

# Proof Logging for Maximum Satisfiability

## the past, the present, the future

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Satisfiability: Theory, Practice, and Beyond  
Simons Institute

# Maximum Satisfiability

[Bacchus, Jarvisalo, and Martins, 2021; Li and Manyà, 2021]

**minimize:**  $cost \equiv \sum_i c_i \cdot b_i$

**subject to:** a set  $F$  of clauses

**where:**  $b_i$  boolean variables  
 $c_i > 0$  constants

- Competitive and thriving optimization paradigm
- New application domains and solver improvements annually.
  - ▶ Focus here on SAT-based MaxSAT solvers

# Maximum Satisfiability

[Bacchus, Jarvisalo, and Martins, 2021; Li and Manyà, 2021]

**minimize:**  $cost \equiv \sum_i c_i \cdot b_i$

**subject to:** a set  $F$  of **hard** clauses

**where:**  $b_i$  boolean variables  
 $c_i > 0$  constants

Alternative (and equivalent) definition:

**minimize:** sum of weights of **falsified** soft clauses

**where:** soft clauses:  
 $\{(\neg b_i, c_i) \mid i = 1 \dots\}$

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- New application domains and solver improvements annually.
  - ▶ **Focus here on SAT-based MaxSAT solvers**

# Proof Logging

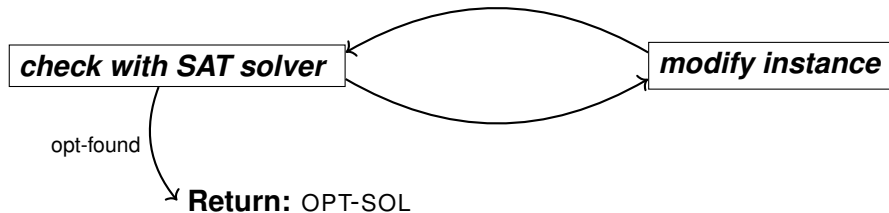
## What?

Certificate of the optimal (minimum) cost of an instance.

## Why?

- MaxSAT algorithms are complicated.
  - ▶ Implementations can be (and are) buggy.
- Increased trust enables new application domains.

## (An oversimplification of) SAT-based MaxSAT



[Morgado, Dodaro, and Marques-Silva, 2014; Fu and Malik, 2006; Si, Zhang, Manquinho, Janota, Ignatiev, and Naik, 2016; Narodytska and Bacchus, 2014; Heras, Morgado, and Marques-Silva, 2011; Piotrów, 2020; Ignatiev, Morgado, and Marques-Silva, 2019; Ansótegui and Gabàs, 2017; Davies and Bacchus, 2011, 2013; Saikko, Berg, and Järvisalo, 2016; Paxian, Reimer, and Becker, 2018; Berre and Roussel, 2014; Koshimura, Zhang, Fujita, and Hasegawa, 2012]

# Algorithms

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## Solution Improving

Upper-bounding search  
with a SAT solver

# Algorithms

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## Solution Improving

Upper-bounding search  
with a SAT solver

## Core Guided

Lower-bounding search  
with a SAT solver

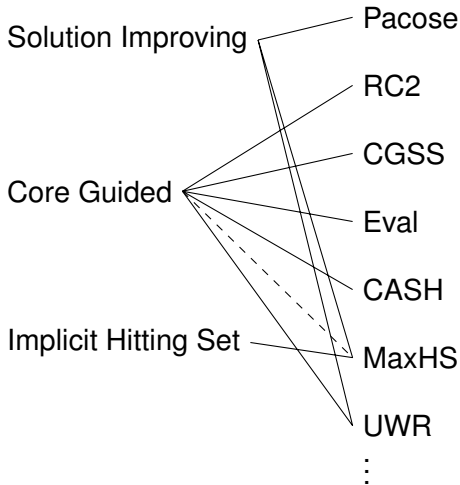
## Implicit Hitting Set

Lower-bounding search  
with a SAT and MIP solver



## Algorithms

## Solvers



## Algorithms

## Solvers

## Heuristics

Solution Improving

Pacose

Trim MaxSAT

RC2

Intrinsic atmost1  
(clique constraints)

CGSS

Hardening

Core Guided

Eval

Subsumed Label  
Elimination

CASH

SAT-based  
preprocessing

Implicit Hitting Set

MaxHS

Reduced cost  
fixing

UWR

Binary Core Removal

⋮

⋮

# Why not SAT proofs?

Intrinsic-at-most-ones / MuTexes / clique constraints

[Ignatiev, Morgado, and Marques-Silva, 2019]

$$\mathit{cost} \equiv 2b_1 + 2b_2 + 4b_3$$

$$F = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y \vee b_3), \\ (b_1 \vee z), (\neg z \vee b_3)\}$$

$$\mathit{cost} \geq 4$$

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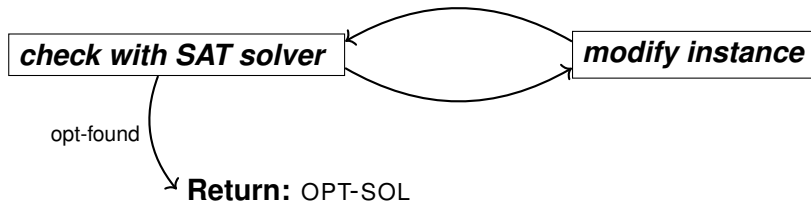
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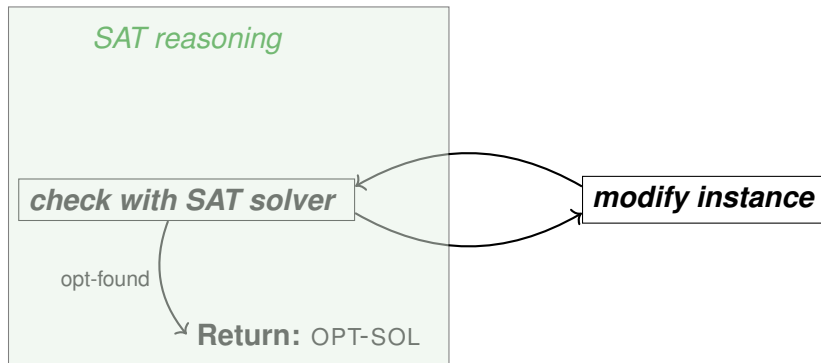
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???

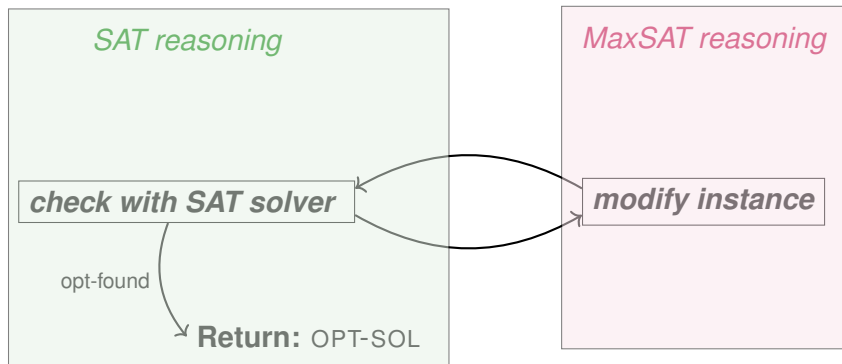
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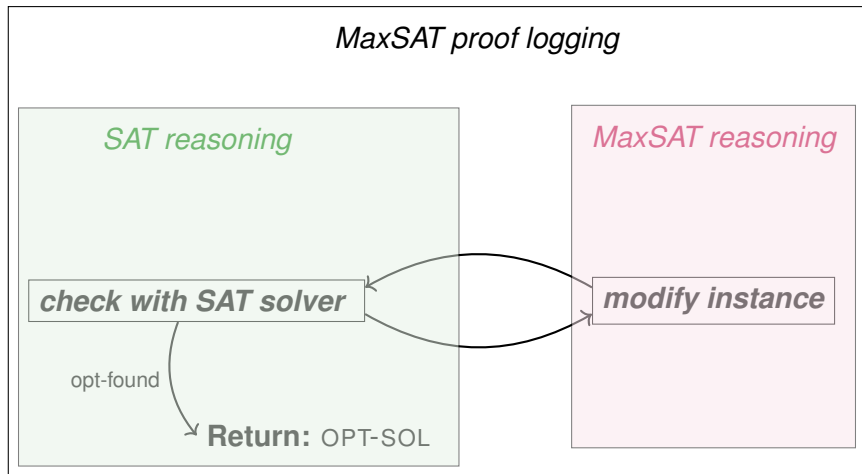


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# Take Home Messages

so far...

## Modern SAT-based MaxSAT

- rich optimization paradigm
- many algorithms and heuristics
- extensive use of SAT solvers

## Proof logging SAT-based MaxSAT requires

- proof logging SAT
- and reasoning with costs
- and supporting large diversity of techniques and algorithms

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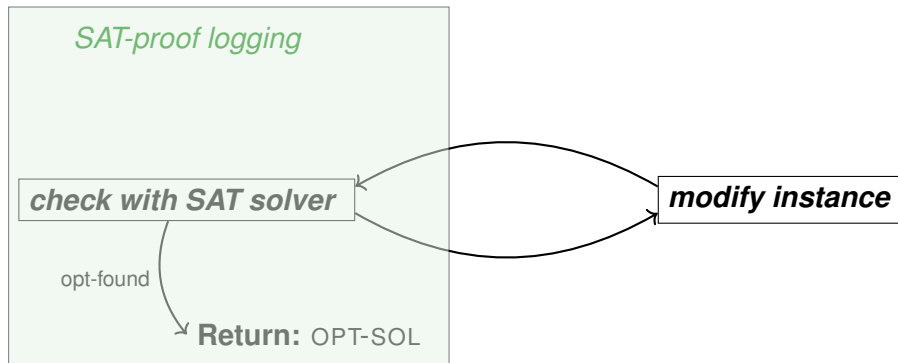
## Proof logging SAT-based MaxSAT requires

- proof logging SAT
- **and** reasoning with costs
- **and** supporting large diversity of techniques and algorithms

# Existing Approaches to Proof Logging MaxSAT

# Checking SAT solvers

[Morgado and Marques-Silva, 2011]



- checking individual certificates returned by SAT solvers.
- frameworks for certifiable branch & bound search,
  - ▶ could be applied to modern B&B MaxSAT solvers (Abramé and Habet, 2016; Li, Xu, Coll, Manyá, Habet, and He, 2021)

# Certifiable B&B

[Morgado and Marques-Silva, 2011][Larrosa, Nieuwenhuis, Oliveras, and Rodríguez-Carbonell, 2009, 2011]

Decide:	$I \parallel S \parallel k \parallel A$	$\Rightarrow$	$I, l^d \parallel S \parallel k \parallel A$
Unit Propagate:	$I \parallel S \parallel k \parallel A$	$\Rightarrow$	$I l \parallel S \parallel k \parallel A$
Optimum:	$I \parallel S \parallel k \parallel A$	$\Rightarrow$	<i>OptimumFound</i>
Backjump:	$I l^d I' \parallel S \parallel k \parallel A$	$\Rightarrow$	$I l \parallel S \parallel k \parallel A$
Learn: ...			
Forget: ...			
Restart: ...			
Improve: ....			

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# Tableaux Calculus for MaxSAT

[Li, Manyà, and Soler, 2016; Li, Coll, Habet, Li, and Manyà, 2022; Li and Manyà, 2022]

**Example:**

$$F = \{(x \vee y, \top), (\neg x \vee b_1, \top), (\neg y \vee b_2, \top), \\ (\neg b_1, 1), (\neg b_2, 1)\}$$

$\top \rightarrow$  hard clause

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$$\begin{array}{c} (x \vee y, \top) \\ | \\ (\neg x \vee b_1, \top) \\ | \\ (\neg y \vee b_2, \top) \\ | \\ (\neg b_1, 1) \\ | \\ (\neg b_2, 1) \end{array}$$



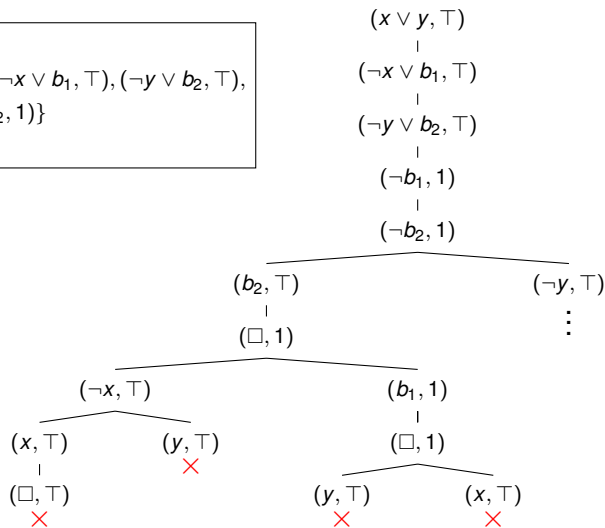
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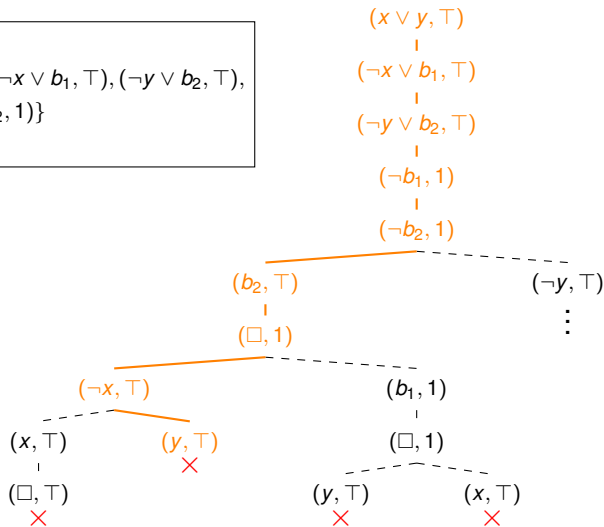
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# Cost-aware clause redundancy notions

[Belov, Morgado, and Marques-Silva, 2013; Ihalainen, Berg, and Järvisalo, 2022; Berg and Järvisalo, 2019]

max-RAT

cost propagation  
redundancy

# Cost-aware clause redundancy notions

[Belov, Morgado, and Marques-Silva, 2013; Ihalainen, Berg, and Järvisalo, 2022; Berg and Järvisalo, 2019]

## max-RAT

- BCE, BVE, SE, SSR, BVA
- failed literals
- TrimMaxSAT
- and many more

*preserve **all** optimal solutions*

## cost propagation redundancy

- group subsumed  
label elimination
- hardening

*preserve **one** optimal solution*

# MaxSAT Resolution

[Larrosa and Heras, 2005; Bonet, Levy, and Manyà, 2007; Bonet, Buss, Ignatiev, Marques-Silva, and Morgado, 2018; Py, Cherif, and Habet, 2020, 2022; Bjørner and Narodytska, 2015; Narodytska and Bacchus, 2014; Bonet, Buss, Ignatiev, Morgado, and Marques-Silva, 2021; Bonet, Buss, Ignatiev, Marques-Silva, and Morgado, 2018]

$$\frac{(x \vee C, c_1) \quad (\neg x \vee D, c_2)}{C \vee D, \text{MIN}(c_1, c_2)}$$
$$x \vee C \vee \neg D, c_1 - \text{MIN}(c_1, c_2)$$
$$\neg x \vee D \vee \neg C, c_2 - \text{MIN}(c_1, c_2)$$
$$x \vee C \vee \neg D, \text{MIN}(c_1, c_2)$$
$$\neg x \vee \neg C \vee D, \text{MIN}(c_1, c_2)$$
$$\frac{(x \vee C, T) \quad (\neg x \vee D, c_2)}{C \vee D, c_2}$$
$$x \vee C, T$$
$$x \vee \neg C \vee D, c_2$$
$$\frac{(x \vee C, T) \quad (\neg x \vee D, T)}{C \vee D, T}$$
$$x \vee C, T$$
$$\neg x \vee D, T$$

$c_1, c_2 \in \mathbb{N}$ ,

$T \rightarrow$  hard clause.

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$$F_H \wedge F_S$$

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$$F_H \wedge F_S \xrightarrow{\text{MaxRES steps}} F^* \wedge (\square, \text{MINIMUM-COST})$$

satisfiable

solutions are optimal of  $F_h \wedge F_s$

# Cutting Planes / VeriPB

[Gocht, 2022; Bogaerts, Gocht, McCreesh, and Nordström, 2022; Gocht and Nordström, 2021; Vandesande, De Wulf, and Bogaerts, 2022]

$$\text{cost} \equiv 2b_1 + 3b_2 + 4b_3$$

$$F = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y \vee b_3), \\ (b_1 \vee z), (\neg z \vee b_3)\} \longrightarrow$$

$$\text{cost} \equiv 2b_1 + 3b_2 + 4b_3$$

$$F = \{b_1 + x \geq 1, \\ b_2 + (1 - x) \geq 1, \\ b_2 + y \geq 1, \\ b_3 + (1 - y) \geq 1, \\ b_1 + z \geq 1, \\ b_3 + (1 - z) \geq 1\}$$



# Cutting Planes for logging SAT-based MaxSAT

- **Solution Improving (SAT/UNSAT)**

- ▶ **specific solvers and PB encodings** [Vandesande, De Wulf, and Bogaerts, 2022; Gocht, Martins, Nordström, and Oertel, 2022]

- **Core-guided**

- ▶ specific solvers and algorithms
- ▶ **Talk in SAT seminar on Monday**

- **IHS**

- ▶ does not exist (yet...)
- ▶ main challenge, hitting set computed by MIP

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# Future Directions & Challenges

- Effectively combining SAT and MaxSAT reasoning.
- Covering more techniques and solvers.
  - ▶ Mixed Integer Programming
- Making the techniques nice to use.
- Applications beyond complete solving and SAT-based solvers.
- Extending algorithmic ideas to other paradigms
  - ▶ ASP, PBO, CP ...

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# Summary

## Maximum Satisfiability

- rich optimization paradigm
- large diversity of algorithms and heuristics

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## Maximum Satisfiability

- rich optimization paradigm
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## Proof logging SAT-based MaxSAT

- is **not** a straight-forward extension of proof logging SAT
- not **yet** as mature as SAT proof logging
  - ▶ recent promising developments
- many interesting future challenges



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# Running example

with VeriPB

$$\text{cost} \equiv 2b_1 + 2b_2 + 4b_3$$

$$F = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y \vee b_3), \\ (b_1 \vee z), (\neg z \vee b_3)\}$$

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$$\text{cost} \equiv 2b_1 + 3b_2 + 4b_3 \\ F \wedge \bigwedge_{1 \leq i < j \leq 3} b_i + b_j \geq 1$$

# Running example

with VeriPB

$$\text{cost} \equiv 2b_1 + 2b_2 + 4b_3$$

$$F = \{b_1 + x \geq 1, (1 - x) + b_2 \geq 1, \\ b_2 + y \geq 1, (1 - y) + b_3 \geq 1, \\ b_1 + z \geq 1, (1 - z) + b_3 \geq 1\}$$

$$\text{cost} \equiv 2b_1 + 3b_2 + 4b_3 \\ F \wedge \bigwedge_{1 \leq i < j \leq 3} b_i + b_j \geq 1$$

$$\text{cost} \equiv 2b_1 + 2b_2 + 4b_3, \\ F \wedge \bigwedge_{1 \leq i < j \leq 3} b_i + b_j \geq 1 \wedge \\ *b_1 + b_2 + b_3 \geq 2 \wedge \\ 2b_1 + 2b_2 + 2b_3 \geq 4 \wedge \\ \text{cost} \geq 2b_1 + 2b_2 + 2b_3$$

# Running example

with VeriPB

$$\text{cost} \equiv 2b_1 + 2b_2 + 4b_3$$

$$F = \{b_1 + x \geq 1, (1 - x) + b_2 \geq 1, \\ b_2 + y \geq 1, (1 - y) + b_3 \geq 1, \\ b_1 + z \geq 1, (1 - z) + b_3 \geq 1\}$$

$$\text{cost} \equiv 2b_1 + 3b_2 + 4b_3 \\ F \wedge \bigwedge_{1 \leq i < j \leq 3} b_i + b_j \geq 1$$

$$\text{cost} \equiv 2b_1 + 2b_2 + 4b_3, \\ F \wedge \bigwedge_{1 \leq i < j \leq 3} b_i + b_j \geq 1 \wedge$$

$$*b_1 + b_2 + b_3 \geq 2 \wedge$$

$$2b_1 + 2b_2 + 2b_3 \geq 4 \wedge$$

$$\text{cost} \geq 2b_1 + 2b_2 + 2b_3$$

$$\text{cost} \geq 4 \leftarrow$$



# Running example

with MaxSAT resolution

$$\text{cost} \equiv 2b_1 + 2b_2 + 4b_3$$

$$F = \{(b_1 \vee x), (\neg x \vee b_2),$$

$$(b_2 \vee y), (\neg y \vee b_3),$$

$$(b_1 \vee z), (\neg z \vee b_3)\}$$

# Running example

with MaxSAT resolution

$$F = \{(b_1 \vee x, \top), (\neg x \vee b_2, \top), \\ (b_2 \vee y, \top), (\neg y \vee b_3, \top), \\ (b_1 \vee z, \top), (\neg z \vee b_3, \top) \\ (\neg b_1, 2), (\neg b_2, 2), (\neg b_3, 4)\}$$

# Running example

with MaxSAT resolution

$$F = \{(b_1 \vee x, \top), (\neg x \vee b_2, \top), \\ (b_2 \vee y, \top), (\neg y \vee b_3, \top), \\ (b_1 \vee z, \top), (\neg z \vee b_3, \top) \\ (\neg b_1, 2), (\neg b_2, 2), (\neg b_3, 4)\}$$

$$\longrightarrow F \wedge \bigwedge_{1 \leq i < j \leq 3} ((b_i \vee b_j), \top)$$



$$F^* = \{(b_1 \vee x, \top), (\neg x \vee b_2, \top), \\ (b_2 \vee y, \top), (\neg y \vee b_3, \top), \\ (b_1 \vee z, \top), (\neg z \vee b_3, \top), \\ (b_1 \vee b_2, \top), (b_1 \vee b_3, \top), \\ (b_2 \vee b_3, \top), (\neg b_1 \vee \neg b_2 \vee \neg b_3, 1), \\ (b_2 \vee b_3, 2), (b_1 \vee b_2 \vee b_3, 2), \\ (\square, 4)\}$$

# Running example

with MaxSAT resolution

$$F = \{(b_1 \vee x, \top), (\neg x \vee b_2, \top), \\ (b_2 \vee y, \top), (\neg y \vee b_3, \top), \\ (b_1 \vee z, \top), (\neg z \vee b_3, \top) \\ (\neg b_1, 2), (\neg b_2, 2), (\neg b_3, 4)\}$$

$$\longrightarrow F \wedge \bigwedge_{1 \leq i < j \leq 3} ((b_i \vee b_j), \top)$$



$$F^* = \{(b_1 \vee x, \top), (\neg x \vee b_2, \top), \\ (b_2 \vee y, \top), (\neg y \vee b_3, \top), \\ (b_1 \vee z, \top), (\neg z \vee b_3, \top), \\ (b_1 \vee b_2, \top), (b_1 \vee b_3, \top), \\ (b_2 \vee b_3, \top), (\neg b_1 \vee \neg b_2 \vee \neg b_3, 1), \\ (b_2 \vee b_3, 2), (b_1 \vee b_2 \vee b_3, 2), \\ (\square, 4)\}$$

$$\text{cost} \geq 4 \longleftarrow$$