

# My Collaboration with Toni

## Weak Automata\*ability

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# Propositional Proof System

Definition: A **propositional proof system** is a polynomial time computable function from  $\{0, 1\}^*$  onto TAUT.

A pps is a **polynomial time proof-verification algorithm**  $P$ . On input  $(x, F)$ , if  $P$  accepts the pair  $(x, F)$ , we say that  $x$  is a  $P$ -proof of  $F$ .

## Questions:

- ▶ How big (in **size**) is the proof of a tautology in a given proof system?
- ▶ What is the cost (in **time**) of finding the (smallest) proof?

# Automatizable Proof Systems

Definition [Bonet-Pitassi-Raz, 97] A proof system  $P$  is **automatable** if there exists an algorithm that takes as input a formula  $F$  and returns a proof  $p$  of  $F$  in the system  $P$  in **poly time** in the size of the shortest  $P$ -proof of  $F$ .

Variants of the Definition:

- ▶ **quasy-poly time**  $n^{O(\log n)}$  automatizable
- ▶ A proof system  $P$  is **Weakly Automatable** if there is an automatable proof system that **simulates**  $P$

Definition: Propositional proof system  $Q$  **p-simulates**  $P$ , if there is a polynomial-time function  $f$  such that  $Q(f(x)) = P(x)$  for all  $x$ .

# Equivalences of Weak Automatability definitions

A pair  $(A,B)$  is a **disjoint NP-pair** if  $A,B \in \text{NP}$  and  $A \cap B = \emptyset$ .

Definition [Razborov] **Canonical NP-pair for a propositional proof system**  $P$  is:

$\text{Ref}(P) = \{(\phi, 1^m) \mid P \text{ has a refutation of } \phi \text{ of size } m\}$

$\text{SAT} = \{(\phi, 1^m) \mid \phi \text{ is satisfiable}\}$

The following are equivalent:

- ▶ The canonical NP-pair for a pps  $P$  is **polynomially separable**.
- ▶ A system  $P$  is **Weakly Automatable** if there is an automatable system that **simulates**  $P$
- ▶  $P$  is **Weakly Automatable** if there exists an algorithm that takes as input a formula  $F$  and returns a proof  $p$  of  $F$  in **poly time** in the size of the shortest  $P$ -proof of  $F$ .

# Interpolation [Krajicek]

Observation: If  $F(\vec{x}, \vec{y}) \wedge G(\vec{x}, \vec{z})$  is unsatisfiable, then, given any assignment  $\vec{\alpha}$  for  $\vec{x}$ , either  $F(\vec{\alpha}, \vec{y})$  is unsatisfiable or  $G(\vec{\alpha}, \vec{z})$  is unsatisfiable.

## Interpolation Problem:

Given an unsatisfiable formula  $F(\vec{x}, \vec{y}) \wedge G(\vec{x}, \vec{z})$  and an assignment  $\alpha$  to the  $x$  variables,

return 0 if  $F(\vec{\alpha}, \vec{y})$  is unsatisfiable,  
return 1 if  $G(\vec{\alpha}, \vec{z})$  is unsatisfiable.

Definition:  $P$  has **feasible interpolation** if the **Interpolation problem** is solvable in polynomial time respect to the smallest P-refutation of  $F \wedge G$ .

# Relationship between automatizability and interpolation

**Theorem**[Impagliazzo, Bonnet-Pitassi-Raz] If  $P$  is automatizable, then  $P$  has feasible interpolation.

## Proof Sketch

Let  $n$  be the size of the smallest P-refutation of  $F(\vec{x}, \vec{y}) \wedge G(\vec{x}, \vec{z})$ .

Let  $\alpha$  be an assignment on the  $x$  variables.

Run the automatization algorithm on  $F$  for  $p(n)$  steps.

If it succeeds return 0, otherwise return 1.

**Idea:** Show P doesn't have feasible interpolation, under assumptions?

Idea goes back to [Krajicek-Pudlak] for Extended Frege.



# Frege Proof Systems

## Frege

A few axioms schemes like:

$$A \wedge B \rightarrow A$$
$$A \rightarrow (B \rightarrow A \wedge B)$$
$$A \rightarrow (B \rightarrow A)$$

plus the Modus Ponens rule of inference: 
$$\frac{A \quad A \rightarrow B}{B}$$

## Bounded Depth Frege or $AC_0$ -Frege

Frege where all formulas have a constant number of  $\wedge/\vee$  alternations, and connectives have unbounded degrees.

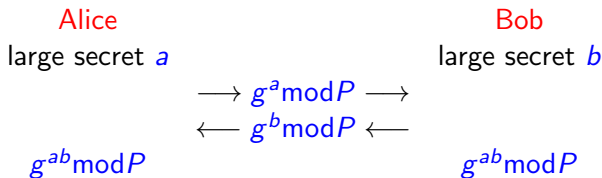
## $TC_0$ -Frege

Bounded Depth Frege + threshold and parity connectives and rules for them.

# Diffie-Hellman Cryptographic Scheme

Alice and Bob want to establish secret shared key.

large prime number  $P$ , generator  $g$  of  $Z_p^*$  (public)



**Note:** If  $P = p_1 p_2$  where  $p_1$  and  $p_2$  are primes, then breaking D-H is harder than factoring.

# No feasible interpolation for Frege Proof Systems

**Theorem** [Bonet-Pitassi-Raz] Frege Systems and even  $TC_0$ -Frege Systems (refutational) do not have feasible interpolation, unless factoring is solvable in polynomial time.

**Proof Sketch** Let  $m$  be a number and  $g$  a generator of  $Z_m^*$ . Let

$A_0(X, Y, a, b)$  be

$X = g^a \bmod m$  and  $Y = g^b \bmod m$  and last bit of  $g^{ab} \bmod m$  is 0

and  $A_1(X, Y, c, d)$  be

$X = g^c \bmod m$  and  $Y = g^d \bmod m$  and last bit of  $g^{dc} \bmod m$  is 1

$A_0 \wedge A_1$  is unsat. since

$g^{ab} = X^b = g^{cb} = g^{bc} = Y^c = g^{dc} \bmod m.$

and has small refutations in the Frege proof system.

Now, feasible interpolation would imply that Diffie-Hellman Bit-Commitment is insecure, and this implies that factoring is easy.

# Non-automatability and non weak-automatability

Under the cryptographic assumption:

- ▶ Frege or even  $TC_0$ -Frege don't have feasible interpolation
- ▶ No system that simulates Frege or  $TC_0$ -Frege has feasible interpolation
- ▶ Frege or even  $TC_0$ -Frege are not automatizable
- ▶ Frege or even  $TC_0$ -Frege are not weakly automatizable

# Non-automatizability for Bounded Depth Frege

**Theorem [Bonet-Domingo-Gavaldà-Maciel-Pitassi]**  $AC_0$ -Frege Systems do not have feasible interpolation, unless factoring can be computed in subexponential time.

- ▶ There exist  $AC_0$  circuits (of depth  $2k$ ) of size polynomial in  $n$  to add  $\log^k n$  bits.
- ▶  $TC_0$ -Frege proofs of size polynomial in  $n$  in which all the threshold and parity connectives have fan-in polylog  $n$  can be simulated by  $AC_0$ -Frege proofs of size polynomial in  $n$ .
- ▶  $AC_0$ -Frege doesn't have feasible interpolation, unless factoring can be computed by sub-exponential size circuits.
- ▶  $AC_0$ -Frege is not automatizable or weakly automatizable, under the same assumption.

# Non Weakly Automatable proof systems under assumptions

[Krajicek-Pudlak] Extended Frege

[Bonet-Pitassi-Raz] Frege,  $TC_0$  Frege

[Bonet-Domingo-Gavalda-Maciel-Pitassi]  $AC_0$  Frege.

# Non Automatable proof systems under assumptions

[Atserias-Müller, Alekhovich-Razborov] Resolution.

[Garlik]  $\text{Res}(k)$ .

[deRezende-Göös-Nordström-Pitassi-Robere-Sokolov]  
Nullstellensatz and Polynomial Calculus.

[Göös-Koroth-Mertz-Pitassi] Cutting Planes.

[Grosser-Robere?] Sherali-Adams.

Open: Sum-of-Squares

# Discussion

Thanks to Albert Atserias and Pavel Pudlak

# Discussion

Given a simple **graph game**, deciding **whether a player has a winning strategy** is in  $NP \cap coNP$ .

[Atserias-Maneva] If depth 2 Frege is weakly automatizable, mean payoff games can be decided in polynomial time.

[Pitassi-Huang] If depth 2 Frege is weakly automatizable, then simple stochastic games can be decided in polynomial time.

[Beckmann-Pudlak-Thapen] If resolution is weakly automatizable, then parity games can be decided in polynomial time.

But:

[Calude-Jain-Khoussainov-Li-Stephan] Quasi-polynomial time algorithm solving parity games.



# Discussion

## Dead ends in trying to show weak automatability of Resolution

- ▶ Proof systems like Polynomial Calculus, Sheraly-Adams, Sum-of-squares,... are stronger than Resolution.
- ▶ These systems are not automatable.
- ▶ They have efficient algorithms to find proofs of small degree (or small degree and polynomial coefficients).
- ▶ Could these algorithm be automatable procedures for Resolution?
- ▶ NO

# Discussion

[Bonet-Galesi] The Ordering Principle requires high Resolution width, but it has small Resolution refutations.

[Galesi-Lauria] The graph ordering principle requires high degree for PC.

[Potetchin] The ordering principle requires high degree to refute in SOS.

# The $Res(k)$ Resolution System

Clauses are disjunctions of conjunctions of up to  $k$  literals:

$$(l_1^1 \wedge \cdots \wedge l_{s_1}^1) \vee \cdots \vee (l_1^r \wedge \cdots \wedge l_{s_r}^r) \quad s_1, \dots, s_r \leq k$$

Rules of inference:

$$\frac{A}{A \vee B} \quad \text{Weakening}$$

$$\frac{A \vee l_1 \quad B \vee (l_2 \wedge \cdots \wedge l_s)}{A \vee B \vee (l_1 \wedge l_2 \wedge \cdots \wedge l_s)} \quad \wedge\text{-Introduction}$$

$$\frac{A \vee (l_1 \wedge \cdots \wedge l_s) \quad B \vee \neg l_1 \vee \cdots \vee \neg l_s}{A \vee B} \quad \text{Cut}$$

# Discussion

**Reflexion Principle:**  $SAT_m^n(x, z) \wedge REF_{m,s}^n(x, y)$

[Pudlak] If the reflection principle of  $f$  has polynomial-size refutations in a proof system that has feasible interpolation, then  $f$  is weakly automatizable.

[Atserias-Bonet]  $Res(2)$  proves the reflexion principle of Resolution.

[Atserias-Bonet] If  $F$  has a  $Res(k)$  refutation of size  $S$ , then  $F(k)$  has a Resolution refutation of size  $O(kS)$ .

[Atserias-Bonet] For constant  $k > 1$ , equivalence between:

- (i) Resolution is weakly automatizable
- (ii)  $Res(k)$  is weakly automatizable
- (iii)  $Res(k)$  has feasible interpolation.

## Discussion

Does Res(2) have feasible interpolation?

[Esteban-Galesi-Messner] tree-like Res(2) has monotone feasible interpolation.

Res(2) does not have monotone feasible interpolation.

[Garlik] Res(k) doesn't have the feasible disjunction property.

## Discussion

What about proof systems that have feasible interpolation? Could they prove the reflexion principle of Resolution?

[Bonet-Pitassi-Raz, Pudlak, Krajček] Cutting Planes has monotone feasible interpolation. But, CP requires exponential size refutations of the reflexion principle for Resolution [Pudlak]

[Fleming-Göös-Grosser-Robere] Sheraly-Adams has monotone feasible interpolation.

[Pudlak-Sgall, Hakoniemi] Polynomial Calculus has monotone feasible interpolation.

[Hakoniemi] Sum-of-Squares has feasible interpolation.

[M. Oliveira-Pudlak] Lovász-Schrijver monotone feasible interpolation.



