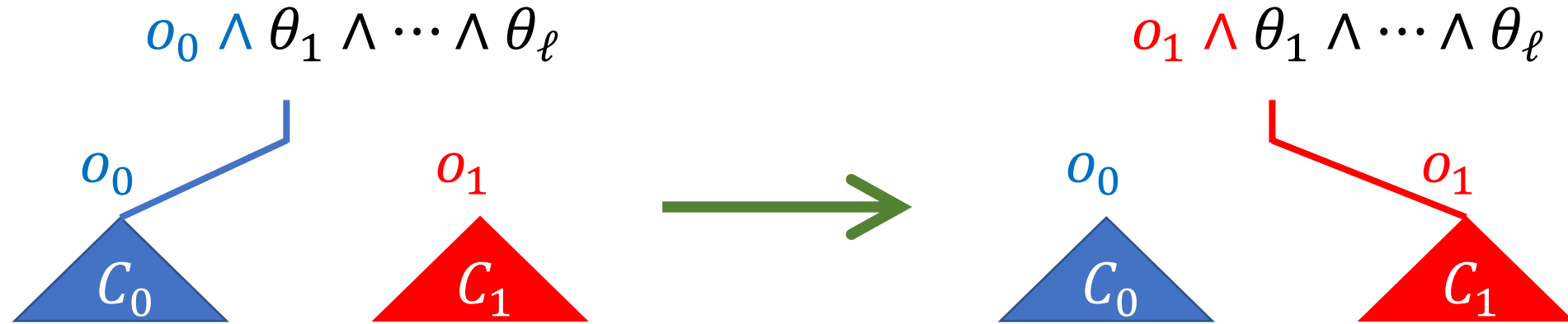
$$p \wedge (p \rightarrow q) \equiv p \wedge (p \rightarrow q) \wedge q$$

# Stage III: Switch $o_0$ to $o_1$

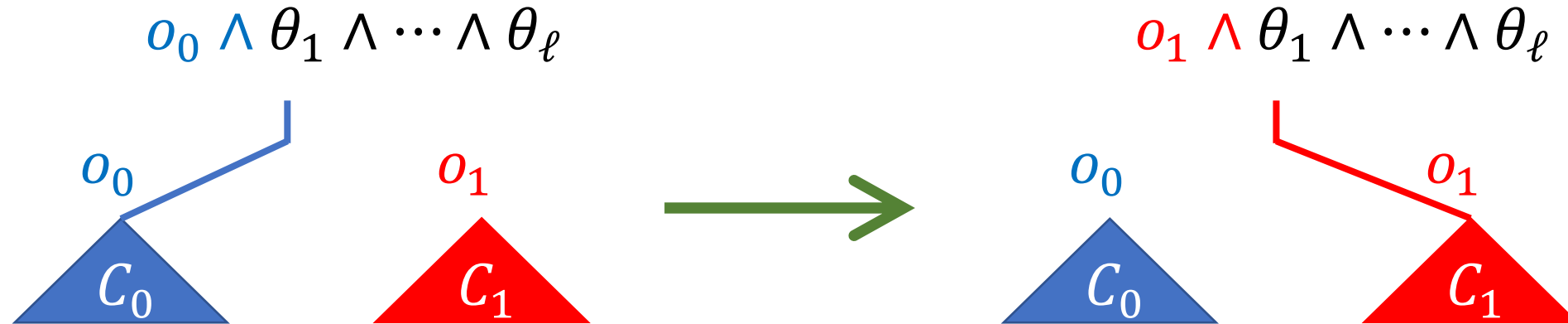
$$o_0 \wedge \theta_1 \wedge \cdots \wedge \theta_\ell$$



# Stage III: Switch $o_0$ to $o_1$



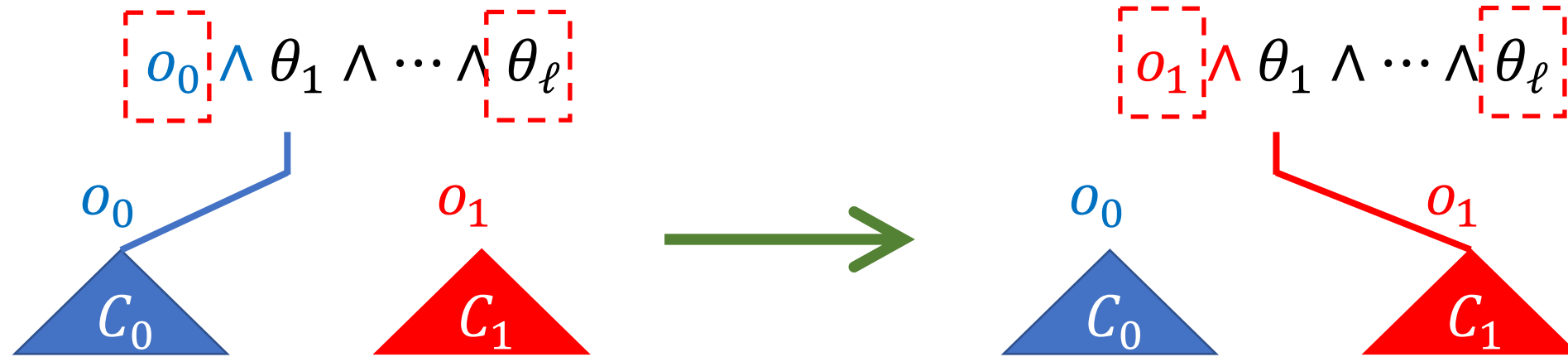
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## Local Equivalence

$\theta_\ell$  is " $o_0 \leftrightarrow o_1$ " (A proof of  $C_0(x) \leftrightarrow C_1(x)$  must end with  $o_0 \leftrightarrow o_1$  )

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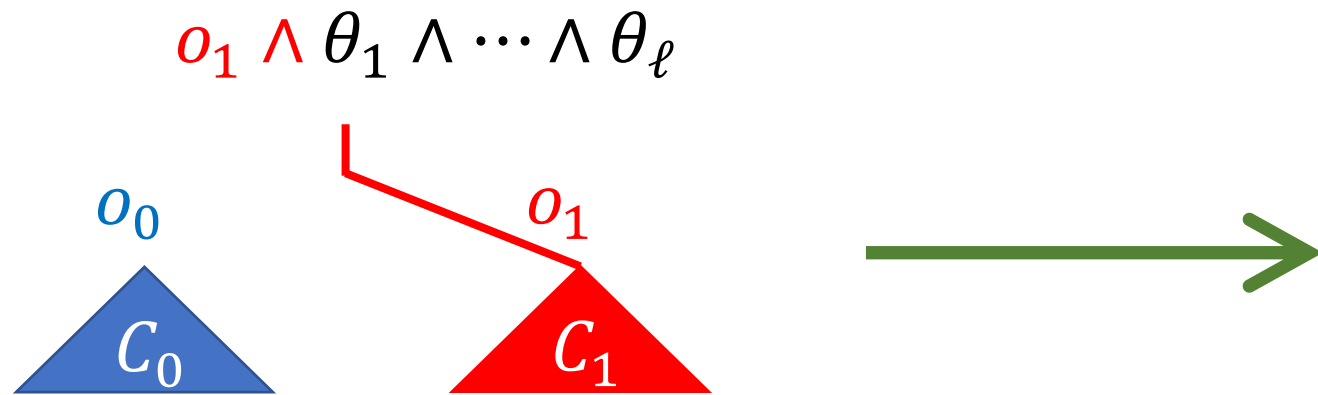


## Local Equivalence

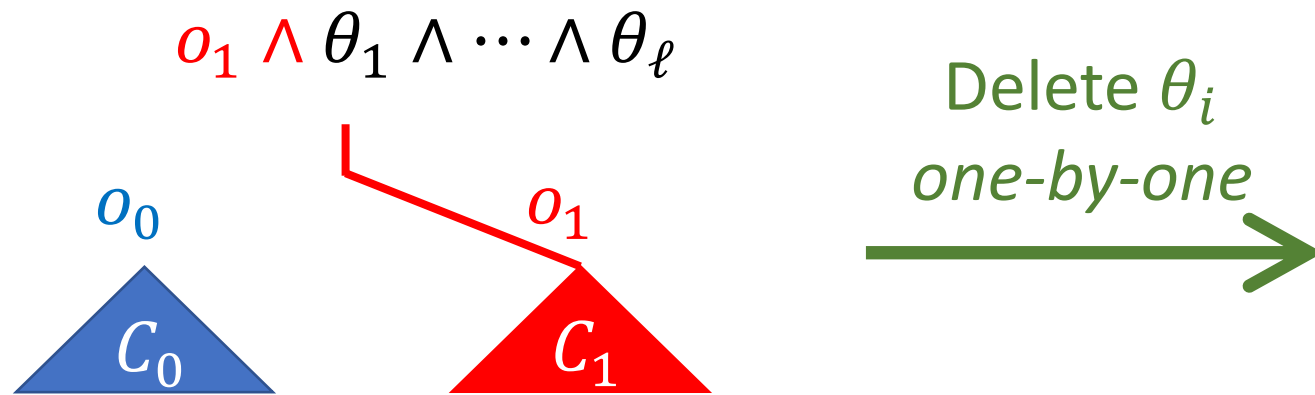
$\theta_\ell$  is “ $o_0 \leftrightarrow o_1$ ” (A proof of  $C_0(x) \leftrightarrow C_1(x)$  must end with  $o_0 \leftrightarrow o_1$  )

$$o_0 \wedge (o_0 \leftrightarrow o_1) \equiv o_1 \wedge (o_0 \leftrightarrow o_1)$$

# Stage IV: Shrink the Proof

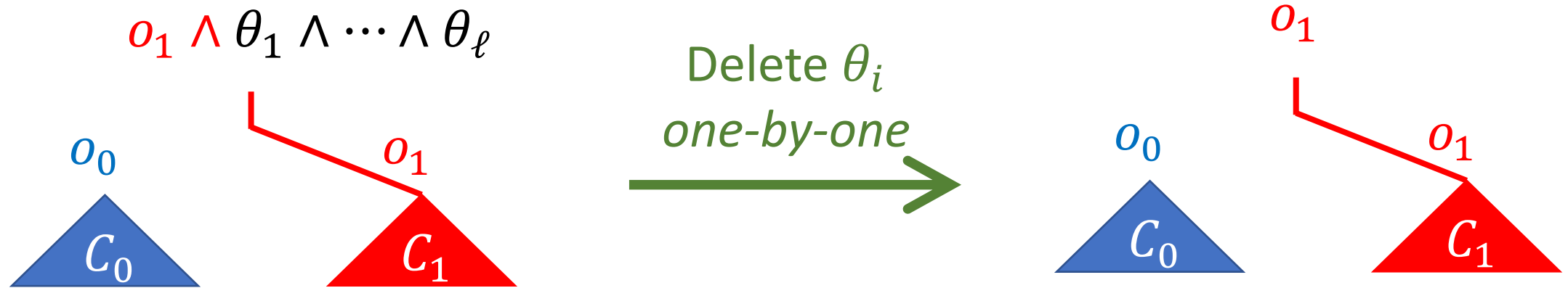


# Stage IV: Shrink the Proof

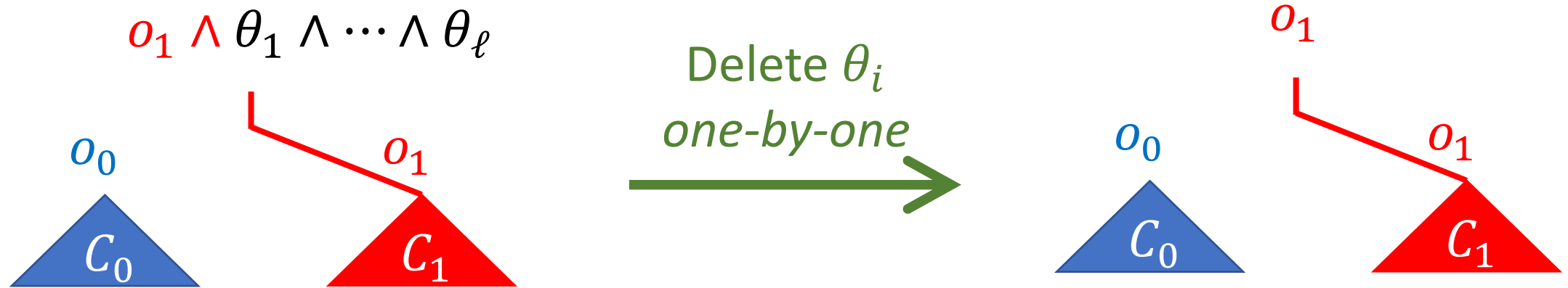




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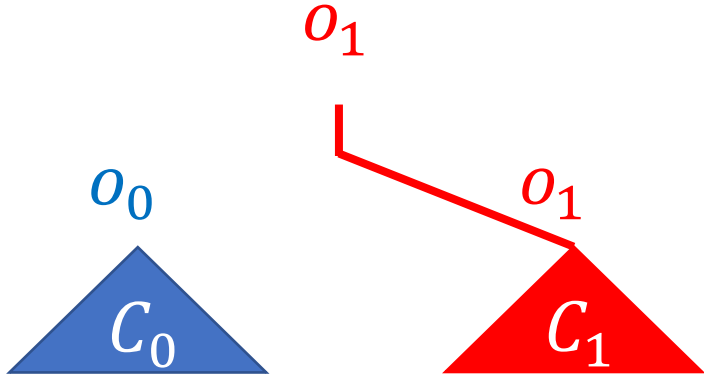
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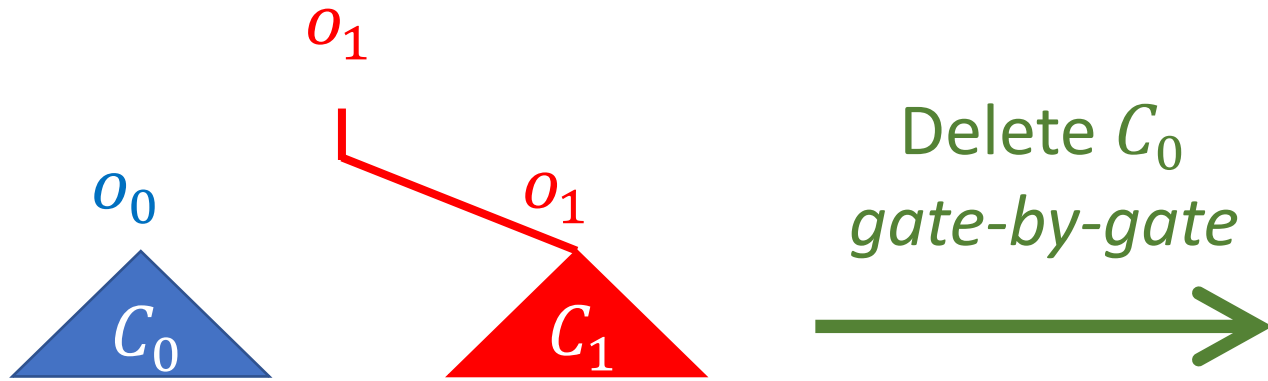
Local Equivalence: Similar to “Grow the proof” Stage

Stage V: Shrink  $C_0$

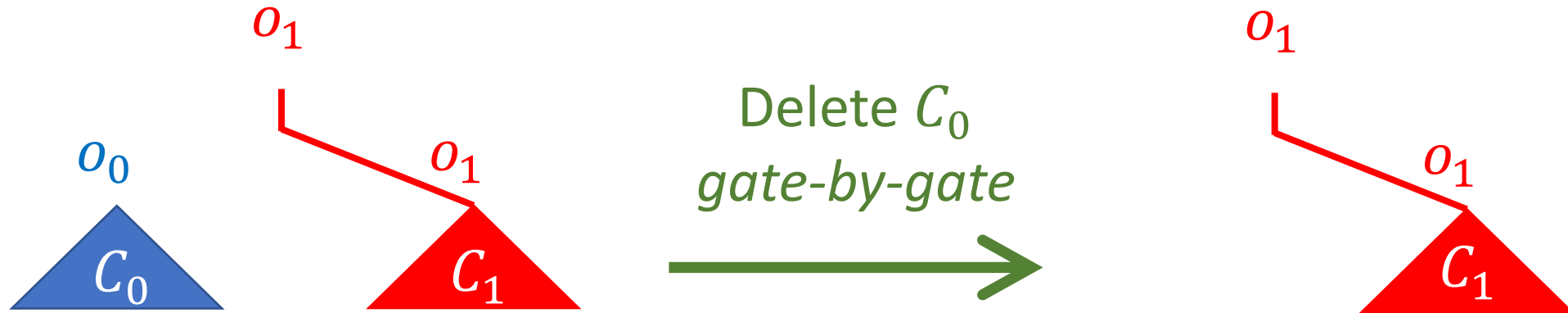
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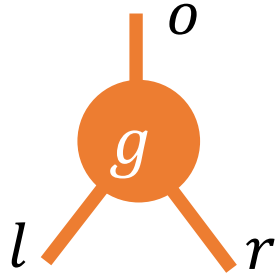
Local Equivalence: Similar to “Grow  $C_1$ ” Stage

# Technical Details

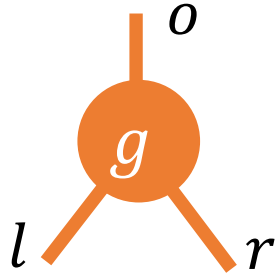
- $\mathcal{EF}$ -Proofs  $\Rightarrow$  local equivalence
- **iO for locally equivalent ckts**
- iO for Turing machines



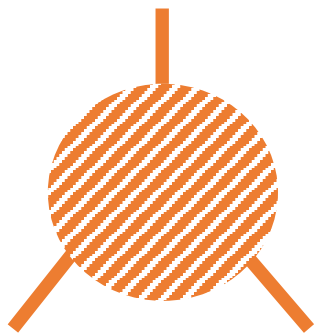
# Gate-by-Gate Obfuscation



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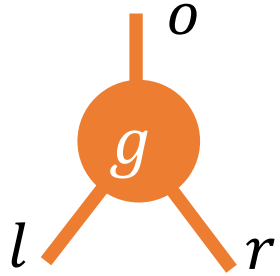


Obfuscate

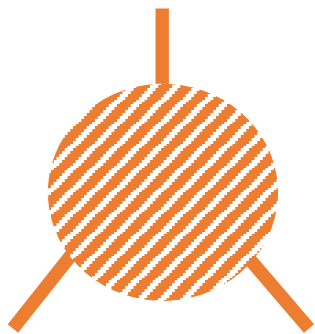


$iO(C_g)$

# Gate-by-Gate Obfuscation



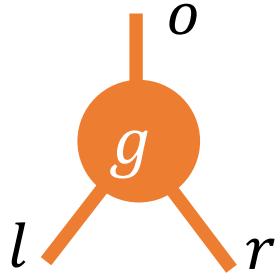
Obfuscate



$iO$  for *small* ckt

$iO(C_g)$

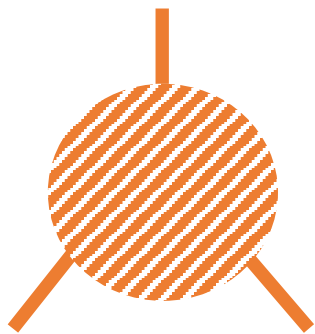
# Gate-by-Gate Obfuscation



: encrypt and sign the wire values



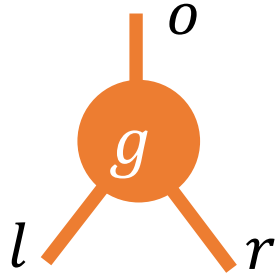
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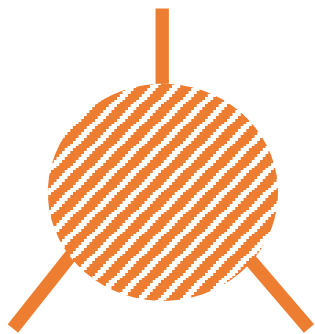
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: encrypt and sign the wire values



Obfuscate



iO for *small* ckt

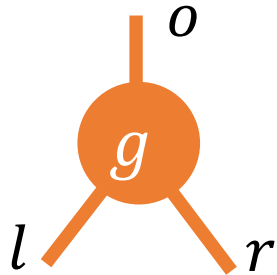
$iO(C_g)$

$$C_g( \boxed{m_l} \quad \boxed{m_r} )$$

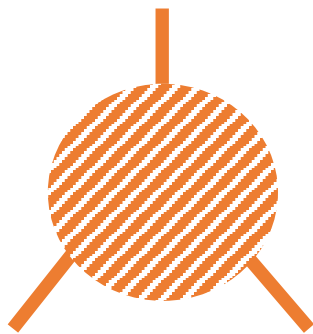
Decrypt  $m_l, m_r$   
 $m_o = g(w_l, w_r)$

Output:  $\boxed{m_o}$

# Gate-by-Gate Obfuscation



Obfuscate

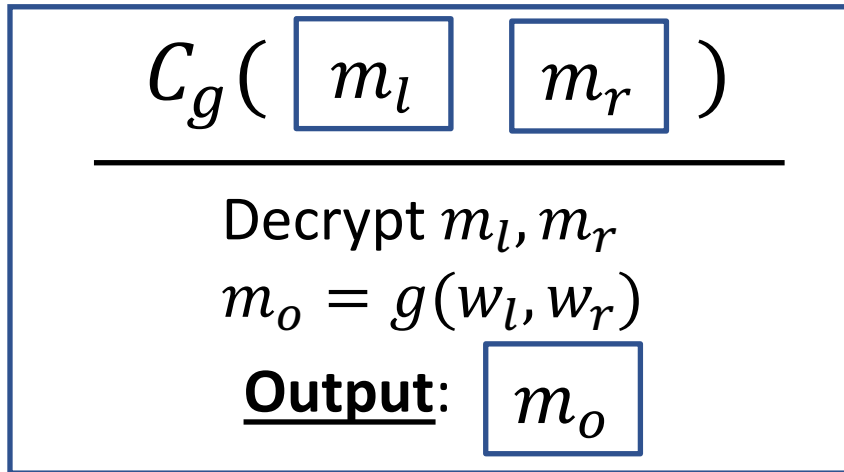


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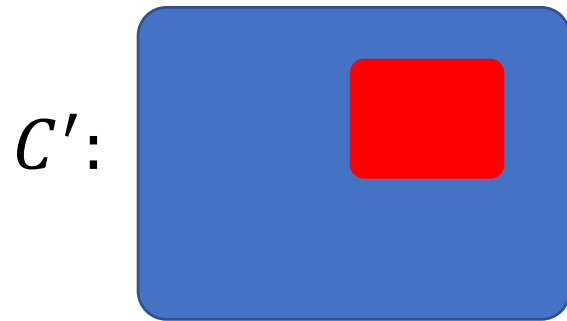
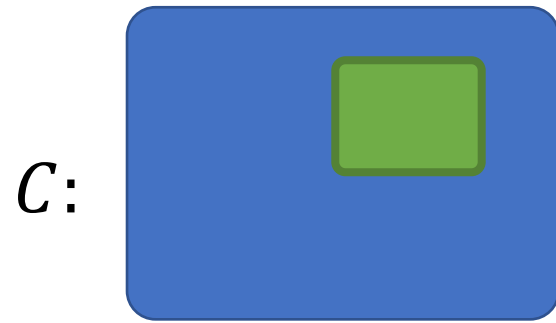
: encrypt and sign the wire values



**Key Feature:** obfuscated circuit preserves the *topology* of the input circuit

Prove Security w/o  $2^{|\text{input}|}$  Loss

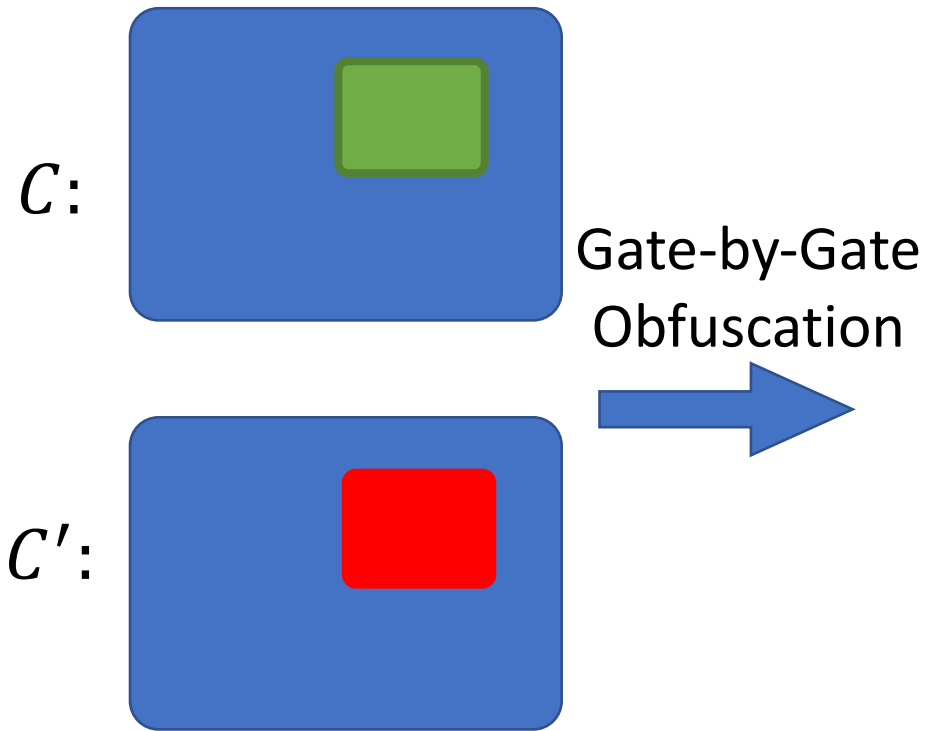
# Prove Security w/o $2^{|\text{input}|}$ Loss



$C, C'$ : Locally Equivalent

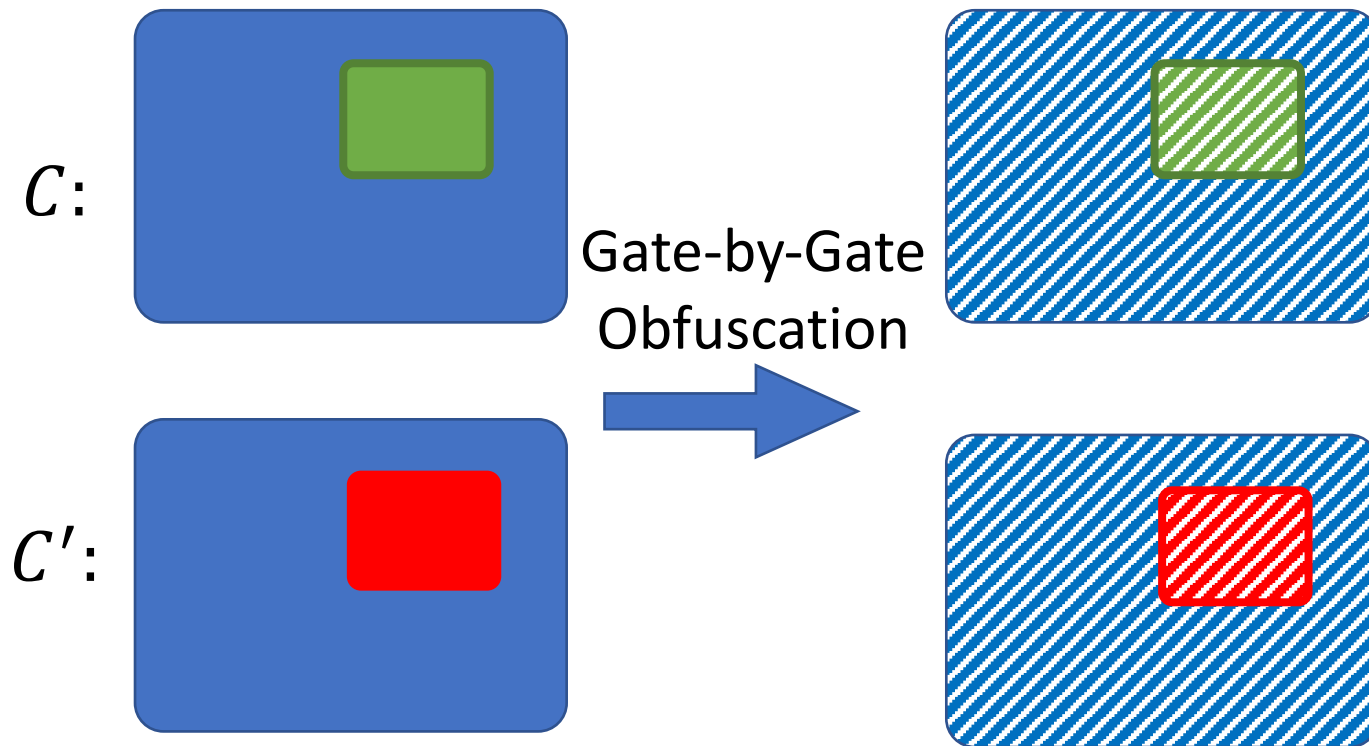


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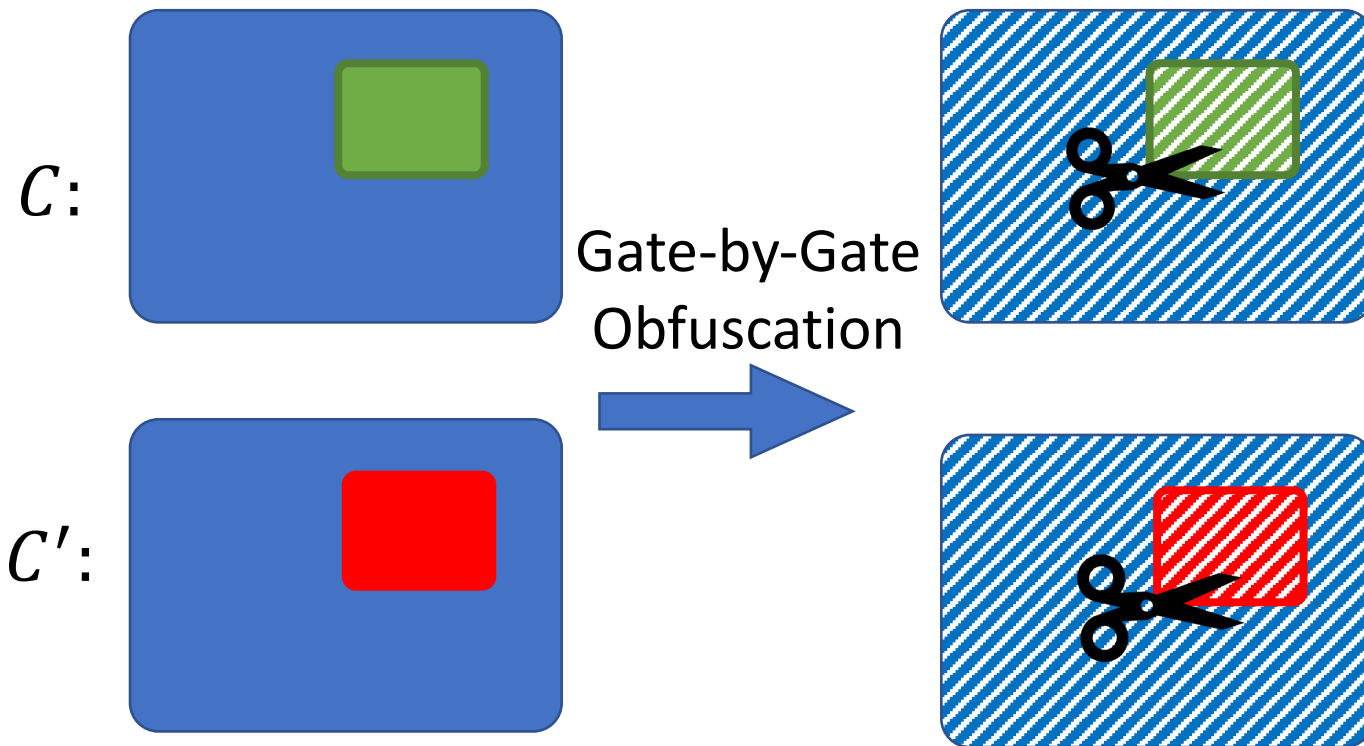
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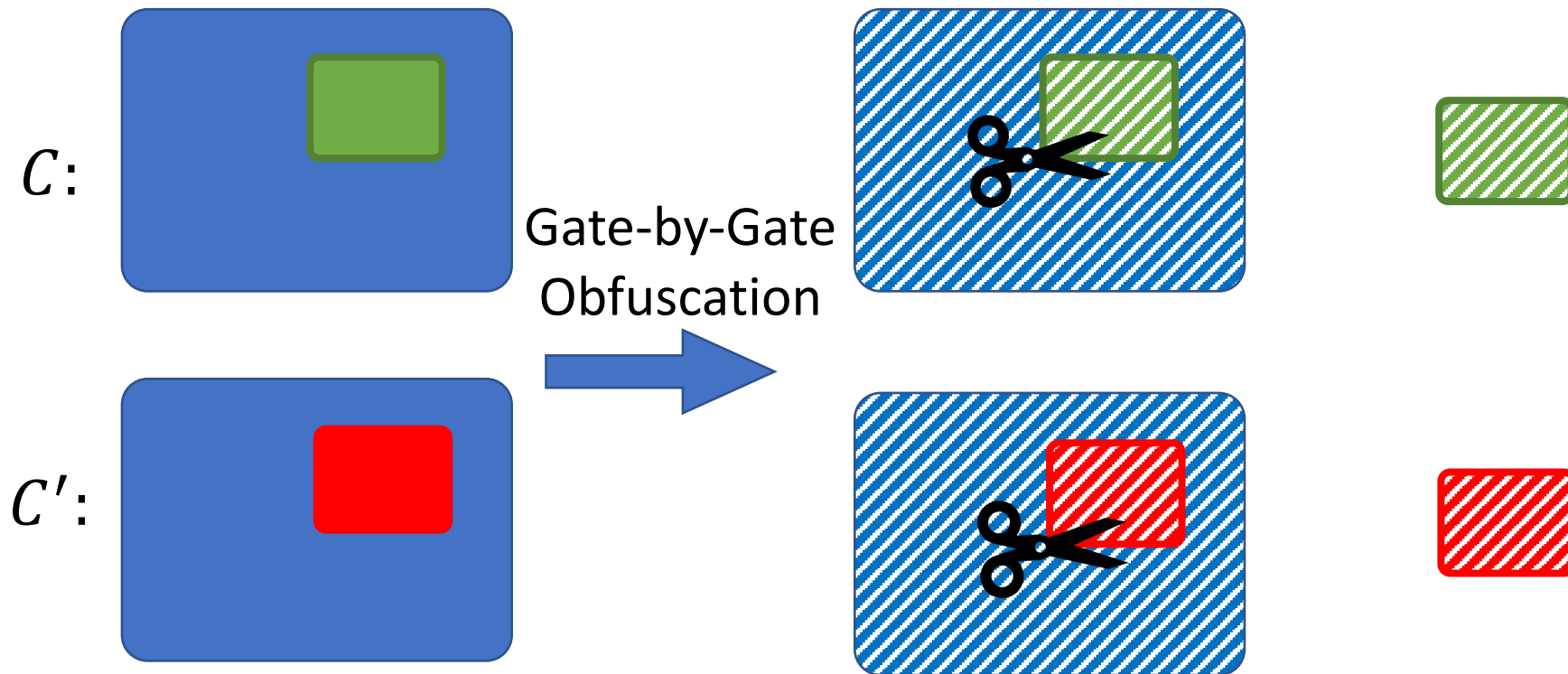
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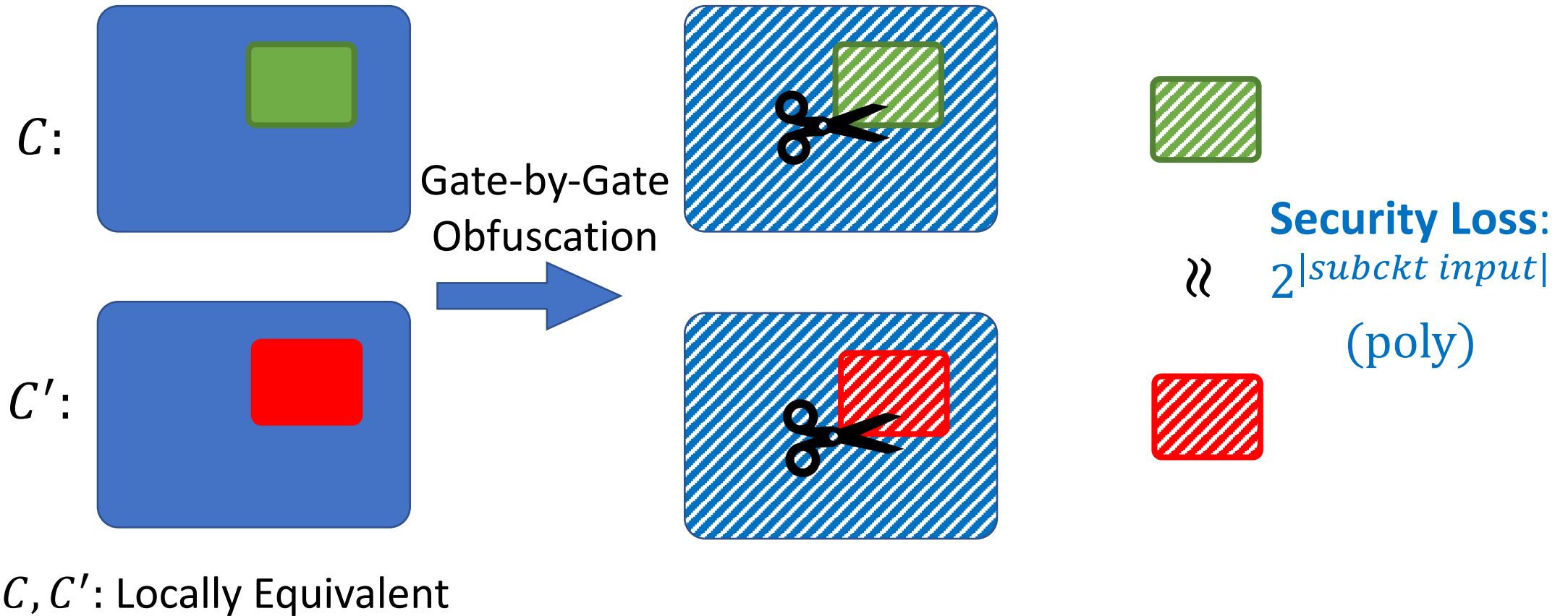
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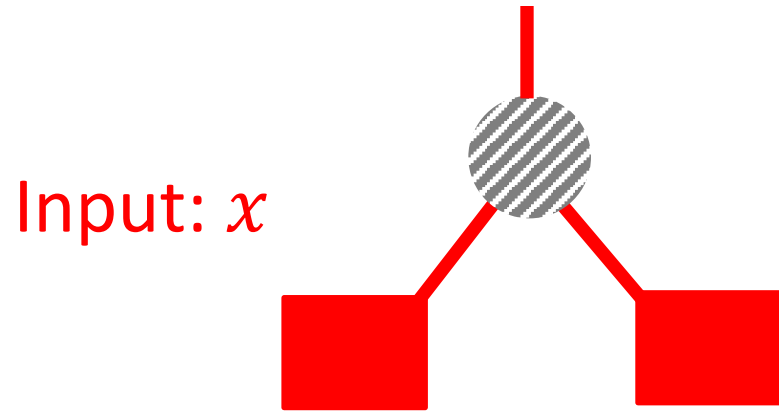
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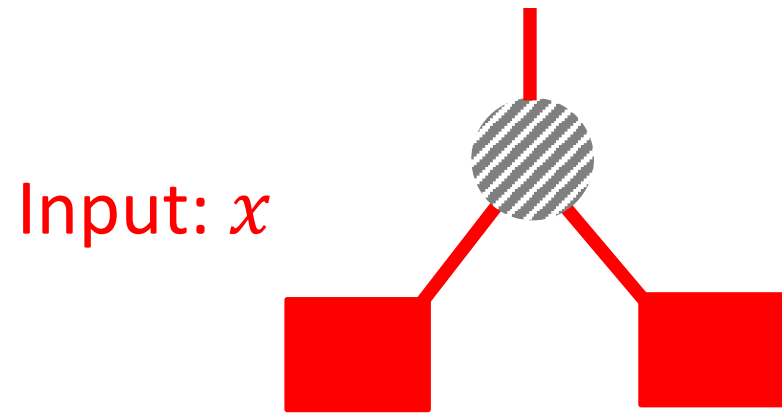


Challenge: **Mix-and-Match** Attack

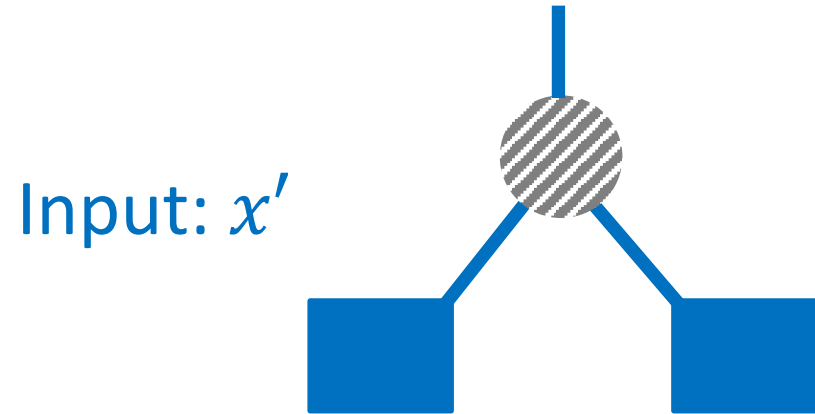
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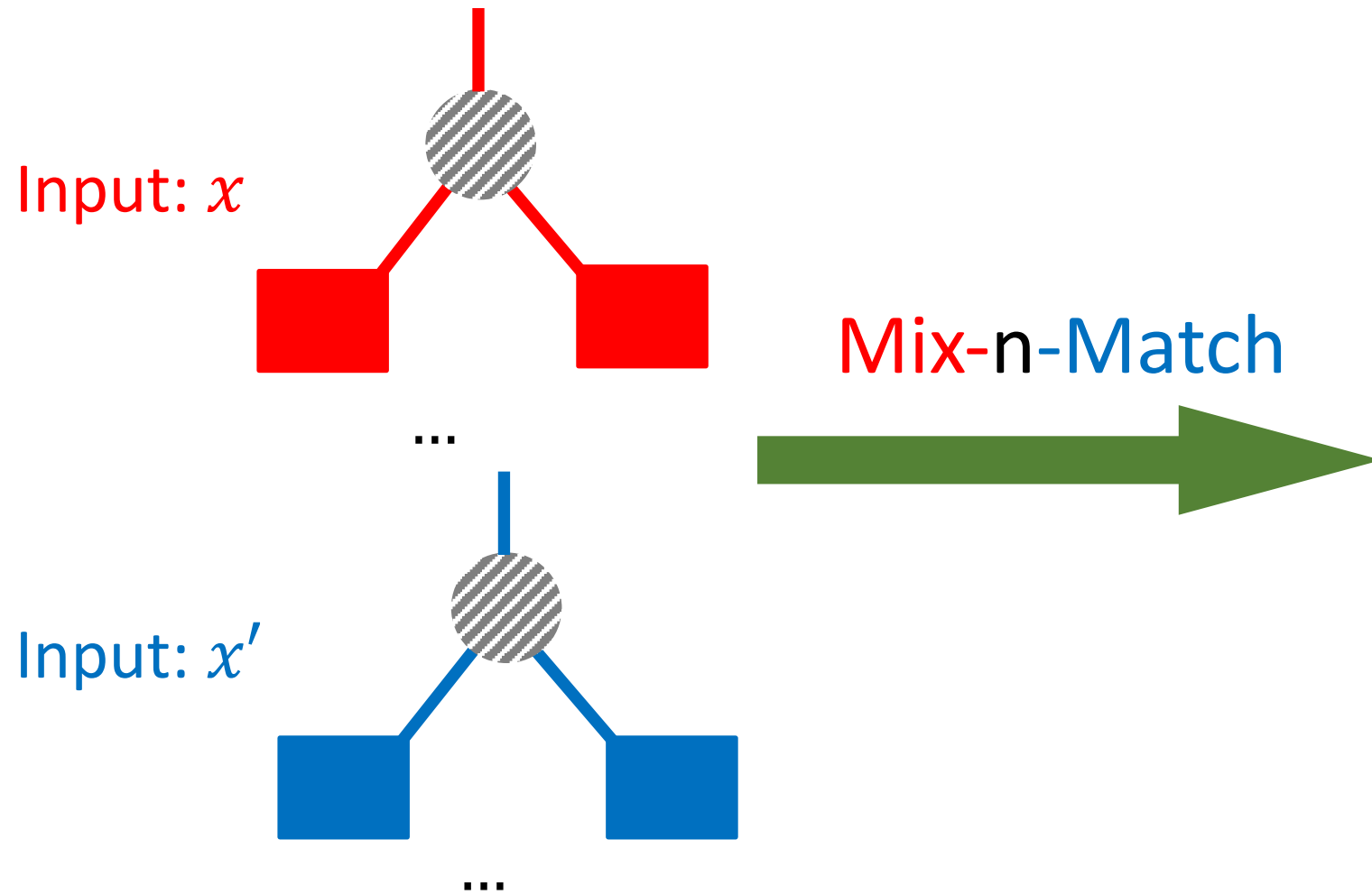
...



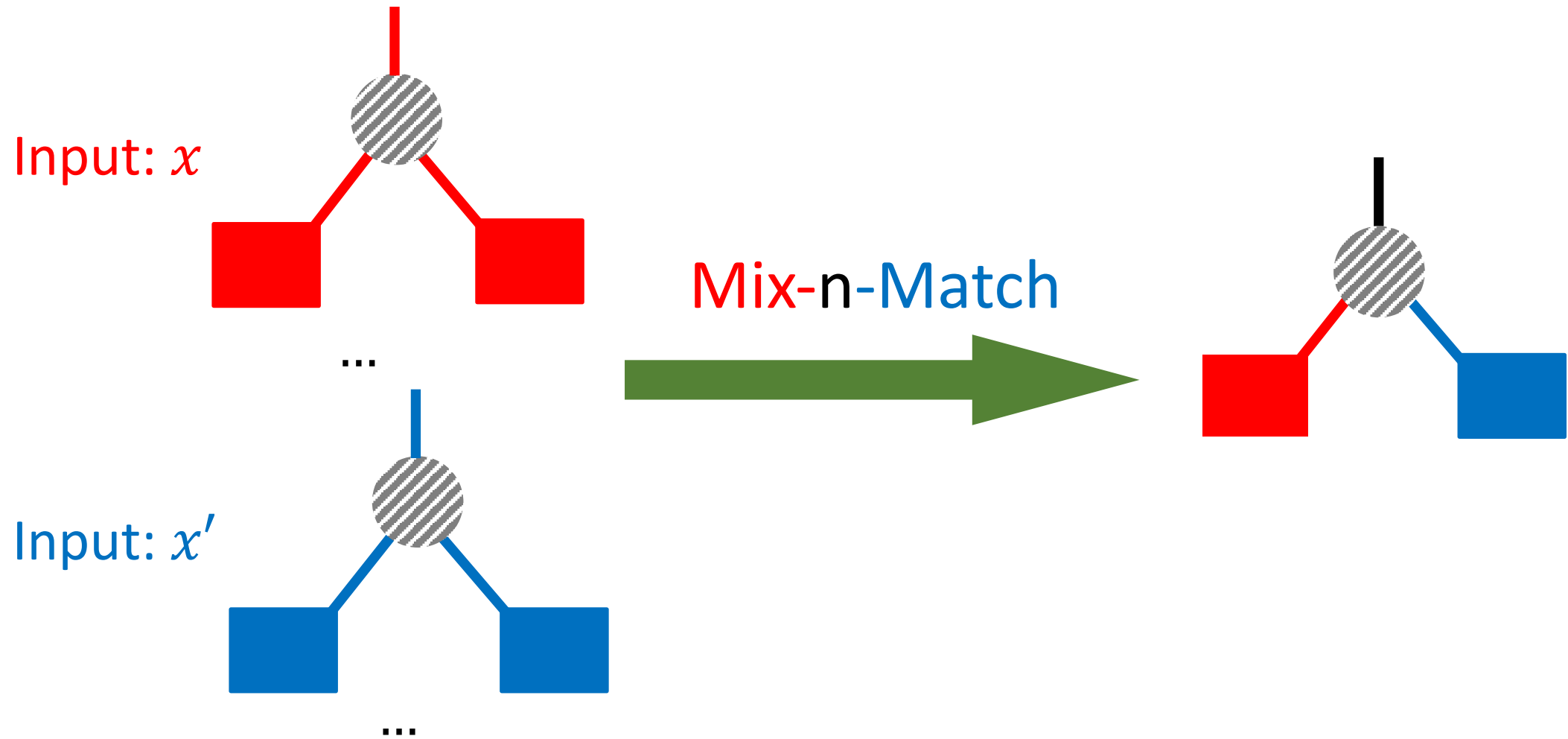
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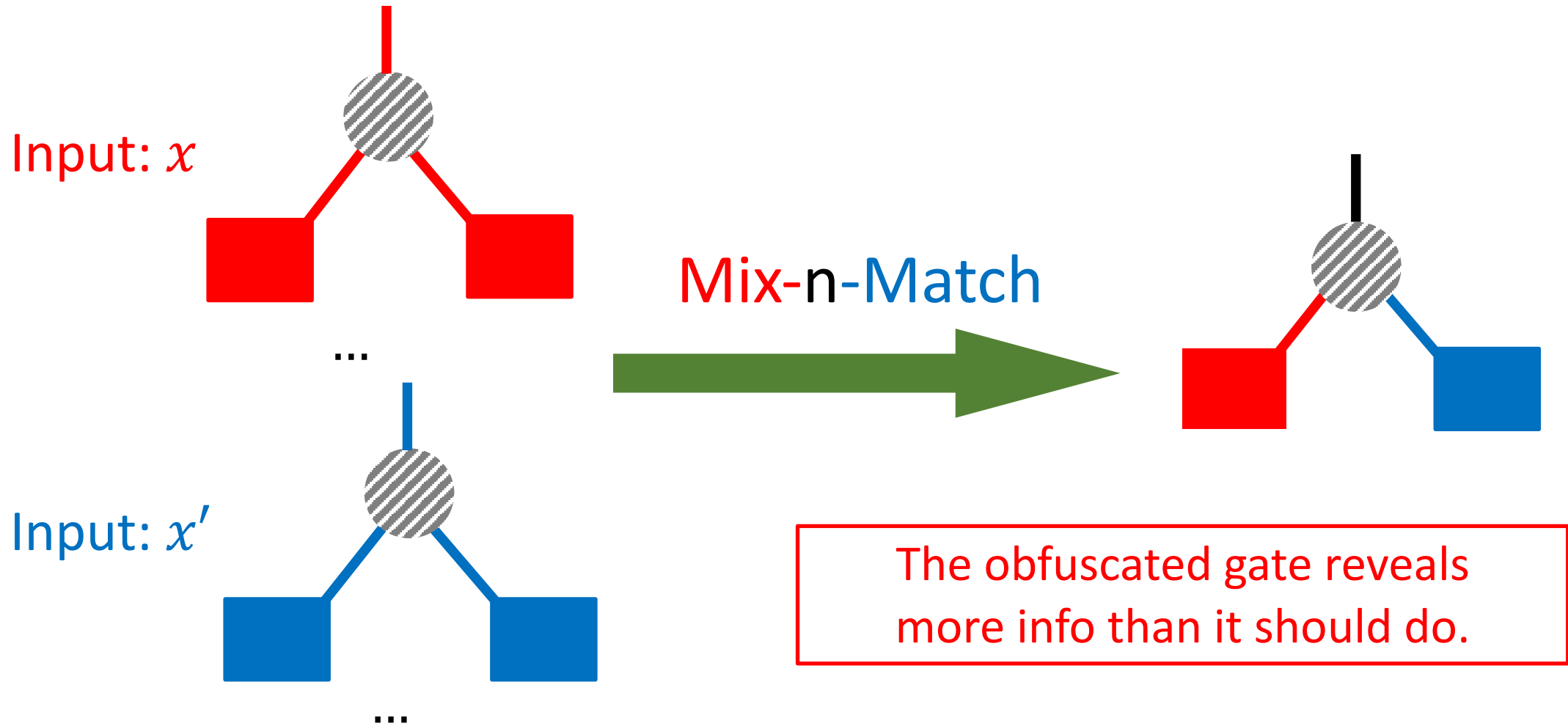
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$$\underline{C_g(ct_l, ct_r, input)}$$

Check consistency w.r.t input

....

**New Challenge**  
Too Long

$C_g(ct_l, ct_r, input)$

Check consistency w.r.t input

....

# Idea 1: Replace Input with Dependent Wires

**New Challenge**  
Too Long

$$\underline{C_g(ct_l, ct_r, input)}$$

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....

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Too Long

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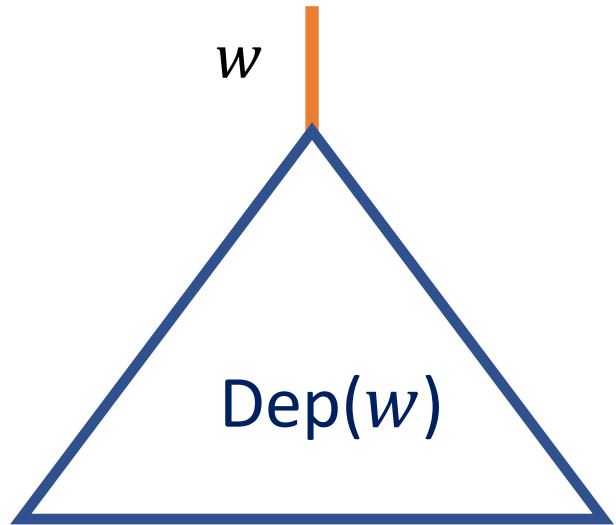
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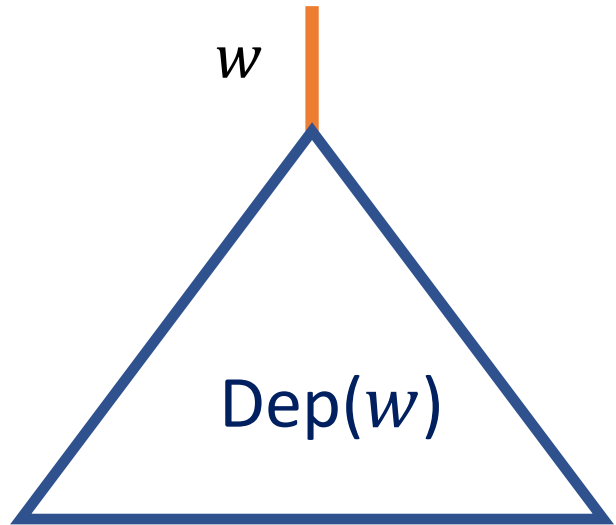
Gate  $g$  may not depend on the entire input  
(e.g.  $NC^0$  circuits)



# Define Dependence

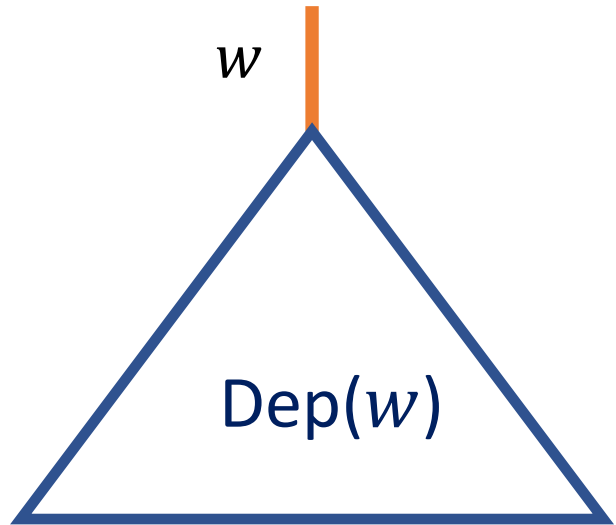


# Define Dependence



$\text{Dep}(w) := \{ \text{all wires that } w \text{ depends on} \}$

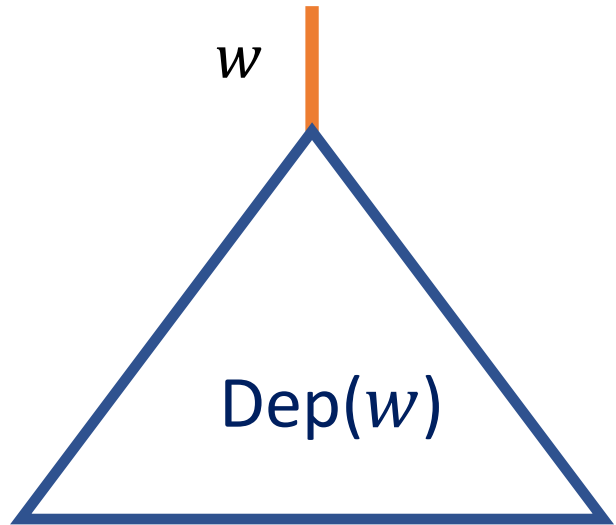
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$\text{Dep}(w) := \{ \text{all wires that } w \text{ depends on} \}$

$CT_w := \{ \text{ciphertext of } k \}_{k \in \text{Dep}(w)}$

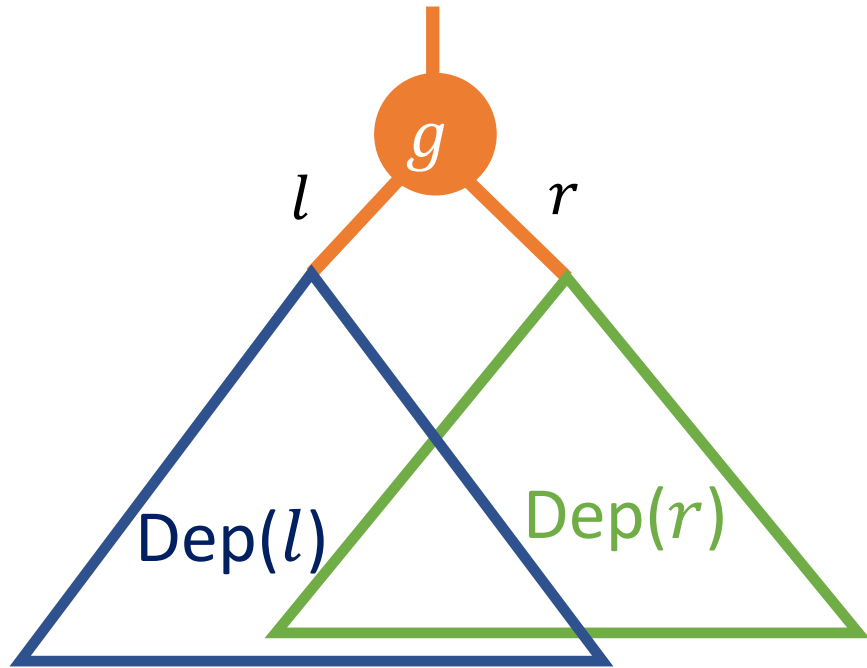
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(An Index Set)

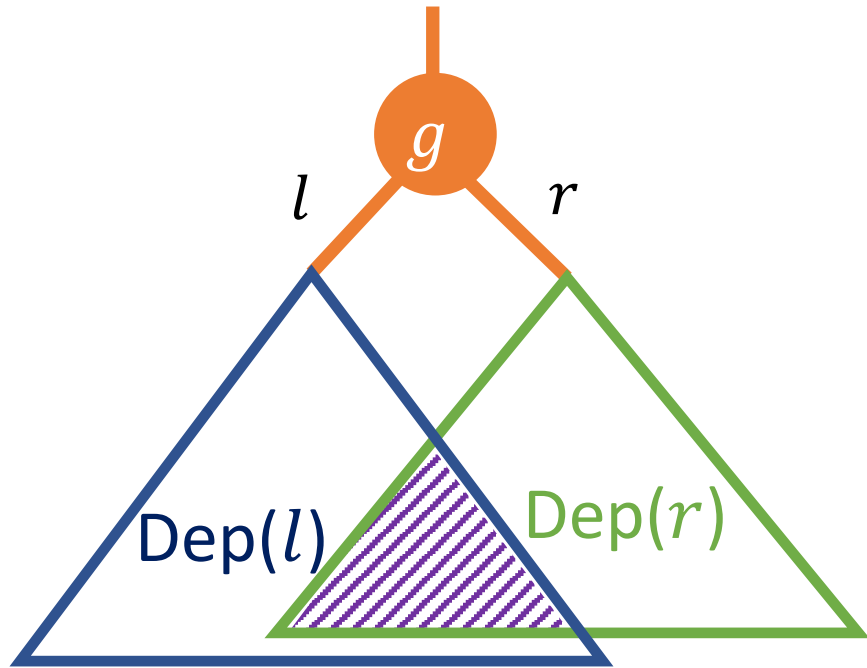
Use  $CT_l, CT_r$  in  $C_g$



$$\underline{C_g(ct_l, ct_r, CT_l, CT_r)}$$

...

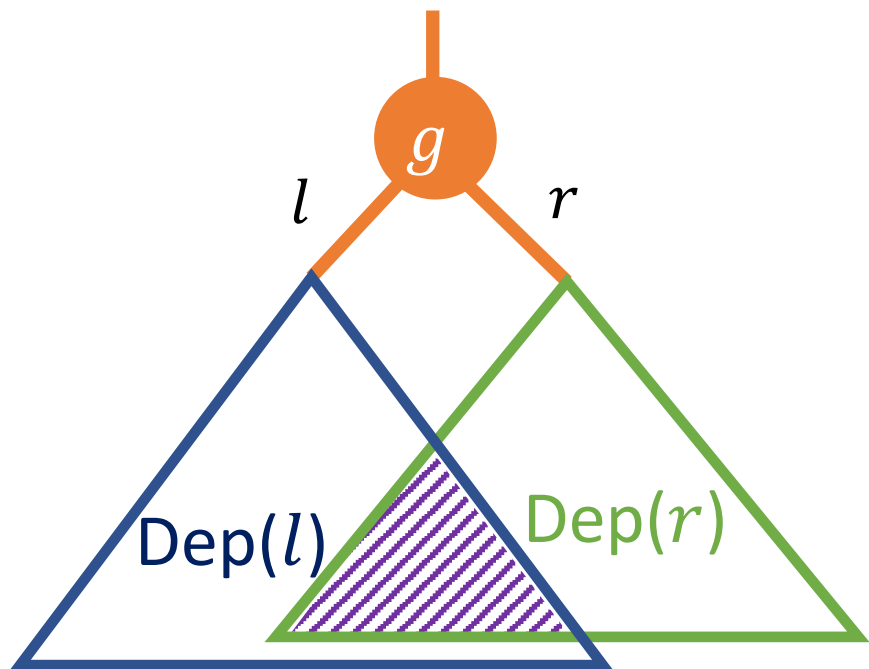
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$$\underline{C_g(ct_l, ct_r, CT_l, CT_r)}$$

...

Use  $CT_l, CT_r$  in  $C_g$



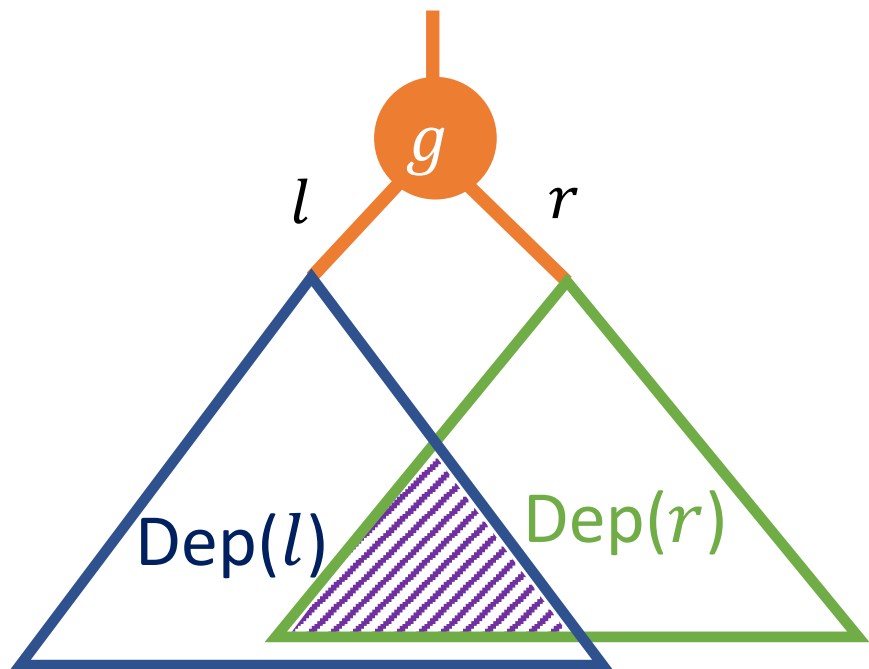
$$\underline{C_g(ct_l, ct_r, CT_l, CT_r)}$$

Consistency Check:

$CT_l, CT_r$  contains same ciphertexts  
in  $Dep(l) \cap Dep(r)$

...

Use  $CT_l, CT_r$  in  $C_g$



**New Challenge:** for general circuits, still too Long

$$\underline{C_g(ct_l, ct_r, CT_l, CT_r)}$$

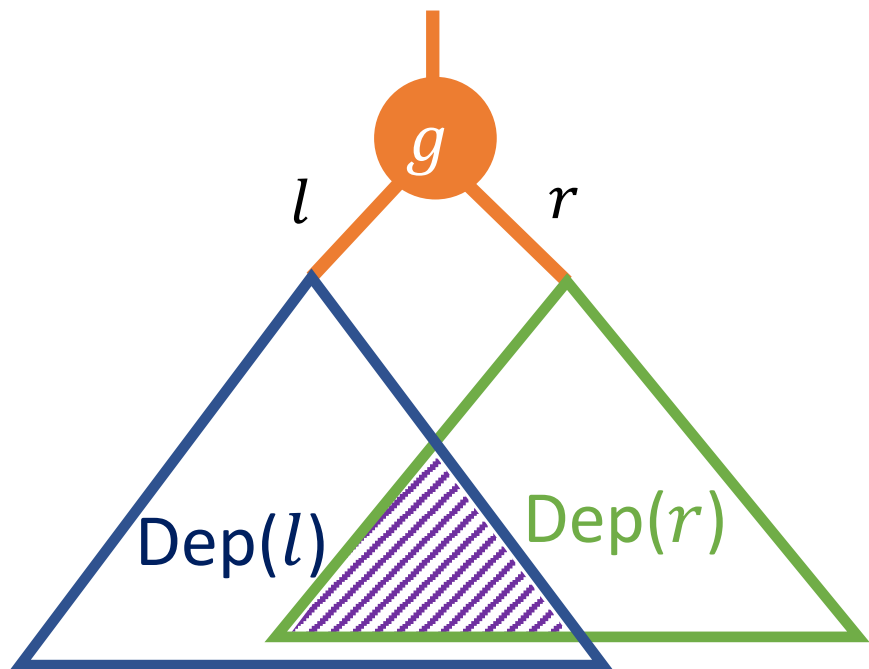
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$$\underline{C_g(ct_l, ct_r, CT_l, CT_r)}$$

Consistency Check:

$CT_l, CT_r$  contains same ciphertexts  
in  $Dep(l) \cap Dep(r)$

...

Idea 2: Hash  $CT_l, CT_r$

$$C_g(ct_l, ct_r, CT_l, CT_r)$$

---

...

...

Idea 2: Hash  $CT_l, CT_r$

$C_g(ct_l, ct_r, CT_l, CT_r)$

---

...

...

Outside of  $C_g$ :

$h_l$

$h_r$

Hash

Hash

$CT_l$

$CT_r$

Idea 2: Hash  $CT_l, CT_r$

$C_g(ct_l, ct_r, \quad )$

---

...

...

Outside of  $C_g$ :

$h_l$

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Hash

Hash

$CT_l$

$CT_r$

## Idea 2: Hash $CT_l, CT_r$

$$C_g(ct_l, ct_r, h_l, h_r)$$

---

...

...

Outside of  $C_g$ :

$h_l$

$h_r$

Hash

Hash

$CT_l$

$CT_r$

## Idea 2: Hash $CT_l, CT_r$

$$C_g(ct_l, ct_r, h_l, h_r)$$

---

...  
Check consistency of  $CT_l$  and  $CT_r$   
...

Outside of  $C_g$ :

$h_l$

$h_r$

Hash

Hash

$CT_l$

$CT_r$

## Idea 2: Hash $CT_l, CT_r$

$$C_g(ct_l, ct_r, h_l, h_r)$$

---

...

Check consistency of  $CT_l$  and  $CT_r$ ???

...

Outside of  $C_g$ :

$h_l$

$h_r$



## Idea 3: Apply SNARGs

**Outside  $C_g$ :**  $h_l = \text{Hash}(CT_l)$   
 $h_r = \text{Hash}(CT_r)$



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SNARGs (Succinct Cryptographic Proofs)

$\pi$  : prove  $\exists$  consistent pre-images of  $h_l, h_r$   
Secure against poly-time adversary

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$C_g(ct_l, ct_r, h_l, h_r, \pi)$

Verify the proof  $\pi$

...Decrypt, Compute, Re-encrypt...

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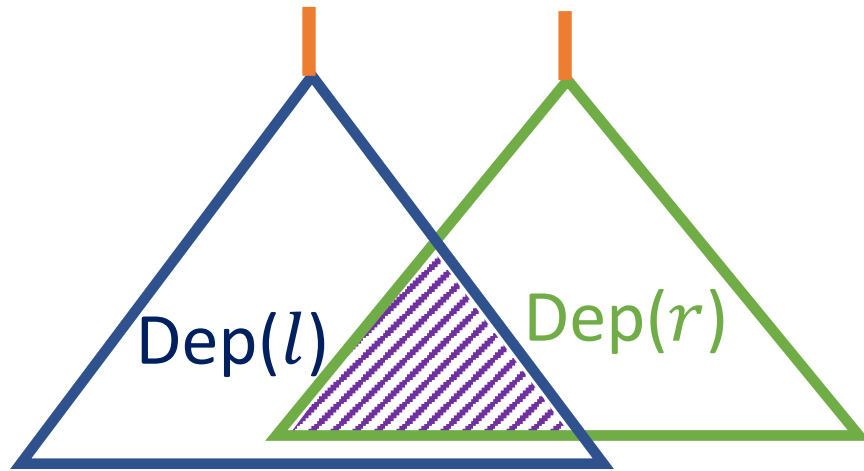
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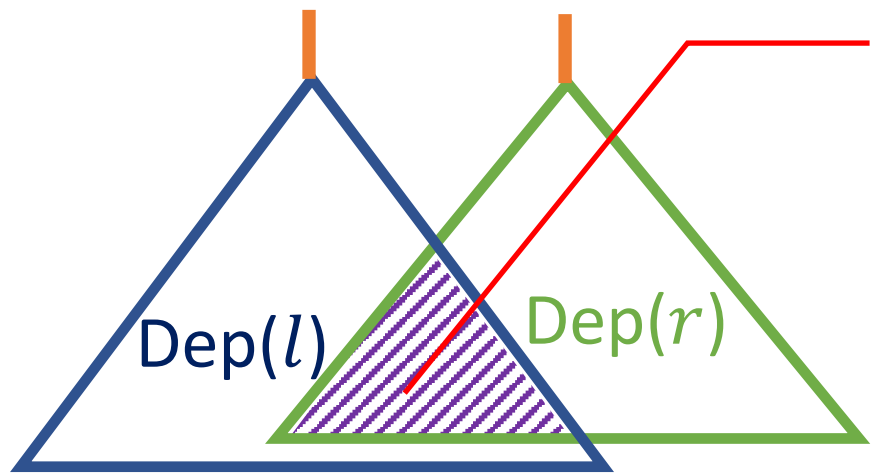
...Decrypt, Compute, Re-encrypt...

**New Challenge:** We need *statistical security* of SNARGs for iO.

# We Use: iO-Friendly SNARGs

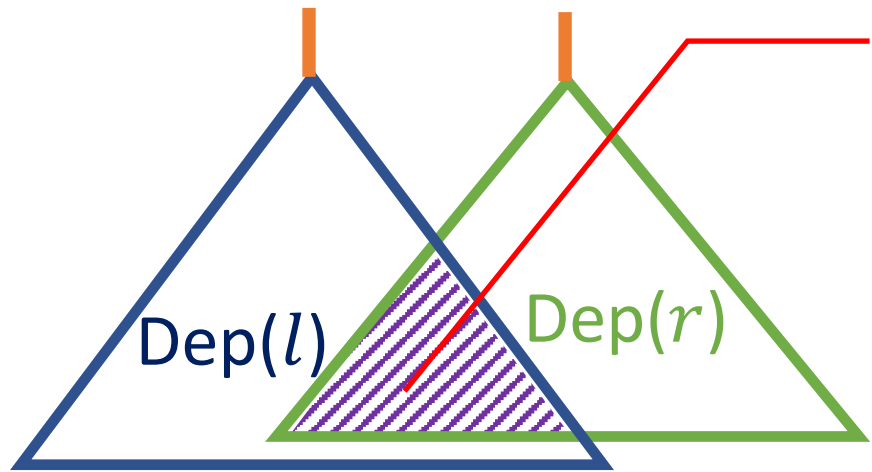


# We Use: iO-Friendly SNARGs



**Observation:** We only care about **sub-circuit**

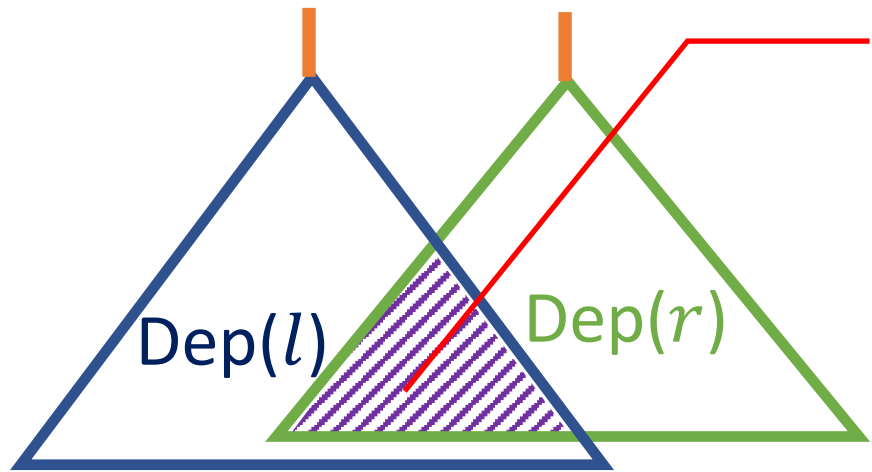
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Somewhere Statistical Soundness:  
If  $CT_l$  and  $CT_r$  are not *consistent in subcircuit*,  
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# We Use: iO-Friendly SNARGs



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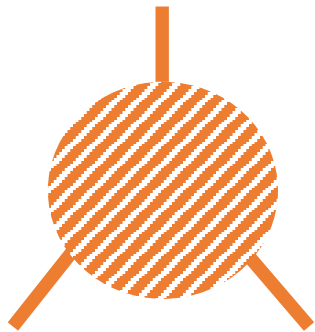
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then unbounded-time adversary can't cheat.

Can be constructed from [CJJ'21]

# Summary



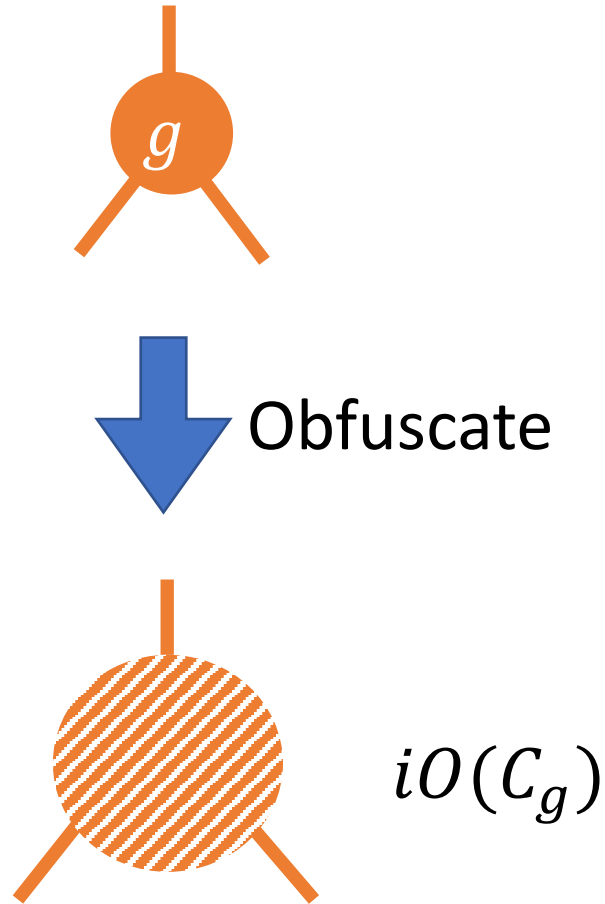
Obfuscate



$iO(C_g)$



# Summary



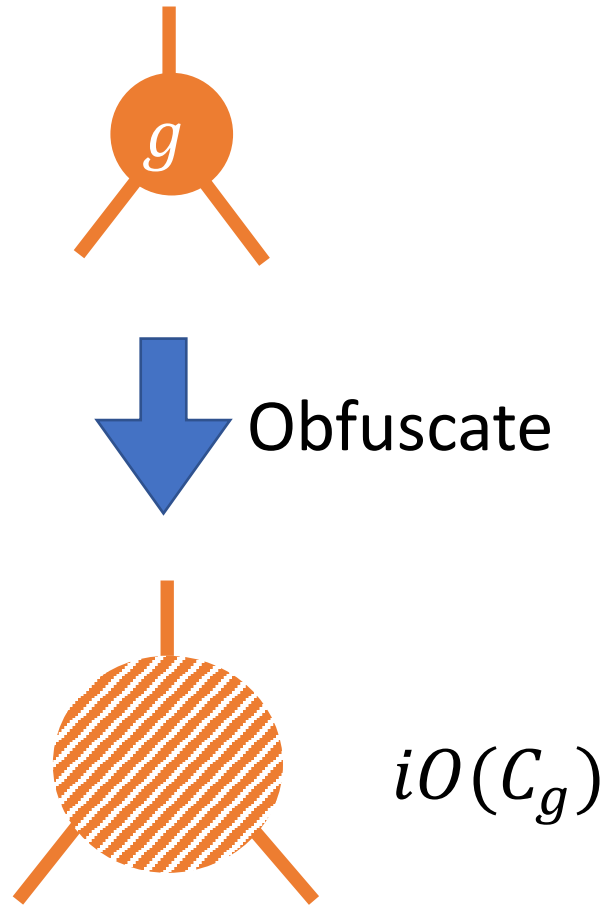
**Outside  $C_g$ :**

$$h_l = \text{Hash}(CT_l)$$

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$\pi$  : iO-friendly consistency  
proof for  $h_l, h_r$

# Summary



Outside  $C_g$ :

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proof for  $h_l, h_r$

$$\frac{C_g(ct_l, ct_r, h_l, h_r, \pi)}{\text{Verify the proof } \pi}$$

Verify the proof  $\pi$

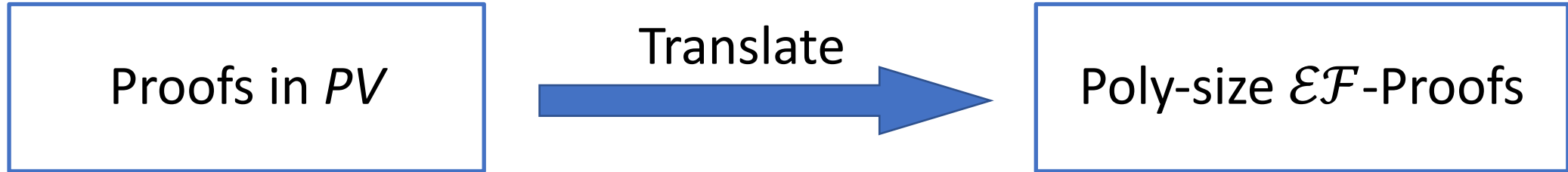
...Decrypt, Compute, Re-encrypt...

# Technical Details

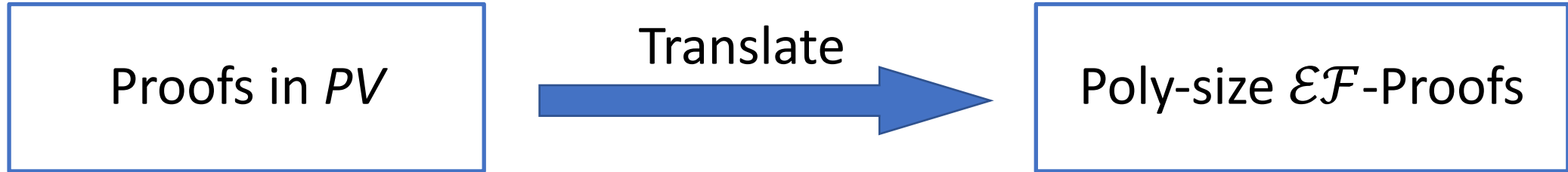
- $\mathcal{EF}$ -Proofs  $\Rightarrow$  local equivalence
- iO for locally equivalent ckts
- **iO for Turing machines**

# Propositional Translation [\[Cook'75\]](#)

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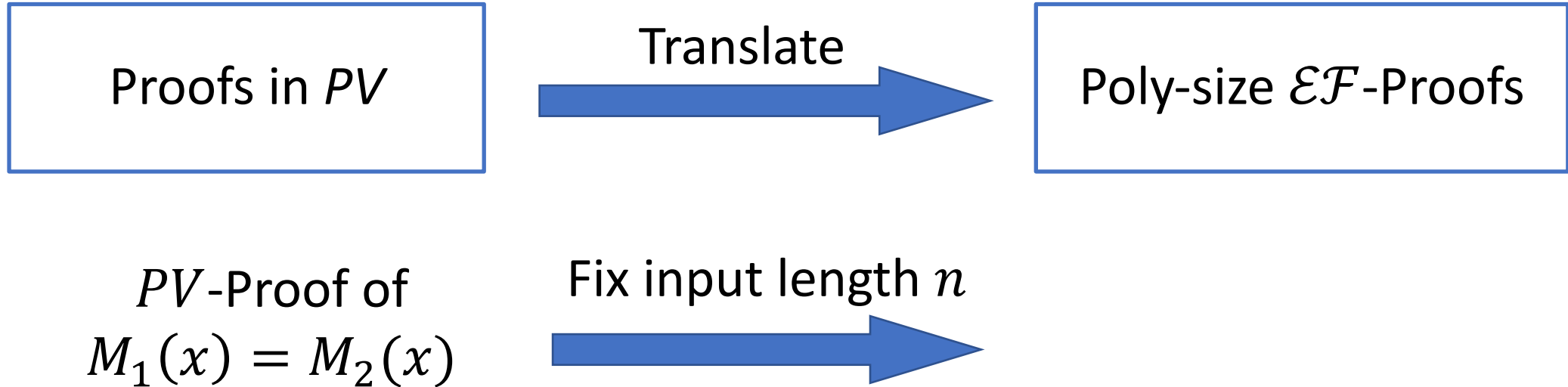


# Propositional Translation [\[Cook'75\]](#)



*PV*-Proof of  
 $M_1(x) = M_2(x)$

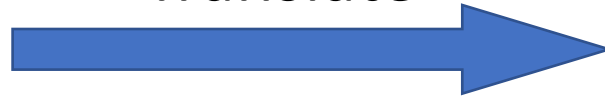
# Propositional Translation [\[Cook'75\]](#)



# Propositional Translation [\[Cook'75\]](#)

Proofs in  $PV$

Translate



Poly-size  $\mathcal{EF}$ -Proofs

$PV$ -Proof of  
 $M_1(x) = M_2(x)$

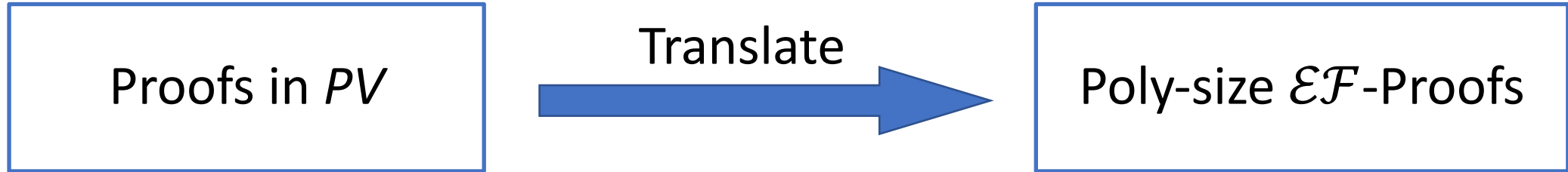
Fix input length  $n$



$\mathcal{EF}$ -Proof of  
 $C_{1,n}(x) \leftrightarrow C_{2,n}(x)$



# Propositional Translation [\[Cook'75\]](#)



$PV$ -Proof of  
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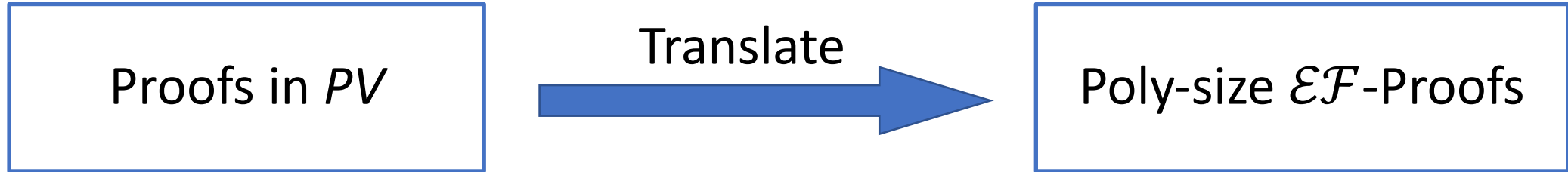
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( $C_{b,n}(x)$ : Circuit that computes  $M_b$  for input length  $n$ .)

# Propositional Translation [Cook'75]



$PV$ -Proof of  
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Fix input length  $n$



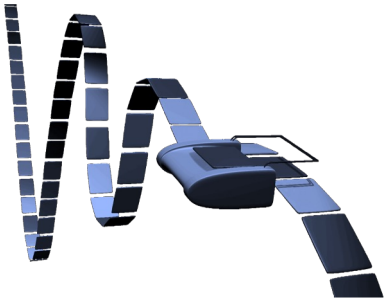
$\mathcal{EF}$ -Proof of  
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( $C_{b,n}(x)$ : Circuit that computes  $M_b$  for input length  $n$ .)

Apply  $iO$  for locally equivalent circuits?

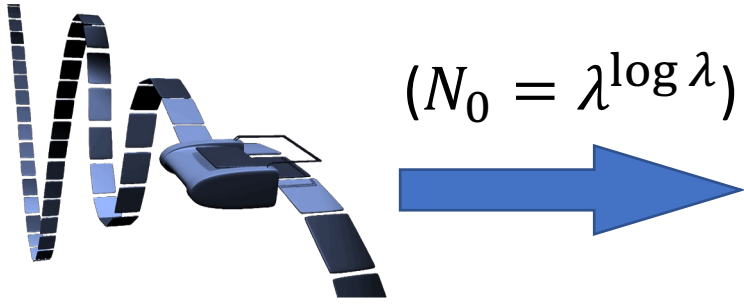
# iO for Turing Machines: Initial Attempt

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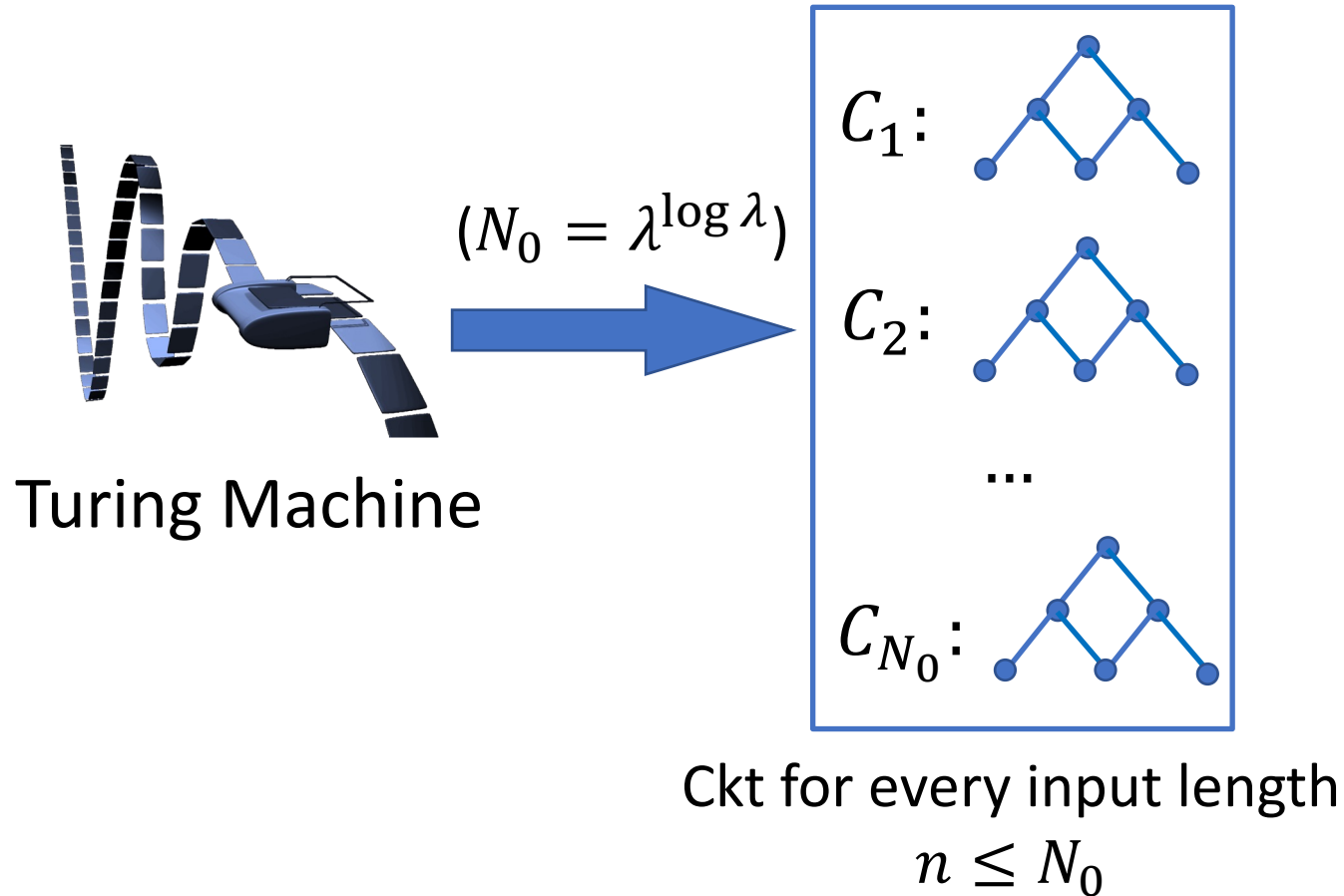
Turing Machine

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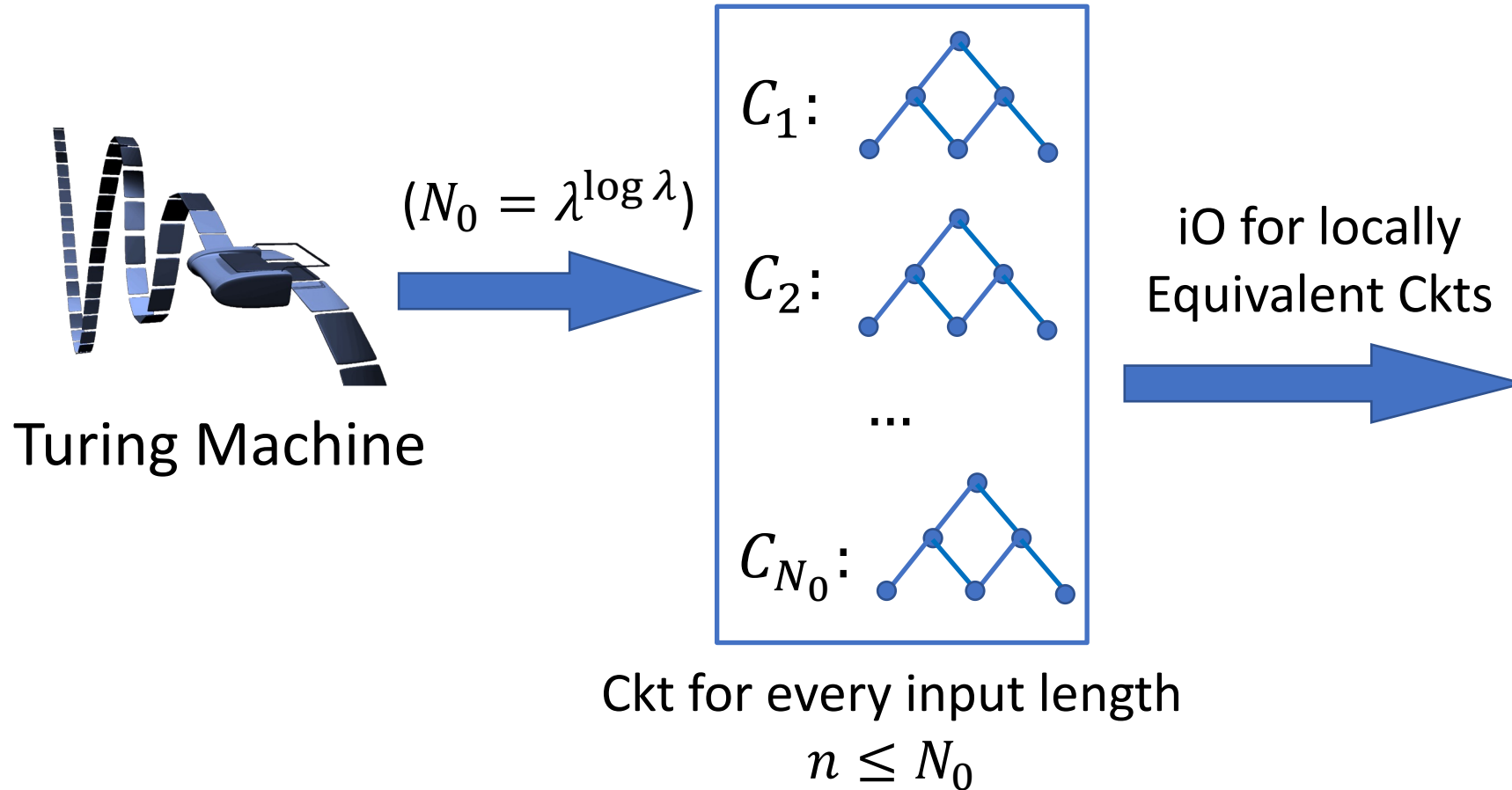


Turing Machine

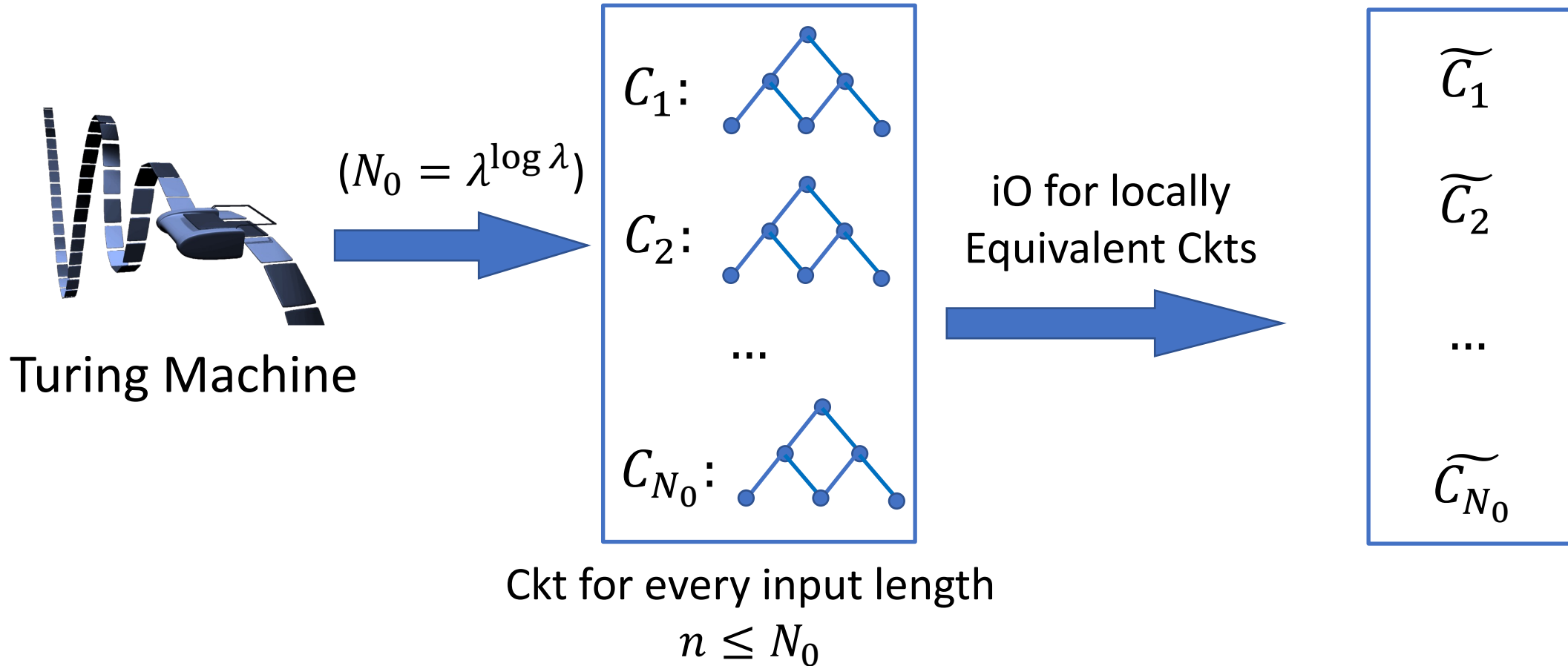
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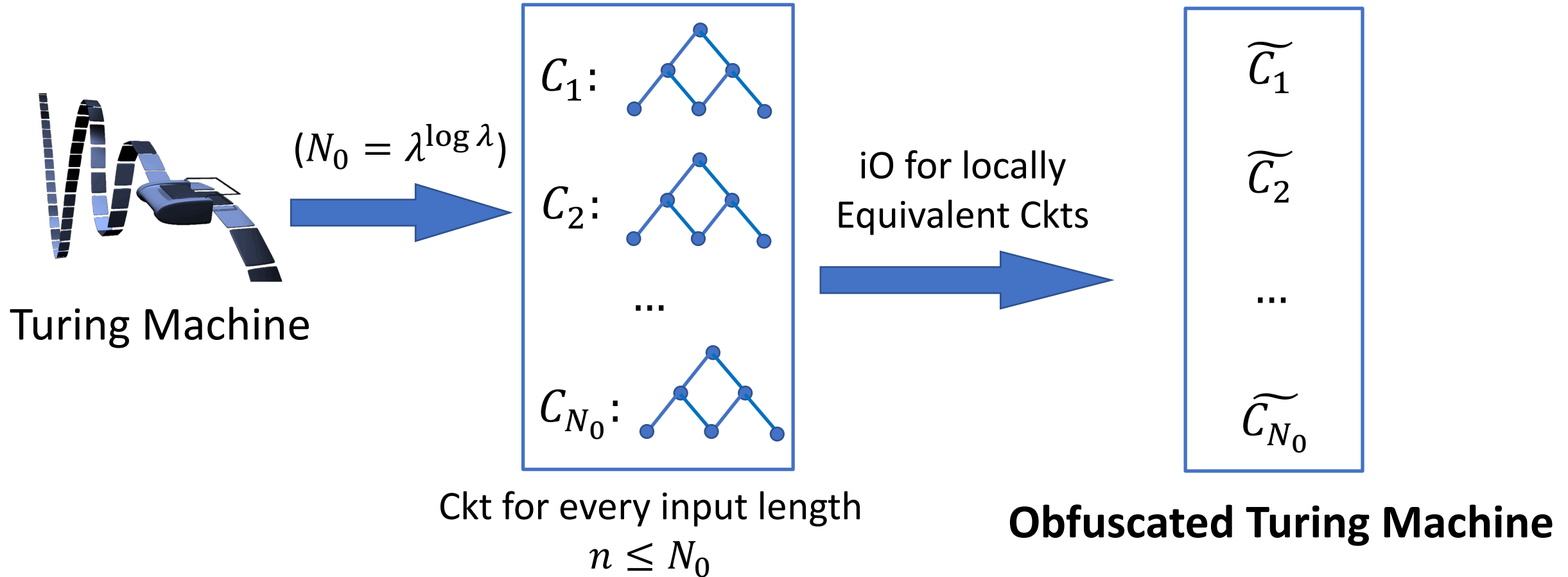


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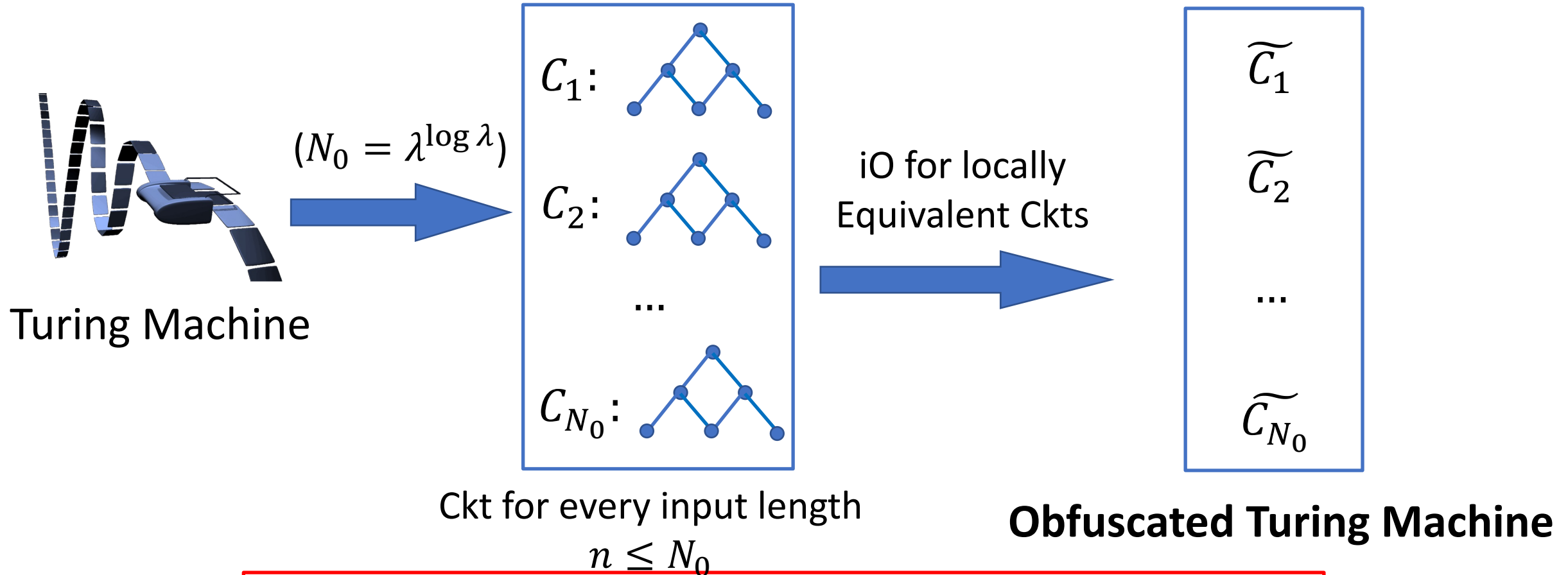




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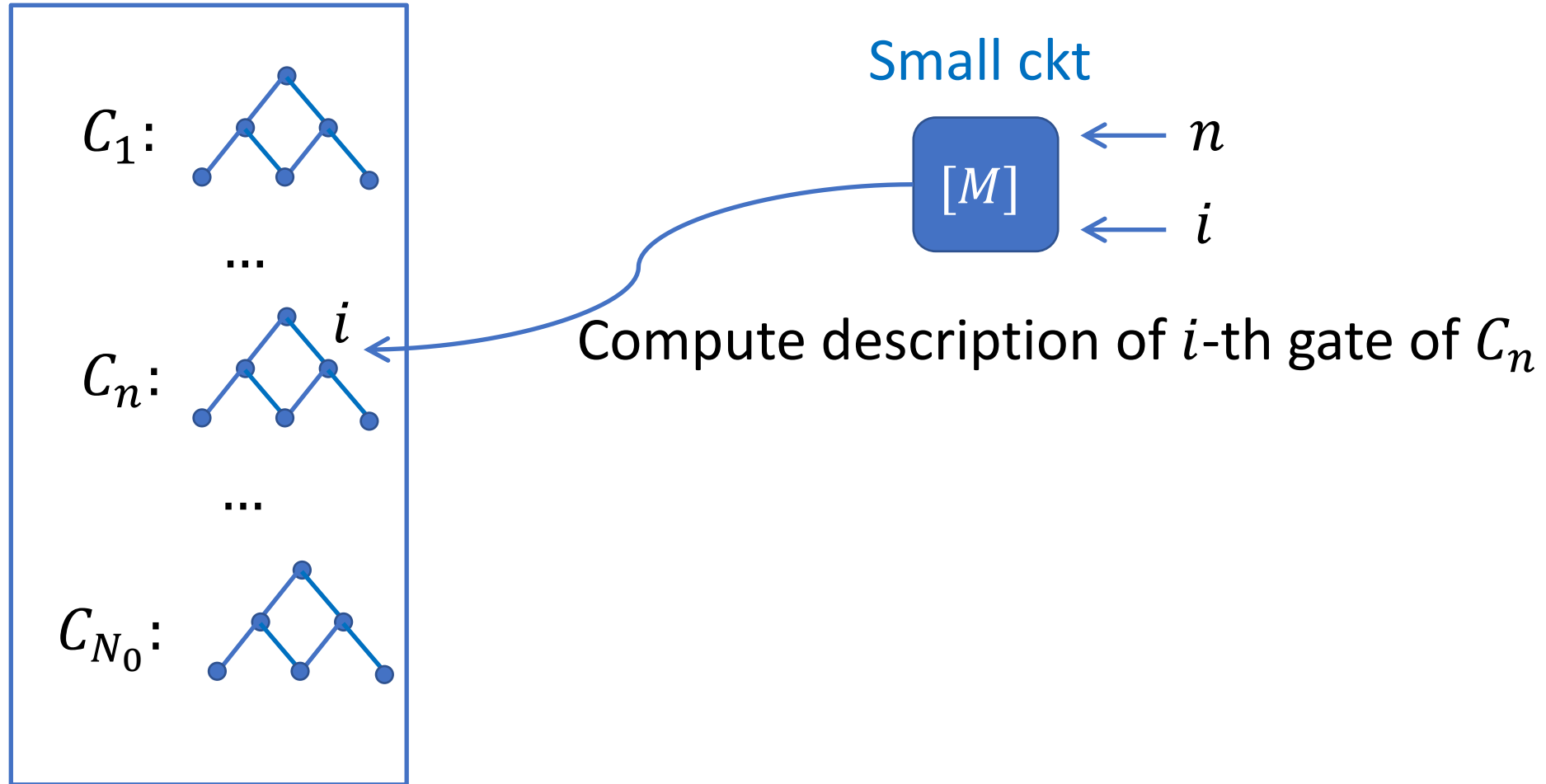


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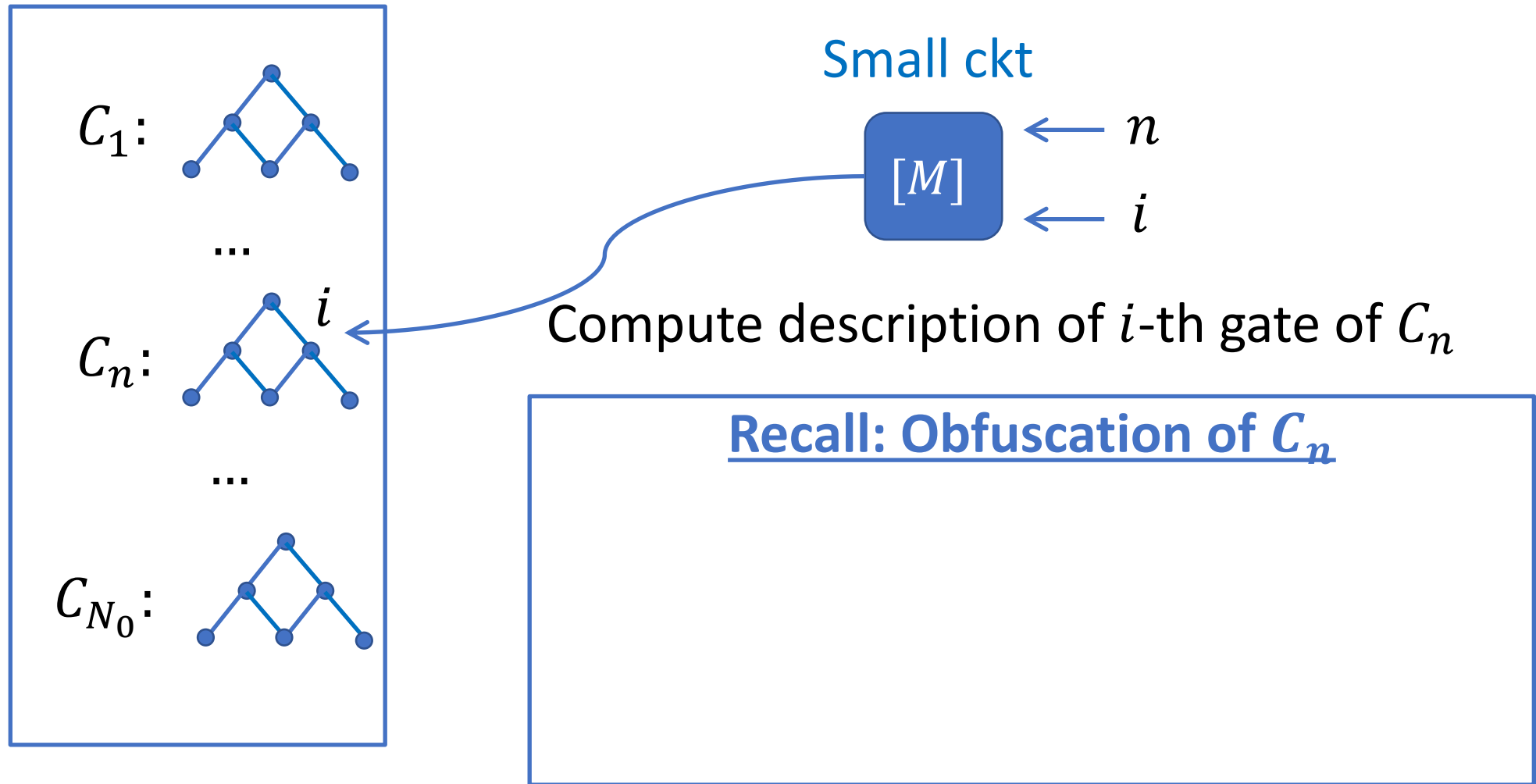


**Challenge:** Obfuscation time is super-poly!

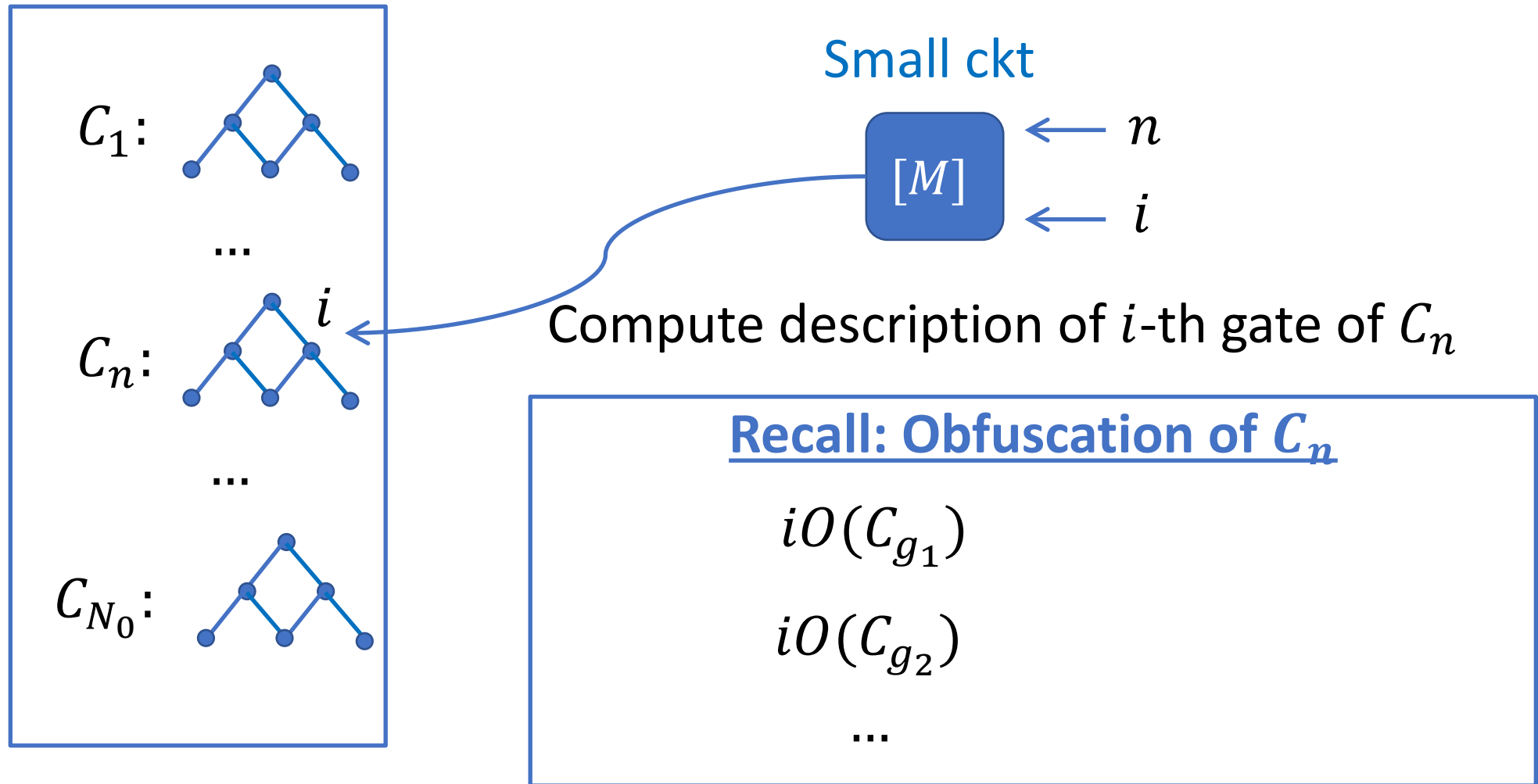
# Leverage Uniform Description



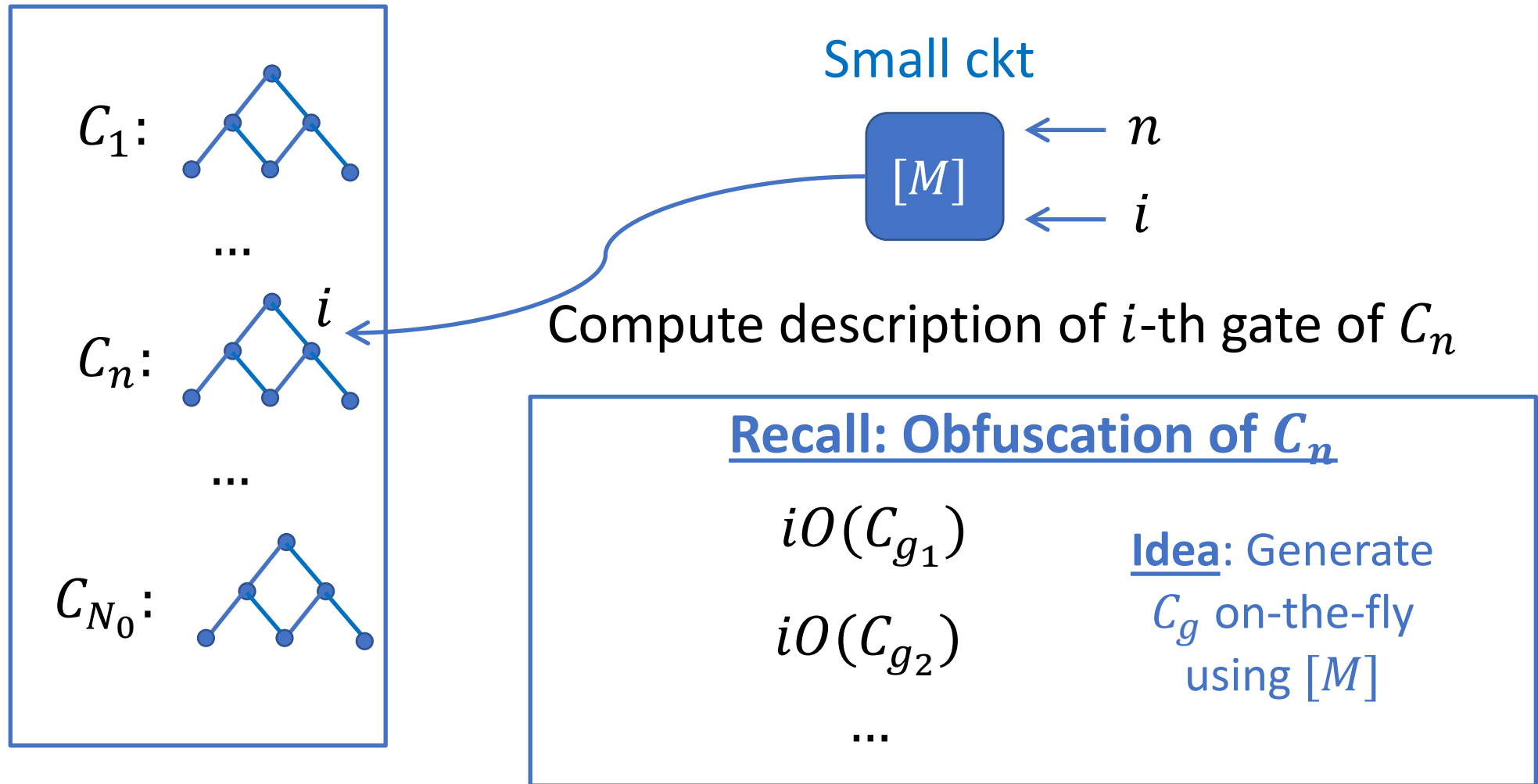
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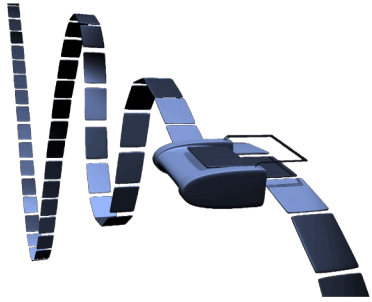


# Leverage Uniform Description



# Efficient Construction

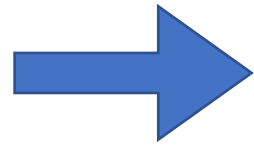
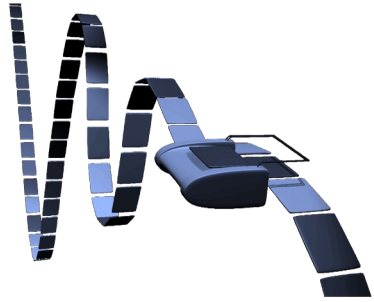
# Efficient Construction



Turing Machine

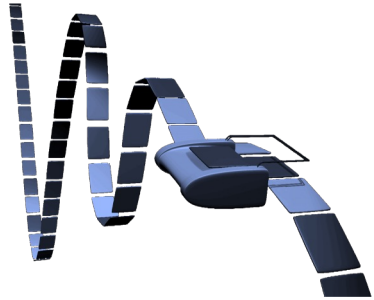


# Efficient Construction

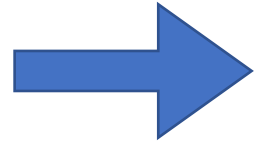


Turing Machine

# Efficient Construction



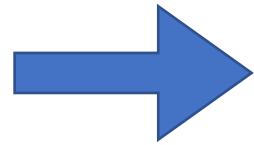
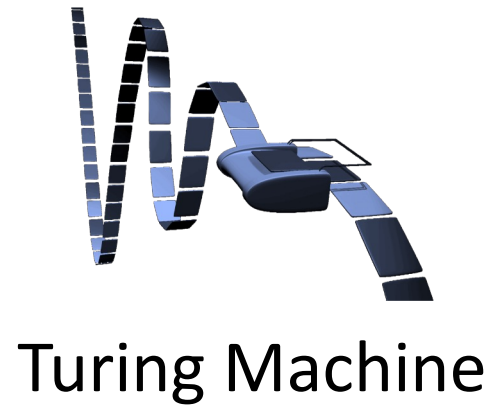
Turing Machine



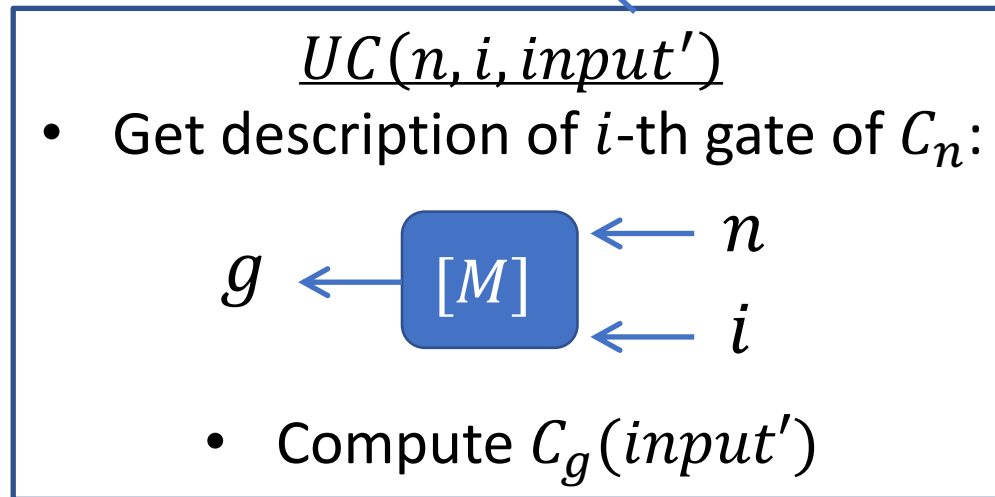
*UC*

“Uniform Version”  $C_g$

# Efficient Construction



$UC$   
"Uniform Version"  $C_g$



# Efficient Construction



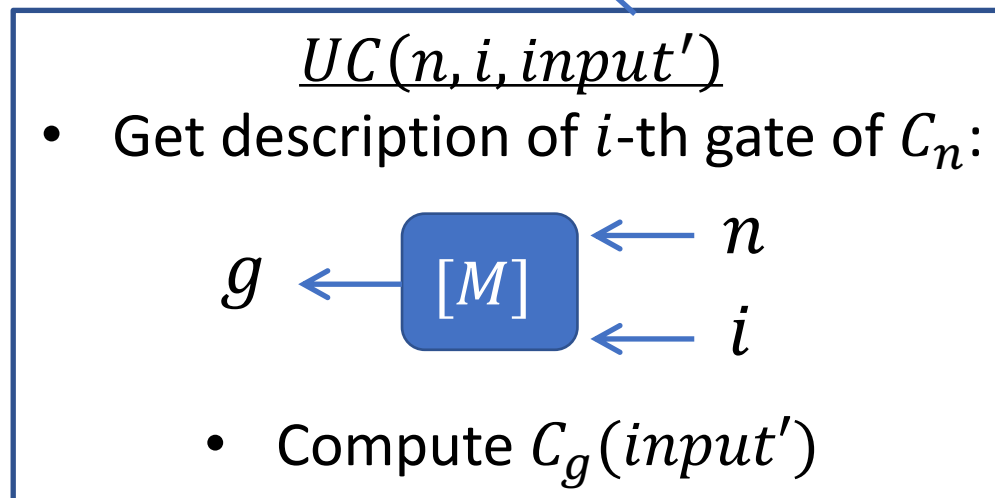
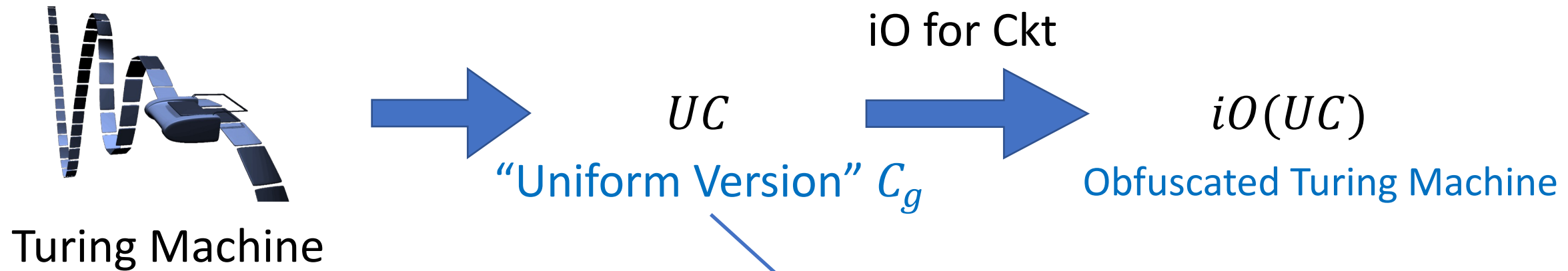
$UC(n, i, input')$

- Get description of  $i$ -th gate of  $C_n$ :

$g \leftarrow [M] \leftarrow \begin{matrix} n \\ i \end{matrix}$

- Compute  $C_g(input')$

# Efficient Construction



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Inference Rules in  
**Logic systems** for  
Proving Equivalence

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Local Equivalence

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Techniques to argue  
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*$\mathcal{EF} / PV$*



Local Equivalence

*ZFC*

(Zermelo-Fraenkel set theory  
with axiom of Choice)



Thank you!

Q & A